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ELECTRONS (+and—), PROTONS, PHOTONS,  
NEUTRONS, MESOTRONS, AND  
COSMIC RAYS



# Electrons (+ and -), Proton Photons, Neutrons, Mesotron and Cosmic Rays

REVISED EDITION 1947

By

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## PREFACE

In 1917 the University of Chicago Press published a small volume entitled *The Electron* which was intended to be a rather simple presentation of some of the newer developments in physics with which my own work had been closely associated. In 1924 a revised edition of this work appeared. A few years later I had the honor of giving "The Messenger Lectures" at Cornell University and in them still further expanded and brought up to date these "newer developments."

The present volume grows *immediately* out of these Messenger Lectures, but is, of course, an attempt to make the presentation as true a picture as I am able to give of the situation as it exists at the date of publication, January 1, 1935.

In a sense this work may be looked upon as a third revision of *The Electron*, but it differs from most revisions in two particulars. First, an effort has been made at the request of the publishers to introduce into *The Electron* portion only such changes as are demanded by correctness of presentation today, and in fact I have been pleased and somewhat surprised to find that the historical mode of presentation originally adopted has rendered radical changes even today both unnecessary and undesirable. Second, the growth of discovery and the rapidity of the advance in physics from the base occupied in 1924 has made it altogether necessary to add six entirely new chapters (xi to xvi) on "Waves and Particles," on "The Dis-

covery and Origin of the Cosmic Rays," on "The Spinning Electron," on "The Positron," on "The Neutron and the Transmutation of the Elements," and on "The Nature of the Cosmic Rays," so that in this particular the book is not at all a revision. The illustrative material, too, has been much more than doubled, and now becomes quite a notable feature.

It is hoped that this volume, like its predecessors, may be of some interest both to the physicist and to the reader of somewhat less technical training. It has been thought desirable for the sake of both classes of readers not to break the thread of the discussion in the body of the book with the detailed analyses that the careful student demands. It is for this reason that all mathematical proofs have been thrown into appendixes. If, in spite of this, the general student finds that certain chapters, such as vii, viii, and xii, require more familiarity with the general background of physics than he possesses, it is still hoped that without them he may yet gain some idea of certain phases, at least of the fascinating progress of modern science.

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December 1, 1934

## PREFACE TO SECOND EDITION

Again the University of Chicago Press has called for a reprinting of this volume, but neither the Press nor I could tolerate a reprinting without an attempt to bring it up to date through full discussion of the extraordinary advances of the last twelve years. Believing profoundly in the historical approach both in science and in its teaching, I have made no changes in the first 400 pages save those necessitated by new knowledge, mostly in the values of units, but have replaced the last 50 pages by 200 pages of entirely new material under the five new chapter headings (xvi-xx): "The Release and Utilization of Nuclear Energy," "Geomagnetic Studies on Cosmic Rays at Low Altitudes," "The Discovery and the Significance of the Mesotron," "The Nature and Number of the Incoming Primary Rays," and "The Atom-Annihilation Hypothesis as to the Origin of Cosmic Rays."

I wish to express my great indebtedness to the Cambridge University Press and the Macmillan Company for permitting me to quote freely from their little book entitled "Cosmic Rays—Three Lectures" under my authorship in 1939. I am also under great indebtedness to my colleagues, I. S. Bowen, Carl D. Anderson, H. Victor Neher, William H. Pickering, Charles C. Lauritsen, Paul S. Epstein, William A. Fowler, and Robert F. Christy for proofreading and for many discussions with them over such parts of this whole new nuclear field to which they have been such large contributors during the last fifteen years, and without whose work such contributions as I may have myself made would have been quite impossible.

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September 1, 1946



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## INTRODUCTION

Perhaps it is merely a coincidence that the man who first noticed that the rubbing of amber would induce in it a new and remarkable state now known as the state of *electrification* was also the man who first gave expression to the conviction that there must be some great unifying principle which links together all phenomena and is capable of making them rationally intelligible; that behind all the apparent variety and change of things there is some primordial element, out of which all things are made and the search for which must be the ultimate aim of all natural science. Yet if this be merely a coincidence, at any rate to Thales of Miletus must belong a double honor. For he first correctly conceived and correctly stated, as far back as 600 B.C., the spirit which has actually guided the development of physics in all ages, and he also first described, though in a crude and imperfect way, the very phenomenon the study of which has already linked together several of the erstwhile isolated departments of physics, such as radiant heat, light, magnetism, and electricity, and has very recently brought us nearer to the primordial element than we have ever been before.

Whether this perpetual effort to reduce the complexities of the world to simpler terms, and to build up the infinite variety of objects which present themselves to our senses out of different arrangements or motions of the least possible number of elementary substances, is a



modern heritage from Greek thought, or whether it is a native instinct of the human mind may be left for the philosopher and the historian to determine. Certain it is, however, that the greatest of the Greeks aimed at nothing less than the complete banishment of caprice from nature and the ultimate reduction of all her processes to a rationally intelligible and unified system. And certain it is also that the periods of greatest progress in the history of physics have been the periods in which this effort has been most active and most successful.

Thus the first half of the nineteenth century is unquestionably a period of extraordinary fruitfulness. It is at the same time a period in which for the first time men, under Dalton's lead, began to get direct, experimental, quantitative proof that the atomic world which the Greeks had bequeathed to us, the world of Leucippus and Democritus and Lucretius, consisting as it did of an infinite number and variety of atoms, was far more complex than it needed to be, and that by introducing the idea of molecules built up out of different combinations and groupings of atoms the number of necessary elements could be reduced to but about seventy. The importance of this step is borne witness to by the fact that out of it sprang in a very few years the whole science of modern chemistry.

And now this twentieth century, though but forty-six years old, has already attempted to take a still bigger and more significant step. By superposing upon the molecular and the atomic worlds of the nineteenth century a third electronic world, it has sought to reduce the number of primordial elements to not more than two, namely, positive and negative electrical charges. Along

with this effort has come the present period of most extraordinary development and fertility—a period in which new viewpoints and indeed wholly new phenomena follow one another so rapidly across the stage of physics that the actors themselves scarcely know what is happening—a period too in which the commercial and industrial world is adopting and adapting to its own uses with a rapidity hitherto altogether unparalleled the latest products of the laboratory of the physicist and the chemist. As a consequence, the results of yesterday's researches, designed for no other purpose than to add a little more to our knowledge of the ultimate structure of matter, are today seized upon by the practical business world and made to multiply tenfold the effectiveness of the telephone or to extract six times as much light as was formerly obtained from a given amount of electric power.

It is then not merely a matter of academic interest that electricity has been proved to be atomic or granular in structure, that the elementary electrical charge has been isolated and accurately measured, and that it has been found to enter as a constituent into the making of all the ninety-two atoms of chemistry. These are indeed matters of fundamental and absorbing interest to the man who is seeking to unveil nature's inmost secrets, but they are also events which are pregnant with meaning for the man of commerce and for the worker in the factory. For it usually happens that when nature's inner workings have once been laid bare, man sooner or later finds a way to put his brains inside the machine and to drive it whither he wills. Every increase in man's knowledge of the way in which nature works must, in the long run, increase by just so much man's ability to

control nature and to turn her hidden forces to his own account.

The purpose of this volume is to present the evidence for the atomic structure of electricity, to describe some of the most significant properties of the elementary electrical unit, the electron, and to discuss the bearing of these properties upon the two most important problems of modern physics: the structure of the atom and the nature of electromagnetic radiation. In this presentation I shall not shun the discussion of exact quantitative experiments, for it is only upon such a basis, as Pythagoras asserted more than two thousand years ago, that any real scientific treatment of physical phenomena is possible. Indeed, from the point of view of that ancient philosopher, the problem of all natural philosophy is to drive out qualitative conceptions and to replace them by quantitative relations. And this point of view has been emphasized by the farseeing throughout all the history of physics clear down to the present. One of the greatest of modern physicists, Lord Kelvin, writes:

When you can measure what you are speaking about and express it in numbers, you know something about it, and when you cannot measure it, when you cannot express it in numbers, your knowledge is of a meagre and unsatisfactory kind. It may be the beginning of knowledge, but you have scarcely in your thought advanced to the stage of a science.

Although my purpose is to deal mostly with the researches of which I have had most direct and intimate knowledge, namely, those which have been carried on during the past thirty years in this general field, first in the Ryerson Laboratory at the University of Chicago, and later at the Norman Bridge Laboratory of Physics at

the California Institute at Pasadena, I shall hope to be able to give a correct and just review of the preceding work out of which these researches grew, as well as of parallel work carried on in other laboratories. In popular writing it seems to be necessary to link every great discovery, every new theory, every important principle, with the name of a single individual. But it is an almost universal rule that developments in physics actually come about in a very different way. A science, like a plant, grows in the main by a process of infinitesimal accretion. Each research is usually a modification of a preceding one; each new theory is built like a cathedral through the addition by many builders of many different elements. This is pre-eminently true of the electron theory. It has been a growth, and I shall endeavor in every case to trace the pedigree of each research connected with it.

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## CHAPTER I

### EARLY VIEWS OF ELECTRICITY

#### I. GROWTH OF THE ATOMIC THEORY OF MATTER

There is an interesting and instructive parallelism between the histories of the atomic conception of matter and the atomic theory of electricity, for in both cases the ideas themselves go back to the very beginnings of the subject. In both cases too these ideas remained absolutely sterile until the development of precise quantitative methods of measurement touched them and gave them fecundity. It took two thousand years for this to happen in the case of the theory of matter and one hundred and fifty years for it to happen in the case of electricity; and no sooner had it happened in the case of both than the two domains hitherto thought of as distinct began to move together and to appear as perhaps but different aspects of one and the same phenomenon, thus recalling again Thales' ancient belief in the essential unity of nature. How this attempt at union has come about can best be seen by a brief review of the histories of the two ideas.

The conception of a world made up of atoms which are in incessant motion was almost as clearly developed in the minds of the Greek philosophers of the School of Democritus (420 B.C.), Epicurus (370 B.C.), and Lucretius (Roman, 50 B.C.) as it is in the mind of the modern physicist, but the idea had its roots in one case in a mere speculative philosophy; in the other case, like most of

our twentieth-century knowledge, it rests upon direct, exact, quantitative observations and measurement. Not that the human eye has ever seen or indeed can ever see an individual atom or molecule. This is forever impossible, and for the simple reason that the limitations on our ability to see small objects are imposed, not by the imperfections of our instruments, but by the nature of the eye itself, or by the nature of the light-wave to which the eye is sensitive. If we are to see molecules our biological friends must develop wholly new types of eyes, viz., eyes which are sensitive to waves one thousand times shorter than those to which our present optic nerves can respond.

But after all, the evidence of our eyes is about the least reliable kind of evidence which we have. We are continually seeing things which do not exist, even though our habits are unimpeachable. It is the relations which are seen by the mind's eye to be the logical consequences of exact measurement which are for the most part dependable. So far as the atomic theory of matter is concerned, these relations have all been developed since 1800, so that both the modern atomic and the modern kinetic theories of matter, in spite of their great antiquity, are in a sense less than one hundred years old. Indeed, nearly all of our definite knowledge about molecules and atoms has come since 1851, when Joule<sup>1</sup> in England made the first absolute determination of a molecular magnitude, namely, the average speed with which gaseous molecules of a given kind are darting hither and thither at ordinary temperatures. This

<sup>1</sup> *Mem. of the Manchester Lit. and Phil. Soc.* (1851; 2d series), 107; *Phil. Mag.*, XIV (1857), 211.

result was as surprising as many others which have followed in the field of molecular physics, for it showed that this speed, in the case of the hydrogen molecule, has the stupendous value of about a mile a second. The second molecular magnitude to be found was the mean distance a molecule of a gas moves between collisions, technically called the mean free path of a molecule. This was computed first in 1860 by Clerk Maxwell.<sup>1</sup> It was also 1860 before anyone had succeeded in making any sort of an estimate of the number of molecules in a cubic centimeter of a gas. When we reflect that we can now count this number with probably greater precision than we can attain in determining the number of people living in New York, in spite of the fact that it has the huge value of 27.37 billion billion, one gains some idea of how great has been our progress in mastering some at least of the secrets of the molecular and atomic worlds. The wonder is that we got at it so late. Nothing is more surprising to the student brought up in the atmosphere of the scientific thought of the present than the fact that the relatively complex and intricate phenomena of light and electromagnetism had been built together into moderately consistent and satisfactory theories long before the much simpler phenomena of heat and molecular physics had begun to be correctly understood. And yet almost all the qualitative conceptions of the atomic and kinetic theories were developed thousands of years ago. Tyn-dall's statement of the principles of Democritus, whom Bacon considered to be "a man of mightier metal than

<sup>1</sup> *Phil. Mag.*, XIX (1860; 4th series), 18. Clausius had discussed some of the relations of this quantity in 1858 (*Pogg. Ann.*, CV [1858], 239), but Maxwell's magnificent work on the viscosity of gases first made possible its evaluation.

Plato or Aristotle, though their philosophy was noised and celebrated in the schools amid the din and pomp of professors," will show how complete an atomic philosophy had arisen 400 years B.C. "That it was entirely destroyed later was not so much due to the attacks upon it of the idealistic school, whose chief representatives were Plato and Aristotle, as to the attacks upon all civilization of Genseric, Attila, and the barbarians." That the Aristotelian philosophy lasted throughout this period is explained by Bacon thus: "At a time when all human learning had suffered shipwreck these planks of Aristotelian and Platonic philosophy, as being of a lighter and more inflated substance, were preserved and came down to us, while things more solid sank and almost passed into oblivion."

Democritus' principles, as quoted by Tyndall, are as follows:

1. From nothing comes nothing. Nothing that exists can be destroyed. All changes are due to the combination and separation of molecules.
2. Nothing happens by chance. Every occurrence has its cause from which it follows by necessity.
3. The only existing things are the atoms and empty space; all else is mere opinion.
4. The atoms are infinite in number and infinitely various in form; they strike together and the lateral motions and whirlings which thus arise are the beginnings of worlds.
5. The varieties of all things depend upon the varieties of their atoms, in number, size, and aggregation.
6. The soul consists of fine, smooth, round atoms like those of fire. These are the most mobile of all. They interpenetrate the whole body and in their motions the phenomena of life arise.

These principles with a few modifications and omissions might almost pass muster today. The great advance which has been made in modern times is not so



much in the conceptions themselves as in the kind of foundation upon which the conceptions rest. The principles enumerated above were simply the opinions of one man or of a school of men. There were scores of other rival opinions, and no one could say which was the better. Today there is absolutely no philosophy in the field other than the atomic philosophy, at least among physicists. Yet this statement could not have been made even as much as thirty years ago. For in spite of all the multiple relationships between combining powers of the elements, and in spite of all the other evidences of chemistry and nineteenth-century physics, a group of the foremost of modern thinkers, until quite recently, withheld their allegiance from these theories. The most distinguished of this group was the German chemist and philosopher, Wilhelm Ostwald. However, in the preface to the last edition of his *Outlines of Chemistry* he now makes the following clear and frank avowal of his changed position. He says:

I am now convinced that we have recently become possessed of experimental evidence of the discrete or grained nature of matter for which the atomic hypothesis sought in vain for hundreds and thousands of years. The isolation and counting of gaseous ions on the one hand . . . and on the other the agreement of the Brownian movements with the requirements of the kinetic hypothesis . . . justify the most cautious scientist in now speaking of the experimental proof of the atomic theory of matter. The atomic hypothesis is thus raised to the position of a scientifically well-founded theory.

## II. GROWTH OF ELECTRICAL THEORIES

The granular theory of electricity, while unlike the atomic and kinetic theories of matter in that it can boast

no great antiquity in any form, is like them in that the first man who speculated upon the nature of electricity at all conceived of it as having an atomic structure. Yet it is only within very recent years—fifty at the most—that the modern electron theory has been developed. There are no electrical theories of any kind which go back of Benjamin Franklin (1750). Aside from the discovery of the Greeks that rubbed amber had the power of attracting to itself light objects, there was no knowledge at all earlier than 1600 A.D., when Gilbert, Queen Elizabeth's surgeon, and a scientist of great genius and insight, found that a glass rod and some twenty other bodies, when rubbed with silk, act like the rubbed amber of the Greeks, and he consequently decided to describe the phenomenon by saying that the glass rod had become electrified (amberized, electron being the Greek word for amber), or, as we now say, had acquired a charge of electricity. In 1733 Dufay, a French physicist, further found that sealing wax, when rubbed with cat's fur, was also electrified, but that it differed from the electrified glass rod, in that it strongly attracted any electrified body which was repelled by the glass, while it repelled any electrified body which was attracted by the glass. He was thus led to recognize two kinds of electricity, which he termed "vitreous" and "resinous." About 1747 Benjamin Franklin, also recognizing these two kinds of electrification, introduced the terms "positive" and "negative," to distinguish them. Thus, he said, we will arbitrarily call any body positively electrified if it is repelled by a glass rod which has been rubbed with silk, and we will call any body negatively electrified if it is repelled by sealing wax which has been rubbed with cat's

fur. *These are today our definitions of positive and negative electrical charges.* Notice that in setting them up we propose no theory whatever of electrification, but content ourselves simply with describing the phenomena.

In the next place it was surmised by Franklin and indeed asserted by him in the very use of the terms "positive" and "negative," although the accurate proof of the relation was not made until the time of Faraday's ice-pail experiment in 1837, that when glass is positively electrified by rubbing it with silk, the silk itself takes up a negative charge of exactly the same amount as the positive charge received by the glass, and, in general, that *positive and negative electrical charges always appear simultaneously and in exactly equal amounts.*

So far, still no theory. But in order to have a rational explanation of the phenomena so far considered, particularly this last one, Franklin now made the assumption that something which he chose to call the electrical fluid or "electrical fire" exists in normal amount as a constituent of all matter in the neutral, or unelectrified state, and that more than the normal amount in any body is manifested as a positive electrical charge, and less than the normal amount as a negative charge. Aepinus, professor of physics at St. Petersburg and an admirer of Franklin's theory, pointed out that, in order to account for the repulsion of two negatively electrified bodies, it was necessary to assume that matter, when divorced from Franklin's electrical fluid, was self-repellent, i.e., that it possessed properties quite different from those which are found in ordinary unelectrified matter. In order, however, to leave matter, whose independent existence was thus threatened, endowed with its familiar old properties,

and in order to get electrical phenomena into a class by themselves, other physicists of the day, led by Symmer, 1759, preferred to assume that *matter in a neutral state shows no electrical properties because it contains as constituents equal amounts of two weightless fluids which they called positive and negative electricity, respectively.* From this point of view a positively charged body is one in which there is more of the positive fluid than of the negative, and a negatively charged body is one in which the negative fluid is in excess.

Thus arose the so-called two-fluid theory—a theory which divorced again the notions of electricity and matter after Franklin had taken a step toward bringing them together. This theory, in spite of its intrinsic difficulties, dominated the development of electrical science for one hundred years and more. This was because, if one did not bother himself much with the underlying physical conception, the theory lent itself admirably to the description of electrical phenomena and also to mathematical formulation. Further, it was convenient for the purposes of classification. It made it possible to treat electrical phenomena in a category entirely by themselves, without raising any troublesome questions as to the relation, for example, between electrical and gravitational or cohesive forces. But in spite of these advantages it was obviously a makeshift. For the notion of two fluids which could exert powerful forces and yet which were absolutely without weight—the most fundamental of physical properties—and the further notion of two fluids which had no physical properties whatever, that is, which disappeared entirely when they were mixed in equal proportions—these notions were in a

high degree non-physical. Indeed, Sir J. J. Thomson remarked in his Silliman Lectures in 1903 that

the physicists and mathematicians who did most to develop the fluid theories confined their attention to questions which involved only the law of forces between electrified bodies and the simultaneous production of equal quantities of plus and minus electricity and refined and idealized their conception of the fluids themselves until any reference to their physical properties was considered almost indelicate.

From the point of view of economy in hypothesis, Franklin's one-fluid theory, as modified by Aepinus, was the better. Mathematically the two theories were identical. The differences may be summed up thus. The modified one-fluid theory required that matter, when divorced from the electrical fluid, have exactly the same properties which the two-fluid theory ascribed to negative electricity, barring only the property of fluidity. So that the most important distinction between the theories was that the two-fluid theory assumed the existence of three distinct entities, named positive electricity, negative electricity, and matter, while the one-fluid theory reduced these three entities to two, which Franklin called matter and electricity, but which might perhaps as well have been called positive electricity and negative electricity, unelectrified matter being reduced to a mere combination of these two.

Of course, the idea of a granular structure for electricity was foreign to the two-fluid theory, and since this dominated the development of electrical science, there was seldom any mention in connection with it of an electrical atom, even as a speculative entity. But with Franklin the case was different. His theory was essen-

tially a material one, and he unquestionably believed in the existence of an electrical particle or atom, for he says: "The electrical matter consists of particles extremely subtle, since it can permeate common matter, even the densest, with such freedom and ease as not to receive any appreciable resistance." When Franklin wrote that, however, he could scarcely have dreamed that it would ever be possible to isolate and study by itself one of the ultimate particles of the electrical fluid. The atomic theory of electricity was to him what the atomic theory of matter was to Democritus, a pure speculation.

The first bit of experimental evidence which appeared in its favor came in 1833, when Faraday found that the passage of a given quantity of electricity through a solution containing a compound of hydrogen, for example, would always cause the appearance at the negative terminal of the same amount of hydrogen gas irrespective of the kind of hydrogen compound which had been dissolved, and irrespective also of the strength of the solution; that, further, the quantity of electricity required to cause the appearance of one gram of hydrogen would always deposit from a solution containing silver exactly 107.05 grams of silver. This meant, since the weight of the silver atom is exactly 107.05 times the weight of the hydrogen atom, that the hydrogen atom and the silver atom are associated in the solution with exactly the same quantity of electricity. When it was further found in this way that all atoms which are univalent in chemistry, that is, which combine with one atom of hydrogen, carry precisely the same quantity of electricity, and all atoms which are bivalent carry twice

this amount, and, in general, that valency, in chemistry is always exactly proportional to the quantity of electricity carried by the atom in question, it was obvious that the atomic theory of electricity had been given very strong support.

But striking and significant as were these discoveries, they did not serve at all to establish the atomic hypothesis of the nature of electricity. They were made at the very time when attention began to be directed strongly away from the conception of electricity as a substance of any kind, and it was no other than Faraday himself who, in spite of the brilliant discoveries just mentioned, started this second period in the development of electrical theory, a period lasting from 1840 to about 1900. In this period electrical phenomena are almost exclusively thought of in terms of stresses and strains in the medium which surrounds the electrified body. Up to this time a more or less definite something called a charge of electricity had been thought of as existing *on* a charged body and had been imagined to exert forces on other charged bodies at a distance from it in quite the same way in which the gravitational force of the earth acts on the moon or that of the sun on the earth. This notion of action at a distance was repugnant to Faraday, and he found in the case of electrical forces experimental reasons for discarding it which had not then, nor have they as yet, been found in the case of gravitational forces. These reasons are summed up in the statement that the electrical force between two charged bodies is found to depend on the nature of the intervening medium, while gravitational pulls are, so far as is known, independent of intervening bodies. Faraday, therefore, pictured to himself

the intervening medium as transmitting electrical force in quite the same way in which an elastic deformation started at one end of a rod is transmitted by the rod. Further, since electrical forces act through a vacuum, Faraday had to assume that it is the ether which acts as the transmitter of these electrical stresses and strains. The properties of the ether were then conceived of as modified by the presence of matter in order to account for the fact that the same two charges attract each other with different forces according as the intervening medium is, for example, glass, or ebonite, or air, or merely ether. These views, conceived by Faraday and put into mathematical form by Maxwell, called attention away from the electrical phenomena in or on a conductor carrying electricity and focused it upon the stresses and strains taking place in the medium about the conductor. When in 1887 Heinrich Hertz in Bonn, Germany, proved by direct experiment that electrical forces are indeed transmitted in the form of electric waves, which travel through space with the speed of light exactly as the Faraday-Maxwell theory had predicted, the triumph of the ether-stress point of view was complete. Thereupon textbooks were written by enthusiastic, but none too cautious, physicists in which it was asserted that an electric charge is nothing more than a "state of strain in the ether," and an electric current, instead of representing the passage of anything definite along the wire, corresponds merely to a continuous "slip" or "break-down of a strain" in the medium within the wire. Sir Oliver Lodge's early book, *Modern Views of Electricity*, was perhaps the most influential disseminator and expounder of this point of view.



Now what had actually been proved was not that electricity is a state of strain, but that when any electrical charge appears upon a body the medium about the body does indeed become the seat of new forces which are transmitted through the medium, like any elastic forces, with a definite speed. Hence it is entirely proper to say that the medium about a charged body is in a state of strain. But it is one thing to say that the electrical charge on the body *produces* a state of strain in the surrounding medium, and quite another thing to say that the electrical charge *is nothing but* a state of strain in the surrounding medium, just as it is one thing to say that when a man stands on a bridge he produces a mechanical strain in the timbers of the bridge, and another thing to say that the man is nothing more than a mechanical strain in the bridge. The practical difference between the two points of view is that in the one case you look for other attributes of the man besides the ability to produce a strain in the bridge, and in the other case you do not look for other attributes. So the strain theory, although not irreconcilable with the atomic hypothesis, was actually antagonistic to it, because it led men to think of the strain as distributed continuously about the surface of the charged body, rather than as radiating from definite spots or centers peppered over the surface of the body. Between 1833 and 1900, then, the physicist was in this peculiar position: when he was thinking of the passage of electricity through a solution, he for the most part, following Faraday, pictured to himself definite specks or atoms of electricity as traveling through the solution, each atom of matter carrying an exact multiple, which might be anywhere between one and eight, of a

definite elementary electrical atom, while, when he was thinking of the passage of a current through a metallic conductor, he gave up altogether the atomic hypothesis, and attempted to picture the phenomenon to himself as a continuous "slip" or "breakdown of a strain" in the material of the wire. In other words, he recognized two types of electrical conduction which were wholly distinct in kind—electrolytic conduction and metallic conduction; and since more of the problems of the physicist dealt with metallic than with electrolytic conduction, the atomic conception, as a general hypothesis, was almost, though not quite, unheard of. Of course it would be unjust to the thinkers of this period to say that they failed to recognize and appreciate this gulf between current views as to the nature of electrolytic and metallic conduction, and simply ignored the difficulty. This they did not do, but they had all sorts of opinions as to the causes. Maxwell himself in his text on *Electricity and Magnetism*, published in 1873, recognizes, in the chapter on "Electrolysis," the significance of Faraday's laws, and even goes so far as to say that "for convenience in description we may call this constant molecular charge (revealed by Faraday's experiments) one molecule of electricity." Nevertheless, a little farther on he repudiates the idea that this term can have any physical significance by saying that "it is extremely improbable that when we come to understand the true nature of electrolysis we shall retain in any form the theory of molecular charges, for then we shall have obtained a secure basis on which to form a true theory of electric currents and so become independent of these provisional hypotheses."

And as a matter of fact, Faraday's experiments had not shown at all that electrical charges on metallic conductors consist of specks of electricity, even though they had shown that the charges on ions in solutions have definite values which are always the same for univalent ions. It was entirely logical to assume, as Maxwell did, that an ion took into solution a definite quantity of electricity because of some property which it had of always charging up to the same amount from a charged plate. There was no reason for assuming the charge *on the electrode* to be made up of some exact number of electrical atoms.

On the other hand, Wilhelm Weber, in papers written in 1871,<sup>1</sup> built up his whole theory of electromagnetism on a basis which was practically identical with the modified Franklin theory and explained all the electrical phenomena exhibited by conductors, including thermoelectric and Peltier effects, on the assumption of two types of electrical constituents of atoms, one of which was very much more mobile than the other. Thus the hypothetical molecular current, which Ampere had imagined fifty years earlier to be continually flowing inside of molecules and thereby rendering these molecules little electromagnets, Weber definitely pictures to himself as the rotation of light, positive charges about heavy negative ones. His words are:

The relation of the two particles as regards their motions is determined by the ratio of their masses  $\epsilon$  and  $\epsilon'$ , on the assumption that in  $\epsilon$  and  $\epsilon'$  are included the masses of the ponderable atoms which are attached to the electrical atoms. Let  $\epsilon$  be the positive electrical particle. Let the negative be exactly equal and opposite

<sup>1</sup> See *Works*, IV, 281.

and therefore denoted by  $-e$  (instead of  $e'$ ). But let a ponderable atom be attracted to the latter so that its mass is thereby so greatly increased as to make the mass of the positive particle vanishingly small in comparison. The particle  $-e$  may then be thought of as at rest and the particle  $+e$  as in motion about the particle  $-e$ . The two unlike particles in the condition described constitute then an Amperian molecular current.

It is practically this identical point of view which has been elaborated and generalized by Lorentz and others within the past five decades in the development of the modern electron theory, with this single difference, that we now have proof that it is, in general, the negative particle whose mass or inertia is negligible in comparison with that of the positive instead of the reverse. Weber even went so far as to explain thermoelectric and Peltier effects by differences in the kinetic energies in different conductors of the electrical particles.<sup>1</sup> Nevertheless his explanations are here widely at variance with our modern conceptions of heat.

Again, in a paper read before the British Association at Belfast in 1874, G. Johnstone Stoney not only stated clearly the atomic theory of electricity, but actually went so far as to estimate the value of the elementary electrical charge, and he obtained a value which was about as reliable as any which had been found until within quite recent years. He got, as will be more fully explained in the next chapter,  $.3 \times 10^{-10}$  absolute electrostatic units, and he got this result from the amount of electricity necessary to separate from a solution one gram of hydrogen, combined with kinetic theory estimates as to the number of atoms of hydrogen in two grams, i.e., in one

<sup>1</sup> *Op. cit.*, p. 204.

gram molecule of that element. This paper was entitled, "On the Physical Units of Nature," and though read in 1874 it was not published in full until 1881.<sup>1</sup> After showing that all physical measurements may be expressed in terms of three fundamental units, he asserts that it would be possible to replace our present purely arbitrary units (the centimeter, the gram, and the second) by three natural units, namely, the velocity of light, the coefficient of gravitation, and the elementary electrical charge. With respect to the last he says:

Finally nature presents us with a single definite quantity of electricity which is independent of the particular bodies acted on. To make this clear, I shall express Faraday's law in the following terms, which, as I shall show, will give it precision, viz.: *For each chemical bond which is ruptured within an electrolyte a certain quantity of electricity traverses the electrolyte which is the same in all cases.* This definite quantity of electricity I shall call  $E_1$ . If we make this our unit of electricity, we shall probably have made a very important step in our study of molecular phenomena.

Hence we have very good reason to suppose that in  $V_1$ ,  $G_1$ , and  $E_1$ , we have three of a series of systematic units that in an eminent sense are the units of nature, and stand in an intimate relation with the work which goes on in her mighty laboratory.

Take one more illustration from prominent writers of this period. In his Faraday lecture delivered at the Royal Institution in 1881, Helmholtz spoke as follows:

Now the most startling result of Faraday's law is perhaps this, if we accept the hypothesis that the elementary substances are composed of atoms, we cannot avoid concluding that electricity also, positive as well as negative, is divided into definite elementary portions which behave like atoms of electricity.\*

<sup>1</sup> *Phil. Mag.*, XI (1881; 5th series), 384.

\* *Wissenschaftliche Abhandlungen*, III, 69.

This looks like a very direct and unequivocal statement of the atomic theory of electricity, and yet in the same lecture Helmholtz apparently thinks of metallic conduction as something quite different from electrolytic when he says:

All these facts show that electrolytic conduction is not at all limited to solutions of acids or salts. It will, however, be rather a difficult problem to find out how far the electrolytic conduction is extended, and I am not yet prepared to give a positive answer.

The context shows that he thought of extending the idea of electrolytic conduction to a great many insulators. But there is no indication that he thought of extending it to metallic conductors and imagining these electrical atoms as existing as discrete individual things on charged metals or as traveling along a wire carrying an electrical current. Nevertheless, the statement quoted above is one of the most unequivocal which can be found anywhere up to about 1899 as to the atomic nature of electricity.

The foregoing quotations are sufficient to show that the atomic theory of electricity, like the atomic theory of matter, is not at all new so far as the conception alone is concerned. In both cases there were individuals who held almost exactly the modern point of view. In both cases, too, the chief new developments have consisted in the appearance of new and exact *experimental* data which has silenced criticism and compelled the abandonment of other points of view which up to about 1900 flourished along with, and even more vigorously than, the atomic conception. Even in 1897 Lord Kelvin, with a full knowledge of all the new work which was appearing on X-rays and cathode rays, could seriously raise the

question whether electricity might not be a "continuous homogeneous liquid." He does it in these words:

Varley's fundamental discovery of the cathode rays, splendidly confirmed and extended by Crookes, seems to me to necessitate the conclusion that resinous electricity, not vitreous, is *The Electric Fluid*, if we are to have a one-fluid theory of electricity. Mathematical reasons prove that if resinous electricity is a continuous homogeneous liquid it must, in order to produce the phenomena of contact electricity, which you have seen this evening, be endowed with a cohesive quality. It is just conceivable, though it does not at present seem to me very probable, that this idea may deserve careful consideration. I leave it, however, for the present and prefer to consider an atomic theory of electricity foreseen as worthy of thought by Faraday and Clerk-Maxwell, very definitely proposed by Helmholtz in his last lecture to the Royal Institution, and largely accepted by present-day workers and teachers. Indeed Faraday's laws of electrolysis seem to necessitate something atomic in electricity, . . .<sup>1</sup>

What was the new experimental work which already in 1897 was working this change in viewpoint? Much of it was at first little if at all more convincing than that which had been available since Faraday's time. Nevertheless it set physicists to wondering whether stresses and strains in the ether had not been a bit overworked, and whether in spite of their undoubted existence electricity itself might not after all be something more definite, more material, than the all-conquering Maxwell theory had assumed it to be.

The result of the past thirty-five years has been to bring us back very close to where Franklin was in 1750, with the single difference that our modern electron theory rests upon a mass of very direct and convincing evidence, which it is the purpose of the next chapters to present.

<sup>1</sup> Kelvin, "Contact Electricity and Electrolysis," *Nature*, LVI (1897), 84.

## CHAPTER II

### THE EXTENSION OF THE ELECTROLYTIC LAWS TO CONDUCTION IN GASES

#### I. THE ORIGIN OF THE WORD "ELECTRON"

The word "electron" was first suggested in 1891 by Dr. G. Johnstone Stoney as a name for the "natural unit of electricity," namely, that quantity of electricity which must pass through a solution in order to liberate at one of the electrodes one atom of hydrogen or one atom of any univalent substance. In a paper published in 1891 he says:

Attention must be given to Faraday's Law of Electrolysis, which is equivalent to the statement that in electrolysis a definite quantity of electricity, the same in all cases, passes for each chemical bond that is ruptured. The author called attention to this form of the law in a communication made to the British Association in 1874 and printed in the *Scientific Proceedings of the Royal Dublin Society* of February, 1881, and in the *Philosophical Magazine* for May, 1881, pp. 385 and 386 of the latter. It is there shown that the amount of this very remarkable quantity of electricity is about the twentietheth ( $\text{that is } \frac{1}{10^{20}}$ ) of the usual electromagnetic unit of electricity, i.e., the unit of the Ohm series. This is the same as 3 eleventheths ( $\frac{3}{10^{11}}$ ) of the much smaller C.G.S. electrostatic unit of quantity. A charge of this amount is associated in the chemical atom with each bond. There may accordingly be several such charges in one chemical atom, and there appear to be at least two in each atom. These charges, which it will be convenient to call "electrons," cannot be removed from the atom, but they become disguised when atoms chemically unite. If an



electron be lodged at the point  $P$  of the molecule which undergoes the motion described in the last chapter, the revolution of this charge will cause an electromagnetic undulation in the surrounding ether.<sup>1</sup>

It will be noticed from this quotation that the word "electron" was introduced to denote simply a definite elementary quantity of electricity without any reference to the mass or inertia which may be associated with it, and Professor Stoney implies that every atom must contain at least two electrons, one positive and one negative, because otherwise it would be impossible that the atom as a whole be electrically neutral. As a matter of fact the evidence is now altogether convincing that the hydrogen atom does indeed contain just one positive and one negative electron.

It is unfortunate that all writers have not been more careful to retain the original significance of the word introduced by Professor Stoney, for it is obvious that a word is needed which denotes merely the elementary unit of electricity and has no necessary implication as to where that unit is found, to what it is attached, with what inertia it is associated, or whether it is positive or negative in sign; and it is also apparent that the word "electron" is the logical one to associate with this conception. Further, there is no difficulty in retaining this original and derivative significance of the word "electron," and at the same time permitting its common use as a convenient abridgment for "the free negative electron." In other words, in view of the omnipresence of the negative electron in experimental physics and the extreme rarity of

<sup>1</sup> *Scientific Transactions of the Royal Dublin Society*, IV (1891; 11th series), 563.

the isolated positive electron, it may be generally agreed that the negative is understood unless the positive is specified. The case is then in every way identical with that found in the use of the word "man," which serves admirably both to designate the genus "homo" and also to denote the male representative of that genus, the female being then differentiated by the use of a prefix. The terms "electron" and "positive electron" would then be used altogether conveniently precisely as are the terms "man" and "woman." Indeed, the most authoritative writers—Thomson, Rutherford, Campbell, Richardson, etc.—have in fact retained the original significance of the word "electron" instead of using it to denote *solely* the free negative electron, the mass of which is  $1/1,837$  of that of the hydrogen atom. All of these writers in books or articles written since 1913<sup>1</sup> have treated of positive as well as negative electrons, although the mass associated with the former is most commonly that of the hydrogen atom. Nor is this altogether logical use confined at all to English. Perrin has approved it, and Nernst in the 1921 edition of his *Theoretische Chemie*, on pp. 197 and 456, definitely and unambiguously defines the positive and negative electrons, precisely as has been done above, as the elementary positive and negative electrical charges, respectively.

## II. THE DETERMINATION OF $\frac{e}{m}$ AND $N_e$ FROM THE FACTS OF ELECTROLYSIS

Faraday's experiments had of course not furnished the data for determining anything about how much

<sup>1</sup> See particularly Rutherford's presidential address at the 1903 Liverpool meeting of the British Association, *Science*, LVIII (1903), 213.

electricity an electron represents in terms of the standard unit by which electrical charges are ordinarily measured in the laboratory. This is called the coulomb, and represents the quantity of electricity conveyed in one second by one ampere. Faraday had merely shown that a given current flowing in succession through solutions containing different univalent elements like hydrogen or silver or sodium or potassium would deposit weights of these substances which are exactly proportional to their respective atomic weights. This enabled him to assert that one and the same amount of electricity is associated in the process of electrolysis with an atom of each of these substances. He thought of this charge as carried by the atom, or in some cases by a group of atoms, and called the group with its charge an "ion," that is, a "goer," or "traveler." Just how the atoms come to be charged in a solution Faraday did not know, nor do we know now with any certainty. Further, we do not know how much of the solvent an ion associates with itself and drags with it through the solution. But we do know that when a substance like salt is dissolved in water many of the neutral  $\text{NaCl}$  molecules are split up by some action of the water into positively charged sodium ( $\text{Na}$ ) ions and negatively charged chlorine ( $\text{Cl}$ ) ions. The ions of opposite sign doubtless are all the time recombining, but others are probably continually forming, so that at each instant there are many uncombined ions. Again, we know that when a water solution of copper sulphate is formed many of the neutral  $\text{CuSO}_4$  molecules are split up into positively charged  $\text{Cu}$  ions and negatively charged  $\text{SO}_4$  ions. In this last case too we find that the same current which will deposit in a

given time from a silver solution a weight of silver equal to its atomic weight will deposit from the copper-sulphate solution in the same time a weight of copper equal to exactly one-half its atomic weight. Hence we know that the copper ion carries in solution twice as much electricity as does the silver ion, that is, it carries a charge of two electrons.

But though we could get from Faraday's experiments no knowledge about the quantity of electricity,  $e$ , represented by one electron, we could get very exact information about the ratio of the ionic charge  $E$  to the mass of the atom with which it is associated in a given solution.

For, if the whole current which passes through a solution is carried by the ions—and if it were not we should not always find the deposits exactly proportional to atomic weights—then the ratio of the total quantity of electricity passing to the weight of the deposit produced must be the same as the ratio of the charge  $E$  on each ion to the mass  $m$  of that ion. But by international agreement one absolute unit of electricity has been defined in the electromagnetic system of units as the amount of electricity which will deposit from a silver solution 0.01118 grams of metallic silver. Hence if  $m$  refers to the silver ion and  $E$  means the charge on the ion, we have

$$\text{for silver } \frac{E}{m} = \frac{1}{0.01118} = 89.44 \text{ electromagnetic units;}$$

or if  $m$  refers to the hydrogen ion, since the atomic weight of silver is  $\frac{107.880}{1.00777}$  times that of hydrogen,

$$\text{for hydrogen } \frac{E}{m} = \frac{1}{0.01118} \times \frac{107.88}{1.008} = 9,573,$$

which is about  $10^4$  electromagnetic units.

Thus in electrolysis  $\frac{E}{m}$  varies from ion to ion, being for univalent ions, for which  $E$  is the same and equal to one electron  $e$ , inversely proportional to the atomic weight of the ion. For polyvalent ions  $E$  may be 2, 3, 4, or 5 electrons, but since hydrogen is at least 7 times lighter than any other ion which is ever found in solution, and its charge is but one electron, we see that the largest value which  $\frac{E}{m}$  ever has in electrolysis is its value for hydrogen, namely, about  $10^4$  electromagnetic units.

Although  $\frac{E}{m}$  varies with the nature of the ion, there is a quantity which can be deduced from it which is a universal constant. This quantity is denoted by  $Ne$ , where  $e$  means as before an electron and  $N$  is the Avogadro constant or the number of molecules in 32 grams of oxygen, i.e., in one gram molecule. We can get this at once from the value of  $\frac{E}{m}$  by letting  $m$  refer to the mass of that imaginary univalent atom which is the unit of our atomic weight system, namely, an atom which is exactly  $1/16$  as heavy as oxygen or  $1/107.88$  as heavy as silver. For such an atom

$$\frac{E}{m} = \frac{e}{m} = \frac{107.88}{0.01118} = 9649.4.$$

Multiplying both numerator and denominator by  $N$  and remembering that for this gas one gram molecule means 1 gram, that is  $Nm = 1$ , we have

$$Ne = 9649.4 \text{ international electromagnetic units, } \dots\dots\dots (1)$$

## CONDUCTION IN GASES

and since the electromagnetic unit is equivalent to  $3 \times 10^{10}$  electrostatic units, we have

$$Ne = 28,948 \times 10^{10} \text{ international electrostatic units.}$$

Further, since a gram molecule of an ideal gas under standard conditions, i.e., at  $0^\circ \text{C.}$  76 cm. pressure occupies 22412 c.c., if  $n_1$  represents the number of molecules of such a gas per cubic centimeter at  $0^\circ \text{C.}$ , 76 cm. we have

$$n_1 e = \frac{28,948 \times 10^{10}}{22,412} = 1.292 \times 10^{10} \text{ electrostatic units.}$$

Or if  $n$  represent the number of molecules per cubic centimeter at  $15^\circ \text{C.}$  76 cm., we should have to multiply the last number by the ratio of absolute temperatures, i.e., by  $273/288$  and should obtain then

$$ne = 1.225 \times 10^{10} \dots\dots\dots$$

Thus, even though the facts of electrolysis give us information at all as to how much of a charge one electron represents, they do tell us very exactly that if we should take  $e$  as many times as there are molecules in a gram molecule we should get exactly 9,649.4 international electromagnetic units of electricity. This is the amount of electricity conveyed by a current of 1 ampere in 10 seconds. Until quite recently we have been able to make nothing better than rough guesses as to the number of molecules in a gram molecule, but with the aid of the guesses, obtained from the kinetic theory, we have, of course, been enabled by (1) to make equally good guesses about  $e$ . Those guesses, based for the most part on quite uncertain computations as to the average

radius of a molecule of air, placed  $N$  anywhere between  $2 \times 10^{23}$  and  $20 \times 10^{23}$ . It was in this way that G. Johnstone Stoney in 1874 estimated  $e$  at  $.3 \times 10^{-18}$  E.S. units. In O. E. Meyer's *Kinetische Theorie der Gase* (p. 335; 1899),  $n$ , the number of molecules in a cubic centimeter, is given as  $6 \times 10^{19}$ . This would correspond to  $e = 2 \times 10^{-18}$ . In all this  $e$  is the charge carried by a univalent ion in solution and  $N$  or  $n$  is a pure number, which is a characteristic gas constant, it is true, but the analysis has nothing whatever to do with gas conduction.

### III. THE NATURE OF GASEOUS CONDUCTION

The question whether gases conduct at all, and if so, whether their conduction is electrolytic or metallic or neither, was scarcely attacked until about 1895. Coulomb in 1785 had concluded that after allowing for the leakage of the supports of an electrically charged conductor, some leakage must be attributed to the air itself, and he explained this leakage by assuming that the air molecules became charged by contact and were then repelled—a wholly untenable conclusion, since, were it true, no conductor in air could hold a charge long even at low potentials, nor could a very highly charged conductor lose its charge very rapidly when charged above a certain potential and then when the potential fell below a certain critical value cease almost entirely to lose it. This is what actually occurs. Despite the erroneousness of this idea, it persisted in textbooks written as late as 1900.

Warburg in 1872 experimented anew on air leakage and was inclined to attribute it all to dust particles. The real explanation of gas conduction was not found until

after the discovery of X-rays in 1895. The convincing experiments were made by J. J. Thomson, or at his instigation in the Cavendish Laboratory at Cambridge, England. The new work grew obviously and simply out of the fact that X-rays, and a year or two later radium rays, were found to discharge an electroscope, i.e., to produce conductivity in a gas. Theretofore no agencies had been known by which the electrical conductivity of a gas could be controlled at will.

Thomson and his pupils found that the conductivity induced in gases by X-rays disappeared when the gas was sucked through glass wool.<sup>1</sup> It was also found to be reduced when the air was drawn through narrow metal tubes. Furthermore, it was removed entirely by passing the stream of conducting gas between plates which were maintained at a sufficiently large potential difference. The first two experiments showed that the conductivity was due to something which could be removed from the gas by filtration, or by diffusion to the walls of a metal tube; the last proved that this something was electrically charged.

When it was found, further, that the electric current obtained from air existing between two plates and traversed by X-rays rose to a maximum as the P.D. between the plates increased, and then reached a value which was thereafter independent of this potential difference; and, further, that this conductivity of the air died out slowly through a period of several seconds when the X-ray no longer acted, it was evident that the qualitative proof was complete that gas conduction must be due to charged particles produced in the

<sup>1</sup> J. J. Thomson and E. Rutherford, *Phil. Mag.*, XLII (1896), 392.



air at a definite rate by a constant source of X-rays, and that these charged particles, evidently of both plus and minus signs, disappear by recombination when the rays are removed. The maximum or *saturation* currents which could be obtained when a given source was ionizing the air between two plates whose potential difference could be varied were obviously due to the fact that when the electric field between the plates became strong enough to sweep all the ions to the plates as fast as they were formed, none of them being lost by diffusion or recombination, the current obtained could, of course, not be increased by further increase in the field strength. Thus gas conduction was definitely shown about 1896 to be electrolytic in nature.

#### IV. COMPARISON OF THE GASEOUS ION AND THE ELECTROLYTIC ION

But what sort of ions were these that were thus formed? We did not know the absolute value of the charge on a univalent ion in electrolysis, but we did know accurately *ne*. Could this be found for the ions taking part in gas conduction? That this question was answered affirmatively was due to the extraordinary insight and resourcefulness of J. J. Thomson and his pupils at the Cavendish Laboratory in Cambridge, both in working out new theoretical relations and in devising new methods for attacking the new problems of gaseous conduction. These workers found first a method of expressing the quantity *ne* in terms of two measurable constants, called (1) the mobility of gaseous ions and (2) the coefficient of diffusion of these ions. Secondly, they devised new methods of measuring these two

constants—constants which had never before been determined. The theory of the relation between these constants and the quantity  $ne$  will be found in Appendix A. The result is

$$ne = \frac{v_0}{D} P \dots\dots\dots (3)$$

in which  $P$  is the pressure existing in the gas and  $v_0$  and  $D$  are the mobility and the diffusion coefficients respectively of the ions at this pressure.

If then we can find a way of measuring the mobilities  $v_0$  of atmospheric ions and also the diffusion coefficients  $D$ , we can find the quantity  $ne$ , in which  $n$  is a mere number, viz., the number of molecules of air per cubic centimeter at  $15^\circ \text{C}$ ., 76 cm. pressure, and  $e$  is the average charge on an atmosphere ion. We shall then be in position to compare this with the product we found in (2) on p. 31, in which  $n$  had precisely the same significance as here, but  $e$  meant the average charge carried by a univalent ion in electrolysis.

The methods devised in the Cavendish Laboratory between 1897 and 1903 for measuring the mobilities and the diffusion coefficients of gaseous ions have been used in most later work upon these constants. The mobilities were first determined by Rutherford in 1897,<sup>1</sup> then more accurately by another method in 1898.<sup>2</sup> Zeleny devised a quite distinct method in 1900,<sup>3</sup> and Langevin still another method in 1903.<sup>4</sup> These observers all agree closely in finding the average mobility (velocity in unit

<sup>1</sup> *Phil. Mag.*, XLIV (1898), 422.

<sup>2</sup> *Proc. Camb. Phil. Soc.*, IX, 401.

<sup>3</sup> *Phil. Trans.*, A 195, p. 193.

<sup>4</sup> *Annales de Chimie et de Physique*, XXVIII, 289.

field) of the negative ion in dry air about 1.83 cm. per second, while that of the positive ion was found but 1.35 cm. per second. In hydrogen these mobilities were about 7.8 cm. per second and 6.1 cm. per second, respectively, and in general the mobilities in different gases, though not in vapors, seem to be roughly in the inverse ratio of the square roots of the molecular weights.

The diffusion coefficients of ions were first measured in 1900 by Townsend, now professor of physics in Oxford, England,<sup>1</sup> by a method devised by him and since then used by other observers in such measurements. If we denote the diffusion coefficient of the positive ion by  $D+$  and that of the negative by  $D-$ , Townsend's results in dry air may be stated thus:

$$D+ = 0.028$$

$$D- = 0.043.$$

These results are interesting in two respects. In the first place, they seem to show that for some reason the positive ion in air is more sluggish than the negative, since it travels but about 0.7 ( $= 1.35/1.81$ ) as fast in a given electrical field and since it diffuses through air but about 0.7 ( $= 28/43$ ) as rapidly. In the second place, the results of Townsend show that an ion is very much more sluggish than is a molecule of air, for the coefficient of diffusion of oxygen through air is 0.178, which is four times the rate of diffusion of the negative ion through air and five times that of the positive ion. This sluggishness of ions as compared with molecules was at first universally considered to mean that the gaseous ion is not a single molecule with an attached electrical charge, but

<sup>1</sup> *Phil. Trans.*, A 103, p. 129.

a cluster of perhaps from three to twenty molecules held together by such a charge. If this is the correct interpretation, then for some reason the positive ion in air is a larger cluster than is the negative ion.

It has been since shown by a number of observers that the ratio of the mobilities of the positive and negative ions is not at all the same in other gases as it is in air. In carbon dioxide the two mobilities have very nearly the same value, while in chlorine, water vapor, and the vapor of alcohol the positive ion apparently has a slightly larger mobility than the negative. There seems to be some evidence that the negative ion has the larger mobility in gases which are electro-positive, while the positive has the larger mobility in the gases which are strongly electro-negative. This dependence of the ratio of mobilities upon the electro-positive or electro-negative character of the gas has usually been considered strong evidence in favor of the large cluster-ion theory as developed especially by J. J. Thomson.

More recently, however, Loeb,<sup>\*</sup> who has worked for years on mobilities in both strong and weak electric fields, and Wellish,<sup>\*</sup> who, at Yale, measured mobilities at very low pressures, concluded that their results were not consistent with this form of cluster-ion theory. They preferred to interpret them in terms of the so-called Atom-ion Theory. This theory seeks to explain the relative sluggishness of ions, as compared with molecules, by the additional resistance which the gaseous medium offers to the motion of a molecule through it when that

<sup>\*</sup> Leonard B. Loeb, *Proc. Nat. Acad.*, II (1916), 345, and *Phys. Rev.*, 1917. See especially *Phys. Rev.*, XXXVIII (1931), 549.

<sup>\*</sup> Wellish, *Amer. Jour. of Science*, XXXIX (1915), 583.

molecule is electrically charged. *According to this hypothesis, the ion would be simply an electrically charged molecule.*

This second way of accounting for the sluggishness of ions is probably in the main correct, though the atom ion theory was too extreme in reducing the ion to one single molecule. Loeb himself<sup>1</sup> now explains the difference in the mobilities of positive and negative ions by the assumption that the positive charge forms a different "small ion" group from the negative by attaching itself to a different kind of molecular impurity.

Furthermore, Erikson,<sup>2</sup> Wahlin,<sup>3</sup> and Loeb<sup>4</sup> have apparently shown quite conclusively that if the mobility of the positive ion in air is measured within .03 second of the time of its formation, its value is identical with that of the negative, namely, 1.8 cm. per second, while a short time thereafter it has sunk to about 1.4 cm. per second because of the addition of one more molecule, thus forming a very stable two-molecule-ion-group.

Fortunately, the quantitative evidence for the electrolytic nature of gas conduction is in no way dependent upon the correctness of either one of the theories as to the nature of the ion. It depends simply upon the comparison of the values of  $ne$  obtained from electrolytic measurements, and those obtained from the substitution in equation (3) of the measured values of  $v_0$  and  $D$  for gaseous ions.

As for these measurements, results obtained by Franck and Westphal,<sup>4</sup> who in 1908 repeated in Berlin

<sup>1</sup> L. B. Loeb, *Phys. Rev.*, XXXVIII (1931), 1716.

<sup>2</sup> H. A. Erikson, *ibid.*, XX (1922), 118.

<sup>3</sup> H. B. Wahlin, *ibid.*, p. 267.

<sup>4</sup> *Verh. der deutsch. phys. Ges.*, XI (1909), 146 and 276.

both measurements on diffusion coefficients and mobility coefficients, agree within 4 or 5 per cent with the results published by Townsend in 1900. According to both of these observers, the value of  $ne$  for the negative ions produced in gases by X-rays, radium rays, and ultra-violet light came out, within the limits of experimental error, which were presumably 5 or 6 per cent, the same as the value found for univalent ions in solutions, namely,  $1.23 \times 10^{10}$  absolute electrostatic units. This result seems to show with considerable certainty that the negative ions in gases ionized by X-rays or similar agencies carry on the average the same charge as that borne by the univalent ion in electrolysis. When we consider the work on the positive ion, our confidence in the inevitableness of the conclusions reached by the methods under consideration is perhaps somewhat shaken. For Townsend found that the value of  $ne$  for the positive ion came out about 14 per cent higher than the value of this quantity for the univalent ion in electrolysis, a result which he does not seem at first to have regarded as inexplicable on the basis of experimental uncertainties in his method. In 1908, however,<sup>1</sup> he devised a second method of measuring the ratio of the mobility and the diffusion coefficient and obtained this time, as before, for the negative ion,  $ne = 1.23 \times 10^{10}$ , but for the positive ion twice that amount, namely,  $2.46 \times 10^{10}$ . From these last experiments he concluded that the positive ions in gases ionized by X-rays carried on the average twice the charge carried by the univalent ion in electrolysis. Franck and Westphal, however, found in their work that Townsend's original value for  $ne$  for the

<sup>1</sup> *Proc. Roy. Soc.*, LXXX (1908), 207.

positive ions was about right, and hence concluded that only about 9 per cent of the positive ions could carry a charge of value  $2e$ . Work which will be described later indicates that neither Townsend's nor Franck and Westphal's conclusions are correct, and hence point to errors of some sort in both methods. But despite these difficulties with the work on positive ions, it should nevertheless be emphasized that Townsend was the first to bring forward strong quantitative evidence (1) that the mean charge carried by the negative ions in ionized gases is the same as the mean charge carried by univalent ions in solutions, and (2) that the mean charge carried by the positive ions in gases has not far from the same value.

But there is one other advance of fundamental importance which came with the study of the properties of gases ionized by X-rays. For up to this time the only type of ionization known was that observed in solution and here it is always some compound molecule like sodium chloride ( $\text{NaCl}$ ) which splits up spontaneously into a positively charged sodium ion and a negatively charged chlorine ion. But the ionization produced in gases by X-rays was of a wholly different sort, for it was observable in pure gases like nitrogen or oxygen, or even in monatomic gases like argon and helium. Plainly, then, the neutral atom even of a monatomic substance must possess minute electrical charges as constituents. Here we had the first direct evidence (1) that an atom is a complex structure, and (2) that electrical charges enter into its make-up. *With this discovery, due directly to the use of the new agency, X-rays, the atom as an ultimate, indivisible thing was gone, and the era of the study of the*

*constituents of the atom began.* And with astonishing rapidity during the past thirty-five years the properties of the subatomic world have been revealed.

Physicists began at once to seek diligently and to find at least partial answers to questions like these:

1. What are the masses of the constituents of the atoms torn asunder by X-rays and similar agencies?
2. What are the values of the charges carried by these constituents?
3. How many of these constituents are there?
4. How large are they, i.e., what volumes do they occupy?
5. What are their relations to the emission and absorption of light and heat waves, i.e., of electromagnetic radiation?
6. Do all atoms possess similar constituents? In other words, is there a primordial subatom out of which atoms are made?

The partial answer to the first of these questions came with the study of the electrical behavior of rarefied gases in so-called vacuum tubes.

This field had been entered and qualitatively explored with amazing insight as early as 1879 by Sir William Crookes, who in describing in that year some of his experiments said:

The phenomena in these exhausted tubes reveal to physical science a new world—a world where matter exists in a fourth state. . . . In studying this fourth state of matter we seem at length to have within our grasp and obedient to our control the little indivisible particles which with good warrant are supposed to constitute the physical basis of the universe.<sup>1</sup>

<sup>1</sup> Fournier d'Albe, *Life of Sir William Crookes*, 1924.



Further, by 1890 Sir Arthur Schuster<sup>1</sup> had gone a step farther and shown how the ratio of the charge to the mass  $\left[\frac{e}{m}\right]$  of these same hypothetical particles might be determined. Indeed he had experimentally evaluated this ratio, obtaining, however, a value very much too small, namely,  $1.1 \times 10^6$  electromagnetic units.

But it was J. J. Thomson<sup>2</sup> who in 1897 first introduced a more reliable method of determining this ratio, namely, one which combines a measurement of the magnetic deflectability of a beam of cathode rays with the electrostatic deflectability of the same beam. The value which he obtained, namely,  $7 \times 10^6$  electromagnetic units, was nearly a thousand times the value of  $\frac{e}{m}$  for the hydrogen ion in solutions. Also since the approximate equality of  $ne$  in gases and solutions meant that  $e$  was at least of the same order in both, the only possible conclusion was that the negative ion which appears in discharges in exhausted tubes has a mass, i.e., an inertia, only one-thousandth of the mass of the lightest-known atom, namely, the atom of hydrogen. Later more accurate experiments have fixed the correct value of  $\frac{e}{m}$  for cathode rays at  $1.7573 \times 10^7$  electromagnetic units.<sup>3,4</sup>

Furthermore, J. J. Thomson and after him other experimenters showed that  $\frac{e}{m}$  for the negative carrier is always the same whatever be the nature of the residual gas in the discharge tube. This was an indication of an affirmative answer to the sixth question above—an

<sup>1</sup> *Proc. Roy. Soc.*, XL (1890), 526.    <sup>2</sup> *Phil. Mag.*, XLIV (1897), 298.

<sup>3</sup> Houston, *Phys. Rev.*, XLV (1934), 104.

<sup>4</sup> Dunnington, *ibid.*, XLIII (1933), 404.

indication which was strengthened by Zeeman's discovery in 1897 of the splitting by a magnetic field of a single spectral line into two or three lines; for this, when worked out quantitatively, pointed to the existence *within* the atom of a negatively charged particle which had approximately the same value of  $\frac{e}{m}$ .

The study of  $\frac{e}{m}$  for the *positive* ions in exhausted tubes was first carried out quantitatively by Wien,<sup>1</sup> and was later most elaborately and most successfully dealt with by J. J. Thomson<sup>2</sup> and his pupils at the Cavendish Laboratory. The results of the work of all observers up to date shows that with the exceptions considered in chapter xiv  $\frac{e}{m}$  for a positive ion in gases is never larger than its value for the hydrogen ion in electrolysis, and that it varies with different sorts of residual gases just as it is found to do in electrolysis.

In a word, then, the act of ionization in gases appears to consist in the detachment from a neutral atom of one or more negatively charged particles, called by Thomson corpuscles. The residuum of the atom is of course positively charged, and it always carries practically the whole mass of the original atom. The detached corpuscle must soon attach itself, in a gas at ordinary pressure, to a neutral atom, since otherwise we could not account for the fact that the mobilities and the diffusion coefficients of negative ions are usually of the same order of magnitude as those of the positive ions. It is because of this tendency of the parts of the dissociated atom to

<sup>1</sup> W. Wien, *Wied. Ann.*, LXV (1898), 440.

<sup>2</sup> *Rays of Positive Electricity*. London: Longmans, 1913.

form new attachments in gases at ordinary pressure that the inertias of these parts had to be worked out in the rarefied gases of exhausted tubes.

The foregoing conclusions as to the masses of the positive and negative constituents of atoms had all been reached before 1900, mostly by the workers in the Cavendish Laboratory, and subsequent investigation has not modified them in any essential particulars.

The history of the development of our present knowledge of the charges carried by the constituents will be detailed in the next chapters.

## CHAPTER III

### EARLY ATTEMPTS AT THE DIRECT DETERMINATION OF

Although the methods sketched in the preceding chapters had been sufficient to show that the mean charges carried by ions in gases are the same or nearly the same as the mean charges carried by univalent ions in solution, in neither case had we any way of determining what the absolute value of that mean charge is, nor, indeed, had we any proof even that all the ions of a given kind, e.g., silver or hydrogen, carry the same charge. Of course, the absolute value of  $e$  could be found from the measured value of  $ne$  if only  $n$ , the number of molecules in 1 c.c. of gas under standard conditions, were known. But we had only rough guesses as to this number. These guesses varied tenfold, and none of them were based upon considerations of recognized accuracy or even validity.

#### I. TOWNSEND'S WORK ON $e$

The first attempt at a direct determination of  $e$  was published by Townsend in a paper read before the Cambridge Philosophical Society on February 8, 1897.<sup>1</sup> Townsend's method was one of much novelty and of no little ingenuity. It is also of great interest because it contains all the essential elements of some of the subsequent determinations.

<sup>1</sup> *Proceedings*, IX (1897), 244.

It had been known, even to Laplace and Lavoisier a hundred years before, that the hydrogen gas evolved when a metal dissolves in an acid carries with it an electrical charge. This "natural method" of obtaining a charge on a gas was scarcely studied at all, however, until after the impulse to the study of the electrical properties of gases had been given by the discovery in 1896 that electrical properties can be artificially imparted to gases by X-rays. Townsend's paper appeared within a year of that time. Enright<sup>1</sup> had indeed found that the hydrogen given off when iron is dissolving in sulphuric acid carries with it a positive charge, but Sir Oliver Lodge<sup>2</sup> had urged that it was not the gas itself which carries the charge but merely the spray, for the frictional electrification of spray was a well-known phenomenon. Indeed, it has always been assumed that the gas molecules which rise from the electrodes in electrolysis are themselves neutral. Townsend, however, first showed that some of these molecules are charged, although there are indeed a million million neutral ones for every one carrying a charge. He found that both the oxygen and the hydrogen which appear at the opposite electrodes when sulphuric acid is electrolyzed are positively charged, while when the electrolyte is caustic potash both the oxygen and the hydrogen given off are negative. Townsend's electrolyzing currents were from 12 to 14 amperes. He got in this way many more ions per cubic centimeter than he could produce with X-rays, the total charge per cubic centimeter being as large as  $5 \times 10^{-3}$  electrostatic units.

<sup>1</sup> *Phil. Mag.*, XXIX (1890; 5th series), 56.

<sup>2</sup> *Ibid.*, p. 292; *Nature*, XXXVI, 412.

When these charged gases were bubbled through water they formed a cloud. This cloud could be completely removed by bubbling through concentrated sulphuric acid or any drying agent, but when the gas came out again into the atmosphere of the room it again condensed moisture and formed a stable cloud. Townsend says that "the process of forming the cloud in positive or negative oxygen by bubbling through water, and removing it again by bubbling through sulphuric acid, can be gone through without losing more than 20 or 25 per cent of the original charge on the gas." This means simply that the ions condense the water about them when there is an abundance of moisture in the air, but when the cloud is carried into a perfectly dry atmosphere, such as that existing in a bubble surrounded on all sides by concentrated sulphuric acid, the droplets of water evaporate and leave the charge on a molecule of air as it was at first. The 20 or 25 per cent loss of charge represents the fraction of the droplets with their charges which actually got into contact with and remained in the liquids through which the gas was being bubbled.

In order to find the charge on each ion, Townsend took the following five steps:

1. He assumed that in saturated water vapor each ion condensed moisture about it, so that the number of ions was the same as the number of droplets.
2. He determined with the aid of a quadrant electrometer the total electrical charge per cubic centimeter carried by the gas.
3. He found the total weight of the cloud by passing it through drying tubes and determining the increase in weight of these tubes.

4. He found the average weight of the water droplets constituting the cloud by observing their rate of fall under gravity and computing their mean radius with the aid of a purely theoretical law known as Stokes's Law.

5. He divided the weight of the cloud by the average weight of the droplets of water to obtain the number of droplets which, if assumption 1 is correct, was the number of ions, and he then divided the total charge per cubic centimeter in the gas by the number of ions to find the average charge carried by each ion, that is, to find  $e$ .

A brief description of the way in which these experiments were carried out is contained in Appendix B.

One of the interesting side results of this work was the observation that clouds from negative oxygen fall faster than those from positive oxygen, thus indicating that the negative ions in oxygen act more readily than do the positive ions as nuclei for the condensation of water vapor. This observation was made at about the same time in another way by C. T. R. Wilson,<sup>1</sup> also in the Cavendish Laboratory, and it has played a rather important rôle in subsequent work. Wilson's discovery was that when air saturated with water vapor is ionized by X-rays from radioactive substances and then cooled by a sudden expansion, a smaller expansion is required to make a cloud form about the negative than about the positive ions. Thus when the expansion increased the volume in a ratio between 1.25 and 1.3, only negative ions acted as nuclei for cloudy condensation, while with expansions greater than 1.3 both negatives and positives were brought down.

<sup>1</sup> *Proc. Camb. Phil. Soc.*, IX (1897), 333.

Townsend first obtained by the foregoing method, when he worked with positive oxygen,

$$e = 2.8 \times 10^{-10} \text{ electrostatic units,}$$

and when he worked with negative oxygen,

$$e = 3.1 \times 10^{-10} \text{ electrostatic units.}$$

In later experiments<sup>1</sup> he obtained 2.4 and 2.9, respectively, in place of the numbers given above, but in view of the unavoidable errors, he concluded that the two charges might be considered equal and approximately  $3 \times 10^{-10}$  electrostatic units. Thus he arrived at about the same value for  $e$  as that which was then current because of the kinetic theory estimates of  $n$ , the number of molecules in a cubic centimeter of a gas.

The weak points in this first attempt at a direct determination of  $e$  consisted in: (1) the assumption that the number of ions is the same as the number of drops; (2) the assumption of Stokes's Law of Fall which had never been tested experimentally, and which from a theoretical standpoint might be expected to be in error when the droplets were small enough; (3) the assumption that the droplets were all alike and fell at a uniform rate wholly uninfluenced by evaporation or other causes of change; (4) the assumption of no convection currents in the gas when the rate of fall of the cloud was being measured.

## II. SIR JOSEPH THOMSON'S WORK ON $e$

This first attempt to measure  $e$  was carried out in Professor J. J. Thomson's laboratory. The second attempt was made by Professor Thomson himself<sup>2</sup> by a method

<sup>1</sup> *Ibid.*, p. 345.

<sup>2</sup> *Phil. Mag.*, XLVI (1808). 528.



which resembled Townsend's very closely in all its essential particulars. Indeed, we may set down for Professor Thomson's experiment precisely the same five elements which are set down on p. 47 for Townsend's. The differences lay wholly in step 2, that is, in the way in which the electrical charge per cubic centimeter carried by the gas was determined, and in step 3, that is, in the way in which the total weight of the cloud was obtained. Thomson produced ions in the space  $A$  (Fig. 1) by an X-ray bulb which ran at a constant rate, and measured first the current **which**, under the influence of a very weak electromotive force  $E$ , flows through  $A$  between the surface of the water and the aluminum plate which closes the top of the vessel. Then if  $n'$  is the whole number of ions of one sign per cubic centimeter,  $u$  the velocity of the positive and  $v$  that of the negative ion under unit electric force, i.e., if  $u$  and  $v$  are the mobilities of the positive and negative ions, respectively, then the current  $I$  per unit area is evidently given by

$$I = n'e(u+v)E. \dots\dots\dots (4)$$

$I$  and  $E$  were easily measured in any experiment;  $u+v$  was already known from Rutherford's previous work, so that  $n'e$ , the charge of one sign per cubic centimeter of gas under the ionizing action of a constant source of X-rays, could be obtained at once from (4). This then simply replaces Townsend's method of obtaining the charge per cubic centimeter on the gas, and in principle the two methods are quite the same, the difference in experimental arrangements being due to the fact that Townsend's ions are of but one sign while Thomson's are of both signs.

Having thus obtained  $n'e$  of equation (4), Thomson had only to find  $n'$  and then solve for  $e$ . To obtain  $n'$  he proceeded exactly as Townsend had done in letting the ions condense droplets of water about them and weighing the cloud thus formed. But in order to form the cloud, Thomson utilized C. T. R. Wilson's discovery

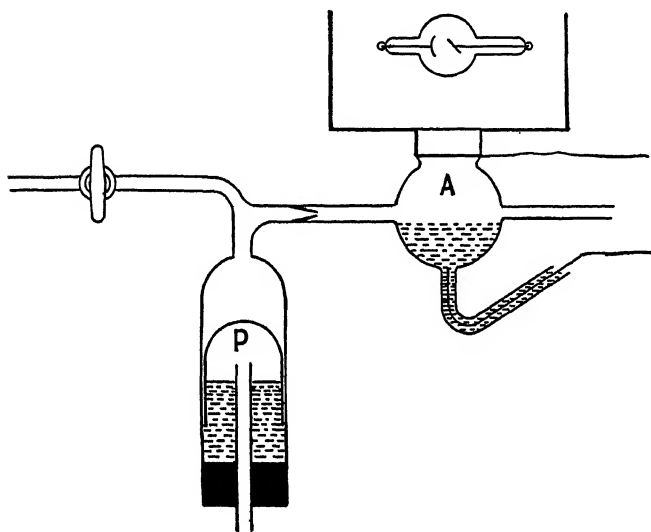


FIG. 1

just touched upon above, that a sudden expansion and consequent cooling of the air in  $A$  (Fig. 1) would cause the ions in  $A$  to act as nuclei for the formation of water droplets. To produce this expansion the piston  $P$  is suddenly pulled down so as to increase the volume of the space above it. A cloud is thus formed about the ions in  $A$ . Instead of measuring the weight of this cloud directly, as Townsend had done, Thomson computed it by a theoretical consideration of the amount of cooling

produced by the expansion and the known difference between the densities of saturated water vapor at the temperature of the room and the temperature resulting from the expansion. This method of obtaining the weight of the cloud was less direct and less reliable than that used by Townsend, but it was the only one available with Thomson's method of obtaining an ionized gas and of measuring the charge per cubic centimeter on that gas. The average size of the droplets was obtained precisely as in Townsend's work by applying Stokes's Law to the observed rate of fall of the top of the cloud in chamber A.

The careful consideration of Thomson's experiment shows that it contains the theoretical uncertainties involved in Townsend's work, while it adds some very considerable experimental uncertainties. The most serious of the theoretical uncertainties arise from (1) the assumption of Stokes's Law, and (2) the assumption that the number of ions is equal to the number of droplets. Both observers sought for some experimental justification for the second and most serious of these assumptions, but subsequent work by H. A. Wilson, by Quincke, and by myself has shown that clouds formed by C. T. R. Wilson's method consist in general of droplets some of which may carry one, some two, some ten, or almost any number of unit charges, and I have never been able, despite quite careful experimenting, to obtain conditions in which it was even approximately true that each droplet carried but a single unit charge. Quincke has also published results from which he arrives at the same conclusion.

<sup>1</sup> *Verh. der deutsch. phys. Ges.*, XVI (1914), 422.

Again, when we compare the *experimental* uncertainties in Townsend's and Thomson's methods, it is at once obvious that the assumption that the clouds are not evaporating while the rate of fall is being determined is even more serious in Thomson's experiment than in Townsend's, for the reason that in the former case the clouds are formed by a sudden expansion and a consequent fall in temperature, and it is certain that during the process of the return of the temperature to initial conditions the droplets must be evaporating. Furthermore, this sudden expansion makes the likelihood of the existence of convection currents, which would falsify the computations of the radius of the drop from the observed rate of fall, more serious in Thomson's work than in Townsend's. The results which Thomson attained in different experiments gave values ranging from  $5.5 \times 10^{-10}$  to  $8.4 \times 10^{-10}$ . He published as his final value  $6.5 \times 10^{-10}$ . In 1903, however,<sup>1</sup> he published some new work on  $e$  in which he had repeated the determination, using the radiation from radium in place of that from X-rays as his ionizing agent and obtained the result  $e = 3.4 \times 10^{-10}$ . He explained the difference by the assumption that in his preceding work the more active negative ions had monopolized the aqueous vapor available and that the positive ions had not been brought down with the cloud as he had before assumed was the case. He now used more sudden expansions than he had used before, and concluded that the assumption made in the earlier experiments that the number of ions was equal to the number of particles, although shown to be incorrect for the former case, was correct for these

<sup>1</sup> *Phil. Mag.*, V (1903; 6th series), 354.

second experiments. As a matter of fact, if he had obtained only half the ions in the first experiments and all of them in the second, his second result should have come out approximately one-half as great as the first, which it actually did. Although Thomson's experiment was an interesting and important modification of Townsend's, it can scarcely be said to have added greatly to the accuracy of our knowledge of  $e$ .

The next step in advance in the attempt at the determination of  $e$  was made in 1903 by H. A. Wilson,<sup>1</sup> also in the Cavendish Laboratory.

### III. H. A. WILSON'S METHOD

Wilson's modification of Thomson's work consisted in placing inside the chamber  $A$  two horizontal brass plates  $3\frac{1}{2}$  cm. in diameter and from 4 to 10 mm. apart and connecting to these plates the terminals of a 2,000-volt battery. He then formed a negative cloud by a sudden expansion of amount between 1.25 and 1.3, and observed first the rate of fall of the top surface of this cloud between the plates when no electrical field was on; then he repeated the expansion and observed the rate of fall of the cloud when the electrical field as well as gravity was driving the droplets downward. If  $mg$  represents the force of gravity acting on the droplets in the top surface of the cloud and  $mg+Fe$  the force of gravity plus the electrical force arising from the action of the field  $F$  on the charge  $e$ , and if  $v_1$  is the velocity of fall under the action of gravity alone, and  $v_2$  the velocity when both gravity and the electrical field are acting, then, if the ratio between the force acting and

<sup>1</sup> *Op. cit.*, p. 429.

the velocity produced is the same when the particle is charged as when it is uncharged, we have

$$\frac{mg}{mg+Fe} = \frac{v_1}{v_2} \dots \dots \dots (5)$$

Combining this with the Stokes's Law equation which runs

$$v_1 = \frac{2}{9} \frac{ga^2\sigma}{\eta} \dots \dots \dots (6)$$

in which  $a$  is the radius,  $\sigma$  the density,  $v_1$  the velocity of the drop under gravity  $g$ , and  $\eta$  is the viscosity of the air, and then eliminating  $m$  by means of

$$m = \frac{4}{3}\pi a^3\sigma \dots \dots \dots (7)$$

Wilson obtained after substituting for  $\eta$  and  $\sigma$  the appropriate values (not accurately known, it is true, for saturated air at the temperature existing immediately after the expansion),

$$e = 3.1 \times 10^{-9} \frac{g}{F} (v_2 - v_1) v_1^{\frac{1}{2}} \dots \dots \dots (8)$$

Wilson's method constitutes a real advance in that it eliminates the necessity of making the very awkward assumption that the number of droplets is equal to the number of negative ions, for since he observes only the rate of fall of the *top* of the cloud, and since the more heavily charged droplets will be driven down more rapidly by the field than the less heavily charged ones, his actual measurements would always be made upon *the least heavily charged droplets*. All of the other difficulties and assumptions contained in either Townsend's or Thomson's experiments inhere also in Wilson's, and in addition one fresh and rather serious assumption

is introduced, namely, that the clouds formed in successive expansions are identical as to size of droplets. For we wrote down the first equation of Wilson's method as though the  $v_1$  and  $v_2$  were measurements made upon the same droplet, when as a matter of fact the measurements are actually made on wholly different droplets. I have myself found the duplication of cloud conditions in successive expansions a very uncertain matter. Furthermore, Wilson's method assumes uniformity in the field between the plates, an assumption which might be quite wide of the truth.

Although the elimination of the assumption of equality of the number of droplets and the number of ions makes Wilson's determination of  $e$  more reliable as to method than its predecessors, the accuracy actually attained was not great, as can best be seen from his own final summary of results. He made eleven different determinations which varied from  $e=2 \times 10^{-10}$  to  $e=4.4 \times 10^{-10}$ . His eleven results are:

TABLE I

$e$	$e$
$2.3 \times 10^{-10}$	$3.8 \times 10^{-10}$
2.6 "	3.0 "
4.4 "	3.5 "
2.7 "	2.0 "
3.4 "	2.3 "
3.8 "	
Mean	$3.1 \times 10^{-10}$

In 1906, being dissatisfied with the variability of these results, the author repeated Wilson's experiment without obtaining any greater consistency than that which the latter had found. Indeed, the instability, distortion, and indefiniteness of the top surface of the cloud were some-

what disappointing, and the results were not considered worth publishing. Nevertheless, it was concluded from these observations that the accuracy might be improved by using radium instead of X-rays for the ionizing agent, by employing stronger electrical fields, and thus increasing the difference between  $v_1$  and  $v_2$ , which in Wilson's experiment had been quite small, and by observing the fall of the cloud through smaller distances and shorter times in order to reduce the error due to the evaporation of the cloud during the time of observation. Accordingly, a 4,000-volt storage battery was built and in the summer of 1908 Mr. Begeman and the author, using radium as the ionizing agent, again repeated the experiment and published some results which were somewhat more consistent than those reported by Wilson.<sup>1</sup> We gave as the mean of ten observations which varied from 3.66 to 4.37 the value  $e = 4.06 \times 10^{-10}$ . We stated at the time that although we had not eliminated altogether the error due to evaporation, we thought that we had rendered it relatively harmless, and that our final result, although considerably larger than either Wilson's or Thomson's (3.1 and 3.4, respectively), must be considered an approach at least toward the correct value.

#### IV. THE BALANCED-DROP METHOD

Feeling, however, that the amount of evaporation of the cloud was still a quite unknown quantity, I next endeavored to devise a way of eliminating it entirely. The plan now was to use an electrical field which was strong enough, not merely to increase or decrease slightly the speed of fall under gravity of the top surface of the

<sup>1</sup> *Phys. Rev.* XXVI (1908), 198.



cloud, as had been done in all the preceding experiments, but also sufficiently strong to hold the top surface of the cloud stationary, so that the rate of its evaporation could be accurately observed and allowed for in the computations.

This attempt, while not successful in the form in which it had been planned, led to a modification of the cloud method which seemed at the time, and which has actually proved since, to be of far-reaching importance. *It made it for the first time possible to make all the measurements on individual droplets*, and thus not merely to eliminate ultimately all of the questionable assumptions and experimental uncertainties involved in the cloud method of determining  $e$ , but, more important still, it made it possible to examine the properties of individual isolated electrons and to determine whether different ions actually carry one and the same charge. That is to say, it now became possible to determine whether electricity in gases and solutions is actually built up out of electrical atoms, each of which has exactly the same value, or whether the electron which had first made its appearance in Faraday's experiments on solutions and then in Townsend's and Thomson's experiments on gases is after all only a *statistical mean* of charges which are themselves greatly divergent. This latter view had been strongly urged up to and even after the appearance of the work which is now under consideration. It will be given further discussion presently.

The first determination which was made upon the charges carried by individual droplets was carried out in the spring of 1909. A report of it was placed upon the program of the British Association meeting at Winni-

peg in August, 1909, as an additional paper, was printed in abstract in the *Physical Review* for December, 1909, and in full in the *Philosophical Magazine* for February, 1910, under the title "A New Modification of the Cloud Method of Determining the Elementary Electrical Charge and the Most Probable Value of That Charge."<sup>1</sup> The following extracts from that paper show clearly what was accomplished in this first determination of the charges carried by individual droplets.

THE BALANCING OF INDIVIDUAL CHARGED DROPS BY AN  
ELECTROSTATIC FIELD

My original plan for eliminating the evaporation error was to obtain, if possible, an electric field strong enough exactly to balance the force of gravity upon the cloud and then by means of a sliding contact to vary the strength of this field so as to hold the cloud balanced throughout its entire life. In this way it was thought that the whole evaporation-history of the cloud might be recorded, and that suitable allowances might then be made in the observations on the rate of fall to eliminate entirely the error due to evaporation. It was not found possible to balance the cloud, as had been originally planned, but it was found possible to do something much better: namely, to hold individual charged drops suspended by the field for periods varying from 30 to 60 seconds. I have never actually timed drops which lasted more than 45 seconds, although I have several times observed drops which in my judgment lasted considerably longer than this. The drops which it was found possible to balance by an electrical field always carried multiple charges, and the difficulty experienced in balancing such drops was less than had been anticipated.

The procedure is simply to form a cloud and throw on the field immediately thereafter. The drops which have charges of the same sign as that of the upper plate or too weak charges of the opposite sign rapidly fall, while those which are charged with too many multiples of the sign opposite to that of the upper plate are

<sup>1</sup> *Phil. Mag.*, XIX (1910), 209.

jerked up against gravity to this plate. The result is that after a lapse of 7 or 8 seconds the field of view has become quite clear save for a relatively small number of drops which have just the right ratio of charge to mass to be held suspended by the electric field. These appear as perfectly distinct bright points. I have on several occasions obtained but one single such "star" in the whole field and held it there for nearly a minute. For the most part, however, the observations recorded below were made with a considerable number of such points in view. Thin, flocculent clouds, the production of which seemed to be facilitated by keeping the water-jackets  $J_1$  and  $J_2$  (Fig. 2) a degree or two above the temperature of the room, were found to be particularly favorable to observations of this kind.

Furthermore, it was found possible so to vary the mass of a drop by varying the ionization, that drops carrying in some cases two, in some three, in some four, in some five, and in some six, multiples could be held suspended by nearly the same field. The means of gradually varying the field which had been planned were therefore found to be unnecessary. If a given field would not hold any drops suspended it was varied by steps of 100 or 200 volts until drops were held stationary, or nearly stationary. When the P.D. was thrown off it was often possible to see different drops move down under gravity with greatly different speeds, thus showing that these drops had different masses and correspondingly different charges.

The life-history of these drops is as follows: If they are a little too heavy to be held quite stationary by the field they begin to move slowly down under gravity. Since, however, they slowly evaporate, their downward motion presently ceases, and they become stationary for a considerable period of time. Then the field gets the better of gravity and they move slowly upward. Toward the end of their life in the space between the plates, this upward motion becomes quite rapidly accelerated and they are drawn with considerable speed to the upper plate. This, taken in connection with the fact that their whole life between plates only 4 or 5 mm. apart is from 35 to 60 seconds, will make it obvious that during a very considerable fraction of this time their motion must be exceedingly slow. I have often held drops through a

period of from 10 to 15 seconds, during which it was impossible to see that they were moving at all. Shortly after an expansion I have seen drops which at first seemed stationary, but which then began to move slowly down in the direction of gravity, then become stationary again, then finally began to move slowly up. This is probably due to the fact that large multiply charged drops are not in equilibrium with smaller singly charged drops near them, and hence, instead of evaporating, actually grow for a time at the expense of their small neighbors. Be this as it may, however, it is by utilizing the experimental fact that there is a considerable period during which the drops are essentially stationary that it becomes possible to make measurements upon the rate of fall in which the error due to evaporation is wholly negligible in comparison with the other errors of the experiment. Furthermore, in making measurements of this kind the observer is just as likely to time a drop which has not quite reached its stationary point as one which has just passed through that point, so that the mean of a considerable number of observations would, even from a theoretical standpoint, be quite free from an error due to evaporation.

#### THE METHOD OF OBSERVATION

The observations on the rate of fall were made with a short-focus telescope  $T$  (see Fig. 2) placed about 2 feet away from the plates. In the eyepiece of this telescope were placed three equally spaced cross-hairs, the distance between those at the extremes corresponding to about one-third of the distance between the plates. A small section of the space between the plates was illuminated by a narrow beam from an arc light, the heat of the arc being absorbed by three water cells in series. The air between the plates was ionized by 200 mg. of radium, of activity 20,000, placed from 3 to 10 cm. away from the plates. A second or so after expansion the radium was removed, or screened off with a lead screen, and the field thrown on by hand by means of a double-throw switch. If drops were not found to be held suspended by the field, the P.D. was changed or the expansion varied until they were so held. The cross-hairs were set near the lower plate, and as soon as a stationary drop was found somewhere above the upper cross-hair, it was watched for a few seconds to make sure that it was not moving,

and then the field was thrown off and the plates short-circuited by means of the double-throw switch, so as to make sure that they retained no charge. The drop was then timed by means of an accurate stop watch as it passed across the three cross-hairs, one of the two hands of the watch being stopped at the instant of

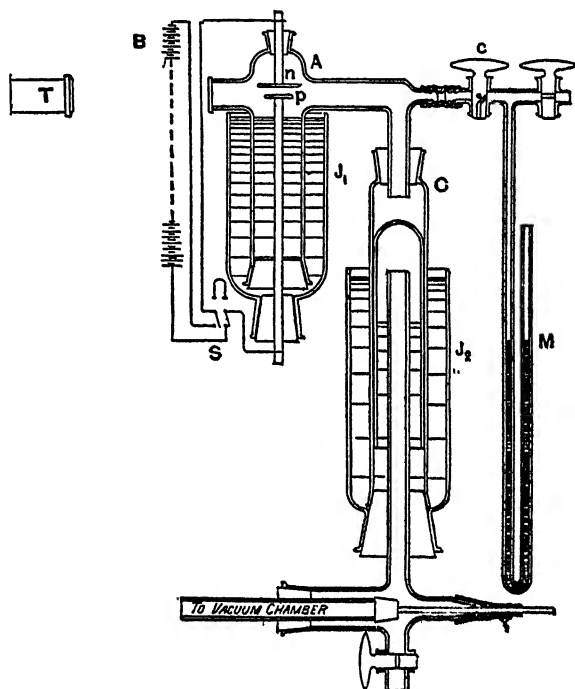


FIG. 2

passage across the middle cross-hair, the other at the instant of passage across the lower one. It will be seen that this method of observation furnishes a double check upon evaporation; for if the drop is stationary at first, it is not evaporating sufficiently to influence the reading of the rate of fall, and if it begins to evaporate appreciably before the reading is completed, the time required to pass through the second space should be greater than that required

to pass through the first space. It will be seen from the observations which follow that this was not, in general, the case.

It is an exceedingly interesting and instructive experiment to watch one of these drops start and stop, or even reverse its direction of motion, as the field is thrown off and on. I have often caught a drop which was just too light to remain stationary and moved it back and forth in this way four or five times between the same two cross-hairs, watching it first fall under gravity when the field was thrown off and then rise against gravity when the field was thrown on. The accuracy and certainty with which the instants of passage of the drops across the cross-hairs can be determined are precisely the same as that obtainable in timing the passage of a star across the cross-hairs of a transit instrument.

Furthermore, since the observations upon the quantities occurring in equation (4) [see (8) p. 55 of this volume] are all made upon the same drop, all uncertainties as to whether conditions can be exactly duplicated in the formation of successive clouds obviously disappear. There is no theoretical uncertainty whatever left in the method unless it be an uncertainty as to whether or not Stokes's Law applies to the rate of fall of these drops under gravity. The experimental uncertainties are reduced to the uncertainty in a time determination of from 3 to 5 seconds, when the object being timed is a single moving bright point. This means that when the time interval is say 5 seconds, as it is in some of the observations given below, the error which a practiced observer will make with an accurate stop watch in any particular observation will never exceed 2 parts in 50. The error in the mean of a considerable number of concordant observations will obviously be very much less than this.

Since in this form of observation the  $v_2$  of equation (5) [(8) of this volume] is zero, and since  $F$  is negative in sign, equation (5) reduces to the simple form:

$$e = 3.422 \times 10^{-9} \times \frac{g}{X} (v_1)^{\frac{1}{2}} \dots \dots \dots (6)^2$$

<sup>2</sup>I had changed the constant in Wilson's equation from 3.1 to 3.422 because of careful measurements on the temperature existing in the cloud chamber about 10 seconds after expansion and because of new measurements on the viscosity of the saturated air.

It will perhaps be of some interest to introduce two tables from this paper to show the exact nature of these

TABLE II

**SERIES 1 (BALANCED POSITIVE  
WATER DROPS)**

Distance between plates .545 cm.  
Measured distance of fall .155 cm.

Volts	Time 1 Space	Time 2 Spaces
2,285.....	2.4 sec.	4.8 sec.
2,285.....	2.4	4.8
2,275.....	2.4	4.8
2,325.....	2.4	4.8
2,325.....	2.6	4.8
2,325.....	2.2	4.8
2,365.....	2.4	4.8
2,312.....	2.4	4.8

Mean time for .155 cm. = 4.8 sec.

$$e_3 = 3.422 \times 10^{-9} \times \frac{980.3}{14.14} \times \left( \frac{.155}{4.8} \right)^{\frac{2}{3}}$$

$$= 13.77 \times 10^{-10}$$

Therefore  $e = 13.85 \times 10^{-10} \div 3$   
 $= 4.59 \times 10^{-10}.$

**SERIES 2 (BALANCED POSITIVE  
WATER DROPS)**

Distance between plates .545 cm.  
Measured distance of fall .155 cm.

Volts	Time 1 Space	Time 2 Spaces
2,365.....	1.8 sec.	4.0 sec.
2,365.....	1.8	4.0
2,365.....	2.2	3.8
2,365.....	1.8	4.0
2,395.....	2.0	4.0
2,395.....	2.0	4.0
2,395.....	2.0	3.8
2,365.....	1.8	4.0
2,365.....	1.8	4.0
2,365.....	1.8	4.0
2,374.....	1.90	3.96

Mean time for .155 cm. = 3.91 sec

$$e_4 = 3.422 \times 10^{-9} \times \frac{980.3}{14.52} \times \left( \frac{.155}{3.91} \right)^{\frac{2}{3}}$$

$$= 18.25 \times 10^{-10}$$

Therefore  $e = 18.25 \div 4$   
 $= 4.56 \times 10^{-10}.$

TABLE III

Series	Charge	Value of $e$	Weight Assigned
1.....	3e	4.59	7
2.....	4e	4.56	7
3.....	2e	4.64	6
4.....	5e	4.83	4
5.....	2e	4.87	1
6.....	6e	4.69	3

Simple mean  $e = 4.70 \times 10^{-10}$

Weighted mean  $e = 4.65 \times 10^{-10}$

earliest measurements on the charges carried by individual particles.

In connection with these experiments I chanced to observe a phenomenon which interested me very much at the time and suggested quite new possibilities. While working with these "balanced drops" I noticed on several occasions on which I had failed to screen off the rays from the radium that now and then one of them would suddenly change its charge and begin to move up or down in the field, evidently because it had captured in the one case a positive, in the other a negative, ion. This opened up the possibility of measuring with certainty, not merely the charges on individual droplets as I had been doing, but the charge carried by a single atmospheric ion. *For by taking two speed measurements on the same drop, one before and one after it had caught an ion, I could obviously eliminate entirely the properties of the drop and of the medium and deal with a quantity which was proportional merely to the charge on the captured ion itself.*

Accordingly, in the fall of 1909 there was started the series of experiments described in the succeeding chapter.

The problem had already been so nearly solved by the work with the water droplets that there seemed no possibility of failure. It was only necessary to get a charged droplet entirely free from evaporation into the space between the plates of a horizontal air condenser and then, by alternately throwing on and off an electrical field, to keep this droplet pacing its beat up and down between the plates until it could catch an atmospheric ion in just the way I had already seen the water droplets do. The change in the speed in the field would then be exactly proportional to the charge on the ion captured.



## CHAPTER IV

### GENERAL PROOF OF THE ATOMIC NATURE OF ELECTRICITY

Although the "balanced-droplet method" just described had eliminated the chief sources of uncertainty which inhered in preceding work on  $e$  and had made it possible to assert with much confidence that the unit charge was a real physical entity and not merely a "statistical mean," it was yet very far from an exact method of studying the properties of gaseous ions. The sources of error or uncertainty which still inhered in it arose from (1) the lack of stagnancy in the air through which the drop moved; (2) the lack of perfect uniformity of the electrical field used; (3) the gradual evaporation of the drops, rendering it impossible to hold a given drop under observation for more than a minute or to time a drop as it fell under gravity alone through a period of more than five or six seconds; and (4) the assumption of the validity of Stokes's Law.

The method which was devised to replace it was not only entirely free from all of these limitations, but it constituted an entirely new way of studying ionization and one which at once yielded important results in a considerable number of directions. This chapter deals with some of these by-products of the determination of  $e$  which are of even more fundamental interest and importance than the mere discovery of the exact size of the electron.

# I. ISOLATION OF INDIVIDUAL IONS AND MEASUREMENT OF THEIR RELATIVE CHARGES

In order to compare the charges on different ions, the procedure adopted was to blow with an ordinary commercial atomizer an oil spray into the chamber C (Fig. 3).

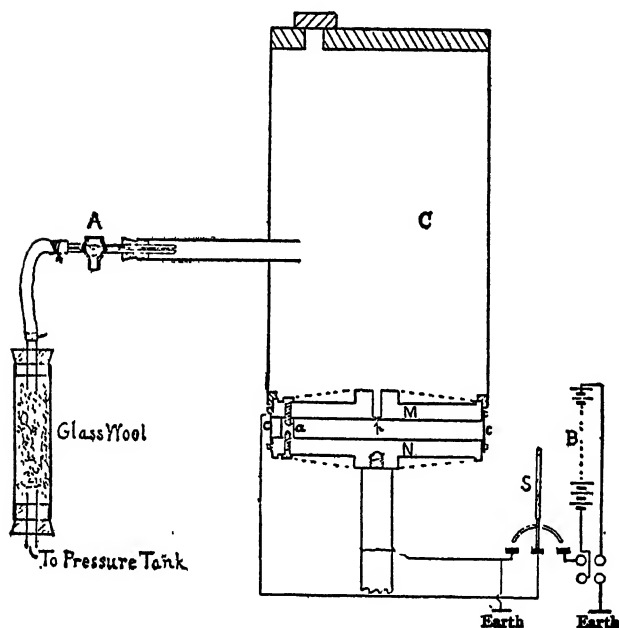


FIG. 3

The air with which this spray was blown was first rendered dust-free by passage through a tube containing glass wool. The minute droplets of oil constituting the spray, most of them having a radius of the order of a one-thousandth of a millimeter, slowly fell in the chamber C, and occasionally one of them would find its way

through the minute pinhole  $p$  in the middle of the circular brass plate  $M$ , 22 cm. in diameter, which formed one of the plates of the air condenser. The other plate,  $N$ , was held 16 mm. beneath it by three ebonite posts  $a$ . By means of the switch  $S$  these plates could be charged, the one positively and the other negatively, by making them the terminals of a 10,000-volt storage battery  $B$ , while throwing the switch the other way (to the left) short-circuited them and reduced the field between them to zero. The oil droplets which entered at  $p$  were illuminated by a powerful beam of light which passed through diametrically opposite windows in the encircling ebonite strip  $c$ . As viewed through a third window in  $c$  on the side toward the reader, it appeared as a bright star on a black background. These droplets which entered  $p$  were found in general to have been strongly charged by the frictional process involved in blowing the spray, so that when the field was thrown on in the proper direction they would be pulled up toward  $M$ . Just before the drop under observation could strike  $M$  the plates would be short-circuited and the drop allowed to fall under gravity until it was close to  $N$ , when the direction of motion would be again reversed by throwing on the field. In this way the drop would be kept traveling back and forth between the plates. The first time the experiment was tried an ion was caught within a few minutes, and the fact of its capture was signaled to the observer by the change in the speed with which it moved up when the field was on. The significance of the experiment can best be appreciated by examination of the complete record of one of the early experiments when the timing was done merely with a stop watch.

The column headed  $t_g$  gives the successive times which the droplet required to fall between two fixed cross-hairs in the observing telescope whose distance apart corresponded in this case to an actual distance of fall of .5222 cm. It will be seen that these numbers are all the same within the limits of error of a stop-watch measurement. The column marked  $t_F$  gives the successive times

TABLE IV

$t_g$	$t_F$
13.6	12.5
13.8	12.4
13.4	21.8
13.4	34.8
13.6	84.5
13.6	85.5
13.7	34.6
13.5	34.8
13.5	16.0
13.8	34.8
13.7	34.6
13.8	21.9
13.6	
13.5	
13.4	
13.8	
13.4	

Mean 13.595

which the droplet required to rise under the influence of the electrical field produced by applying in this case 5,051 volts of potential difference to the plates  $M$  and  $N$ . It will be seen that after the second trip up, the time changed from 12.4 to 21.8, indicating, since in this case the drop was positive, that a negative ion had been caught from the air. The next time recorded under  $t_F$ , namely, 34.8, indicates that another negative ion had been caught. The next time, 84.5, indicates the capture

of still another negative ion. This charge was held for two trips, when the speed changed back again to 34.6, showing that a positive ion had now been caught which carried precisely the same charge as the negative ion which before caused the inverse change in time, i.e., that from 34.8 to 84.5.

In order to obtain some of the most important consequences of this and other similar experiments we need make no assumption further than this, that the velocity with which the drop moves is proportional to the force acting upon it and is independent of the electrical charge which it carries. Fortunately this assumption can be put to very delicate experimental test, as will presently be shown, but introducing it for the time being as a mere assumption, as Townsend, Thomson, and Wilson had done before, we get

$$\frac{v_1}{v_2} = \frac{mg}{Fe_n - mg} \text{ or } e_n = \frac{mg}{Fv_1}(v_1 + v_2) \dots\dots\dots (9)$$

The negative sign is used in the denominator because  $v_2$  will for convenience be taken as positive when the drop is going up in the direction of  $F$ , while  $v_1$  will be taken as positive when it is going down in the direction of  $g$ .  $e_n$  denotes the charge on the drop, and must not be confused with the charge on an ion. If now by the capture of an ion the drop changes its charge from  $e_n$  to  $e_{n'}$ , then the value of the captured charge  $e_i$  is

$$e_i = e_{n'} - e_n = \frac{mg}{Fv_1}(v'_2 - v_2) \dots\dots\dots (10)$$

and since  $\frac{mg}{Fv_1}$  is a constant for this drop, any charge which it may capture will always be proportional to

$(v'_2 - v_2)$ , that is, to the change produced in the velocity in the field  $F$  by the captured ion. The successive values of  $v_2$  and of  $(v'_2 - v_2)$ , these latter being obtained by subtracting successive values of the velocities given under  $v_2$ , are shown in Table V.

TABLE V

$v_2$	$(v'_2 - v_2)$
$\frac{.5222}{12.45} = .04196$	.01806 $\div 2 = .00903$
$\frac{.5222}{21.5} = .02390$	
$\frac{.5222}{34.7} = .01505$	.00885 $\div 1 = .00885$
$\frac{.5222}{85.0} = .006144$	
$\frac{.5222}{34.7} = .01505$	.00891 $\div 1 = .00891$
$\frac{.5222}{16.0} = .03264$	
$\frac{.5222}{34.7} = .01505$	.00891 $\div 1 = .00891$
$\frac{.5222}{21.85} = .02390$	
$\frac{.5222}{34.7} = .01505$	.01759 $\div 2 = .00880$
$\frac{.5222}{34.7} = .01505$	
$\frac{.5222}{34.7} = .01505$	.01759 $\div 2 = .00880$
$\frac{.5222}{34.7} = .01505$	
$\frac{.5222}{34.7} = .01505$	.00891 $\div 1 = .00891$
$\frac{.5222}{34.7} = .01505$	

It will be seen from the last column that within the limits of error of a stop-watch measurement, all the charges captured have exactly the same value save in three cases. In all of these three the captured charges were just twice as large as those appearing in the other changes. Relationships of exactly this sort have been found to hold absolutely without exception, no matter in what gas the drops have been suspended or what sort of droplets were used upon which to catch the ions. In

many cases a given drop has been held under observation for five or six hours at a time and has been seen to catch not eight or ten ions, as in the experiment above, but hundreds of them. Indeed, I have observed, all told, the capture of many thousands of ions in this way, and in no case have I ever found one the charge of which, when tested as above, did not have either exactly the value of the smallest charge ever captured or else a very small multiple of that value. *Here, then, is direct, unimpeachable proof that the electron is not a "statistical mean," but that rather the electrical charges found on ions all have either exactly the same value or else small exact multiples of that value.*

## II. PROOF THAT ALL STATIC CHARGES BOTH ON CONDUCTORS AND INSULATORS ARE BUILT UP OF ELECTRONS

The foregoing experiment leads, however, to results of much more fundamental importance than that mentioned in the preceding section. The charge which the droplet had when it first came under observation had been acquired, not by the capture of ions from the air, but by the ordinary frictional process involved in blowing the spray. If then ordinary static charges are built up of electrons, this charge should be found to be an exact multiple of the ionic charge which had been found from the most reliable measurement shown in Table V to be proportional to the velocity .00891. This initial charge  $e_n$  on the drop is seen from equations (9) and (10) to bear the same relation to  $(v_1 + v_2)$  which the ionic charge  $e'_n - e_n$  bears to  $(v'_2 - v_2)$ . Now,  $v_1 = .5222/13.595 = .03842$ , hence  $v_1 + v_2 = .03842 + .04196 = .08038$ . Dividing this by 9 we obtain .008931, which is within about

one-fifth of 1 per cent of the value found in the last column of Table V as the smallest charge carried by an ion. *Our experiment has then given us for the first time a means of comparing a frictional charge with the ionic charge, and the frictional charge has in this instance been found to contain exactly 9 electrons.* A more exact means of making this comparison will be given presently, but suffice it to say here that experiments like the foregoing have now been tried on thousands of drops in different media, some of the drops being made of non-conductors like oil, some of semi-conductors like glycerin, some of excellent metallic conductors like mercury. In every case, without a single exception, the initial charge placed upon the drop by the frictional process, and all of the dozen or more charges which have resulted from the capture by the drop of a larger or smaller number of ions, have been found to be exact multiples of the smallest charge caught from the air. Some of these drops have started with no charge at all, and one, two, three, four, five, and six elementary charges or electrons have been picked up. Others have started with seven or eight units, others with twenty, others with fifty, others with a hundred, others with a hundred and fifty elementary units, and have picked up in each case a dozen or two of elementary charges on either side of the starting-point, so that, in all, drops containing every possible number of electrons between one and one hundred and fifty have been observed and the number of electrons which each drop carried has been accurately counted by the method described. When the number is less than fifty there is not a whit more uncertainty about this count than there is in counting one's own fingers and toes. It



is not found possible to determine with certainty the number of electrons in a charge containing more than one hundred or two hundred of them, for the simple reason that the method of measurement used fails to detect the difference between 200 and 201, that is, we cannot measure  $v'_2 - v_2$  with an accuracy greater than one-half of 1 per cent. But it is quite inconceivable that large charges such as are dealt with in commercial applications of electricity can be built up in an essentially different way from that in which the small charges whose electrons we are able to count are found to be. Furthermore, since it has been definitely proved that an electrical current is nothing but the motion of an electrical charge over or through a conductor, it is evident that the experiments under consideration furnish not only the most direct and convincing of evidence that all electrical charges are built up out of these very units which we have been dealing with as individuals in these experiments, but that all electrical currents consist merely in the transport of these electrons through the conducting bodies.

In order to show the beauty and precision with which these multiple relationships stand out in all experiments of this kind, a table corresponding to much more precise measurements than those given heretofore is here introduced (Table VI). The time of fall and rise shown in the first and second columns were taken with a Hipp chronoscope reading to one-thousandth of a second. The third column gives the reciprocals of these times. These are used in place of the velocities  $v_z$  in the field, since distance of fall and rise is always the same. The fourth column gives the successive changes in speed due

to the capture of ions. These also are expressed merely as time reciprocals. For reasons which will be explained in the next section, each one of these changes may correspond to the capture of not merely one but of several distinct ions. The numbers in the fifth column represent

TABLE VI

$t_g$ Sec.	$t_F$ Sec.	$\frac{x}{t_F}$	$\left(\frac{x}{t_F} - \frac{x}{t_F}\right)$	$n'$	$\frac{x}{n'} \left(\frac{x}{t_F} - \frac{x}{t_F}\right)$	$\left(\frac{x}{t_g} + \frac{x}{t_F}\right)$	$n$	$\frac{x}{n} \left(\frac{x}{t_g} + \frac{x}{t_F}\right)$
II. 848	80.708	.01236	.03234	6	.005390	.09655	18	.005366
II. 890	22.366	.04470				7	.005358	.12887
II. 908	22.390		.03751	1	.005348			.09138
II. 904	22.368	.01254				3	.005387	.09673
II. 882	140.565		.01616	.005387	.11280			21
II. 906	79.600	.02870			5	.005375	.11833	22
II. 838	34.748		.03414	4			.005393	.09146
II. 816	34.762	.021572			3	.005410		.11303
II. 776	34.846		.04307	8			.005384	.12926
II. 840	29.286	.01623			9	.005421		.08619
II. 904	29.236		.04879	7			.005420	.13498
II. 870	137.308	.03794			2	.005395		.09704
II. 952	34.638		.01079	.005395			.10783	20
II. 860	22.104	.04507			Means	.005386		
II. 846			.002000					
II. 912	22.268	.05079	.01285	.02364				
II. 910	500.1							
II. 918	19.704	.02364						
II. 870	19.668							
II. 888	77.630	.01079						
II. 894	77.806							
II. 878	42.302	.01079						
II. 880								

Duration of exp. = 45 min.  
 Plate distance = 16 mm.  
 Fall distance = 10.21 mm.  
 Initial volts = 5,088.8  
 Final volts = 5,081.2

Pressure = 75.62 cm.  
 Oil density = 9190  
 Air viscosity =  $1.824 \times 10^{-7}$   
 Radius (a) = .000276 cm.  
 $\frac{l}{a}$  = .034

Temperature = 22.82° C.

Speed of fall = .0854 cm./sec.

$e = 4.991 \times 10^{-20}$

simply the small integer by which it is found that the numbers in the fourth column must be divided in order to obtain the numbers in the sixth column. These will be seen to be exactly alike within the limits of error of the experiment. The mean value at the bottom of the sixth column represents, then, the smallest charge ever caught

from the air, that is, it is the elementary *ionic* charge. The seventh column gives the successive values of  $v_1 + v_2$  expressed as reciprocal times. These numbers, then, represent the successive values of the *total* charge carried by the droplet. The eighth column gives the integers by which the numbers in the seventh column must be divided to obtain the numbers in the last column. These also will be seen to be invariable. The mean at the bottom of the last column represents, then, *the electrical unit out of which the frictional charge on the droplet was built up, and it is seen to be identical with the ionic charge represented by the number at the bottom of the sixth column.*

It may be of interest to introduce one further table (Table VII) arranged in a slightly different way to show

TABLE VII

n	$4.917 \times n$	Observed Charge	n	$4.917 \times n$	Observed Charge
1.....	4.917	.....	10.....	49.17	49.41
2.....	9.834	.....	11.....	54.09	53.91
3.....	14.75	.....	12.....	59.00	59.12
4.....	19.66	19.66	13.....	63.92	63.68
5.....	24.59	24.60	14.....	68.84	68.65
6.....	29.50	29.62	15.....	73.75	.....
7.....	34.42	34.47	16.....	78.67	78.34
8.....	39.34	39.38	17.....	83.59	83.22
9.....	44.25	44.42	18.....	88.51	... ..

how infallibly the atomic structure of electricity follows from experiments like those under consideration.

In this table 4.917 is merely a number obtained precisely as above from the change in speed due to the capture of ions and one which is proportional in this experiment to the ionic charge. The column headed  $4.917 \times n$  contains simply the whole series of exact mul-

tiples of this number from 1 to 18. The column headed "Observed Charge" gives the successive observed values of  $(v_1 + v_2)$ . It will be seen that during the time of observation, about four hours, this drop carried all possible multiples of the elementary charge from 4 to 18, save only 15. *No more exact or more consistent multiple relationship is found in the data which chemists have amassed on the combining powers of the elements and on which the atomic theory of matter rests than is found in the foregoing numbers.*

Such tables as these—and scores of them could be given—place beyond all question the view that an electrical charge wherever it is found, whether on an insulator or a conductor, whether in electrolytes or in metals, has a definite granular structure, that it consists of an exact number of specks of electricity (electrons) all exactly alike, which in static phenomena are scattered over the surface of the charged body and in current phenomena are drifting along the conductor. Instead of giving up, as Maxwell thought we should some day do, the "provisional hypothesis of molecular charges," we find ourselves obliged to make all our interpretations of electrical phenomena, *metallic as well as electrolytic*, in terms of it.

### III. MECHANISM OF CHANGE OF CHARGE OF A DROP

All of the changes of charge shown in Table IV were spontaneous changes, and it has been assumed that all of these changes were produced by the capture of ions from the air. When a negative drop suddenly increases its speed in the field, that is, takes on a larger charge of its own kind than it has been carrying, there seems to be no other conceivable way in which the change can be

produced. But when the charge suddenly *decreases* there is no a priori reason for thinking that the change may not be due as well to the direct loss of a portion of the charge as to the neutralization of this same amount of electricity by the capture of a charge of opposite sign. That, however, the changes do actually occur, when no X-rays or radioactive rays are passing between the plates, only by the capture of ions from the air, was rendered probable by the fact that drops not too heavily charged showed the same tendency on the whole to increase as to decrease in charge. This should not have been the case if there were two causes tending to decrease the charge, namely, direct loss and the capture of opposite ions, as against one tending to increase it, namely, capture of like ions. The matter was very convincingly settled, however, by making observations when the gas pressures were as low as 2 or 3 mm. of mercury. Since the number of ions present in a gas is in general directly proportional to the pressure, spontaneous changes in charge should almost never occur at these low pressures; in fact, it was found that drops could be held for hours at a time without changing. The frequency with which the changes occur decreases regularly with the pressure, as it should if the changes are due to the capture of ions. For the number of ions formed by a given ionizing agent must vary directly as the pressure.

Again, the changes do not, in general, occur when the electrical field is on, for then the ions are driven instantly to the plates as soon as formed, at a speed of, say, 10,000 cm. per second, and so do not have any opportunity to accumulate in the space between them. When the field is off, however, they do so accumulate, until, in

ordinary air, they reach the number of, say, 20,000 per cubic centimeter. These ions, being endowed with the kinetic energy of agitation characteristic of the temperature, wander rapidly through the gas and become a part of the drop as soon as they impinge upon it. It was thus that all the changes recorded in Table IV took place.

It is possible, however, so to control the changes as to place electrons of just such sign as one wishes, and of just such number as one wishes, within limits, upon a given drop. If, for example, it is desired to place a positive electron upon a given drop the latter is held with the aid of the field fairly close to the negative plate, say the upper plate; then an ionizing agent—X-rays or radium—is arranged to produce uniform ionization in the gas between the plates. Since now all the positive ions move up while the negatives move down, the drop is in a shower of positive ions, and if the ionization is intense enough the drop is sure to be hit. In this way a positive charge of almost any desired strength may be placed upon the drop.

Similarly, in order to throw a negative ion or ions upon the drop it is held by the field close to the lower, i.e., to the positive, plate in a shower of negative ions produced by the X-rays. It was in this way that most of the changes shown in Table VI were brought about. This accounts for the fact that they correspond in some instances to the capture of as many as six electrons.

When X-rays are allowed to fall directly upon the drop itself the change in charge may occur, not merely because of the capture of ions, but also because the rays eject beta particles, i.e., negative electrons, from the molecules of the drop. That changes in charge were

actually produced in this way in our experiments was proved conclusively in 1910 by the fact that when the pressure was reduced to a very low value and X-rays were allowed to pass through the air containing the drop, the latter would change readily in the direction of increasing positive or decreasing negative charge, but it could almost never be made to change in the opposite direction. This is because at these low pressures the rays can find very few gas molecules to ionize, while they detach negative electrons from the drop as easily as at atmospheric pressure. *This experiment proved directly that the charge carried by an ion in gases is the same as the charge on the beta or cathode-ray particle.*

When it was desired to avoid the direct loss of negative electrons by the drop, we arranged lead screens so that the drop itself would not be illuminated by the rays, although the gas underneath it was ionized by them.<sup>1</sup>

#### IV. DIRECT OBSERVATION OF THE KINETIC ENERGY OF AGITATION OF A MOLECULE

I have already remarked that when a drop carries but a small number of electrons it appears to catch ions of its own sign as rapidly as those of opposite signs—a result which seems strange at first, since the ions of opposite sign must be attracted, while those of like sign must be repelled. Whence, then, does the ion obtain the energy which enables it to push itself up against this electrostatic repulsion and attach itself to a drop already strongly charged with its own kind of electricity? It cannot obtain it from the field, since the phenomenon of capture occurs when the field is not on. It cannot

<sup>1</sup> See *Phil. Mag.*, XXI (1911), 757.

obtain it from any explosive process which frees the ion from the molecule at the instant of ionization, since in this case, too, ions would be caught as well, or nearly as well, when the field is on as when it is off. Here, then, is an absolutely direct proof that the ion must be endowed with a kinetic energy of agitation which is sufficient to push it up to the surface of the drop against the electrostatic repulsion of the charge on the drop.

This energy may easily be computed as follows: Let us take a drop, such as was used in one of these experiments, of radius .000197 cm. The potential at the surface of a charged sphere can be shown to be the charge divided by the radius. The value of the elementary electrical charge obtained from the best observations of this type, is  $4.80 \times 10^{-10}$  absolute electrostatic units. Hence the energy required to drive an ion carrying the elementary charge  $e$  up to the surface of a charged sphere of radius  $r$ , carrying 16 elementary charges, is

$$\frac{16e^2}{r} = \frac{16 \times (4.80 \times 10^{-10})^2}{.000197} = 1.95 \times 10^{-14} \text{ ergs}$$

Now, the kinetic energy of agitation of a molecule as deduced from the value of  $e$  herewith obtained, and the kinetic theory equation,  $p = \frac{1}{3}nm\bar{c}^2$ , is  $5.75 \times 10^{-14}$  ergs. According to the Maxwell-Boltzmann Law of the partition of energy, which certainly holds in gases, this should also be the kinetic energy of agitation of an ion. It will be seen that the value of this energy is approximately three times that required to push a single ion up to the surface of the drop in question. Hence the electrostatic forces due to 16 electrons on the drop are too weak to exert much influence upon the motion of an approaching



ion. But if it were possible to load up a drop with negative electricity until the potential energy of its charge were about three times as great as that computed above for this drop, then the phenomenon here observed of the catching of new negative ions by such a negatively charged drop should not take place, save in the exceptional case in which an ion might acquire an energy of agitation considerably larger than the mean value. Now, as a matter of fact, it was regularly observed that the heavily charged drops had a very much smaller tendency to pick up new negative ions than the more lightly charged drops, and, in one instance, we watched for four hours another negatively charged drop of radius .000658 cm., which carried charges varying from 126 to 150 elementary units, and which therefore had a potential energy of charge (computed as above on the assumption of uniform distribution) varying from  $4.6 \times 10^{-14}$  to  $5.47 \times 10^{-14}$ . In all that time this drop picked up but one single negative ion when the field was off, and that despite the fact that the ionization was several times more intense than in the case of the drop of Table I. Positive ions too were being caught at almost every trip down under gravity. (The strong negative charge on the drop was maintained by forcing on negative ions by the field as explained above.)

#### V. POSITIVE AND NEGATIVE ELECTRONS EXACTLY EQUAL

The idea has at various times been put forth in connection with attempts to explain chemical and cohesive forces from the standpoint of electrostatic attractions that the positive and negative charges in a so-called neutral atom may not after all be exactly equal, in other

words, that there is really no such thing as an entirely neutral atom or molecule. As a matter of fact, it is difficult to find decisive tests of this hypothesis. The present experiments, however, make possible the following sort of test. I loaded a given drop first with negative electrons and took ten or twelve observations of rise and fall, then with the aid of X-rays, by the method indicated in the last section, I reversed the sign of the charge on the drop and took a corresponding number of observations of rise and fall, and so continued observing first the value of the negative electron and then that of the positive. Table VIII shows a set of such observations taken in air with a view to subjecting this point to as rigorous a test as possible. Similar, though not quite so elaborate, observations have been made in hydrogen with the same result. The table shows in the first column the sign of the charge; in the second the successive values of the time of fall under gravity; in the third the successive times of rise in the field  $F$ ; in the fourth the number of electrons carried by the drop for each value of  $t_f$ ; and in the fifth the number, characteristic of this drop, which is proportional to the charge of one electron. This number is obtained precisely as in the two preceding tables by finding the greatest common divisor of the successive values of  $(v_1 + v_2)$  and then multiplying this by an arbitrary constant which has nothing to do with the present experiment and hence need not concern us here (see chap. v).

It will be seen that though the times of fall and of rise, even when the same number of electrons is carried by the drop, change a trifle because of a very slight evaporation and also because of the fall in the potential

TABLE VIII

Sign of Drop	$t_f$ Sec.	$t_P$ Sec.	$n$	$e$		
-	63.118		8	$e_1 = 6.713$		
	63.050					
	63.186	41.728				
	63.332	41.590				
	62.328		11			
	62.728	25.740				
	62.926	25.798				
	62.900	25.510				
	63.214	25.806				
	Mean = 62.976					
+	63.538	22.694	12	$e_1 = 6.692$		
	63.244	22.830				
	63.114	25.870	11			
	63.242	25.876				
	63.362	25.484				
	63.136	10.830	22			
	63.226	10.682				
	63.764	10.756				
	63.280	10.778				
	63.530	10.672				
	63.268	10.646				
	Mean = 63.325					
	+	63.642			6	$e_1 = 6.702$
		63.020	71.664			
62.820		71.248				
63.514		52.668	7			
63.312		52.800				
63.776		52.496				
63.300		52.860				
63.156		71.708	6			
63.126						
Mean = 63.407						

TABLE VIII—*Continued*

Sign of Drop	$t_g$ Sec.	$t_F$ Sec.	$n$	$e$
—	63.228	42.006	8	$e_1 = 6.686$
	63.294	41.920		
	63.184	42.108		
	63.260	53.210	7	
	63.478	52.922		
	63.074	53.034		
	63.306	53.438		
	63.414	12.888	19	
	63.450	12.812		
	63.446	12.748		
	63.556	12.824		
	Mean = 63.335			

Duration of experiment 1 hr. 40 min.

Mean  $e_+ = 6.697$ 

Initial volts = 1723.5

Mean  $e_- = 6.700$ 

Final volts = 1702.1

Pressure = 53.48 cm.

of the battery, yet the mean value of the positive electron, namely, 6.697, agrees with the mean value of the negative electron, namely, 6.700, to within less than 1 part in 2,000. Since this is about the limit of the experimental error (the probable error by least squares is 1 part in 1,500), *we may with certainty conclude that there are no differences of more than this amount between the values of the positive and negative electrons.* This is the best evidence I am aware of for the exact neutrality of the ordinary molecules of gases. Such neutrality, if it is actually exact, would seem to preclude the possibility of explaining gravitation as a result of electrostatic forces of any kind. The electromagnetic effect of moving charges might, however, still be called upon for this purpose.

VI. RESISTANCE OF MEDIUM TO MOTION OF DROP THROUGH  
IT THE SAME WHEN DROP IS CHARGED AS WHEN  
UNCHARGED

A second and equally important conclusion can be drawn from Table VIII. It will be seen from the column headed " $n$ " that during the whole of the time corresponding to the observations in the third group from the top the drop carried either 6 or 7 electrons, while, during the last half of the time corresponding to the observations in the second group from the top, it carried three times as many, namely, 22 electrons. Yet the mean times of fall under gravity in the two groups agree to within about one part in one thousand. The time of fall corresponding to the heavier charge happens in this case to be the smaller of the two. We may conclude, therefore, that *in these experiments the resistance which the medium offers to the motion of a body through it is not sensibly increased when the body becomes electrically charged. This demonstrates experimentally the exact validity for this work of the assumption made on p. 70 that the velocity of the drop is strictly proportional to the force acting upon it, whether it is charged or uncharged.*

The result is at first somewhat surprising since, according to Sutherland's theory of the small ion, the small mobility or diffusivity of charged molecules, as compared with uncharged, is due to the additional resistance which the medium offers to the motion through it of a charged molecule. This additional resistance is due to the fact that the charge on a molecule drags into collision with it more molecules than would otherwise hit it. But with oil drops of the sizes here used

( $a = 50 \times 10^{-6}$ ) the total number of molecular collisions against the surface of the drop is so huge that even though the small number of charges on it might produce a few more collisions, their number would be negligible in comparison with the total number. At any rate the experiment demonstrates conclusively that the charges on our oil drops do not influence the resistance of the medium to the motion of the drop. This conclusion might also have been drawn from the data contained in Table VI. The evidence for its absolute correctness has been made more convincing still by a comparison of drops which carried but 1 charge and those which carried as many as 68 unit charges. Further, I have observed the rate of fall under gravity of droplets which were completely discharged, and in every case that I have ever tried I have found this rate precisely the same, within the limits of error of the time measurements, as when it carried 8 or 10 unit charges.

## VII. DROPS ACT LIKE RIGID SPHERES

It was of very great importance for the work, an account of which will be given in the next chapter to determine whether the drops ever suffer—either because of their motion through a resisting medium, or because of the electrical field in which they are placed—any appreciable distortion from the spherical form which a freely suspended liquid drop must assume. The complete experimental answer to this query is contained in the agreement of the means at the bottom of the last and the third from the last columns in Table VI and in similar agreements shown in many other tables, which

may be found in the original articles.<sup>1</sup> Since  $\frac{1}{t_g}$  is in this experiment large compared to  $\frac{1}{t_F}$ , the value of the greatest common divisor at the bottom of the last column of Table VI is determined almost wholly by the rate of fall of the particle under gravity when there is no field at all between the plates, while the velocity at the bottom of the third from the last column is a difference between two velocities in a strong electrical field. If, therefore, the drop were distorted by the electrical field, so that it exposed a larger surface to the resistance of the medium than when it had the spherical form, the velocity due to a given force, that is, the velocity given at the bottom of the third from the last column, would be less than that found at the bottom of the last column, which corresponds to motions when the drop certainly was spherical.

Furthermore, if the drops were distorted by their motion through the medium, then this distortion would be greater for high speeds than for low, and consequently the numbers in the third from the last column would be consistently larger for high speeds than for low. No such variation of these numbers with speed is apparent either in Table VI or in other similar tables.

We have then in the exactness and invariableness of the multiple relations shown by successive differences in speed and the successive sums of the speeds in the third from the last and the last columns of Table VI complete experimental proof that in this work the droplets act under all circumstances like undeformed spheres. It is of interest that Professor Hadamard,<sup>2</sup> of the University of

<sup>1</sup> *Phys. Rev.*, Series 1, XXXII (1911), 349; Series 2, II (1913), 109.

<sup>2</sup> *Comptes rendus* (1911), 1735.

Paris, and Professor Lunn,<sup>1</sup> of the University of Chicago, have both shown from theoretical considerations that this would be the case with oil drops as minute as those with which these experiments deal, so that the conclusion may now be considered as very firmly established both by the experimentalist and the theorist.

<sup>1</sup> *Phys. Rev.*, XXXV (1912), 227.



## CHAPTER V

### THE EXACT EVALUATION OF $e$

#### I. DISCOVERY OF THE FAILURE OF STOKES'S LAW

Although complete evidence for the atomic nature of electricity is found in the fact that all of the charges which can be placed upon a body as measured by the sum of speeds  $v_1 + v_2$ , and all the changes of charge which this body can undergo as measured by the differences of speed ( $v'_2 - v_2$ ) are invariably found to be exact multiples of a particular speed, yet there is something still to be desired if we must express this greatest common divisor of all the observed series of speeds merely as a velocity which is a characteristic constant of each particular drop but which varies from drop to drop. We ought rather to be able to reduce this greatest common divisor to electrical terms by finding the proportionality factor between speed and charge, and, that done, we should, of course, expect to find that the charge came out a universal constant independent of the size or kind of drop experimented upon. The attempt to do this by the method which I had used in the case of the water drops (p. 55), namely; by the assumption of Stokes's Law, heretofore taken for granted by all observers, led to the interesting discovery that this law is not valid.\* Accord-

\*Cunningham (*Proc. Roy. Soc.*, LXXXIII [1910], 357) and the author came independently to the conclusion as to the invalidity of Stokes's Law, he from theoretical considerations developed at about the same time, I from my experimental work.

ing to this law the rate of fall of a spherical drop under gravity, namely,  $v_1$ , is given by

$$v_1 = \frac{2ga^2}{9\eta}(\sigma - \rho) \dots\dots\dots (11)$$

in which  $\eta$  is the viscosity of the medium,  $a$  the radius and  $\sigma$  the density of the drop, and  $\rho$  the density of the medium. This last quantity was neglected in (6), p. 55, because, with the rough measurements there possible, it was useless to take it into account, but with our oil drops in dry air all the other factors could be found with great precision.

When we assume the foregoing equation of Stokes and combine it with equation (5) on p. 55, an equation whose exact validity was proved experimentally in the last chapter, we obtain, after substitution of the purely geometrical relation  $m = \frac{4\pi}{3}a^3(\sigma - \rho)$ , the following expression for the charge  $e_n$  carried by a drop loaded with  $n$  electrons which we will assume to have been counted by the method described:

$$e_n = \frac{4\pi}{3} \left( \frac{9\eta}{2} \right)^{\frac{2}{3}} \left( \frac{1}{g(\sigma - \rho)} \right)^{\frac{1}{3}} \frac{(v_1 + v_2)v_1^{\frac{1}{2}}}{F} \dots\dots\dots (12)$$

According to this equation the elementary charge  $e_1$  should be obtained by substituting in this the greatest common divisor of all the observed series of values of  $(v_1 + v_2)$  or of  $(v'_2 - v_2)$ . Thus, if we call this  $(v_1 + v_2)_0$ , we have

$$e_1 = \frac{4\pi}{3} \left( \frac{9\eta}{2} \right)^{\frac{2}{3}} \left( \frac{1}{g(\sigma - \rho)} \right)^{\frac{1}{3}} \frac{(v_1 + v_2)_0 v_1^{\frac{1}{2}}}{F} \dots\dots\dots (13)$$

But when this equation was tested out upon different drops, although it yielded perfectly concordant results

so long as the different drops all fell with about the same speed, when drops of different speeds, and, therefore, of different sizes, were used, the values of  $e_1$  obtained were consistently larger the smaller the velocity under gravity. For example,  $e_1$  for one drop for which  $v_1 = .01085$  cm. per second came out  $5.49 \times 10^{-10}$ , while for another of almost the same speed, namely,  $v_1 = .01176$ , it came out 5.482; but for two drops whose speeds were five times as large, namely, .0536 and .0553,  $e_1$  came out 5.143 and 5.145, respectively. This could mean nothing save that Stokes's Law did not hold for drops of the order of magnitude here used, something like  $a = .0002$  cm. (see Section IV below), and it was surmised that the reason for its failure lay in the fact that the drops were so small that they could no longer be thought of as moving through the air as they would through a continuous homogeneous medium, which was the situation contemplated in the deduction of Stokes's Law. This law ought to begin to fail as soon as the inhomogeneities in the medium—i.e., the distances between the molecules—began to be at all comparable with the dimensions of the drop. Furthermore, it is easy to see that as soon as the holes in the medium begin to be comparable with the size of the drop, the latter must begin to increase its speed, for it may then be thought of as beginning to reach the stage in which it can fall freely through the holes in the medium. This would mean that the observed speed of fall would be more and more in excess of that given by Stokes's Law the smaller the drop became. But the apparent value of the electronic charge, namely,  $e_1$ , is seen from equation (13) to vary directly with the speed  $(v_1 + v_2)_0$  imparted by a given force. Hence  $e_1$  should

come out larger and larger the smaller the radius of the drop, that is, the smaller its velocity under gravity. Now, this was exactly the behavior shown consistently by all the oil drops studied. Hence it looked as though we had discovered, not merely the failure of Stokes's Law, but also the line of approach by means of which it might be corrected.

In order to be certain of our ground, however, we were obliged to initiate a whole series of new and somewhat elaborate experiments.

These consisted, first, in finding very exactly what is the coefficient of viscosity of air under conditions in which it may be treated as a homogeneous medium, and, second, in finding the limits within which Stokes's Law may be considered valid.

## II. THE COEFFICIENT OF VISCOSITY OF AIR

The experiments on the coefficient of viscosity of air were carried out in the Ryerson Laboratory by Dr. Lachen Gilchrist,<sup>1</sup> and Dr. I. M. Rapp.<sup>2</sup> Dr. Gilchrist used a method which was in many respects new and which may fairly be said to be freer from theoretical uncertainties than any method which has ever been used. He estimated that his results should not be in error by more than .1 or .2 of 1 per cent. Dr. Rapp used a form of the familiar capillary-tube method, but under conditions which seemed to adapt it better to an absolute evaluation of  $\eta$  for air than capillary-tube arrangements have ordinarily been.

<sup>1</sup> *Phys. Rev.*, N.S., I, (1913), 124.

<sup>2</sup> *Phys. Rev.*, N.S., II (1913), 363.

These two men, as the result of measurements which were in progress for more than two years, obtained final means which were in very close agreement with one another as well as with the most careful of preceding determinations. It will be seen from Table IX that

TABLE IX

$\eta_{23}$ for Air	
.00018227	Rapp, Capillary-tube method, 1913 ( <i>Phys. Rev.</i> , II, 363).
.00018257	Gilchrist, Constant deflection method, 1913 ( <i>Phys. Rev.</i> , I, 124).
.00018229	Hogg, Damping of oscillating cylinders, 1905 ( <i>Proc. Am. Acad.</i> , XL, 611).
.00018258	Tomlinson, Damping of Swinging Pendulum, 1886 ( <i>Phil. Trans.</i> , CLXXVII, 767).
.00018232	Grindley and Gibson, Flow through pipe, 1908 ( <i>Proc. Roy. Soc.</i> , LXXX, 114).
Mean...	.00018240

every one of the five different methods which have been used for the absolute determination of  $\eta$  for air leads to a value that differs by less than one part in one thousand from the following mean value,  $\eta_{23} = .00018240$ . It was concluded, therefore, that we could depend upon the value of  $\eta$  for the viscosity of air under the conditions of our experiment to at least one part in one thousand. Late in 1917 Dr. E. Harrington<sup>1</sup> improved still further the apparatus designed by Dr. Gilchrist and the author and made with it in the Ryerson Laboratory a determination of  $\eta$  which I thought unique in its reliability. His value was  $\eta_{23} = .00018226$ . However, Birge (*Amer.*

<sup>1</sup> *Phys. Rev.*, December, 1916.

*Jour. of Physics*, XIII [1945], 67), while still rating  $\eta$  as the chief factor of uncertainty in the oil-drop value of  $e$ , chooses as the best value of  $\eta$  to date (1946) the mean of six new, closely agreeing determinations, viz.,

$$\eta_{23} = 1832.4 \times 10^{-7} \text{ c.g.s. units.}$$

### III. LIMITS OF VALIDITY OF STOKES'S LAW

In the theoretical derivation of Stokes's Law the following five assumptions are made: (1) that the inhomogeneities in the medium are small in comparison with the size of the sphere; (2) that the sphere falls as it would in a medium of unlimited extent; (3) that the sphere is smooth and rigid; (4) that there is no slipping of the medium over the surface of the sphere; (5) that the velocity with which the sphere is moving is so small that the resistance to the motion is all due to the viscosity of the medium and not at all due to the inertia of such portion of the media as is being pushed forward by the motion of the sphere through it.

If these conditions were all realized then Stokes's Law ought to hold. Nevertheless, there existed up to the year 1910 no experimental work which showed that actual experimental results may be accurately predicted by means of the unmodified law, and Dr. H. D. Arnold accordingly undertook in the Ryerson Laboratory to test how accurately the rates of fall of minute spheres through water and alcohol might be predicted by means of it.

His success in these experiments was largely due to the ingenuity which he displayed in producing accurately spherical droplets of rose-metal. This metal melts at about  $82^{\circ}$  C. and is quite fluid at the temperature of

boiling water. Dr. Arnold placed some of this metal in a glass tube drawn to form a capillary at one end and suspended the whole of the capillary tube in a glass tube some 70 cm. long and 3 cm. in diameter. He then filled the large tube with water and applied heat in such a way that the upper end was kept at about  $100^{\circ}$  C., while the lower end was at about  $60^{\circ}$ . He then forced the molten metal, by means of compressed air, out through the capillary into the hot water. It settled in the form of spray, the drops being sufficiently cooled by the time they reached the bottom to retain their spherical shape. This method depends for its success on the relatively slow motion of the spheres and on the small temperature gradient of the water through which they fall. The slow and uniform cooling tends to produce homogeneity of structure, while the low velocities allow the retention of very accurately spherical shape. In this way Dr. Arnold obtained spheres of radii from .002 cm. to .1 cm., which, when examined under the microscope, were found perfectly spherical and practically free from surface irregularities. He found that the slowest of these drops fell in liquids with a speed which could be computed from Stokes's Law with an accuracy of a few tenths of 1 per cent, and he determined experimentally the limits of speed through which Stokes's Law was valid.

Of the five assumptions underlying Stokes's Law, the first, third, and fourth were altogether satisfied in Dr. Arnold's experiment. The second assumption he found sufficiently realized in the case of the very smallest drops which he used, but not in the larger ones. The question, however, of the effect of the walls of the vessel upon the motion of drops through the liquid contained

in the vessel had been previously studied with great ability by Ladenburg,<sup>1</sup> who, in working with an exceedingly viscous oil, namely Venice turpentine, obtained a formula by which the effects of the wall on the motion might be eliminated. If the medium is contained in a cylinder of circular cross-section of radius  $R$  and of length  $L$ , then, according to Ladenburg, the simple Stokes formula should be modified to read

$$V = \frac{2}{9} \frac{ga^2(\sigma - \rho)}{\eta \left(1 + 2.4 \frac{a}{R}\right) \left(1 + 3.1 \frac{a}{L}\right)}.$$

Arnold found that this formula held accurately in all of his experiments in which the walls had any influence on the motion. Thus he worked under conditions under which all of the first four assumptions underlying Stokes's Law were taken care of. This made it possible for him to show that the law held rigorously when the fifth assumption was realized, and also to find by experiment the limits within which this last assumption might be considered as valid. Stokes had already found from theoretical considerations<sup>2</sup> that the law would not hold unless the radius of the sphere were small in comparison with  $\frac{\eta}{v\rho}$ , in which  $\rho$  is the density of the medium,  $\eta$  its viscosity, and  $v$  the velocity of the sphere. This radius is called the critical radius. But it was not known how near it was possible to approach to the critical radius. Arnold's experiments showed that the inertia of the medium has no appreciable effect upon the rate of

<sup>1</sup> *Ann. der Phys.*, XXII (1907), 287; XXIII (1908), 447.

<sup>2</sup> *Math. and Phys. Papers*, III, 59.



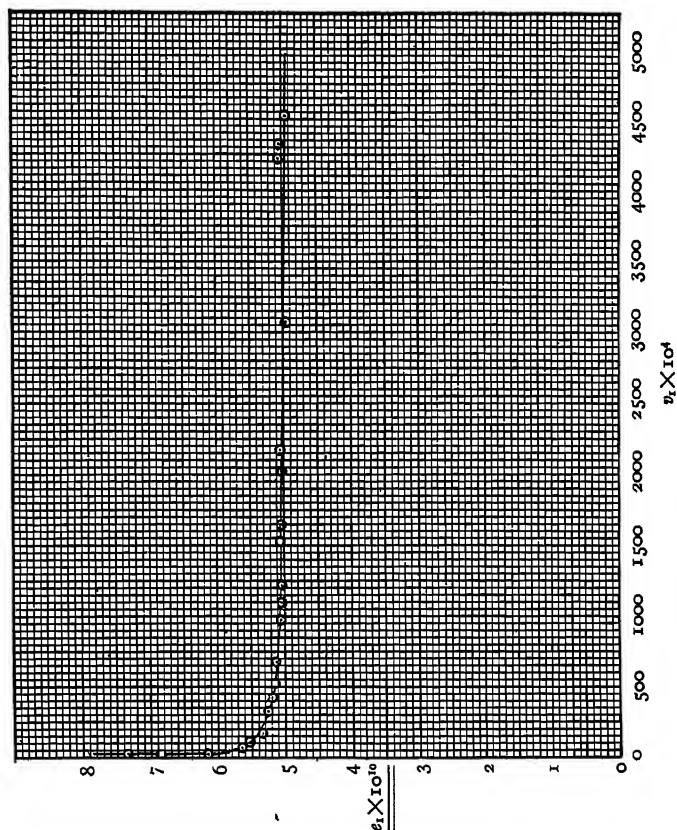
motion of a sphere so long as the radius of that sphere is less than .6 of the critical radius.

Application of this result to the motion of our drops established the fact that even the very fastest drops which we ever observed fell so slowly that even a minute error could arise because of the inertia of the medium. This meant that the fifth condition necessary to the application of Stokes's Law was fulfilled. Furthermore, our drops were so small that the second condition was also fulfilled, as was shown by the work of both Ladenburg and Arnold. The third condition proved in the last chapter to be satisfied in our experiments. Since, therefore, Arnold's work had shown accurately that Stokes's Law does hold when all of the five conditions are fulfilled, the problem of finding a formula for replacing Stokes's Law in the case of oil-drop experiments resolved itself into finding in what way the failure of assumptions 1 and 4 affected the motion of these drops.

#### IV. CORRECTION OF STOKES'S LAW FOR INHOMOGENEITIES IN THE MEDIUM

The first procedure was to find how badly Stokes's Law failed in the case of our drops. This was done by plotting the apparent value of the electron  $e_r$  against the observed speed under gravity. This gave the curve shown in Fig. 4, which shows that though for very small speeds  $e_r$  varies rapidly with the change in speed, for speeds larger than that corresponding to the abscissa marked 1,000 there is but a slight dependence of  $e_r$  on speed. This abscissa corresponds to a speed of .1 cm per second. We may then conclude that for drops with

are large enough to fall at a rate of 1 cm. in ten seconds or faster, Stokes's Law needs but a small correction, because of the inhomogeneity of the air.



To find an exact expression for this correction we may proceed as follows: The average distance which a gas molecule goes between two collisions with its neighbors, a quantity well known and measured with some approach

to precision in physics and called "the mean free path" of a gas molecule, is obviously a measure of the size of the holes in a gaseous medium. When Stokes's Law begins to fail as the size of the drops diminish, it must be because the medium ceases to be homogeneous, as looked at from the standpoint of the drop, and this means simply that the radius of the drop has begun to be comparable with the mean size of the holes—a quantity which we have decided to take as measured by the mean free path  $l$ . The increase in the speed of fall over that given by Stokes's Law, when this point is reached, must then be some function of  $\frac{l}{a}$ . In other words, the correct expression for the speed  $v_x$  of a drop falling through a gas, instead of being

$$v_x = \frac{2}{9} \frac{ga^2}{\eta} (\sigma - \rho),$$

as Arnold showed that it was when the holes were negligibly small—as the latter are when the drop falls through a liquid—should be of the form

$$v_x = \frac{2}{9} \frac{ga^2}{\eta} (\sigma - \rho) \left( 1 + f \frac{l}{a} \right) \dots \dots \dots (14)$$

If we were in complete ignorance of the form of the function  $f$  we could still express it in terms of a series of undetermined constants  $A$ ,  $B$ ,  $C$ , etc., thus

$$f = A \frac{l}{a} + B \left( \frac{l}{a} \right)^2 + C \left( \frac{l}{a} \right)^3 \dots \text{etc.},$$

and so long as the departures from Stokes's Law were small as Fig. 4 showed them to be for most of our drops,

we could neglect the second-order terms in  $\frac{l}{a}$  and have therefore

$$v_1 = \frac{2}{9} \frac{ga^2}{\eta} (\sigma - \rho) \left( 1 + A \frac{l}{a} \right) \dots \dots \dots (15)$$

Using this corrected form of Stokes's Law to combine with (9) (p. 20), we should obviously get the charge  $e_1$  in just the form in which it is given in (13), save that wherever a velocity appears in (13) we should now have

to insert in place of this velocity  $\frac{v}{1 + A \frac{l}{a}}$ . And since the

velocity of the drop appears in the  $3/2$  power in (13), if we denote now by  $e$  the absolute value of the electron and by  $e_1$ , as heretofore, the apparent value obtained from the assumption of Stokes's Law, that is, from the use of (13), we obtain at once

$$e = \frac{e_1}{\left( 1 + A \frac{l}{a} \right)^{\frac{3}{2}}} \dots \dots \dots (16)$$

In this equation  $e_1$  can always be obtained from (13), while  $l$  is a known constant, but  $e$ ,  $A$ , and  $a$  are all unknown. If  $a$  can be found our observations permit at once of the determination of both  $e$  and  $A$ , as will be shown in detail under Section VI (see p. 105).

However, the possibility of determining  $e$  if we know  $a$  can be seen in a general way without detailed analysis. For the determination of the radius of the drop is equivalent to finding its weight, since its density is known. That we can find the charge on the drop as soon as we can determine its weight is clear from the simple consideration that the velocity under gravity is proportional to its weight, while the velocity in a given

electrical field is proportional to the charge which it carries. Since we measure these two velocities directly, we can obtain either the weight, if we know the charge, or the charge, if we know the weight. (See equation 9, p. 70.)

## V. WEIGHING THE DROPLET

The way which was first used for finding the weight of the drop was simply to solve Stokes's uncorrected equation (11) (p. 91) for  $a$  in the case of each drop. Since the curve of Fig. 4 shows that the departures from Stokes's Law are small except for the extremely slow drops, and since  $a$  appears in the second power in (11), it is clear that, if we leave out of consideration the very slowest drops, (11) must give us very nearly the correct values of  $a$ . We can then find the approximate value of  $A$  by the method of the next section, and after it is found we can solve (15) for the correct value of  $a$ . This is a method of successive approximations which theoretically yields  $a$  and  $A$  with any desired degree of precision. As a matter of fact the whole correction term,  $A \frac{l}{a}$  is a small one, so that it is never necessary to make more than two approximations to obtain  $a$  with much more precision than is needed for the exact evaluation of  $e$ .

As soon as  $e$  was fairly accurately known it became possible, as indicated above, to make a direct weighing of extraordinarily minute bodies with great certainty and with a very high degree of precision. For we have already shown experimentally that the equation

$$\frac{v_1}{v_2} = \frac{mg}{Fe_n - mg} \dots\dots\dots (17)$$

is a correct one and it involves no assumption whatever as to the shape, or size, or material of the particle. If we solve this equation for the weight  $mg$  of the particle we get

$$mg = Fe_n \frac{v_1}{v_1 + v_2} \dots \dots \dots (18)$$

In this equation  $e_n$  is known with the same precision as  $e$ , for we have learned how to count  $n$ . It will presently be shown that  $e$  is probably now known with an accuracy of one part in a thousand, hence  $mg$  can now be determined with the same accuracy for any body which can be charged up with a counted number  $n$  of electrons and then pulled up against gravity by a known electrical field, or, if preferred, simply balanced against gravity after the manner used in the water-drop experiment and also in part of the oil-drop work.\* *This device is simply an electrical balance in place of a mechanical one, and it will weigh accurately and easily to one ten-billionth of a milligram.*

Fifty years ago it was considered the triumph of the instrument-maker's art that a balance had been made so sensitive that one could weigh a piece of paper, then write his name with a hard pencil on the paper and determine the difference between the new weight and the old—that is, the weight of the name. This meant determining a weight as small as one-tenth or possibly one-hundredth of a milligram (a milligram is about  $1/30,000$  of an ounce). In about the year 1912 Ramsay and Spencer, in London, by constructing a balance entirely out of very fine quartz fibers and placing it in a vacuum, succeeded in weighing objects as small

\* See *Phil. Mag.*, XIX (1910), 216; XXI (1911), 757.

as one-millionth of a milligram, that is, they pushed the limit of the weighable down about ten thousand times. The work which we are now considering pushed it down at least ten thousand times farther and made it possible to weigh accurately bodies so small as not to be visible at all to the naked eye. For it is only necessary to float such a body in the air, render it visible by reflected light in an ultra-microscope arrangement of the sort we were using, charge it electrically by the capture of ions, count the number of electrons in its charge by the method described, and then vary the potential applied to the plates or the charge on the body until its weight is just balanced by the upward pull of the field. The weight of the body is then exactly equal to the product of the known charge by the strength of the electric field. We made all of our weighings of our drops and the determination of their radii in this way as soon as we had located  $e$  with a sufficient degree of precision to warrant it.<sup>1</sup> Indeed, even before  $e$  is very accurately known it is possible to use such a balance for a fairly accurate evaluation of the radius of a spherical drop. For when we replace  $m$  in (18) by  $4/3\pi a^3(\sigma-\rho)$  and solve for  $a$  we obtain

$$a = \sqrt[3]{\frac{3Fe_n}{4\pi g(\sigma-\rho)} \frac{v_1}{v_1+v_2}} \dots\dots\dots (19)$$

The substitution in this equation of an approximately correct value of  $e$  yields  $a$  with an error but one-third as great as that contained in the assumed value of  $e$ , for  $a$  is seen from this equation to vary as the cube root of  $e$ . This is the method which, in view of the accurate evalua-

<sup>1</sup> *Phys. Rev.*, II (1913), 117. This paper was read before the Deutsche physikalische Gesellschaft in Berlin in June, 1912.

tion of  $e$ , it is now desirable to use for the determination of the weight or dimensions of any minute body, for the method is quite independent of the nature of the body or of the medium in which it is immersed. Indeed, it constitutes as direct and certain a weighing of the body as though it were weighed on a mechanical balance.

# VI. THE EVALUATION OF $e$ AND $A$

With  $e_1$  and  $\frac{l}{a}$  known, we can easily determine  $e$  and  $A$  from the equation

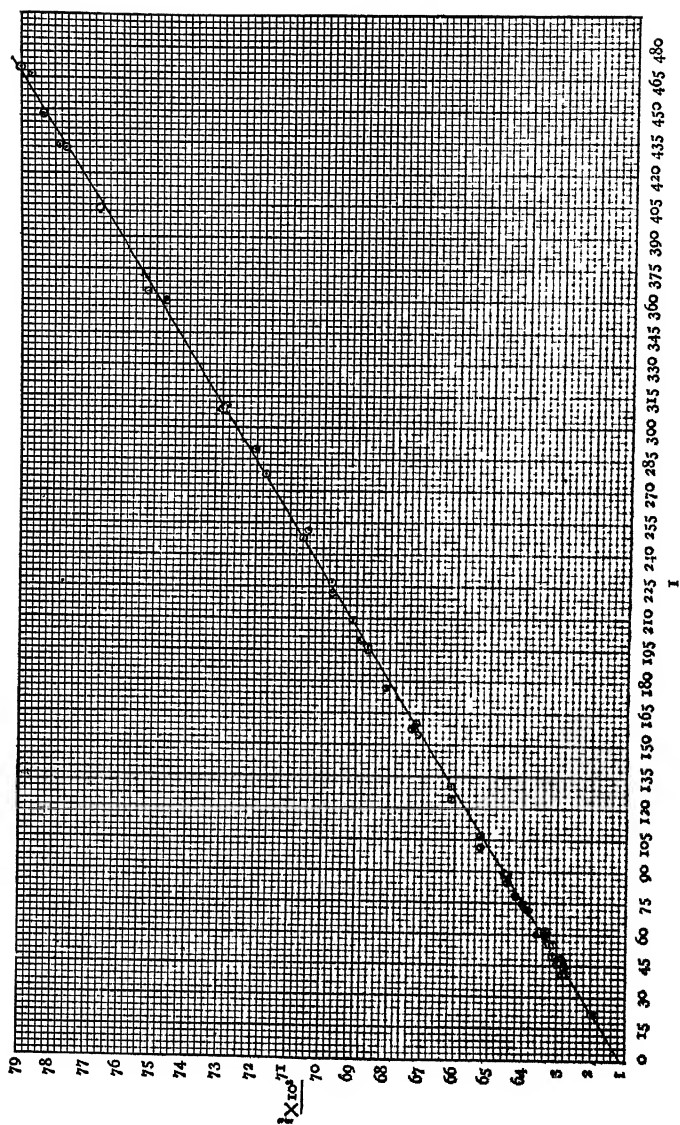
$$e = \frac{e_1}{\left(1 + A \frac{l}{a}\right)^{\frac{1}{2}}}$$

for if we write this equation in the form

$$e^2 \left(1 + A \frac{l}{a}\right) = e_1^2 \dots\dots\dots (20)$$

and then plot the observed values of  $e_1$  as ordinates and the corresponding values of  $\frac{l}{a}$  as abscissae we should get a straight line, provided our corrected form of Stokes's Law (15) (p. 101) is adequate for the correct representation of the phenomena of fall of the droplets within the range of values of  $\frac{l}{a}$  in which the experiments lie. If no such linear relation is found, then an equation of the form of (15) is not adequate for the description of the phenomena within this range. As a matter of fact, a linear relation was found to exist for a much wider range of values of  $\frac{l}{a}$  than was anticipated would be the case. The





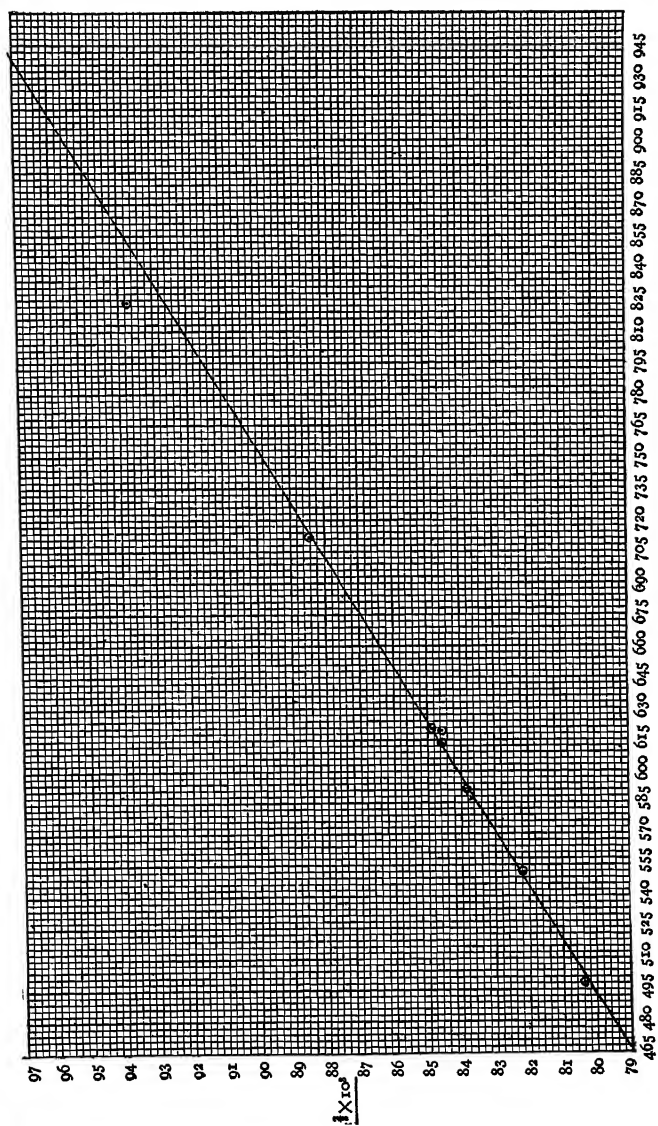


FIG. 6

intercept of this line on the axis of ordinates, that is, the value of  $e_1$  when  $\frac{l}{a}=0$  is seen from (20) to be  $e^{\frac{1}{2}}$ , and we have but to raise this to the  $3/2$  power to obtain the absolute value of  $e$ . Again,  $A$  is seen from (20) to be merely the slope of this line divided by the intercept on the  $e_1^{\frac{2}{3}}$  axis.

In order to carry this work out experimentally it is necessary to vary  $\frac{l}{a}$  and find the corresponding values of  $e_1$ . This can be done in two ways. First, we may hold the pressure constant and choose smaller and smaller drops with which to work, or we may work with drops of much the same size but vary the pressure of the gas in which our drops are suspended, for the mean free path  $l$  is evidently inversely proportional to the pressure.

Both procedures were adopted, and it was found that a given value of  $e_1$  always corresponded to a given value of  $\frac{l}{a}$ , no matter whether  $l$  was kept constant and  $a$  reduced to, say, one-tenth of its first value, or  $a$  kept about the same and  $l$  multiplied tenfold. The result of one somewhat elaborate series of observations which was first presented before the Deutsche physikalische Gesellschaft in June, 1912, and again before the British Association at Dundee in September, 1912,<sup>1</sup> is shown in Figs. 5 and 6. The numerical data from which these curves are plotted are given fairly fully in Table IX. It will be seen that this series of observations embraces a study of 58 drops. These drops represent all of those studied for 60 consecutive days, no single one being

<sup>1</sup> *Phys. Rev.*, II (1913), 136.

TABLE IX

No.	Temp. °C.	P.D. (Volts)	$t_g$ (Sec.)	$v_i$ cm./sec.	$(v_i + v_e)_0$	$n$	$e \times 10^{13}$ cm.	$\phi$ (cm. Hg)	$\frac{1}{\phi a}$	$\frac{1}{a}$	$e_i \times 10^{13}$	$e^2 \times 10^4$
1....	23.00	5.168	4.363	.2337	.003203	77-102	58.56	75.80	22.52	.01615	61.90	61.14
2....	22.80	5.120	8.492	.1202	.004070	27-36	32.64	75.00	40.85	.02033	62.82	61.26
3....	22.46	5.100	9.905	.1032	.004906	22-27	30.29	73.71	44.88	.03112	62.75	61.04
4....	22.85	5.163	10.758	.09489	.003211	18-36	28.94	75.20	45.02	.03388	63.00	61.24
5....	23.08	5.072	10.665	.09275	.003176	20-30	20.14	73.25	46.85	.03353	62.82	61.13
6....	22.82	5.085	11.880	.08584	.003497	17-24	27.54	75.62	48.11	.03437	62.93	61.09
7....	23.70	5.090	11.950	.08368	.003480	19-22	27.57	75.10	48.44	.03466	62.82	61.07
8....	23.50	5.158	12.540	.08141	.003623	16-19	26.90	75.30	49.52	.03544	63.12	61.23
9....	22.87	5.139	13.562	.07375	.003962	19-23	25.71	75.00	51.73	.03702	63.13	61.02
10....	23.25	5.015	15.380	.06041	.006174	13-22	24.31	76.27	54.09	.03871	63.12	61.00
11....	23.01	5.066	15.103	.06720	.006087	11-14	24.36	73.90	55.52	.03973	63.24	61.10
12....	23.00	5.080	15.085	.06375	.006416	12-16	23.70	75.14	56.15	.04018	63.35	61.06
13....	23.00	5.024	15.695	.05403	.006873	9-15	21.01	76.06	59.04	.04390	63.33	61.21
14....	23.00	5.077	18.730	.05451	.006988	8-16	21.85	75.28	60.78	.04348	63.53	61.07
15....	23.85	5.078	18.050	.05274	.006966	8-18	21.78	75.24	61.03	.04368	63.54	61.21
16....	23.70	5.103	18.738	.05449	.007005	9-16	21.87	74.68	61.33	.04390	63.54	61.21
17....	23.06	5.060	18.415	.05545	.006890	9-18	22.06	73.47	61.69	.04411	63.43	61.08
18....	22.83	5.093	26.130	.03907	.008339	5-13	18.45	75.54	71.74	.05134	63.82	61.08
19....	22.95	5.033	28.568	.03570	.008651	5-9	17.63	75.87	74.77	.05350	64.00	61.12
20....	23.00	5.094	9.480	.10772	.005058	23-32	30.54	41.77	85.08	.06012	64.22	61.23
21....	23.08	5.018	35.253	.02693	.009600	4-11	15.80	74.32	88.70	.06089	64.36	61.11
22....	23.22	5.005	40.542	.02515	.010332	3-9	14.75	70.42	89.35	.06350	64.40	61.01
23....	22.76	5.008	39.000	.02554	.010510	3-6	14.85	75.40	89.35	.06395	64.59	61.18
24....	23.16	5.050	12.466	.08589	.005806	15-28	26.44	37.10	101.2	.07283	65.24	61.35
25....	23.08	5.066	15.157	.06737	.006399	12-17	24.01	38.95	107.2	.07660	65.28	61.20
26....	23.20	4.572	7.826	.12060	.004324	33-40	33.07	24.33	124.4	.08892	66.06	61.31
27....	23.18	4.570	9.408	.1085	.004730	23-29	30.23	25.37	130.4	.08930	66.16	61.18
28....	23.00	5.145	84.470	.01211	.01595	1-4	10.10	75.83	130.3	.09322	66.14	61.16
29....	22.99	5.073	23.223	.04393	.008488	6-12	19.06	33.47	156.8	.1117	67.36	61.37

Mean = 61.120

Mean = 61.138

TABLE IX—Continued

No.	Tem. °C.	P.D. (Volts)	$t_g$ (Sec.)	$v^*$ cm./sec.	$(v_1 + v_2)_0$	$n$	$a \times 10^4$ cm.	$p$ (cm. Hg)	$\frac{1}{p\lambda}$	$\frac{l}{\delta}$	$e_1 \times 10^{10}$	$e_2 \times 10^4$	$e^3 \times 10^4$
30....	23.19	5.090	26.830	.03801	.009111	5-10	17.77	35.18	160.2	.1147	5.507	67.18	61.06
31....	22.89	5.098	38.479	.02649	.011180	3-5	14.71	35.51	176.5	.1203	5.621	68.12	61.38
32....	23.06	5.070	14.060	.07246	.006762	12-17	21.30	31.12	195.0	.1394	5.692	68.67	61.22
33....	23.07	4.582	18.220	.05601	.006981	10-13	21.33	23.86	190.6	.1405	5.687	68.64	61.13
34....	23.06	5.001	38.010	.02682	.011205	3-8	14.72	34.01	199.8	.1499	5.714	68.84	61.20
35....	23.00	4.246	9.265	.11032	.004653	27-34	20.84	16.00	200.5	.1490	5.730	69.07	61.07
36....	22.01	4.216	0.870	.10340	.004863	24-28	28.74	15.67	222.0	.1589	5.820	69.71	61.23
37....	23.06	4.236	12.040	.08496	.005362	18-24	26.27	16.75	227.5	.1625	5.821	69.72	61.03
38....	22.94	4.256	10.657	.09381	.005109	32-43	27.49	14.70	247.5	.1771	5.935	70.61	61.16
39....	23.00	5.054	19.950	.05115	.008370	8-15	20.12	18.53	251.8	.1802	6.010	70.41	60.79
40....	23.09	5.058	21.130	.04830	.008865	7-9	18.38	18.74	278.3	.1903	6.076	71.72	61.09
41....	23.05	5.062	24.008	.04254	.009496	6-8	18.16	19.01	286.6	.2073	6.110	72.03	60.97
42....	22.94	4.238	18.347	.05304	.007110	9-17	20.60	15.72	308.8	.2210	6.224	73.04	61.24
43....	23.18	3.254	13.909	.07340	.004729	16-28	23.70	13.55	311.0	.2227	6.214	72.83	60.95
44....	23.04	4.231	29.114	.03503	.009273	5-9	16.16	17.17	360.6	.2570	6.466	74.77	61.00
45....	22.97	3.317	20.776	.03425	.007430	5-12	15.00	17.27	364.2	.2606	6.537	75.30	61.39
46....	22.81	3.401	25.009	.03937	.007311	6-19	16.90	14.68	403.3	.2886	6.719	76.71	61.30
47....	22.83	2.550	12.891	.07921	.003935	18-42	23.80	9.70	432.8	.3097	6.841	77.66	61.13
48....	22.80	2.559	32.326	.03150	.000286	7-14	15.01	15.35	433.8	.3104	6.866	77.85	61.28
49....	23.02	3.370	14.083	.06815	.011353	8-9	22.00	10.10	448.8	.3221	6.936	78.36	61.22
50....	23.45	2.535	11.659	.08757	.003783	25-30	24.88	8.60	466.7	.3340	6.978	78.67	60.85
51....	23.48	2.539	10.924	.09346	.003015	27-34	25.60	8.26	470.7	.3368	7.024	79.02	61.04
52....	22.98	3.351	50.400	.02021	.010775	2-6	11.83	16.95	498.5	.3568	7.210	80.40	61.36
53....	23.16	2.451	33.370	.03955	.006623	5-10	14.30	12.61	551.3	.3945	7.470	82.10	61.13
54....	23.46	2.533	19.227	.05347	.003314	11-17	18.87	9.03	587.8	.4112	7.661	83.73	61.18
55....	22.60	2.540	24.254	.04206	.006641	9-16	16.72	10.11	591.5	.4233	7.672	84.32	61.22
56....	23.21	1.700	5.058	.20256	.001861	117-136	36.53	4.46	614.2	.4366	7.777	84.57	61.11
57....	23.12	2.321	15.473	.06590	.004360	18-24	20.85	7.74	610.7	.4435	7.774	84.54	60.87
58....	23.03	3.388.5	24.33	.04196	.008183	6-10	16.62	9.070	620.2	.4439	7.810	84.83	61.14

Mean of all numbers in last column = 61.138

Mean of first 23 numbers = 61.120

omitted. They represent a thirty-fold variation in  $\frac{l}{a}$  (from .016, drop No. 1, to .444, drop No. 58), a seventeen-fold variation in the pressure  $p$  (from 4.46 cm., drop No. 56, to 76.27 cm., drop No. 10), a sixfold variation in  $a$  (from  $10.10 \times 10^{-5}$  cm., drop No. 28, to  $58.56 \times 10^{-5}$  cm., drop No. 1), and a variation in the

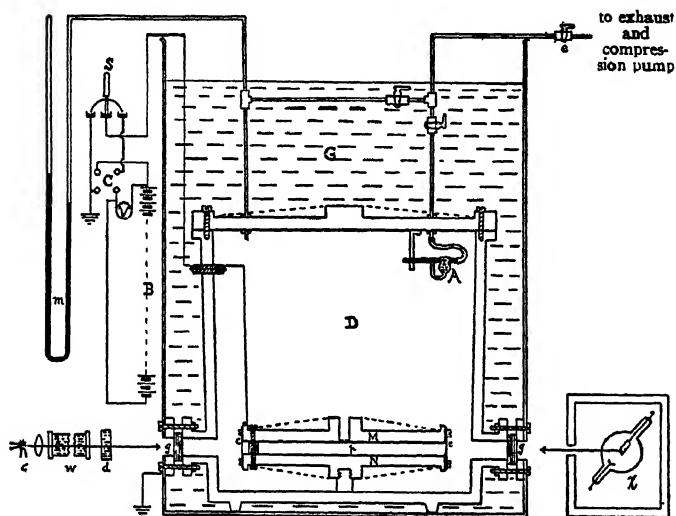


FIG. 7

number of free electrons carried by the drop from 1 on drop No. 28 to 136 on drop No. 56.

The experimental arrangements are shown in Fig. 7. The brass vessel  $D$  was built for work at all pressures up to 15 atmospheres, but since the present observations have to do only with pressures from 76 cm. down, these were measured with a very carefully made mercury manometer  $m$ , which at atmospheric pressure gave precisely

the same reading as a standard barometer. Complete stagnancy of the air between the condenser plates *M* and *N* was attained, first, by absorbing all of the heat rays from the arc *A* by means of a water cell *w*, 80 cm. long, and a cupric chloride cell *d*, and, secondly, by immersing the whole vessel *D* in a constant temperature bath *G* of gas-engine oil (40 liters), which permitted, in general, fluctuations of not more than  $.02^{\circ}\text{C.}$  during an observation. This constant-temperature bath was found essential if such consistency of measurement as is shown here was to be obtained. A long search for causes of slight irregularity revealed nothing so important as this, and after the bath was installed all of the irregularities vanished. The atomizer *A* was blown by means of a puff of carefully dried and dust-free air introduced through cock *e*. The air about the drop *p* was ionized when desired, or electrons discharged directly from the drop, by means of Röntgen rays from *X*, which readily passed through the glass window *g*. To the three windows *g* (two only are shown) in the brass vessel *D* correspond, of course, three windows in the ebonite strip *c*, which encircles the condenser plates *M* and *N*. Through the third of these windows, set at an angle of about  $28^{\circ}$  from the line *Xpa* and in the same horizontal plane, the oil drop is observed through a short-focus telescope having a scale in the eyepiece to make possible the exact measurement of the speeds of the droplet-star.

In plotting the actual observations I have used the reciprocal of the pressure  $\frac{1}{p}$  in place of *l*, for the reason that *l* is a theoretical quantity which is necessarily proportional to  $\frac{1}{p}$ , while *p* is the quantity actually measured.

This amounts to writing the correction-term to Stokes's Law in the form  $\left(1 + \frac{b}{pa}\right)$  instead of in the form  $1 + A\frac{l}{a}$  and considering  $b$  the undetermined constant which is to be evaluated, as was  $A$  before, by dividing the slope of our line by its  $y$ -intercept.

Nevertheless, in view of the greater ease of visualization of  $\frac{l}{a}$  all the values of this quantity corresponding to successive values of  $\frac{1}{pa}$  are given in Table IX. Fig. 5 shows the graph obtained by plotting the values of  $e_r$  against  $\frac{1}{pa}$  for the first 51 drops of Table IX, and Fig. 6 shows the extension of this graph to twice as large values of  $\frac{1}{pa}$  and  $e_r$ . It will be seen that there is not the slightest indication of a departure from a linear relation between  $e_r$  and  $\frac{1}{pa}$  up to the value  $\frac{1}{pa} = 620.2$ , which corresponds to a value of  $\frac{l}{a}$  of .4439 (see drop No. 58, Table IX).

Furthermore, the scale used in the plotting is such that a point which is one division above or below the line in Fig. 5 represents in the mean an error of 2 in 700. *It will be seen from Figs. 5 and 6 that there is but one drop in the 58 whose departure from the line amounts to as much as 0.5 per cent. It is to be remarked, too, that this is not a selected group of drops, but represents all of the drops experimented upon during 60 consecutive days, during which time the apparatus was taken down several times and set up anew. It is certain, then, that an equation of the form (15) holds very accurately up to*



$\frac{l}{a} = .4$ . The last drop of Fig. 6 seems to indicate the beginning of a departure from this linear relationship. Since such departure has no bearing upon the evaluation of  $e$ , discussion of it will not be entered into here, although it is a matter of great interest for the molecular theory.

Attention may also be called to the completeness of the answers furnished by Figs. 5 and 6 to the question raised in chap. iv as to a possible dependence of the drag which the medium exerts on the drop upon the amount of the latter's charge; also, as to a possible variation of the density of the drop with its radius. Thus drops Nos. 27 and 28 have practically identical values of  $\frac{1}{pa}$ , but while No. 28 carries, during part of the time, but 1 unit of charge (see Table IX), drop No. 27 carries 29 times as much and it has about 7 times as large a diameter. Now, if the small drop were denser than the large one, or if the drag of the medium upon the heavily charged drop were greater than its drag upon the one lightly charged, then for both these reasons drop No. 27 would move more slowly relatively to drop No. 28 than would otherwise be the case, and hence  $e_r$  for drop No. 27 would fall below  $e_r$  for drop No. 28. Instead of this the two  $e_r$  fall so nearly together that it is impossible to represent them on the present scale by two separate dots. Drops Nos. 52 and 56 furnish an even more striking confirmation of the same conclusion, for both drops have about the same value for  $\frac{l}{a}$  and both are exactly on the line, though drop No. 56 carries at one time 68 times as heavy a charge as drop No. 52 and has three times as large a radius. In general, the fact that

Figs. 5 and 6 show no tendency whatever on the part of either the very small or the very large drops to fall above or below the line is experimental proof of the joint correctness of the assumptions of constancy of drop-density and independence of drag of the medium on the charge on the drop.

The values of  $e^{\frac{1}{2}}$  and  $b$  obtained graphically from the  $y$ -intercept and the slope in Fig. 5 are  $e^{\frac{1}{2}} = 61.13 \times 10^{-8}$  and  $b = .000625$ ,  $p$  being measured, for the purposes of Fig. 5 and of this computation in centimeters of Hg at  $23^{\circ}$  C. and  $a$  being measured in centimeters. The value of  $A$  in equations 15 and 16 (p. 101) corresponding to this value of  $b$  is .874.

Instead, however, of taking the result of this graphical evaluation of  $e$ , it is more accurate to reduce each of the observations on  $e_1$  to  $e$  by means of the foregoing value of  $b$  and the equation

$$e^{\frac{1}{2}} \left( 1 + \frac{b}{pa} \right) = e_1^{\frac{1}{2}}$$

The results of this reduction are contained in the last column of Table IX. These results illustrate very clearly the sort of consistency obtained in these observations. *The largest departure from the mean value found anywhere in the table amounts to 0.5 per cent and "the probable error" of the final mean value computed in the usual way is 16 in 61,000.*

Instead, however, of using this final mean value as the most reliable evaluation of  $e$ , it was thought preferable to make a considerable number of observations at atmospheric pressure on drops small enough to make  $t_2$  determinable with great accuracy and yet large enough so that the whole correction term to Stokes's Law

amounted to but a small percentage, since in this case, even though there might be a considerable error in the correction-term constant  $b$ , such error would influence the final value of  $e$  by an inappreciable amount. The first 23 drops of Table IX represent such observations. It will be seen that they show slightly greater consistency than do the remaining drops in the table and that the correction-term reductions for these drops all lie between 1.3 per cent (drop No. 1) and 5.6 per cent (drop No. 23), so that even though  $b$  were in error by as much as 3 per cent (its error is actually not more than 1.5 per cent),  $e$  would be influenced by that fact to the extent of but 0.1 per cent. The mean value of  $e^2$  obtained from the first 23 drops is  $61.12 \times 10^{-8}$ , a number which differs by 1 part in 3,400 from the mean obtained from all the drops.

When correction is made for the fact that the numbers in Table IX were obtained on the basis of the assumption  $\eta = .0001825$ , instead of  $\eta = .00018324$  (see Section II), which was the value of  $\eta_{23}$  chosen in 1913 when this work was first published, the final mean value of  $e^2$  obtained from the first 23 drops is  $61.367 \times 10^{-8}$ . This corresponds to

$$e = 4.807 \times 10^{-10} \text{ electrostatic units.}$$

I have already indicated that as soon as  $e$  is known it becomes possible to find with the same precision which has been attained in its determination the exact number of molecules in a given weight of any substance, the absolute weight of any atom or molecule, the average kinetic energy of agitation of an atom or molecule at any temperature, and a considerable number of other

important molecular and radioactive constants. In addition, it has recently been found that practically all of the important radiation constants like the wavelengths of X-rays, Planck's  $h$ , the Stefan-Boltzmann constant  $\sigma$ , the Wien constant  $c_2$ , etc., depend for their most reliable evaluation upon the value of  $e$ . In a word,  $e$  is increasingly coming to be regarded, *not only as the most fundamental of physical or chemical constants, but also the one of most supreme importance for the solution of the numerical problems of modern physics*. It seemed worth while, therefore, to drive the method herewith developed for its determination to the limit of its possible precision. Accordingly, in 1914 I built a new condenser having surfaces which were polished optically and made flat to within two wave-lengths of sodium light. These were 22 cm. in diameter and were separated by 3 pieces of echelon plates, 14.9174 mm. thick, and all having optically perfect plane-parallel surfaces. The dimensions of the condenser, therefore, no longer introduced an uncertainty of more than about 1 part in 10,000. The volts were determined after each reading in terms of a Weston standard cell and are uncertain by no more than 1 part in 3,000. The times were obtained from an exceptionally fine printing chronograph built by William Gaertner & Co. It is controlled by a standard astronomical clock and prints directly the time to hundredths of a second. All the other elements of the problem were looked to with a care which was the outgrowth of five years of experience with measurements of this kind. The present form of the apparatus is shown in diagram in Fig. 8, and in Fig. 9 is shown a photograph taken before the enclosing oil tank had been added. This work

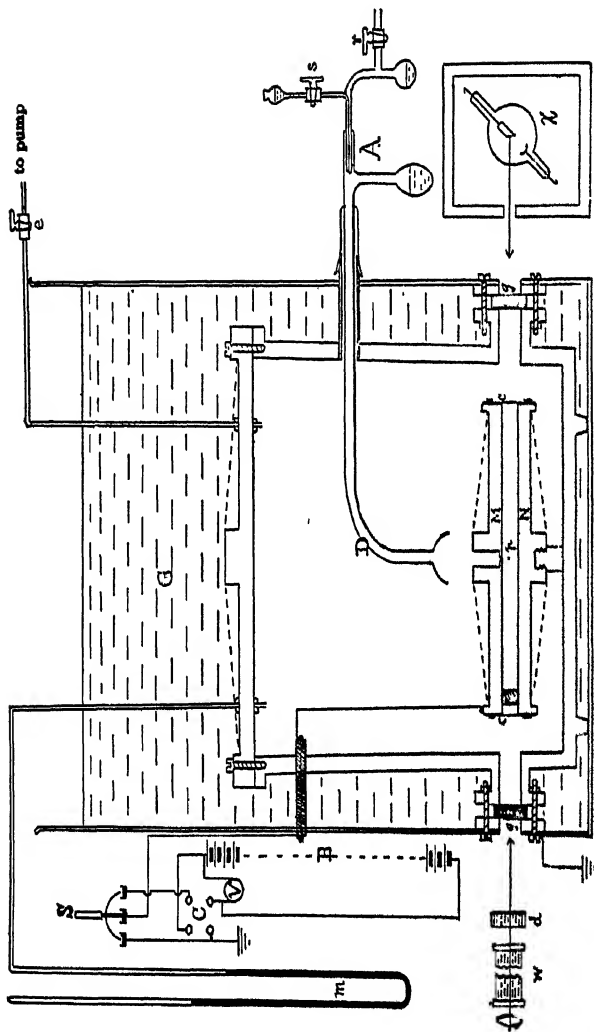


FIG. 8.—*A*, atomizer through which the oil spray is blown into the cylindrical vessel *D*. *C*, oil tank to keep the temperature constant. *M* and *N*, circular brass plates, electrical field produced by throwing on 10,000-volt battery *B*. Light from arc lamp *A* after heat rays are removed by passage through *w* and *d*, enters chamber through glass window *g* and illuminates droplet *p* between plates *M* and *N* through the pinhole in *M*. Additional ions are produced about *p* by  $\gamma$ -rays from the bulb *X*.

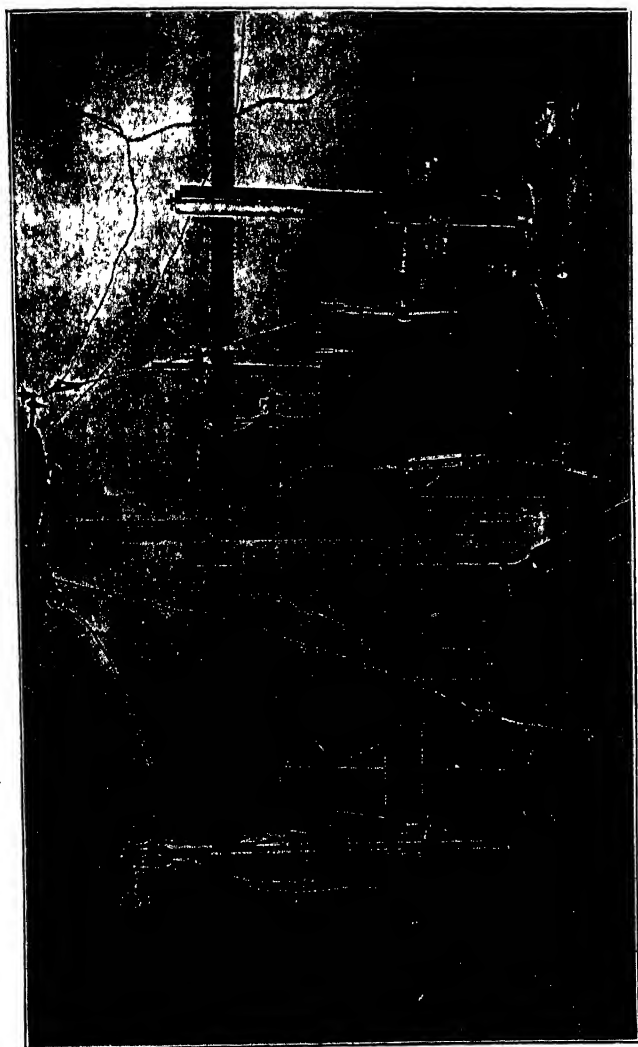


FIG. 9

was concluded in August, 1916, and occupied the better part of two years of time. The final table of results and the corresponding graph are given in Table X and in Fig. 10. The final value of  $e$  computed on the basis  $\eta_{23} = .0001824$  is seen to be now  $16.126 \times 10^{-8}$ , which reduces to  $61.407 \times 10^{-8}$  when computed on the basis of the more reliable value given on page 95, namely,  $\eta_{23} = .00018324$ . This is .06 per cent, or 1 part in 1,600, higher than the value found in 1913. This shows to what extent oil-drop results *by themselves*, when freed from the uncertainties in  $\eta$ , have been duplicated with new apparatus and with a completely new determination of all the factors involved in the oil-drop setup as such.

The original 1913 determination was made in terms of the so-called "electrostatic international" units; and since that time, steps have been on foot to bring these more nearly into coincidence with the "absolute units," the values of which have been very greatly improved in recent years.<sup>1</sup> Thus, in 1913 I took the speed of light,<sup>2</sup> which entered into my calculation, as  $2.9990 \times 10^{10}$ ; but Michelson's latest determination gives it as 2.99774. The difference amounts to .042 per cent and reduces by that percentage the value of  $e$ . Furthermore, the change in the value of the ohm<sup>3</sup> reduced it further by .05 per cent. These changes, amounting to .09 per cent, just happen to lower the value of  $e$  by the percentage (viz.,  $.06 \times \frac{3}{2}$ ) by which the 1917 determination of  $e$  exceeds that of 1913 and therefore *yields to four places for the final oil-drop value of  $e$  the same figure, namely,*

$$e = 4.807 \pm .005 \times 10^{-10} \text{ absolute electrostatic units.}$$

<sup>1</sup> See Millikan, *Phil. Mag.*, July, 1917; *Phys. Rev.*, XXXV (1930), 1231; and especially Birge, *Amer. Jour. of Physics*, XIII (1945), 63.

Again, if  $N_0$  is the number of molecules in a gram-molecule (the so-called "Avogadro number"), then by definition  $eN_0 = F$ , where  $F$  is "the Faraday constant," which in terms of the silver voltameter is 9648.9 absolute electromagnetic units of electricity (see p. 160). It was through this relation that the oil-drop measurement of  $e$  first made it possible to obtain  $N_0$  accurately and indeed thus *to count the number of molecules in any given weight of any substance*. Now, however, through the development in the 1920's (see p. 283) of extreme ultra-violet, "hot-spark" spectroscopy, very recently carried forward with consummate precision at Uppsala, Sweden, it has become possible to compare the grating space in crystal gratings with that in mechanically ruled gratings and thus to count  $N_0$  directly *with spectroscopic precision* and thus get  $e$  from the above relation with much better than oil-drop precision. The best Uppsala work thus gives

$$N_0 = (6.023 \pm .003) 10^{23} \text{ and } e = (4.803 \pm .005) 10^{-10} \text{ e.s.u.}$$

It will be seen that the oil-drop  $e$  is within my original estimate of its precision.

Perhaps these numbers have little significance to the general reader who is familiar with no electrical units save those in which his monthly light bills are rendered. If these latter seem excessive, it may be cheering to reflect that the number of electrons contained in the quantity of electricity which courses every second through a common sixteen-candle-power electric-lamp filament, and for which we pay 1/100,000 of 1 cent, is so large that if all the two and one-half million inhabitants of Chicago were to begin to count out these electrons and were to keep on counting them out each at the rate of two a second, and if no one of them were ever to stop to eat, sleep, or die,



TABLE X

No.	Tem. ° C.	P.D. (Volts)	$t_g$ (Sec.)	$\frac{e^2}{cm. Sec.}$	$n$	$a \times 10^4$ cm.	$p$ (cm. Hg)	$\frac{1}{\rho}$	$\frac{l}{a}$	$e^2 \times 10^4$	$e^2 \times 10^4$
1.....	23.07	6.650	16.50	.06104	7-13	23.40	74.49	57.45	.04111	63.21	61.03
2.....	23.00	6.100	16.76	.06090	8-11	23.22	75.00	57.5	.04115	63.204	61.03
3.....	23.05	5.308	19.73	.05180	7-15	21.34	74.49	63.0	.04509	63.54	61.16
4.....	23.08	4.132	37.82	.02703	4-6	15.33	75.37	86.7	.06205	64.27	60.97
5.....	23.06	4.661	40.00	.02521	3-6	14.84	75.00	90.6	.06484	64.63	61.21
6.....	23.12	4.111	51.53	.01983	3-4	13.05	75.77	101.3	.06502	65.02	61.19
7.....	23.08	5.299	51.48	.01985	2-5	13.05	74.98	102.4	.07329	65.07	61.20
8.....	23.01	6.661	56.06	.01833	2-3	12.50	75.04	106.3	.07808	65.13	61.11
9.....	23.00	6.082	59.14	.01728	1-4	12.37	75.04	109.7	.07850	65.10	61.05
10.....	23.10	4.077	57.46	.01779	3-8	12.34	75.67	107.3	.07680	65.21	61.16
11.....	23.13	4.663	16.58	.06105	10-12	22.72	29.26	150.6	.1078	66.70	61.01
12.....	23.11	4.661	20.18	.03502	5-7	17.08	36.61	160.1	.1146	67.12	61.07
13.....	22.98	4.687	18.81	.05432	8-10	21.26	30.27	155.6	.1114	67.14	61.26
14.....	23.12	4.651	47.65	.02145	2-7	13.20	36.80	206.4	.1477	68.90	61.11
15.....	23.10	4.648	32.72	.03129	4-6	15.92	31.35	200.7	.1437	68.97	61.39
16.....	23.15	3.393	18.34	.05572	12-16	11.11	20.58	227.8	.1630	69.88	61.27
17.....	23.12	4.669	40.82	.02204	2-4	13.12	20.10	262.4	.1878	70.85	60.94
18.....	23.12	4.661	26.62	.03810	5-7	17.32	20.54	281.4	.2014	71.60	60.98
19.....	23.10	3.339	14.10	.07449	15-19	23.00	13.24	321.4	.2207	73.34	61.20
20.....	23.14	4.682	39.74	.02065	3-5	11.00	20.72	345.4	.2472	74.27	61.22
21.....	23.14	3.350	18.30	.05585	10-13	20.47	13.62	359.1	.2570	74.54	60.97
22.....	23.00	3.370	43.88	.02329	3-6	13.17	20.47	371.5	.2659	75.00	60.97
23.....	23.13	3.361	46.90	.02179	3-6	12.90	20.74	380.6	.2724	75.62	61.24
24.....	23.09	3.345	10.65	.05201	0-12	10.65	13.12	388.5	.2781	75.92	61.24
25.....	23.15	3.344	26.76	.03819	6-9	16.57	13.80	438.3	.3137	77.74	61.18

Mean = 61.126.

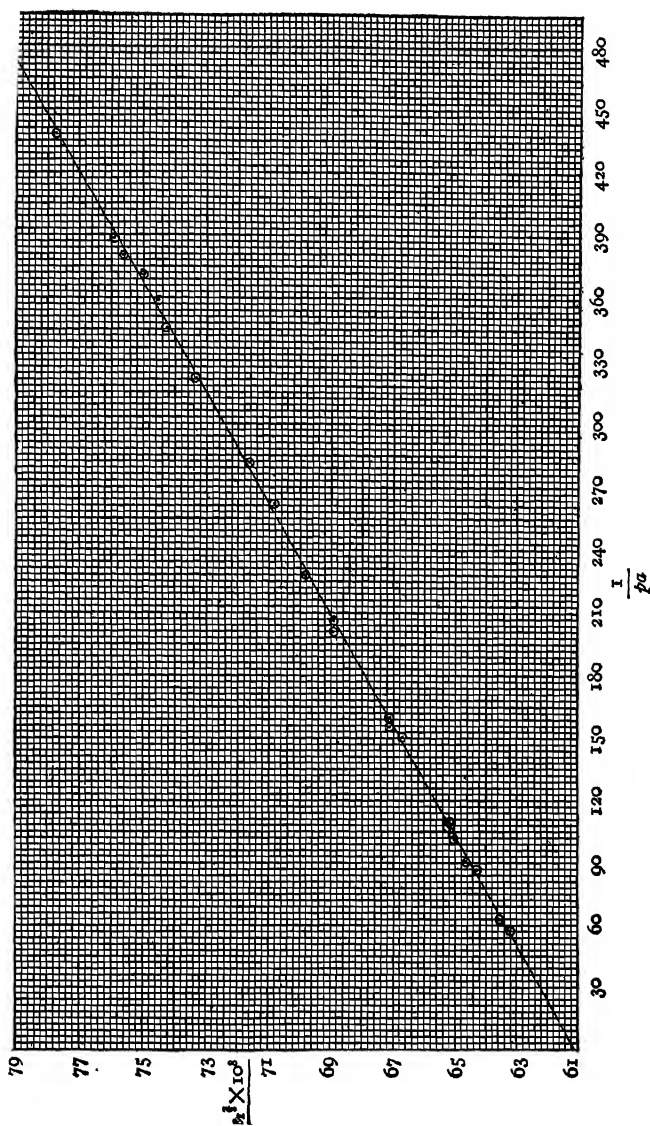


FIG. 10

it would take them just twenty thousand years to finish the task.

Let us now review, with Figs. 5 and 10 before us, the essential elements in the measurement of  $e$ . We discover, first, that electricity is atomic, and we measure the electron in terms of a characteristic speed for each droplet. To reduce these speed units to electrical terms, and thus obtain an absolute value of  $e$ , it is necessary to know how in a given medium and in a given field the speed due to a given charge on a drop is related to the size of the drop. This we know accurately from Stokes's theory and Arnold's experiments when the holes in the medium, that is, when the values of  $\frac{l}{a}$  are negligibly small, but when  $\frac{l}{a}$  is large we know nothing about it. *Consequently there is but one possible way to evaluate  $e$ , namely, to find experimentally how the apparent value of  $e$ , namely,  $e_x$ , varies with  $\frac{l}{a}$  or  $\frac{1}{pa}$ , and from the graph of this relation to find what value  $e_x$  approaches as  $\frac{l}{a}$  or  $\frac{1}{pa}$  approaches zero.* So as to get a linear relation we find by analysis that we must plot  $e_x^{\frac{1}{2}}$  instead of  $e_x$  against  $\frac{l}{a}$  or  $\frac{1}{pa}$ . We then get  $e$  from the intercept of an experimentally determined straight line on the  $y$ -axis of our diagram. This whole procedure amounts simply to reducing our drop-velocities to what they would be if the pressure were so large or  $\frac{1}{pa}$  so small that the holes in the medium were all closed up. *For this case and for this case alone we know both from Stokes's and Arnold's work exactly the law of motion of the droplet.*

## CHAPTER VI

### THE MECHANISM OF IONIZATION OF GASES BY X-RAYS AND RADIUM RAYS

#### I. EARLY EVIDENCE

Up to the year 1908 the only experiments which threw any light whatever upon the question as to what the act of ionization of a gas consists in were those performed by Townsend<sup>1</sup> in 1900. He had concluded from the theory given on p. 34 and from his measurements on the diffusion coefficients and the mobilities of gaseous ions that both positive and negative ions in gases carry unit charges. This conclusion was drawn from the fact that the value of  $ne$  in the equation  $ne = \frac{v_0 P}{D}$  came out about  $1.23 \times 10^{10}$  electrostatic units, as it does in the electrolysis of hydrogen.

In 1908, however, Townsend<sup>2</sup> devised a method of measuring directly the ratio  $\frac{v_0}{D}$  and revised his original conclusions. His method consisted essentially in driving ions by means of an electric field from the region between two plates *A* and *B* (Fig. 11), where they had been produced by the direct action of X-rays, through the gauze in *B*, and observing what fraction of these ions was driven by a field established between the plates *B* and *C* to the central disk *D* and what fraction drifted by virtue of diffusion to the guard-ring *C*.

<sup>1</sup> *Phil. Trans.*, CXCIII (1900), 129.

<sup>2</sup> *Proc. Roy. Soc.*, LXXX (1908), 207.

By this method Townsend found that  $ne$  for the negative ions was accurately  $1.23 \times 10^{10}$ , but for the positive ions it was  $2.41 \times 10^{10}$ . From these results the conclusion was drawn that in X-ray ionization *all* of the positive ions are bivalent, i.e., presumably, that the act of ionization by X-rays consists in the detachment from a neutral molecule of two elementary electrical charges.

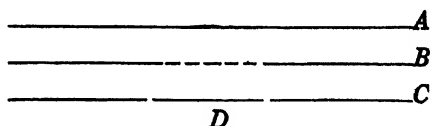


FIG. 11

Townsend accounted for the fact that his early experiments had not shown this high value of  $ne$  for the positive ions by the assumption that by the time the doubly charged positive ions in these experiments had reached the tubes in which  $D$  was measured, most of them had become singly charged through drawing to themselves the singly charged negative ions with which they were mixed. This hypothesis found some justification in the fact that in the early experiments the mean value of  $ne$  for the positive ions had indeed come out some 15 or 20 per cent higher than  $1.23 \times 10^{10}$ —a discrepancy which had at first been regarded as attributable to experimental errors, and which in fact might well be attributed to such errors in view of the discordance between the observations on different gases.

Franck and Westphal,<sup>1</sup> however, in 1909 redetermined  $ne$  by a slight modification of Townsend's original method, measuring both  $v'$  and  $D$  independently, and

<sup>1</sup> *Verh. deutsch. phys. Ges.*, March 5, 1909.

not only found, when the positive and negative ions are separated by means of an electric field so as to render impossible such recombination as Townsend suggested, that  $D$  was of exactly the same value as when they were not so separated, but also that  $ne$  for the positive ions produced by X-rays was but  $1.4 \times 10^{10}$  instead of  $2.41 \times 10^{10}$ . Since this was in fair agreement with Townsend's original mean, the authors concluded that only a small fraction—about 9 per cent—of the positive ions formed by X-rays are doubles, or other multiples, and the rest singles. In their experiments on the ionization produced by  $\alpha$ -rays,  $\beta$ -rays, and  $\gamma$ -rays, they found no evidence for the existence of doubly charged ions.

In summarizing, then, the work of these observers it could only be said that, although both Townsend and Franck and Westphal drew the conclusion that doubly charged ions exist in gases ionized by X-rays, there were such contradictions and uncertainties in their work as to leave the question unsettled. In gases ionized by other agencies than X-rays no one had yet found any evidence for the existence of ions carrying more than a single charge, except in the case of spark discharges from condensers. The spectra of these sparks revealed certain lines called enhanced lines which were thought to be due to doubly ionized atoms. Whether, however, these multiple charges were produced by a single ionizing act or by successive acts was completely unknown.

## II. OIL-DROP EXPERIMENTS ON VALENCY IN GASEOUS IONIZATION

The oil-drop method is capable of furnishing a direct and unmistakable answer to the question as to whether the act of ionization of a gas by X-rays or other agencies

consists in the detachment of one, of several, or of many electrons from a single neutral molecule. For it makes it possible to catch the residue of such a molecule practically at the instant at which it is ionized and to count directly the number of charges carried by that residue. The initial evidence obtained from this method seemed to favor the view that the act of ionization may consist in the detachment of quite a number of electrons from a single molecule, for it was not infrequently observed that a balanced oil drop would remain for several seconds unchanged in charge while X-rays were passing between the plates, and would then suddenly assume a speed which corresponded to a change of quite a number of electrons in its charge.

It was of course recognized from the first, however, that it is very difficult to distinguish between the practically simultaneous advent upon a drop of two or three separate ions and the advent of a doubly or trebly charged ion, but a consideration of the frequency with which ions were being caught in the experiments under consideration, a change occurring only once in, say, 10 seconds, seemed at first to render it improbable that the few double, or treble, or quadruple catches observed when the field was on could represent the simultaneous advent of separate ions. It was obvious, however, that the question could be conclusively settled by working with smaller and smaller drops. For the proportion of double or treble to single catches made in a field of strength between 1,000 and 6,000 volts per centimeter should be independent of the size of the drops if the doubles are due to the advent of doubly charged ions, while this proportion should decrease with the square of the radius

of the drop if the doubles are due to the simultaneous capture of separate ions.

Accordingly, Mr. Harvey Fletcher and the author,<sup>1</sup> suspended, by the method detailed in the preceding chapter, a very small positively charged drop in the upper part of the field between  $M$  and  $N$  (Fig. 12), adjusting either the charge upon the drop or the field strength until the drop was nearly balanced. We then produced beneath the drop a sheet of X-ray ionization. With the arrangement shown in the figure, in which  $M$

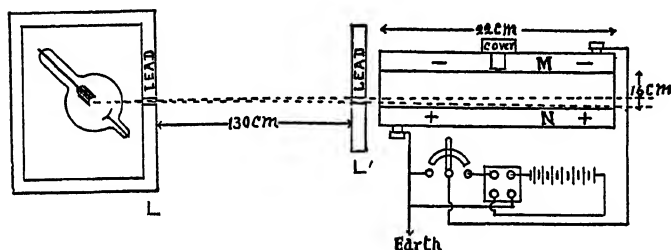


FIG. 12

and  $N$  are the plates of the condenser previously described, and  $L$  and  $L'$  are thick lead screens, the positive ions are thrown, practically at the instant of formation, to the upper plate. When one of them strikes the drop it increases the positive charge upon it, and the amount of the charge added by the ion to the drop can be computed from the observed change in the speed of the drop.

For the sake of convenience in the measurement of successive speeds a scale containing 70 equal divisions was placed in the eyepiece of the observing cathetometer telescope, which in these experiments produced a

<sup>1</sup> *Phil. Mag.*, XXIII (1911), 753.



magnification of about 15 diameters. The method of procedure was, in general, first, to get the drop nearly balanced by shaking off its initial charge by holding a little radium near the observing chamber, then, with a switch, to throw on the X-rays until a sudden start in the drop revealed the fact that an ion had been caught, then to throw off the rays and take the time required for it to move over 10 divisions, then to throw on the rays until another sudden quickening in speed indicated the capture of another ion, then to measure this speed and to proceed in this way without throwing off the field at all until the drop got too close to the upper plate, when the rays were thrown off and the drop allowed to fall under gravity to the desired distance from the upper plate. In order to remove the excess of positive charge which the drop now had because of its recent captures, some radium was brought near the chamber and the field thrown off for a small fraction of a second. As explained in preceding chapters, ions are caught by the drop many times more rapidly when the field is off than when it is on. Hence it was in general an easy matter to bring the positively charged drop back to its balanced condition, or indeed to any one of the small number of working speeds which it was capable of having, and then to repeat the series of catches described above. In this way we kept the same drop under observation for hours at a time, and in one instance we recorded 100 successive captures of ions by a given drop, and determined in each case whether the ion captured carried a single or a multiple charge.

The process of making this determination is exceedingly simple and very reliable. For, since electricity is

atomic in structure, there are only, for example, three possible speeds which a drop can have when it carries 1, 2, or 3 elementary charges, and it is a perfectly simple matter to adjust conditions so that these speeds are of such different values that each one can be recognized unfaillingly even without a stop-watch measurement. Indeed, the fact that electricity is atomic is in no way more beautifully shown than by the way in which, as reflected in Table XI, these relatively few possible working speeds recur. After all the possible speeds have been located it is only necessary to see whether one of them is ever skipped in the capture of a new ion in order to know whether or not that ion was a double. Table XI represents the results of experiments made with very hard X-rays produced by means of a powerful 12-inch Scheidel coil, a mercury-jet interrupter, and a Scheidel tube whose equivalent spark-length was about 5 inches. No attempt was made in these experiments to make precise determinations of speed, since a high degree of accuracy of measurement was not necessary for the purpose for which the investigation was undertaken. Table XI is a good illustration of the character of the observations. The time of the fall under gravity recorded in the column headed " $t_f$ " varies slightly, both because of observational errors and because of Brownian movements. Under the column headed " $t_R$ " are recorded the various observed values of the times of rise through 10 divisions of the scale in the eyepiece. A star (\*) after an observation in this column signifies that the drop was moving *with* gravity instead of against it. The procedure was in general to start with the drop either altogether neutral (so that it fell when the field was on

with the same speed as when the field was off), or having one single positive charge, and then to throw on positive

TABLE XI

Plate Distance 1.6 cm. Distance of Fall .0975 cm. Volts 1,015.  
Temperature 23° C. Radius of Drop .000063 cm.

$t_g$	$t_F$	No. of Charges on Drop	No. of Charges on Ion Caught	$t_g$	$t_F$	No. of Charges on Drop	No. of Charges on Ion Caught
19.0	100.0	1 P		20.0	10.0*	1 N	
	16.0	2 P	1 P		20.0*	0	1 P
	8.0	3 P	1 P		100.0	1 P	1 N
20.0					20.0*	0	1 P
	16.0	2 P	1 P		100.0	1 P	1 P
	8.0	3 P			16.0	2 P	1 P
					8.0	3 P	1 P
	100.0	1 P					
	17.0	2 P	1 P		104.0	1 P	
	8.2	3 P	1 P		15.0	2 P	1 P
	6.0	4 P	1 P		9.0	3 P	1 P
					6.0	4 P	
	7.0*	2 N					
	9.8*	1 N	1 P		6.5*	2 N	
	7.0*	2 N	1 N		10.0*	1 N	1 P
21.0					20.0*	0	1 P
	20.0*	0			100.0	1 P	1 P
	95.0	1 P	1 P		15.5	2 P	1 P
	16.5	2 P	1 P		8.0	3 P	1 P
	8.0	3 P	1 P		6.0	4 P	
	6.0	4 P					
					100.0	1 P	
	100.0	1 P	1 P		16.5	2 P	1 P
	16.0	2 P	1 P				
	8.4	3 P			20.0*	0	1 P
20.0					100.0	1 P	1 P
	106.0	1 P	1 P		16.6	2 P	1 P
	16.0	2 P	1 P		8.8	3 P	1 P
	8.4	3 P			5.7	4 P	
	10.0*	1 N			100.0	1 P	
	20.0*	0	1 P		20.0*	0	1 N
	100.0	1 P	1 P		10.0*	1 N	1 P
	16.0	2 P			20.0*	0	
	100.0	1 P	1 P				
	16.0	2 P	1 P				
	8.0	3 P					
				44 catches, all singles			

charges until its speed came to the 6.0 second value, then to make it neutral again with the aid of radium, and to begin over again.

It will be seen from Table XI that in 4 cases out of 44 we caught negatives, although it would appear from the arrangement shown in Fig. 12 that we could catch only positives. These negatives are doubtless due to secondary rays which radiate in all directions from the air molecules when these are subjected to the primary X-ray radiation.

Toward the end of Table XI is an interesting series of catches. At the beginning of this series, the drop was charged with 2 negatives which produced a speed in the direction of gravity of 6.5 seconds. It caught in succession 6 single positives before the field was thrown off. The corresponding times were 6.5\*, 10\*, 20\*, 100, 15.5, 8.0, 6.0. The mean time during which the X-rays had to be on in order to produce a "catch" was in these experiments about six seconds, though in some instances it was as much as a minute. The majority of the times recorded in column  $t_F$  were actually measured with a stop watch as recorded, but since there could be no possibility of mistaking the 100-second speed, it was observed only four or five times. It will be seen from Table XI that out of 44 catches of ions produced by very hard X-rays there is not a single double. As a result of observing from 500 to 1,000 catches in the manner illustrated in Table XI, we came to the conclusion that, although we had entered upon the investigation with the expectation of proving the existence of valency in gaseous ionization, *we had instead obtained direct, unmistakable evidence that the act of ionization of air*

*molecules by both primary and secondary X-rays of widely varying degrees of hardness, as well as by  $\beta$ - and  $\gamma$ -rays, uniformly consists, under all the conditions which we were able to investigate, in the detachment from a neutral molecule of one single elementary electrical charge.*

### III. RECENT EVIDENCE AS TO NATURE OF IONIZATION PRODUCED BY ETHER WAVES

Although Townsend and Franck and Westphal dissented from the foregoing conclusion, all the evidence which has appeared since has tended to confirm it. Thus Salles,<sup>1</sup> using a new method due to Langevin of measuring directly the ratio  $\left(\frac{v_0}{D}\right)$  of the mobility to the diffusion coefficient, concluded that when the ionization is produced by  $\gamma$ -rays there are no ions bearing multiple charges. Again, the very remarkable photographs (see plate opposite p. 190) taken by C. T. R. Wilson in the Cavendish Laboratory of the tracks made by the passage of X-rays through gases show no indication of a larger number of negatively than of positively charged droplets. Such an excess is to be expected if the act of ionization ever consists in these experiments in the detachment of two or more negative electrons from a neutral molecule. Further, if the initial act of ionization by X-rays ever consists in the ejection of two or more corpuscles from a single atom, there should appear in these Wilson photographs a rosette consisting of a group of zigzag lines starting from a common point. A glance at the plate opposite p. 192 shows that this is not the case, each zigzag line having its own individual starting-point.

<sup>1</sup> *Le Radium*, X (1913), 113, 119.

There are two other types of experiments which throw light on this question.

When in the droplet experiments the X-rays are allowed to fall directly upon the droplet, we have seen that they detach negative electrons from it, and if the gas is at so low a pressure that there is very little chance of the capture of ions by the droplet, practically all of its changes in charge have this cause. Changes produced under these conditions appear, so far as I have yet been able to discover, to be uniformly unit changes. Also, when the changes are produced by the incidence on the droplet of ultra-violet light, so far as the experiments which have been carried out by myself or my pupils go, they usually, though not always, have appeared to correspond to the loss of one single electron. The same seems to have been true in the experiments reported by A. Joffé,<sup>1</sup> who has given this subject careful study.

Meyer and Gerlach,<sup>2</sup> it is true, seem very often to observe changes corresponding to the simultaneous loss of several electrons. It is to be noted, however, that their drops are generally quite heavily charged, carrying from 10 to 30 electrons. Under such conditions the loss of a single electron makes but a minute change in speed, and is therefore likely not only to be unnoticed, but to be almost impossible to detect *until the change has become more pronounced through the loss of several electrons. This question, then, can be studied reliably only when the field is powerful enough to hold the droplet balanced with only one or two free electrons upon it.* Experiments made under such conditions with my apparatus by both Derieux<sup>3</sup> and

<sup>1</sup> *Sitzungsber. d. k. Bayerischen Akad. d. Wiss.* (1913), p. 19.

<sup>2</sup> *Ann. d. Phys.*, XLV, 177; XLVII, 227. <sup>3</sup> *Phys. Rev.*, X (1918), 283.

Kelly<sup>1</sup> show quite conclusively that the act of photo-emission under the influence of ultra-violet light consists in the ejection of a single electron at each emission.

Table XII contains one series of observations of this sort taken with my apparatus by Mr. P. I. Pierson. The first column gives the volts applied to the plates of the condenser shown in Fig. 7, p. 111. These were made variable so that the drop might always be pulled up with a slow speed even though its positive charge were continually increasing. The second and third columns give the times required to move 1 cm. under gravity and under the field respectively. The fourth column gives the time-intervals required for the drop to experience a change in charge under the influence of a constant source of ultra-violet light—a quartz mercury lamp. The fifth column gives the total charge carried by the drop computed from equation (12), p. 91. The sixth column shows the change in charge computed from equation (10), p. 70. This is seen to be as nearly a constant as could be expected in view of Brownian movements and the inexact measurements of volts and times. The mean value of  $e_1$  is seen to be  $5.1 \times 10^{-10}$ , which yields with the aid of equation (16), p. 101, after the value of  $A$  found for oil drops has been inserted,  $e = 4.77 \times 10^{-10}$ , which is in better agreement with the result obtained with oil drops than we had any right to expect. In these experiments the light was weak so that the changes come only after an average interval of 29 seconds and it will be seen that *they are all unit changes*.

So long, then, as we are considering the ionization of neutral atoms through the absorption of an ether wave

<sup>1</sup> *Phys. Rev.*, XVI (1920), 260.

of any kind, the evidence at present available indicates that the act always consists in the detachment from the

TABLE XII

MERCURY DROPLET OF RADIUS  $a = 8 \times 10^{-5}$  CM. DISCHARGING ELECTRONS UNDER THE INFLUENCE OF ULTRA-VIOLET LIGHT

Volts	Drop No. 1 $t_g$ Sec. per Cm.	$P$ Sec. per Cm.	Time Interval between Discharges in Seconds	$e_n \times 10^{10}$	Change in $e$	No Electrons Emitted
2,260.....	II.0	-1200	11	{49.4 50.5}	4.4	1
3,070.....	II.0	+ 32.8				
1,960.....	II.0	- 194.	12.8	54.4	6.4	1
1,960.....	.....	+ 190	23	60.8	4.2	1
1,820.....	II.2	+ 220	40	65.0	4.8	1
1,690.....	.....	+ 230	15.2	69.8	5.3	1
1,550.....	.....	+ 332	5.6	43.5	5.9	1
3,040.....	Drop No. 2 10.4	+ 98				
2,540.....	.....	+ 200	18.6	49.4	5.8	1
2,230.....	.....	+ 300	35 0	55.2	5.5	1
2,230.....	.....	+ 76	42	60.7	4.3	1
1,930.....	.....	+ 200	54	65 0	4.6	1
1,810.....	.....	+ 176	70	69.6	5.6	1
1,650.....	.....	+ 250	45	75.2	4.2	1
1,520.....	.....	+ 500	9 8	79.4	5.5	1
1,520.....	.....	119	29	85 1	5.1	1
-	-	Mean....				

atom of one single negative electron, the energy with which this electron is ejected from the atom depending, as



we shall see in chap. x, in a very definite and simple way upon the frequency of the ether wave which ejects it.

#### IV. IONIZATION BY $\beta$ -RAYS

When the ionization is due to the passage of  $\beta$ -rays through matter, the evidence of the oil-drop experiments as well as that of C. T. R. Wilson's experiments (see chap. ix) on the photographing of the tracks of the  $\beta$ -rays is that here, too, the act consists in the detachment of one single electron from a single atom. This experimental result is easy to understand in the case of the  $\beta$ -rays, when it is remembered that Wilson's photographs prove directly the fact, long known from other types of evidence, that a  $\beta$ -ray, in general, ionizes but a very minute fraction of the number of atoms through which it shoots before its energy is expended. If, then, its chance, in shooting through an atom, of coming near enough to one of the electronic constituents of that atom to knock it out is only one in a hundred or one in a thousand, then its chance of getting near enough to two electronic constituents of the same atom to knock them both out is likely to be negligibly small. The argument here rests, however, on the assumption that the electrons within the atom are independent of one another, which is not necessarily the case, so that the matter must be decided after all solely by experiment.

The difference between the act of ionization when produced by a  $\beta$ -ray and when produced by an ether wave seems, then, to consist chiefly in the difference in the energy with which the two agencies hurl the electron from its mother atom. Wilson's photographs show that  $\beta$ -rays do not in general eject electrons with appre-

ciable speeds, while ether waves may eject them with tremendous energy. Some of Wilson's photographs showing the effect of passing X-rays through air are shown in the most interesting plate opposite p. 192. The original X-rays have ejected electrons with great speeds from a certain few of the atoms of the gas, and it is the tracks of these electrons as they shoot through the atoms of the gas, ionizing here and there as they go, which constitute the wiggly lines shown in the photograph. Most of the ionization, then, which is produced by X-rays is a secondary effect due to the negative electrons, i.e., the  $\beta$ -rays which the X-rays eject. If these  $\beta$ -rays could in turn eject electrons with ionizing speeds, each of the dots in one of these  $\beta$ -ray tracks would be the starting-point of a new wiggly line like the original one. But such is not the case. We may think, then, of the  $\beta$ -rays as simply shaking loose electronic dust from some of the atoms through which they pass while we think of the X-rays as taking hold in some way of the negative electrons within an atom and hurling them out with enormous energy.

#### V. IONIZATION BY $\alpha$ -RAYS

But what happens to the electronic constituents of an atom when an  $\alpha$ -particle, that is, a helium atom, shoots through it? Some of Bragg's experiments and Wilson's photographs show that the  $\alpha$ -particles shoot in straight lines through from 3 to 7 cm. of air before they are brought to rest. We must conclude, then, that an atom has so loose a structure that another atom, *if endowed with enough speed*, can shoot straight through it without doing anything more than, in some instances, to

shake off from that atom an electron or two. The tracks shown in Figs. 14 and 15, facing p. 190, are Wilson photographs of the tracks of the  $\alpha$ -particles of radium. They ionize so many of the atoms through which they pass that the individual droplets of water which form about the ions produced along the path of the ray, and which are the objects really photographed, are not distinguishable as individuals. The sharp changes in the direction of the ray toward the end of the path are convincing evidence that the  $\alpha$ -particle actually goes through the atoms instead of pushing them aside as does a bullet. For if one solar system, for example, endowed with a stupendous speed, were to shoot straight through another similar system, but without an actual impact of their central bodies, the deflection from its straight path which the first system experienced might be negligibly small *if its speed were high enough*, and that for the simple reason that the two systems would not be in each other's vicinity long enough to produce a deflecting effect. In technical terms the time integral of the force would be negligibly small. The slower the speed, however, the longer this time, and hence the greater the deflection. Thus it is only when the  $\alpha$ -particle shown in Fig. 15 has lost most of its velocity—i.e., it is only toward the end of its path—that the nuclei of the atoms through which it passes are able to deflect it from its straight path. If it pushed the molecules aside as a bullet does, instead of going through them, the resistance to its motion would be greatest when the speed is highest. Now, the facts are just the opposite of this. The  $\alpha$ -particle ionizes several times more violently toward the end of its path than toward the beginning, and it therefore loses energy

more rapidly when it is going slowly than when it is going rapidly. Further, it is deflected more readily, then, as the photograph shows. All of this is just as it should be if the  $\alpha$ -particle shoots straight through the molecules in its path instead of pushing them aside.

These photographs of Wilson's are then the most convincing evidence that we have that the atom is a sort of miniature stellar system with constituents which are unquestionably just as minute with respect to the total volume occupied by the atom as are the sun and planets and other constituents of the solar system with respect to the whole volume inclosed within the confines of this system. When two molecules of a gas are going as slowly as they are in the ordinary motion of thermal agitation, say a mile a second, when their centers come to within a certain distance—about  $0.2 \mu\mu$  (millionths of a millimeter)—they repel one another and so the two systems do not interpenetrate. This is the case of an ordinary molecular collision. But endow one of these molecules with a large enough energy and it will shoot right through the other, sometimes doubtless without so much as knocking out a single electron. This is the case of an  $\alpha$ -particle shooting through air.

But the question to which we are here seeking an answer is, does an individual  $\alpha$ -particle ever knock more than one electron from a single atom or molecule through which it passes, so as to leave that atom doubly or trebly charged? The oil-drop method used at low pressures<sup>1</sup> has given a very definite answer to this question. *In no gas or vapor except helium, which we have as yet tried, is there any certain evidence that an individual  $\alpha$ -ray in*

<sup>1</sup> *Rays of Positive Electricity* (1913), p. 46.

*shooting through an atom is able to remove from that atom more than one single electron at a time.*

The foregoing result has been obtained by shooting the  $\alpha$ -rays from polonium through a rarefied gas in an oil-drop apparatus of the type sketched in Fig. 12, catching upon a balanced oil drop the positively charged residue of one of the atoms thus ionized, and counting, by the change in speed imparted to the droplet, the number of electrons which were detached from the captured atom by the passage of the  $\alpha$ -ray through or near it.<sup>1</sup>

This mode of experimenting extended to helium, however, has yielded the most interesting result<sup>2</sup> that every sixth one on the average of all the passages, or "shots," which detached any electrons at all from the helium atom detached both of the two electrons which the neutral helium atom possesses. Since some of the ionization produced along the path of an  $\alpha$ -ray is probably due to slow-speed secondary  $\beta$ -rays produced by the  $\alpha$ -ray, it is probable that the fraction of the actual passages through helium atoms of  $\alpha$ -rays themselves which detach both electrons is greater than the foregoing one in six. It has been estimated by Fowler at as high as three in four.

The foregoing experimental result of one in six was obtained only at the very end of the range of the  $\alpha$ -rays where they have their maximum ionizing power. When these rays were near the beginning of their range, and therefore were moving much more rapidly, the fraction of the number of double catches to total catches was only about half as much, i.e., *the chance of getting both electrons*

<sup>1</sup> Millikan, Gottschalk, and Kelly, *Phys. Rev.*, XI (1920), 157.

<sup>2</sup> Millikan, *Phys. Rev.*, XVIII (1921), 456. Wilkins, *ibid.*, XXIV (1922), 210.

*at a single shot is much smaller with a high-speed bullet than with a slow-speed one.* This is to be expected if the two electrons are independent of each other, i.e., if the removal of one does not carry the other out with it.

The foregoing is, I think, the only experiment which has yet been devised in which the act of ionization is isolated and studied as an individual thing.

Since 1913, however, very definite evidence has come in from two different sources that multiply-valent ions are often produced in discharge tubes. The most unambiguous proof of this result has been furnished by the spectroscope. Indeed, Mr. Bowen and the author have recently found with great definiteness that high-voltage vacuum sparks give rise to spectral lines which are due to singly-, doubly-, trebly-, quadruply-, and quintuply-charged atoms of the elements from lithium to nitrogen, and even to sextuply-charged ones in the case of sulphur.<sup>1</sup> In view of the foregoing studies with X-rays,  $\beta$ -rays, and  $\alpha$ -rays, it is probable that these spectroscopically discovered multiply-charged ions are mainly due to successive ionizations such as might be expected to take place in a region carrying a very dense electron current, such as must exist in our "hot-sparks."

Again, J. J. Thomson has brought forward evidence<sup>2</sup> that the positive residues of atoms which shoot through discharge tubes in a direction opposite to that of the cathode rays have suffered multiple ionization. Indeed, he thinks he has evidence that the act of ionization of atoms of mercury consists either in the detachment of one negative electron or else<sup>3</sup> in the detachment of eight.

<sup>1</sup> *Phys. Rev.*, September or October, 1924.

<sup>2</sup> *Rays of Positive Electricity* (1913), p. 46.

The actual situation, however, is not so simple; for in 1930 Bleakney<sup>1</sup> definitely proved that while in general 80 per cent or more of the ions formed by shooting electrons through mercury vapor are singles, at suitable incident energies 2, 3, 4, or 5 electrons may occasionally be detached in a single encounter. Similar effects occur in neon and argon.

## VI. SUMMARY

The results of the studies reviewed in this chapter may be summarized thus:

1. The act of ionization by  $\beta$ -rays usually consists in the shaking off without any appreciable energy of one single electron, sometimes, however, more than one, from an occasional molecule through which the  $\beta$ -ray passes. The faster the  $\beta$ -ray the less frequently does it ionize.

2. The act of ionization by ether waves, i.e., by X-rays or light, seems to consist in the hurling out with an energy which may be very large, but which depends upon the frequency of the incident ether wave, of one single electron from an occasional molecule over which this wave passes.

3. The act of ionization by rapidly moving  $\alpha$ -particles consists generally in the shaking loose of one single electron from the atom through which it passes, though in the case of helium, two electrons are certainly sometimes removed at once. It may be, too, that a very slow-moving positive ray, such as J. J. Thomson used, may detach several electrons from a single atom.

<sup>1</sup> Walter Bleakney, *Phys. Rev.*, XXXV (1930), 139, and XXXVI (1930), 1303. See also Overton Luhr, *ibid.*, LXIV (1933), 459.

## CHAPTER VII

### BROWNIAN MOVEMENTS IN GASES

#### I. HISTORICAL SUMMARY

In 1827 the English botanist, Robert Brown, first made mention of the fact that minute particles of dead matter in suspension in liquids can be seen in a high-power microscope to be endowed with irregular wiggling motions which strongly suggest "life."<sup>1</sup> Although this phenomenon was studied by numerous observers and became known as the phenomenon of the Brownian movements, it remained wholly unexplained for just fifty years. The first man to suggest that these motions were due to the continual bombardment to which these particles are subjected because of the motion of thermal agitation of the molecules of the surrounding medium was the Belgian Carbonelle, whose work was first published by his collaborator, Thirion, in 1880,<sup>2</sup> although three years earlier Delsaulx<sup>3</sup> had given expression to views of this sort but had credited Carbonelle with priority in adopting them. In 1881 Bodoszewski<sup>4</sup> studied the Brownian movements of smoke particles and other suspensions *in air* and saw in them "an approximate image of the movements of the gas molecules as postulated by the kinetic theory of gases." Others, notably Gouy,<sup>5</sup>

<sup>1</sup> *Phil. Mag.*, IV (1828), 161.

<sup>2</sup> *Revue des questions scientifiques*, Louvain, VII (1880), 5.

<sup>3</sup> *Ibid.*, II (1877), 319.

<sup>4</sup> *Dinglers polyt. Jour.*, CCXXXIX (1881), 325.

<sup>5</sup> *Jour. de Phys.*, VII (1888), 561; *Comptes rendus*, CIX (1889), 102.



urged during the next twenty years the same interpretation, but it was not until 1905 that a way was found to subject the hypothesis to a quantitative test. Such a test became possible through the brilliant theoretical work of Einstein<sup>1</sup> of Bern, Switzerland, who, starting merely with the assumption that the mean kinetic energy of agitation of a particle suspended in a fluid medium must be the same as the mean kinetic energy of agitation of a gas molecule at the same temperature, developed by unimpeachable analysis an expression for the mean distance through which such a particle should drift in a given time through a given medium because of this motion of agitation. This distance could be directly observed and compared with the theoretical value. Thus, suppose one of the wiggling particles is observed in a microscope and its position noted on a scale in the eyepiece at a particular instant, then noted again at the end of  $\tau$  (for example, 10) seconds, and the displacement  $\Delta x$  in that time along one particular axis recorded. Suppose a large number of such displacements  $\Delta x$  in intervals all of length  $\tau$  are observed, each one of them squared, and the mean of these squares taken and denoted by  $\overline{\Delta x^2}$ : Einstein showed that the theoretical value of  $\overline{\Delta x^2}$  should be

$$\overline{\Delta x^2} = \frac{2RT}{NK} \tau \dots\dots\dots (21)$$

in which  $R$  is the universal gas constant per gram molecule, namely,  $831.4 \times 10^5 \frac{\text{ergs}}{\text{degrees}}$ ,  $T$  the temperature on the absolute scale,  $N$  the number of molecules in one gram molecule, and  $K$  a resistance factor depending

<sup>1</sup> *Ann. d. Phys.* (4), XVII (1905), 549; XIX (1906), 371; XXII (1907), 560.

upon the viscosity of the medium and the size of the drop, and representing the ratio between the force applied to the particle in any way and the velocity produced by that force. If Stokes's Law, namely,  $F = 6\pi\eta av$ , held for the motion of the particle through the medium, then  $K = \frac{F}{v}$  would have the value  $6\pi\eta a$ , so that Einstein's formula would become

$$\overline{\Delta x^2} = \frac{RT}{N3\pi\eta a} \tau \dots\dots\dots (22)$$

This was the form which Einstein originally gave to his equation, a very simple derivation of which has been given by Langevin.<sup>1</sup> The essential elements of this derivation will be found in Appendix C.

The first careful test of this equation was made on suspensions in liquids by Perrin,<sup>2</sup> who used it for finding  $N$  the number of molecules in a gram molecule. He obtained the mean value  $N = 68.2 \times 10^{23}$ , which, in view of the uncertainties in the measurement of both  $K$  and  $\overline{\Delta x^2}$ , may be considered as proving the correctness of Einstein's equation within the limits of error of Perrin's measurements, which differ among themselves by as much as 30 per cent.

## II. QUANTITATIVE MEASUREMENTS IN GASES

Up to 1909 there had been no quantitative work whatever on Brownian movements in gases. Bodoszewski had described them fully and interpreted them correctly

<sup>1</sup> *Comptes rendus*, CXLVI (1908), 530.

<sup>2</sup> *Ibid.*, p. 967; CXLVII (1908), 475, 530, 594; CLII (1911), 1380, 1569; see also Perrin, *Brownian Movements and Molecular Reality*. Engl. tr. by Soddy, 1912.

in 1881. In 1906 Smoluchowski<sup>1</sup> had computed how large the mean displacements in air for particles of radius  $a=10^{-4}$  ought to be, and in 1907 Ehrenhaft<sup>2</sup> had recorded displacements of the computed order with particles the sizes of which he made, however, no attempt to measure, so that he knew nothing at all about the resistance factor  $K$ . There was then nothing essentially quantitative about this work.

In March, 1908, De Broglie, in Paris,<sup>3</sup> made the following significant advance. He drew the metallic dust arising from the condensation of the vapors coming from an electric arc or spark between metal electrodes (a phenomenon discovered by Hemsalech and De Watterville<sup>4</sup>) into a glass box and looked down into it through a microscope upon the particles rendered visible by a beam of light passing horizontally through the box and illuminating thus the Brownian particles in the focal plane of the objective. His addition consisted in placing two parallel metal plates in vertical planes, one on either side of the particles, and in noting that upon applying a potential difference to these plates some of the particles moved under the influence of the field toward one plate, some remained at rest, while others moved toward the other plate, thus showing that a part of these particles were positively electrically charged and a part negatively. In this paper he promised a study of the charges on these particles. In May, 1909, in fulfilling this promise<sup>5</sup> he

<sup>1</sup> *Ann. der Phys.*, IV (1906), 21, 756.

<sup>2</sup> *Wiener Berichte*, CXVI (1907), II, 1175.

<sup>3</sup> *Comptes rendus*, CXLVI (1908), 624, 1010.

<sup>4</sup> *Ibid.*, CXLIV (1907), 1338.

<sup>5</sup> *Ibid.*, CXLVIII (1909), 1316.

made the first quantitative study of Brownian movements in gases. The particles used were minute droplets of water condensed upon tobacco smoke. The average rate at which these droplets moved in De Broglie's horizontal electric field was determined. The equation for this motion was

$$Fe = Kv \dots\dots\dots (23')$$

The mean  $\overline{\Delta x^2}$  was next measured for a great many particles and introduced into Einstein's equation:

$$\overline{\Delta x^2} = \frac{2RT}{NK} \tau.$$

From these two equations  $K$  was eliminated and  $e$  obtained in terms of  $N$ . Introducing Perrin's value of  $N$ , De Broglie obtained from one series of measurements  $e = 4.5 \times 10^{-10}$ ; from another series on larger particles he got a mean value several times larger—a result which he interpreted as indicating multiple charges on the larger particles. Although these results represent merely mean values for many drops which are not necessarily all alike, either in radius or charge, yet they may be considered as the first experimental evidence that Einstein's equation holds approximately, in gases, and they are the more significant because nothing has to be assumed about the size of the particles, if they are all alike in charge and radius, or about the validity of Stokes's Law in gases, the  $K$ -factor being eliminated.

The development of the oil-drop method made it possible to subject the Brownian-movement theory to a more accurate and convincing experimental test than had heretofore been attainable, and that for the following reasons:

1. It made it possible to hold, with the aid of the vertical electrical field, one particular particle under observation for hours at a time and to measure as many displacements as desired on it alone instead of assuming the identity of a great number of particles, as had been done in the case of suspensions in liquids and in De Broglie's experiments in gases.

2. Liquids are very much less suited than are gases to convincing tests of any kinetic hypothesis, for the reason that prior to Brownian-movement work we had no satisfactory kinetic theory of liquids at all.

3. The absolute amounts of the displacements of a given particle in air are 8 times greater and in hydrogen 15 times greater than in water.

4. By reducing the pressure to low values the displacements can easily be made from 50 to 200 times greater in gases than in liquids.

5. The measurements can be made independently of the most troublesome and uncertain factor involved in Brownian-movement work in liquids, namely, the factor  $K$ , which contains the radius of the particle and the law governing its motion through the liquid.

Accordingly, there was begun in the Ryerson Laboratory, in 1910, a series of very careful experiments in Brownian movements in gases. Svedberg,<sup>1</sup> in reviewing this subject in 1913, considers this "the only exact investigation of quantitative Brownian movements in gases." A brief summary of the method and results was published by the author.<sup>2</sup> A full account was

<sup>1</sup> *Jahrbuch der Radioaktivität und Elektronik*, X (1913), 513.

<sup>2</sup> *Science*, February 17, 1911.

published by Mr. Harvey Fletcher in May, 1911,<sup>1</sup> and further work on the variation of Brownian movements with pressure was presented by the author the year following.<sup>2</sup> The essential contribution of this work as regards method consisted in the two following particulars:

1. By combining the characteristic and fully tested equation of the oil-drop method, namely,

$$e = \frac{mg}{Fv_x}(v_1+v_2)_0 = \frac{K}{F}(v_1+v_2)_0 \dots\dots\dots (24)$$

with the Einstein Brownian-movement equation, namely,

$$\overline{\Delta x^2} = \frac{2RT}{NK}\tau \dots\dots\dots (25),$$

it was possible to obtain the product  $Ne$  without any reference to the size of the particle or the resistance of the medium to its motion. This quantity could then be compared with the same product obtained with great precision from electrolysis. The experimental procedure consists in balancing a given droplet and measuring, as in any Brownian-movement work, the quantity  $\overline{\Delta x^2}$ , then unbalancing it and measuring  $F$ ,  $v_x$  and  $(v_1+v_2)_0$ ; the combination of (24) and (25) then gives

$$\overline{\Delta x^2} = \frac{2RT}{F} \frac{(v_1+v_2)_0}{Ne} \tau \dots\dots\dots (26)$$

Since it is awkward to square each displacement  $\Delta x$  before averaging, it is preferable to modify by substituting from the Maxwell distribution law, which holds

<sup>1</sup> *Phys. Zeitschr.*, XII (1911), 202-8; see also *Phys. Rev.*, XXXIII (1911), 81.

<sup>2</sup> *Phys. Rev.*, I, N.S. (1913), 218.

for Brownian displacements as well as for molecular velocities, the relation

$$\overline{\Delta x} = \sqrt{\frac{2}{\pi} \Delta x^2}.$$

We obtain thus

$$\overline{\Delta x} = \sqrt{\frac{4}{\pi} \frac{RT(v_1 + v_2)_0 \tau}{F(Ne)}} \dots \dots \dots (27)$$

or

$$Ne = \frac{4}{\pi} \frac{RT(v_1 + v_2)_0 \tau}{F(\overline{\Delta x})^2} \dots \dots \dots (28)$$

The possibility of thus eliminating the size of the particle and with it the resistance of the medium to its motion can be seen at once from the simple consideration that *so long as we are dealing with one and the same particle* the ratio  $K$  between the force acting and the velocity produced by it must be the same, whether the acting force is due to gravity or an electrical field, as in the oil-drop experiments, or to molecular impacts as in Brownian-movement work. De Broglie might have made such an elimination and calculation of  $Ne$  in his work, had his Brownian displacements and mobilities in electric fields been made on one and the same particle, but when the two sets of measurements are made on different particles, such elimination would involve the very uncertain assumption of identity of the particles in both charge and size. Although De Broglie did actually make this assumption, he did not treat his data in the manner indicated, and the first publication of this method of measuring  $Ne$  as well as the first actual determination was made in the papers mentioned above.

Some time later E. Weiss reported similar work to the Vienna Academy.<sup>1</sup>

2. Although it is possible to make the test of  $Ne$  in just the method described and although it was so made in the case of one or two drops, Mr. Fletcher worked out a more convenient method, which involves expressing the displacements  $\Delta x$  in terms of the fluctuations in the time required by the particle to fall a given distance and thus dispenses with the necessity of balancing the drop at all. I shall present another derivation which is very simple and yet of unquestionable validity.

In equation (28) let  $\tau$  be the time required by the particle, if there were no Brownian movements, to fall between a series of equally spaced cross-hairs whose distance apart is  $d$ . In view of such movements the particle will have moved up or down a distance  $\Delta x$  in the time  $\tau$ . Let us suppose this distance to be up. Then the actual time of fall will be  $\tau + \Delta t$ , in which  $\Delta t$  is now the time it takes the particle to fall the distance  $\Delta x$ . If now  $\Delta t$  is small in comparison with  $\tau$ , that is, if  $\Delta x$  is small in comparison with  $d$  (say  $1/10$  or less), then we shall introduce a negligible error (of the order  $1/100$  at the most) if we assume that  $\Delta x = v_r \Delta t$  in which  $v_r$  is the mean velocity under gravity. Replacing then in (28)  $(\overline{\Delta x})^2$  by  $v_r^2 (\overline{\Delta t})^2$ , in which  $(\overline{\Delta t})^2$  is the square of the average difference between an observed time of fall and the mean time of fall  $t_g$ , that is, the square of the average

<sup>1</sup> It was read before the Academy on July 6: *Wiener Berichte*, CXX (1911), II, 1021, but appeared first in print in the August 1st number of the *Phys. Zeitschr.* (1911), p. 63. Fletcher's article is found in brief in an earlier number of the same volume of the *Phys. Zeitschr.*, p. 203, and was printed in full in the July number of *Le Radium*, VIII (1911), 279.



fluctuation in the time of fall through the distance  $d$ , we obtain after replacing the ideal time  $\tau$  by the mean time  $t_g$

$$Ne = \frac{4RT(v_1 + v_2)dt_g}{\pi Fv_1^2(\overline{\Delta t})^2} \dots\dots\dots (29)$$

In any actual work  $\overline{\Delta t}$  will be kept considerably less than  $1/10$  the mean time  $t_g$  if the irregularities due to the observer's errors are not to mask the irregularities due to the Brownian movements, so that (29) is sufficient for practically all working conditions.<sup>1</sup>

The work of Mr. Fletcher and of the author was done by both of the methods represented in equations (28) and (29). The 9 drops reported upon in Mr. Fletcher's paper in 1911<sup>2</sup> yielded the results shown below in which  $n$  is the number of displacements used in each case in determining  $\overline{\Delta x}$  or  $\overline{\Delta t}$

TABLE XIII

$\sqrt{Ne} \times 10^2$	$n$
1.68	125
1.67	136
1.645	321
1.695	202
1.73	171
1.65	200
1.66	84
1.785	411
1.65	85

When weights are assigned proportional to the number of observations taken, as shown in the last column

<sup>1</sup> No error is introduced here if, as assumed,  $\overline{\Delta t}$  is small in comparison with  $t_g$ . However, for more rigorous equations see Fletcher, *Phys. Rev.*, IV (1914), 442; also Smoluchowski, *Phys. Zeitschr.*, XVI (1915), 321.

<sup>2</sup> *Le Radium*, VIII (1911), 279; *Phys. Rev.*, XXXIII (1911), 107.

of Table XIII, there results for the weighted mean value which represents an average of 1,735 displacements,  $\sqrt{Ne} = 1.698 \times 10^7$  or  $Ne = 2.88 \times 10^{14}$  *electrostatic units*, as against  $2.896 \times 10^{14}$ , the value found in electrolysis. The agreement between theory and experiment is then in this case about as good as one-half of 1 per cent, which is well within the limits of observational error.

This work seemed to demonstrate, with considerably greater precision than had been attained in earlier Brownian-movement work and with a minimum of assumptions, the correctness of the Einstein equation, which is in essence merely the assumption that a particle in a gas, no matter how big or how little it is or out of what it is made, is moving about with a mean translatory kinetic energy which is a universal constant dependent only on temperature. To show how well this conclusion has been established I shall refer briefly to a few later researches.

In 1914 Dr. Fletcher, assuming the value of  $K$  which I had published<sup>1</sup> for oil drops moving through air, made new and improved Brownian-movement measurements in this medium and solved for  $N$  the original Einstein equation, which, when modified precisely as above by replacing  $\overline{\Delta x^2}$  by  $\frac{2}{\pi} (\overline{\Delta x})^2$  and  $(\overline{\Delta x})^2 = v_r^2 (\overline{\Delta t})^2$  becomes

$$N = \frac{4}{\pi} \frac{RT t_z}{K v_r^2 (\overline{\Delta t})^2} \dots\dots\dots (30)$$

He took, all told, as many as 18,837  $\Delta t$ 's, not less than 5,900 on a single drop, and obtained  $N = 60.3 \times 10^{22} \pm 1.2$ . This cannot be regarded as an altogether independent determination of  $N$ , since it involves my value

<sup>1</sup> *Phys. Rev.*, I (1913), 218.

of  $K$ . Agreeing, however, as well as it does with my value of  $N$ , it does show with much conclusiveness that both Einstein's equation and my corrected form of Stokes's equation apply accurately to the motion of oil drops of the size here used, namely, those of radius from  $2.79 \times 10^{-5}$  cm. to  $4.1 \times 10^{-5}$  cm. (280–400  $\mu\mu$ ).

In 1915 Mr. Carl Eyring tested by equation (29) the value of  $Ne$  on oil drops, of about the same size, in hydrogen and came out within .6 per cent of the value found in electrolysis, the probable error being, however, some 2 per cent.

Precisely similar tests on substances other than oils were made by Dr. E. Weiss<sup>1</sup> and Dr. Karl Przibram.<sup>2</sup> The former worked with silver particles only half as large as the oil particles mentioned above, namely, of radii between 1 and  $2.3 \times 10^{-5}$  cm. and obtained  $Ne = 10,700$  electromagnetic units instead of 9,650, as in electrolysis. This is indeed 11 per cent too high, but the limits of error in Weiss's experiments were in his judgment quite as large as this. K. Przibram worked on suspensions in air of five or six different substances, the radii varying from 200  $\mu\mu$  to 600  $\mu\mu$ , and though his results varied among themselves by as much as 100 per cent, his mean value came within 6 per cent of 9,650. Both of the last two observers took too few displacements on a given drop to obtain a reliable mean displacement, but they used so many drops that their mean  $Ne$  still has some significance.

It would seem, therefore, that the validity of Einstein's Brownian-movement equation had been pretty

<sup>1</sup> *Sitzungsber. d. k. Akad. d. Wiss. in Wien*, CXX (1911), II, 1021

<sup>2</sup> *Ibid.*, CXXI (1912), II, 950.

thoroughly established in gases. In liquids too it has recently been subjected to much more precise test than had formerly been attained. Nordlund,<sup>3</sup> in 1914, using minute mercury particles in water and assuming Stokes's Law of fall and Einstein's equations, obtained  $N = 59.1 \times 10^{22}$ . While in 1915 Westgren at Stockholm<sup>4</sup> by a very large number of measurements on colloidal gold, silver, and selenium particles, of diameter from  $65 \mu\mu$  to  $130 \mu\mu$  ( $6.5$  to  $13 \times 10^{-6}$  cm.), obtained a result which he thinks is correct to one-half of 1 per cent, this value is  $N = 60.5 \times 10^{22} \pm .3 \times 10^{22}$ , which agrees perfectly with the value which I obtained from the measurements on the isolation and measurement of the electron.

The most recent determination of  $N$  by the Brownian movement method has been made at the University of Munich by Kappler<sup>5</sup> who suspends in still air from a very fine quartz fibre an exceedingly light mirror 2 mm. square, reflects a fine pencil of light from it, and records on a moving photographic film the irregular lateral displacements of this beam under the random molecular bombardment of the mirror at the end of this torsional system. From the mean of these displacements he gets  $N = 60.59 \pm .036 \times 10^{22}$ .

It has been because of such agreements as the foregoing that the last trace of opposition to the kinetic and atomic hypotheses of matter has disappeared from the scientific world, and that even Ostwald has been willing to make such a statement as that quoted on p. 10.

<sup>3</sup> *Ztschr. f. Phys. Chem.*, LXXXVII (1914), 40.

<sup>4</sup> *Die Brown'sche Bewegung besonders als Mittel zur Bestimmung der Avogadro'schen Konstante*, inaugural dissertation. Upsala: Almqvist & Wiksells Boktryckeri, 1915.

<sup>5</sup> *Ann. der Physik*, XI (1931), 233-56.

## CHAPTER VIII

### IS THE ELECTRON ITSELF DIVISIBLE?

It would not be in keeping with the method of modern science to make any dogmatic assertion as to the indivisibility of the electron. Such assertions used to be made in high-school classes with respect to the atoms of the elements, but the far-seeing among physicists, like Faraday, were always careful to disclaim any belief in the necessary ultimateness of the atoms of chemistry, and that simply because there existed until recently no basis for asserting anything about the insides of the atom. We knew that there was a smallest thing which took part in chemical reactions and we named that thing the atom, leaving its insides entirely to the future.

Precisely similarly the electron was defined as the smallest quantity of electricity which ever was found to appear in electrolysis, and nothing was then said or is now said about its necessary ultimateness. Our experiments have, however, now shown that this quantity is capable of isolation and exact measurement, and that all the kinds of charges which we have been able to investigate are exact multiples of it. Its value is  $4.770 \times 10^{-10}$  electrostatic units.

#### I. A SECOND METHOD OF OBTAINING $e$

I have presented one way of measuring this charge, but there is an indirect method of arriving at it which was worked out independently by Rutherford and Geiger<sup>1</sup>

<sup>1</sup> *Proc. Roy. Soc., A* LXXXI (1908), 141, 161.

and Regener.<sup>2</sup> The unique feature in this method consists in actually counting the number of  $\alpha$ -particles shot off per second by a small speck of radium or polonium through a given solid angle and computing from this the number of these particles emitted per second by one gram of the radium or polonium. Regener made his determination by counting the scintillations produced on a diamond screen in the focal plane of his observing microscope. He then caught in a condenser all the  $\alpha$ -particles emitted per second by a known quantity of his polonium and determined the total quantity of electricity delivered to the condenser by them. This quantity of electricity divided by the number of particles emitted per second gave the charge on each particle. Because the  $\alpha$ -particles had been definitely proved to be helium atoms<sup>3</sup> and the value of  $\frac{e}{m}$  found for them showed that if they were helium they ought to carry double the electronic charge, Regener divided his result by 2 and obtained

$$e = 4.79 \times 10^{-10}.$$

He estimated his error at 3 per cent. Rutherford and Geiger made their count by letting the  $\alpha$ -particles from a speck of radium C shoot into a chamber and produce therein sufficient ionization by collision to cause an electrometer needle to jump every time one of them entered. These authors measured the total charge as Regener did and, dividing by 2 the charge on each  $\alpha$ -particle, they obtained

$$e = 4.65 \times 10^{-10}.$$

<sup>2</sup> *Sitzungsber. d. k. Preuss. Akad.*, XXXVIII (1909), 948.

<sup>3</sup> Rutherford and Royds, *Phil. Mag.*, XVII (1909), 281.

All determinations of  $e$  from radioactive data involve one or the other of these two counts, namely, that of Rutherford and Geiger or that of Regener. Thus, Boltwood and Rutherford<sup>1</sup> measured the total weight of helium produced in a second by a known weight of radium. Dividing this by the number of  $\alpha$ -particles (helium atoms) obtained from Rutherford and Geiger's count, they obtain the mass of one atom of helium from which the number in a given weight, or volume since the gas density is known, is at once obtained. They published for the number  $n$  of molecules in a gas per cubic centimeter at  $0^{\circ}76$  cm.,  $n=2.69 \times 10^{19}$ , which corresponds to

$$e=4.81 \times 10^{-10}.$$

This last method, like that of the Brownian movements, is actually a determination of  $N$ , rather than of  $e$ , since  $e$  is obtained from it only through the relation  $Ne=9,648.9$  electromagnetic units. Indeed, this is true of all methods of estimating  $e$ , so far as I am aware, except the oil-drop method and the Rutherford-Geiger-Regener method, and of these two the latter represents the measurement of the *mean* charge on an immense number of  $\alpha$ -particles.

Thus a person who wished to contend that the unit charge appearing in electrolysis is only a mean charge which may be made up of individual charges which vary widely among themselves, in much the same way in which the atomic weight assigned to *neon* has recently been shown to be a mean of the weights of at least two different elements inseparable chemically, could not be gainsaid, save on the basis of the evidence contained in

<sup>1</sup> *Phil. Mag.* (6), XXII (1911), 599.

the oil-drop experiments; for these constitute the only method which has been found of measuring directly the charge on each individual ion. It is of interest and significance for the present discussion, however, that the mean charge on an  $\alpha$ -particle has been directly measured and that it comes out, within the limits of error of the measurement, at exactly two electrons—as it should according to the evidence furnished by  $\frac{e}{m}$  measurements on the  $\alpha$ -particles.

## II. THE EVIDENCE FOR THE EXISTENCE OF A SUB-ELECTRON

Now, the foregoing contention has actually been made, and evidence has been presented which purports to show that electric charges exist which are much smaller than the electron. Since this raises what may properly be called the most fundamental question of modern physics, the evidence needs very careful consideration. This evidence can best be appreciated through a brief historical review of its origin.

The first measurements on the mobilities in electric fields of swarms of charged particles of microscopically visible sizes were made by H. A. Wilson<sup>1</sup> in 1903, as detailed in chap. iii. These measurements were repeated with modifications by other observers, including ourselves, during the years immediately following. De Broglie's modification, published in 1908,<sup>2</sup> consisted in sucking the metallic clouds discovered by Hemsalech and De Watteville,<sup>3</sup> produced by sparks or arcs between

<sup>1</sup> *Phil. Mag.* (6), V (1903), 429.

<sup>2</sup> *Comptes rendus*, CXLVI (1908), 624, 1010.

<sup>3</sup> *Ibid.*, CXLIV (1907), 1338.



metal electrodes, into the focal plane of an ultra-microscope and observing the motions of the individual particles in this cloud *in a horizontal electrical field produced by applying a potential difference to two vertical parallel plates* in front of the objective of his microscope. In this paper De Broglie first commented upon the fact that some of these particles were charged positively, some negatively, and some not at all, and upon the further fact that holding radium near the chamber caused changes in the charges of the particles. He promised quantitative measurements of the charges themselves. One year later he fulfilled the promise,<sup>1</sup> and at practically the same time Dr. Ehrenhaft<sup>2</sup> published similar measurements made with precisely the arrangement described by De Broglie a year before. Both men, as Dr. Ehrenhaft clearly pointed out,<sup>3</sup> while *observing* individual particles, obtained only a mean charge, since the different measurements entering into the evaluation of  $e$  were made on different particles. So far as concerns  $e$ , these measurements, as everyone agrees, were essentially cloud measurements like Wilson's.

In the spring and summer of 1909 I isolated individual water droplets and determined the charges carried by each one,<sup>4</sup> and in April, 1910, I read before the American Physical Society the full report on the oil-drop work in which the multiple relations between charges were established, Stokes's Law corrected, and  $e$  accurately

<sup>1</sup> *Ibid.*, CXLVIII (1909), 1316.

<sup>2</sup> *Phys. Zeitschr.*, X (1909), 308.

<sup>3</sup> *Ibid.*, XI (1910), 619.

<sup>4</sup> This paper was published in abstract in *Phys. Rev.*, XXX (1909), 560, and *Phil. Mag.*, XIX (1910), 209.

determined.<sup>1</sup> In the following month (May, 1910) Dr. Ehrenhaft,<sup>2</sup> having seen that a vertical condenser arrangement made possible, as shown theoretically and experimentally in the 1909 papers mentioned above, the independent determination of the charge on each individual particle, read the first paper in which he had used this arrangement in place of the De Broglie arrangement which he had used theretofore. He reported results identical in all essential particulars with those which I had published on water drops the year before, save that where I obtained consistent and simple multiple relations between charges carried by different particles he found no such consistency in these relations. The absolute values of these charges obtained on the assumption of Stokes's Law fluctuated about values considerably lower than  $4.6 \times 10^{-10}$ . Instead, however, of throwing the burden upon Stokes's Law or upon wrong assumptions as to the density of his particles, he remarked in a footnote that Cunningham's theoretical correction to Stokes's Law,<sup>3</sup> which he (Ehrenhaft) had just seen, would make his values come still lower, and hence that no failure of Stokes's Law could be responsible for his low values. He considered his results therefore as opposed to the atomic theory of electricity altogether, and in any case as proving the existence of charges much smaller than that of the electron.<sup>4</sup>

<sup>1</sup> This paper was published in abstract in *Phys. Rev.*, XXXI (1910), 92; *Science*, XXXII (1910), 436; *Phys. Zeitschr.*, XI (1910), 1097.

<sup>2</sup> *Wien. Ber.*, CXIX (1910), II, 809. This publication was apparently not issued before December, 1910, for it is not noted in *Natura Novitates* before this date.

<sup>3</sup> *Proc. Roy. Soc.*, LXXXIII (1910), 360.

<sup>4</sup> These results were presented and discussed at great length in the fall of 1910; see *Phys. Zeitschr.*, XI (1910), 619, 940.

The apparent contradiction between these results and mine was explained when Mr. Fletcher and myself showed<sup>1</sup> experimentally that Brownian movements produced just such apparent fluctuations as Ehrenhaft observed when the  $e$  is computed, as had been done in his work, from one single observation of a speed under gravity and a corresponding one in an electric field. We further showed that the fact that his values fluctuated about too low an average value meant simply that his particles of gold, silver, and mercury were less dense because of surface impurities, oxides or the like, than he had assumed. The correctness of this explanation would be well-nigh demonstrated if the values of  $Ne$  computed by equations (28) or (29) in chap. vii from a large number of observations on Brownian movements always came out as in electrolysis, for in these equations no assumption has to be made as to the density of the particles. As a matter of fact, all of the nine particles studied by us and computed by Mr. Fletcher<sup>2</sup> showed the correct value of  $Ne$ , while only six of them as computed by me fell on, or close to, the line which pictures the law of fall of an oil drop through air (Fig. 5, p. 106). This last fact was not published in 1911 because it took me until 1913 to determine with sufficient certainty a second approximation to the complete law of fall of a droplet through air; in other words, to extend curves of the sort given in Fig. 5 to as large values of  $\frac{l}{a}$  as correspond to particles small enough to show large Brownian movements. As soon as I had done this I computed all the nine drops which gave correct values of  $Ne$  and

<sup>1</sup> *Phys. Zeitschr.*, XII (1911), 161; *Phys. Rev.*, XXXII (1911), 394.

<sup>2</sup> *Le Radium*, VIII (1911), 279; *Phys. Rev.*, XXXIII (1911), 107.

found that two of them fell far below the line, one more fell somewhat below, while one fell considerably above it. This meant obviously that these four particles were not spheres of oil alone, two of them falling much too slowly to be so constituted and one considerably too rapidly. There was nothing at all surprising about this result, since I had explained fully in my first paper on oil drops<sup>1</sup> that until I had taken great precaution to obtain dust-free air "the values of  $e_r$  came out differently, even for drops showing the same velocity under gravity." In the Brownian-movement work no such precautions to obtain dust-free air had been taken because we wished to test the general validity of equations (28) and (29). That we actually used in this test two particles which had a mean density very much smaller than that of oil and one which was considerably too heavy, was fortunate since it indicated that our result was indeed independent of the material used.

It is worthy of remark that in general, even with oil drops, almost all of those behaving abnormally fall too slowly, that is, they fall below the line of Fig. 5 and only rarely does one fall above it. This is because the dust particles which one is likely to observe, that is, those which remain long in suspension in the air, are either in general lighter than oil or else expose more surface and hence act as though they were lighter. When one works with particles made of dense metals this behavior will be still more marked, since all surface impurities of whatever sort will diminish the density. The possibility, however, of freeing oil-drop experiments from all such sources of error is shown by the fact that although during

<sup>1</sup> *Phys. Rev.*, XXXIII (1911), 366, 367.

the year 1915-16 I studied altogether as many as three hundred drops, there was not one which did not fall within less than 1 per cent of the line of Fig. 5. It will be shown, too, in this chapter, that in spite of the failure of the Vienna experimenters, it is possible under suitable conditions to obtain mercury drops which behave, even as to law of fall, in practically all cases with perfect consistency and normality.

When E. Weiss in Prag and K. Przibram in the Vienna laboratory itself, as explained in chap. vii, had found that  $Ne$  for all the substances which they worked with, including silver particles like those used by Ehrenhaft, gave about the right value of  $Ne$ , although yielding much too low values of  $e$  when the latter was computed from the law of fall of *silver* particles, the scientific world practically universally accepted our explanation of Ehrenhaft's results and ceased to concern itself with the idea of a sub-electron.<sup>1</sup>

In 1914 and 1915, however, Professor Ehrenhaft<sup>2</sup> and two of his pupils, F. Zerner<sup>3</sup> and D. Konstantinowsky,<sup>4</sup> published new evidence for the existence of such a sub-electron and the first of these authors has kept up some discussion of the matter up to the present. These experimenters make three contentions. The first is essentially that they have now determined  $Ne$  for their particles by equation (29); and although in many instances it comes out as in electrolysis, in some instances it comes out from

<sup>1</sup> See R. Pohl, *Jahrbuch der Radioaktivität und Elektronik*, VII (1912), 431.

<sup>2</sup> *Wien. Sitzungsber.*, CXXIII (1914), 53-155; *Ann. d. Phys.*, XLIV (1914), 657.

<sup>3</sup> *Phys. Zeitschr.*, XVI (1915), 10.

<sup>4</sup> *Ann. d. Phys.*, XLVI (1915), 261.

20 per cent to 50 per cent too low, while in a few cases it is as low as one-fourth or one-fifth of the electrolytic value. Their procedure is in general to publish, not the value of  $Ne$ , but, instead, the value of  $e$  obtained from  $Ne$  by inserting Perrin's value of  $N$  ( $70 \times 10^{22}$ ) in (29) and then solving for  $e$ . This is their method of determining  $e$  "from the Brownian movements."

Their second contention is the same as that originally advanced, namely, that, in some instances, when  $e$  is determined with the aid of Stokes's Law of fall (equation 12, p. 91), even when Cunningham's correction or my own (equation 15, p. 101) is employed, the result comes out very much lower than  $4.77 \times 10^{-10}$ . Their third claim is that the value of  $e$ , determined as just explained from the Brownian movements, is in general higher than the value computed from the law of fall, and that the departures become greater and greater the smaller the particle. These observers conclude therefore that we oil-drop observers failed to detect sub-electrons because our droplets were too big to be able to reveal their existence. The minuter particles which they study, however, seem to them to bring these sub-electrons to light. *In other words, they think the value of the smallest charge which can be caught from the air actually is a function of the radius of the drop on which it is caught, being smaller for small drops than for large ones.*

Ehrenhaft and Zerner even analyze our report on oil droplets and find that these also show in certain instances indications of sub-electrons, for they yield in these observers' hands too low values of  $e$ , whether computed from the Brownian movements or from the law of fall.

When the computations are made in the latter way  $e$  is found, according to them, to decrease with decreasing radius, as is the case in their experiments on particles of mercury and gold.

### III. CAUSES OF THE DISCREPANCIES

Now, the single low value of  $Ne$  which these authors find in the oil-drop work is obtained by computing  $Ne$  from some twenty-five observations on the times of fall, and an equal number on the times of rise, of a particle which, before we had made any  $Ne$  computations at all, we reported upon<sup>1</sup> for the sake of showing that the Brownian movements would produce just such fluctuations as Ehrenhaft had observed when the conditions were those under which he worked. When I compute  $Ne$  by equation (29), using merely the twenty-five times of fall, I find the value of  $Ne$  comes out 26 per cent low, just as Zerner finds it to do. If, however, I omit the first reading it comes out but 11 per cent low. In other words, the omission of one single reading changes the result by 15 per cent. Furthermore, Fletcher<sup>2</sup> has shown that these same data, though treated entirely legitimately, but with a slightly different grouping than that used by Zerner, can be made to yield exactly the right value of  $Ne$ . This brings out clearly the futility of attempting to test a statistical theorem by so few observations as twenty-five, which is nevertheless more than Ehrenhaft usually uses on his drops. Furthermore, I shall presently show that unless one observes under carefully chosen conditions, his own errors of observation

<sup>1</sup> *Phys. Zeitschr.*, XII (1911), 162.

<sup>2</sup> *Ibid.*, XVI (1915), 316.

and the slow evaporation of the drop tend to make  $Ne$  obtained from equation (29) come out too low, and these errors may easily be enough to vitiate the result entirely. *There is, then, not the slightest indication in any work which we have thus far done on oil drops that  $Ne$  comes out too small.*

Next consider the apparent variation in  $e$  when it is computed from the law of fall. Zerner computes  $e$  from my law of fall in the case of the nine drops published by Fletcher, in which  $Ne$  came out as in electrolysis, and finds that one of them yields  $e=6.66\times 10^{-10}$ , one  $e=3.97\times 10^{-10}$ , one  $e=1.32\times 10^{-10}$ , one  $e=1.7\times 10^{-10}$ , while the other five yield about the right value, namely,  $4.8\times 10^{-10}$ . In other words (as stated on p. 165 above), five of these drops fall exactly on my curve (Fig. 5), one falls somewhat above it, one somewhat below, while two are entirely off and very much too low. These two, therefore, I concluded were not oil at all, but dust particles. Since Zerner computes the radius from the rate of fall, these two dust particles which fall much too slowly, and therefore yield too low values of  $e$ , must, of course, yield correspondingly low values of  $a$ . Since they are found to do so, Zerner concludes that our oil drops, as well as Ehrenhaft's mercury particles, yield decreasing values of  $e$  with decreasing radius. His own tabulation does not show this. It merely shows three erratic values of  $e$ , two of which are very low and one rather high. But a glance at all the other data which I have published on oil drops shows the complete falsity of this position,<sup>2</sup> for these data show that after I had eliminated dust all of my particles yielded exactly the same value of " $e$ " whatever their

<sup>2</sup> *Phys. Rev.*, II (1913), 138.



*size*<sup>1</sup>. The only possible interpretation then which could be put on these two particles which yielded correct values of  $Ne$ , but too slow rates of fall, was that which I put upon them, namely, that they were not spheres of oil.

As to the Vienna data on mercury and gold, Dr. Ehrenhaft publishes, all told, data on just sixteen particles and takes for his Brownian-movement calculations on the average *fifteen times of fall and fifteen of rise on each, the smallest number being 6 and the largest 27*. He then computes his statistical average  $(\overline{\Delta t})^*$  from observations of this sort. Next he assumes Perrin's value of  $N$ , namely,  $70 \times 10^{22}$ , which corresponds to  $e = 4.1$ , and obtains instead by the Brownian-movement method, i.e., the  $Ne$  method, the following values of  $e$ , the exponential term being omitted for the sake of brevity: 1.43, 2.13, 1.38, 3.04, 3.5, 6.92, 4.42, 3.28, .84. Barring the first three and the last of these, the mean value of  $e$  is just about what it should be, namely, 4.22 instead of 4.1. Further, the first three particles are the heaviest ones, the first one falling between his cross-hairs in 3.6 seconds, and its fluctuations in time of fall are from 3.2 to 3.85 seconds, that is, three-tenths of a second on either side of the mean value. *Now, these fluctuations are only slightly greater than those which the average observer will make in timing the passage of a uniformly moving body across equally spaced cross-hairs*. This means that in these observations two nearly equally potent causes were operating to produce fluctuations. The observed  $\Delta t$ 's were, of course, then, larger than those due to Brownian movements alone, and might easily, with but a few observations, be two or three times as large. Since

<sup>1</sup> See *Phys. Rev.*, II (1913), 134-35.

$(\overline{\Delta t})^2$  appears in the denominator of equation (29), it will be seen at once that because of the observer's timing errors a series of observed  $\Delta t$ 's will always tend to be larger than the  $\Delta t$  due to Brownian movements alone, and hence that the Brownian-movement method always tends to yield too low a value of  $Ne$ , and accordingly too low a value of  $e$ . *It is only when the observer's mean error is wholly negligible in comparison with the Brownian-movement fluctuations that this method will not yield too low a value of  $e$ .* The overlooking of this fact is, in my judgment, one of the causes of the low values of  $e$  recorded by Dr. Ehrenhaft.

Again, in the original work on mercury droplets which I produced both by atomizing liquid mercury and by condensing the vapor from boiling mercury,<sup>1</sup> I noticed that such droplets evaporated for a time even more rapidly than oil, and other observers who have since worked with mercury have reported the same behavior.<sup>2</sup> The amount of this effect may be judged from the fact that one particular droplet of mercury recently under observation in this laboratory had at first a speed of 1 cm. in 20 seconds, which changed in half an hour to 1 cm. in 56 seconds. The slow cessation, however, of this evaporation indicates that the drop slowly becomes coated with some sort of protecting film. Now, if any evaporation whatever is going on while successive times of fall are being observed—and as a matter of fact changes due to evaporation or condensation are always taking place to some extent—the apparent  $(\Delta t)^2$  will be larger than that due to Brownian movements, even

<sup>1</sup> *Phys. Rev.*, XXXII (1911), 389.

<sup>2</sup> See Schidlöf et Karpowicz, *Comptes rendus*, CLVIII (1914), 1012.

though these movements are large enough to prevent the observer from noticing, in taking twenty or thirty readings, that the drop is continually changing. These changes combined with the fluctuations in  $t$  due to the observer's error are sufficient, I think, to explain all of the low values of  $e$  obtained by Dr. Ehrenhaft by the Brownian-movement method. Indeed, I have myself repeatedly found  $Ne$  coming out less than half of its proper value *until I corrected for the evaporation of the drop*, and this was true when the evaporation was so slow that its rate of fall changed but 1 or 2 per cent in a half-hour. But it is not merely evaporation which introduces an error of this sort. The running down of the batteries, the drifting of the drop out of focus, or anything which causes changes in the times of passage across the equally spaced cross-hairs tends to decrease the apparent value of  $Ne$ . *There is, then, so far as I can see, no evidence at all in any of the data published to date that the Brownian-movement method actually does yield too low a value of "e," and very much positive evidence that it does not was given in the preceding chapter.*

Indeed, the same type of Brownian-movement work which Fletcher and I did upon oil-drops in 1910 and 1911 (see preceding chapter) was done in 1920 and 1921 in Vienna with the use of particles of selenium, and with results which are in complete harmony with our own. The observer, E. Schmid,<sup>1</sup> takes as many as 1,500 "times of fall" upon a given particle, the radius of which is in one case as low as  $5 \times 10^{-6}$  cm.—quite as minute as any used by Dr. Ehrenhaft—and obtains in all cases values of  $e$

<sup>1</sup> E. Schmid, *Wien. Akad. Ber.*, CXXIX (1920), 813, and *ZfP*, V (1921), 27.

by "the Brownian-movement method" which are in as good agreement with our own as could be expected in view of the necessary observational error. This complete check of our work in Vienna itself should close the argument so far as the Brownian movements are concerned.

That  $e$  and  $a$  computed from the law of fall become farther and farther removed from the values of  $e$  and  $a$  computed from the Brownian movements, the smaller these particles appear to be, is just what would be expected if the particles under consideration have surface impurities or non-spherical shapes or else are not mercury at all.<sup>1</sup> If, further, *exact multiple relations hold for them*, as at least a dozen of us, including Dr. Ehrenhaft himself, now find that they invariably do, there is scarcely any other interpretation possible except that of incorrect assumptions as to density.<sup>1</sup> Again, the fact that these data are all taken when the observers are working with the exceedingly dense substances, mercury and gold, *volatilized in an electric arc*, and when, therefore, anything not mercury or gold, but assumed to be, would yield very low values of  $e$  and  $a$ , is in itself a very significant circumstance. The further fact that Dr. Ehrenhaft implies that normal values of  $e$  very frequently appear in his work,<sup>2</sup> while these low erratic drops represent only a part of the data taken, is suggestive. When

<sup>1</sup> R. Bär, in a series of articles recently summarized in *Die Naturwissenschaften*, Vols. XIV and XV, 1922, has emphasized this point. His data serve merely as a new check upon the work found in our preceding tables.

<sup>2</sup> "Die bei grösseren Partikeln unter gewissen Umständen bei gleicher Art der Erzeugung häufig wiederkehrenden höheren Quanten waren dann etwa als stabilere räumliche Gleichgewichtsverteilungen

one considers, too, that in place of the beautiful consistency and duplicability shown in the oil-drop work, Dr. Ehrenhaft and his pupils never publish data on any two particles which yield the same value of  $e$ , but instead find only irregularities and erratic behavior,<sup>1</sup> just as they would expect to do with non-uniform particles, or with particles having dust specks attached to them, one wonders why any explanation other than the foreign-material one, which explains all the difficulties, has ever been thought of. As a matter of fact, in our work with mercury droplets, we have found that the initial rapid evaporation gradually ceases, just as though the droplets had become coated with some foreign film which prevents further loss. Dr. Ehrenhaft himself, in speaking of the Brownian movements of his metal particles, comments on the fact that they seem at first to show large movements which grow smaller with time.<sup>2</sup> This is just what would happen if the radius were increased by the growth of a foreign film.

Now what does Dr. Ehrenhaft say to these very obvious 'suggestions as to the cause of his troubles? Merely that he has avoided all oxygen, and hence that

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dieser Sub-electron anzusehen, die sich unter gewissen Umständen ergeben."—*Wien. Ber.*, CXIX, 59.

<sup>1</sup> Their whole case is summarized in the tables in *Ann. d. Phys.*, XLIV (1914), 693, and XLVI (1915), 292, and it is recommended that all interested in this discussion take the time to glance at the data on these pages, for the data themselves are so erratic as to render discussion needless.

<sup>2</sup> "Wie ich in meinen früheren Publikationen erwähnt habe, zeigen die ultramikroskopischen Metallpartikel, unmittelbar nach der Erzeugung beobachtet, eine viel lebhaftere Brownsche Bewegung als nach einer halben Stunde."—*Phys. Zeitschr.*, XII, 98.

an oxide film is impossible. *Yet he makes his metal particle by striking an electric arc between metal electrodes.* This, as everyone knows, brings out all sorts of occluded gases. Besides, chemical activity in the electric arc is tremendously intense, so that there is opportunity for the formation of all sorts of higher nitrides, the existence of which in the gases coming from electric arcs has many times actually been proved. Dr. Ehrenhaft says further that he photographs big mercury droplets and finds them spherical and free from oxides. But the fact that some drops are pure mercury is no reason for assuming that all of them are, and it is only the data on those which are not which he publishes. Further, because big drops which he can see and measure are of mercury is no justification at all for assuming that sub-microscopic particles are necessarily also spheres of pure mercury. In a word, Dr. Ehrenhaft's tests as to sphericity and purity are all absolutely worthless as applied to the particles in question, which according to him have radii of the order  $10^{-6}$  cm.—a figure a hundred times below the limit of sharp resolution.

#### IV. THE BEARING OF THE VIENNA WORK ON THE QUESTION OF THE EXISTENCE OF A SUB-ELECTRON

But let us suppose that these observers do actually work with particles of pure mercury and gold, as they think they do, and that the observational and evaporational errors do not account for the low values of  $N_e$ . Then what conclusion could legitimately be drawn from their data? Merely this and nothing more, that (1) Einstein's Brownian-movement equation is not universally applicable, and (2) that the law of motion of

their very minute charged particles through air is not yet fully known.<sup>1</sup> So long as they find exact multiple relationships, as Dr. Ehrenhaft now does, between the charges carried by a given particle when its charge is changed by the capture of ions or the direct loss of electrons, the charges on these ions must be the same as the ionic charges which I have accurately and consistently measured and found equal to  $4.80 \times 10^{-10}$  electrostatic units; for they, in their experiments, capture exactly the same sort of ions, produced in exactly the same way as those which I captured and measured in my experiments. That these same ions have one sort of a charge when captured by a big drop and another sort when captured by a little drop is obviously absurd. *If they are not the same ions which are caught, then in order to reconcile the results with the existence of the exact multiple relationship found by Dr. Ehrenhaft as well as ourselves, it would be necessary to assume that there exist in the air an infinite number of different kinds of ionic charges corresponding to the infinite number of possible radii of drops, and that when a powerful electric field drives all of these ions toward a given drop this drop selects in each instance just the charge which corresponds to its particular radius.* Such an assumption is not only too grotesque for serious consideration, but it is directly contradicted by my experiments, for I have repeatedly pointed out that with a given value of  $\frac{l}{a}$  I obtain exactly the same value of  $e$ , whether I work with big drops or with little ones.

<sup>1</sup> In my own opinion this is a conclusion contrary to fact, since in a recent paper (see *Phys. Rev.*, July, 1923) I have fully established the "Complete Law of Fall."

V. NEW PROOF OF THE CONSTANCY OF  $e$ 

For the sake of subjecting the constancy of  $e$  to the most searching test, I have made new measurements of the same kind as those heretofore reported, but using now a range of sizes which overlaps that in which Dr. Ehrenhaft works. I have also varied through wide limits the nature and density of both the gas and the drops. Fig. 13 (I) contains new oil-drop data taken in air; Fig. 13 (II) similar data taken in hydrogen. The radii of these drops, computed by the very exact method given in the *Physical Review*,<sup>1</sup> vary tenfold, namely, from .000025 cm. to .00023 cm. Dr. Ehrenhaft's range is from .000008 cm. to .000025 cm. It will be seen that these drops fall in every instance on the lines of Fig. 13, I and II, and hence that they all yield exactly the same value of  $e^{\frac{1}{2}}$ , namely,  $61.1 \times 10^{-8}$ . The details of the measurements, which are just like those previously given, will be entirely omitted. There is here *not a trace of an indication that the value of "e" becomes smaller as "a" decreases*. The points on these two curves represent consecutive series of observations, not a single drop being omitted in the case of either the air or the hydrogen. This shows the complete uniformity and consistency which we have succeeded in obtaining in the work with oil drops.

That mercury drops show a similar behavior was somewhat imperfectly shown in the original observations which I published on mercury.<sup>2</sup> I have since fully confirmed the conclusions there reached. That mercury drops can with suitable precautions be made to behave

<sup>1</sup> II (1913), 117.

<sup>2</sup> *Ibid.*, CCC (1911), 389-90.



practically as consistently as oil is shown in Fig. 13 (III), which represents data obtained by blowing into the observing chamber above the pinhole in the upper plate a cloud of mercury droplets formed by the condensation of the vapor arising from boiling mercury. These results have been obtained in the Ryerson Laboratory with my apparatus by Mr. John B. Derieux. Since the pressure was here always atmospheric, the drops progress in the order of size from left to right, the largest having a diameter about three times that of the smallest, the radius of which is .00003244 cm. The original data may be found in the *Physical Review*, December, 1916. In Fig. 13 (IV) is found precisely similar data taken with my apparatus by Dr. J. Y. Lee on solid spheres of shellac falling in air.<sup>1</sup> Further, very beautiful work, of this same sort, also done with my apparatus, has recently been published by Dr. Yoshio Ishida (*Phys. Rev.*, May, 1923), who, using many different gases, obtains a group of lines like those shown in Fig. 13, *all of which though of different slopes, converge upon one and the same value of " $e\frac{1}{2}$ ," namely,  $61.08 \times 10^{-8}$ .*

*These results establish with absolute conclusiveness the correctness of the assertion that the apparent value of the electron is not in general a function of the gas in which the particle falls, of the materials used, or of the radius of the drop on which it is caught, even when that drop is of mercury, and even when it is as small as some of those*

<sup>1</sup> The results shown in Fig. 13 do not lay claim to the precision reached in those recorded in Table X and Fig. 10. No elaborate precautions were here taken in the calibration of the Hipp chronoscope and the voltmeter, and it is due to slight errors discovered later in these calibrations that the slope of line I in Fig. 13 is not quite in agreement with the slope in Fig. 10.

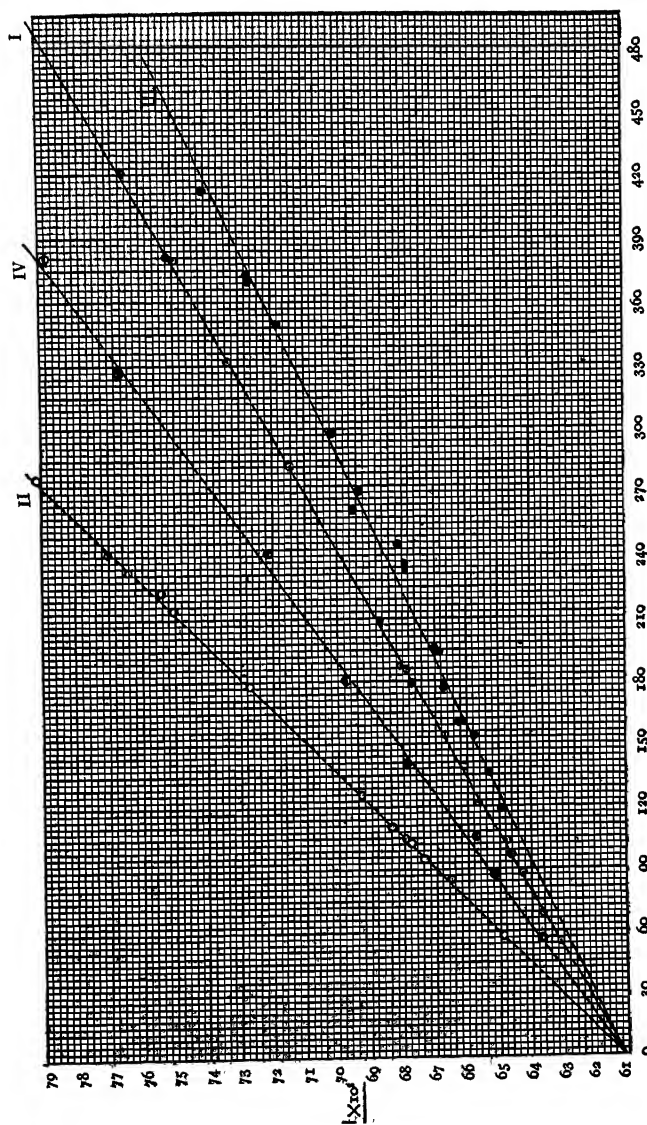


FIG. 13

with which Dr. Ehrenhaft obtained his erratic results. If it appears to be so with his drops, the cause cannot possibly be found in actual fluctuations in the charge of the electron without denying completely the validity of my results. But these results have now been checked, in their essential aspects, by scores of observers, including Dr. Ehrenhaft himself. Furthermore, it is not my results alone with which Dr. Ehrenhaft's contention clashes. The latter is at variance also with all experiments like those of Rutherford and Geiger and Regener on the measurement of the charges carried by  $\alpha$ - and  $\beta$ -particles, for these are infinitely smaller than any particles used by Dr. Ehrenhaft; and if, as he contends, the value of the unit out of which a charge is built up is smaller and smaller the smaller the capacity of the body on which it is found, then these  $\alpha$ -particle charges ought to be extraordinarily minute in comparison with the charges on our oil drops. Instead of this, the charge on the  $\alpha$ -particle comes out exactly twice the charge which I measure in my oil-drop experiments.

While then it would not be in keeping with the spirit or with the method of modern science to make any dogmatic assertion about the existence or non-existence of a sub-electron, it can be asserted with entire confidence that there has not appeared up to the present a scrap of evidence for the existence of charges smaller than the electron. If all of Dr. Ehrenhaft's assumptions as to the nature of his particles were correct, then his experiments would mean simply that Einstein's Brownian-movement equation is not of universal validity and that the law of motion of minute charged particles is quite different from that which he has assumed. It is exceedingly unlikely that

either of these results can be drawn from his experiments, for Nordlund<sup>1</sup> and Westgren<sup>2</sup> have apparently verified the Einstein equation in liquids with very much smaller particles than Dr. Ehrenhaft uses; and, on the other hand, while I have worked with particles as small as  $2 \times 10^{-5}$  cm. and with values of  $\frac{l}{a}$  as large as 135, which is very much larger than any which appear in the work of Dr. Ehrenhaft and his pupils, I have thus far found no evidence of a law of motion essentially different from that which I published in 1913, and further elaborated and refined in 1923.

*There has then appeared up to the present time no evidence whatever for the existence of a sub-electron.* The chapter having to do with its discussion is now considered for the present at least to have been closed,<sup>3</sup> but it constitutes an interesting historical document worthy of study as an illustration on the one hand of the solidity of the foundations upon which the atomic theory of electricity now rests, and on the other hand of the severity of the gauntlet of criticism which new results must run before they gain admission to the body of established truth in physics.

<sup>1</sup> *Zeit. für Phys. Chem.*, LXXXVII (1914), 40.

<sup>2</sup> Inaugural Dissertation von Arne Westgren, *Untersuchungen über Brownsche Bewegung*, Stockholm, 1915.

<sup>3</sup> R. Bär, "Der Streit um das Elektron," *Die Naturwissenschaften*, 1922.

## CHAPTER IX

### THE STRUCTURE OF THE ATOM

We have shown in the preceding chapters how within the last five decades there has been discovered beneath the nineteenth-century world of molecules and atoms a wholly new world of electrons, the very existence of which was undreamed of fifty years ago. We have seen that these electrons, since they can be detached by X-rays from all kinds of neutral atoms, must be constituents of all atoms. Whether or not they are the sole constituents we have thus far made no attempt to determine. We have concerned ourselves with studying the properties of these electrons themselves and have found that they are of two kinds, negative and positive, which are, however, exactly alike in strength of charge but wholly different in inertia or mass, the negative being commonly associated with a mass which is but  $1/1,837$  of that of the lightest known atom, that of hydrogen while the positive appears never to be associated with a mass smaller than that of the hydrogen atom. We have found how to isolate and measure accurately the electronic charge and have found that this was the key which unlocked the door to many another otherwise inaccessible physical magnitude. It is the purpose of this chapter to consider certain other fields of exact knowledge which have been opened up through the measurement of the electron, and in particular to discuss what the physicist, as he has peered with his newly discovered agencies, X-rays, radioactivity, ultra-violet

light, etc., into the insides of atoms, has been able to discover regarding the numbers and sizes and relative positions and motions of these electronic constituents, and to show how far he has gone in answering the question as to whether the electrons are the sole building-stones of the atoms.

### I. THE SIZES OF ATOMS

One of the results of the measurement of the electronic charge was to make it possible to find the quantity which is called the diameter of an atom with a definiteness and precision theretofore altogether unattained.

It was shown in chap. v that the determination of  $e$  gave us at once a knowledge of the exact number of molecules in a cubic centimeter of a gas. Before this was known we had fairly satisfactory information as to the relative diameters of different molecules, for we have known for a hundred years that different gases when at the same temperature and pressure possess the same number of molecules per cubic centimeter (Avogadro's rule). From this it is at once evident that, as the molecules of gases eternally dart hither and thither and ricochet against one another and the walls of the containing vessel, the average distance through which one of them will go between collisions with its neighbors will depend upon how big it is. The larger the diameter the less will be the mean distance between collisions—a quantity which is technically called “the mean free path.” Indeed, it is not difficult to see that in different gases the mean free path  $l$  is an inverse measure of the molecular cross-section. The exact relation is easily deduced (see Appendix E). It is

$$l = \frac{1}{\pi n d^2 \sqrt{2}} \dots \dots \dots (31)$$

in which  $d$  is the molecular diameter and  $n$  is the number of molecules per cubic centimeter of the gas. Now, we have long had methods of measuring  $l$ , for it is upon this that the coefficient of viscosity of the gas largely depends. When, therefore, we have measured the viscosities of different gases we can compute the corresponding  $l$ 's, and then from equation (31) the relative diameters  $d$ , since  $n$  is the same for all gases at the same temperature and pressure. But the absolute value of  $d$  can be found only after the absolute value of  $n$  is known. If we insert in equation (31) the value of  $n$  found from  $e$  by the method presented in chap. v, it is found that the average diameter of the atom of the monatomic gas helium is  $2 \times 10^{-8}$  cm., that of the diatomic hydrogen molecule is a trifle more, while the diameters of the molecules of the diatomic gases, oxygen and nitrogen, are 50 per cent larger.<sup>1</sup> This would make the diameter of a single atom of hydrogen a trifle smaller, and that of a single atom of oxygen or nitrogen a trifle larger than that of helium. By the average molecular diameter we mean the average distance to which the centers of two molecules approach one another in such impacts as are continually occurring in connection with the motions of thermal agitation of gas molecules--this and nothing more.

As will presently appear, the reason that two molecules thus rebound from one another when in their motion of thermal agitation their centers of gravity approach to a distance of about  $2 \times 10^{-8}$  cm. is presumably that the atom is a system with negative electrons in its outer regions. When these negative electrons in two

<sup>1</sup> R. A. Millikan, *Phys. Rev.*, XXXII (1911), 397.

different systems which are coming into collision approach to about this distance, the repulsions between these similarly charged bodies begin to be felt, although at a distance the atoms are forceless. With decreasing distance this repulsion increases very rapidly until it becomes so great as to overcome the inertias of the systems and drive them asunder.

## II. THE RADIUS OF THE ELECTRON FROM THE ELECTROMAGNETIC THEORY OF THE ORIGIN OF MASS

The first estimates of the volume occupied by a single one of the electronic constituents of an atom were obtained from the electromagnetic theory of the origin of mass, and were therefore to a pretty large degree speculative, but since these estimates are strikingly in accord with results which follow from direct experiments and are independent of any theory, and since, further, they are of extraordinary philosophic as well as historic interest, they will briefly be presented here.

Since Rowland proved that an electrically charged body in motion is an electrical current the magnitude of which is proportional to the speed of motion of the charge, and since an electric current, by virtue of the property called its self-induction, opposes any attempt to increase or diminish its magnitude, it is clear that an electrical charge, as such, possesses the property of inertia. But inertia is the only invariable property of matter. It is the quantitative measure of matter, and matter quantitatively considered is called *mass*. It is clear, then, theoretically, that an electrically charged pith ball must possess more mass than the same pith ball when uncharged. But when we compute how much



the mass of a pith ball is increased by any charge which we can actually get it to hold, we find that the increase is so extraordinarily minute as to be hopelessly beyond the possibility of experimental detection. However, the method of making this computation, which was first pointed out by Sir J. J. Thomson in 1881,<sup>1</sup> is of unquestioned validity, so that we may feel quite sure of the correctness of the result. Further, when we combine the discovery that an electric charge possesses the distinguishing property of matter, namely, inertia, with the discovery that all electric charges are built up out of electrical specks all alike in charge, we have made it entirely legitimate to consider an electric current as *the passage of a definite, material, granular substance along the conductor. In other words, the two entities, electricity and matter, which the nineteenth century tried to keep distinct, begin to look like different aspects of one and the same thing.*

But, though we have thus justified the statement that electricity is material, have we any evidence as yet that all matter is electrical—that is, that all inertia is of the same origin as that of an electrical charge? The answer is that we have *evidence*, but as yet no *proof*. The theory that this is the case is still a speculation, but one which rests upon certain very significant facts. These facts are as follows:

If a pith ball is spherical and of radius  $a$ , then the mass  $m$  due to a charge  $E$  spread uniformly over its surface is given, as is shown in Appendix D by,

$$m = \frac{2}{3} \frac{E^2}{a} \dots\dots\dots (32)$$

J. J. Thomson, *Phil. Mag.*, XI (1881), 229.

The point of especial interest in this result is that the mass is inversely proportional to the radius, so that the smaller the sphere upon which we can condense a given charge  $E$  the larger the mass of that charge. If, then, we had any means of measuring the minute increase in mass of a pith ball when we charge it electrically with a known quantity of electricity  $E$ , we could compute from equation (32) the size of this pith ball, even if we could not see it or measure it in any other way. This is much the sort of a position in which we find ourselves with respect to the negative electron. We can measure its mass, and it is found to be accurately  $1/1,837$  of that of the hydrogen atom. We have measured accurately its charge and hence can compute the radius  $a$  of the *equivalent sphere*, that is, the sphere over which  $e$  would have to be uniformly distributed to have the observed mass, provided we assume that the observed mass of the electron is all due to its charge.

The justification for such an assumption is of two kinds. First, since we have found that electrons are constituents of all atoms and that mass is a property of an electrical charge, it is of course in the interests of simplicity to assume that all the mass of an atom is due to its contained electrical charges, rather than that there are two wholly different kinds of mass, one of electrical origin and the other of some other sort of an origin. Secondly, if the mass of a negative electron is all of electrical origin, then we can show from electromagnetic theory that this mass ought to be independent of the speed with which the electron may chance to be moving unless that speed approaches close to the speed of light. But from one-tenth the speed of light up to

that speed the mass ought to vary with speed in a definitely predictable way.

Now, it is a piece of rare good fortune for the testing of this theory that radium actually does eject negative electrons with speeds which can be accurately measured and which do vary from three-tenths up to ninety-eight hundredths of that of light. *It is further one of the capital discoveries of the twentieth century<sup>1</sup> that within these limits the observed rate of variation of the mass of the negative electron with speed agrees accurately with the rate of variation computed on the assumption that this mass is all of electrical origin.* Such is the experimental argument for the electrical origin of mass.<sup>2</sup>

Solving then equation (32) for  $a$ , we find that the radius of the sphere over which the charge  $e$  of the negative electron would have to be distributed to have the observed mass is but  $2 \times 10^{-13}$  cm., or but one fifty-thousandth of the radius of the atom ( $10^{-8}$  cm.). From this point of view, then, the negative electron represents a charge of electricity which is condensed into an exceedingly minute volume. In fact, its radius cannot be larger in comparison with the radius of the atom than is the radius of the earth in comparison with the radius of her orbit about the sun.

In the case of the proton (the positive electron associated with the mass of the nucleus of the atom of hydrogen) there is no direct experimental justification for the assumption that the mass is also wholly of electrical origin, for we cannot by any means whatever ob-

<sup>1</sup> Bucherer, *Annalen der Physik*, XXVIII (1909), 513.

<sup>2</sup> The inadequacy in this argument arises from the fact that Einstein's Theory of Relativity requires that all mass, whether of electromagnetic origin or not, varies in just this way with speed.

tain as yet any protons which are endowed with speeds greater than a few tenths of that of light. But in view of the experimental results obtained with the negative electron, the carrying over of the same assumption to the proton has been at least natural. Further, if this step be taken, it is clear from equation (32), since  $m$  for this positive is nearly two thousand times larger than  $m$  for the negative, that  $a$  for the positive can be only  $1/2,000$  of what it is for the negative. In other words, the size of the proton would be to the size of the negative electron as a sphere having a two-mile radius would be to the size of the earth. From the standpoint, then, of the electromagnetic theory of the origin of mass, the dimensions of the negative and positive constituents of atoms in comparison with the dimensions of the atoms themselves are like the dimensions of the planets and asteroids in comparison with the size of the solar system. All of these computations, whatever their value, are rendered possible by the fact that  $e$  is now known.

Now we know from methods which have nothing to do with the electromagnetic theory of the origin of mass, that the excessive minuteness predicted by that theory for both the positive and the negative constituents of atoms is in fact correct, though we have no evidence as to whether the foregoing ratio is right.

### III. DIRECT EXPERIMENTAL PROOF OF THE EXCESSIVE MINUTENESS OF THE ELECTRONIC CONSTITUENTS OF ATOMS

For at least forty years we have had direct experimental proof<sup>1</sup> that the fastest of the  $\alpha$ -particles, or

<sup>1</sup> Bragg, *Phil. Mag.*, VIII (1904), 719, 726; X (1905), 318; XI (1906), 617.

helium atoms, which are ejected by radium, shoot in practically straight lines through as much as 7 cm. of air at atmospheric pressure before being brought to rest. This distance is then called the "range" of these  $\alpha$ -rays. Figs. 14 and 15 show actual photographs of the tracks of such particles. We know too, for the reasons given on p. 139, that these  $\alpha$ -particles do not penetrate the air after the manner of a bullet, namely, by pushing the molecules of air aside, but rather that they actually shoot through all the molecules of air which they encounter. The number of such passages through molecules which an  $\alpha$ -particle would have to make in traversing seven centimeters of air would be about a hundred and thirty thousand.

Further, the very rapid  $\beta$ -particles, or negative electrons, which are shot out by radium have been known for a still longer time to shoot in straight lines through much greater distances in air than 7 cm., and even to pass practically undeflected through appreciable thicknesses of glass or metal.

We saw in chap. vi that the tracks of both the  $\alpha$ - and the  $\beta$ -particles through air could be photographed because they ionize some of the molecules through which they pass. These ions then have the property of condensing water vapor about themselves, so that water droplets are formed which can be photographed by virtue of the light which they reflect. Fig. 17 shows the track of a very high-speed  $\beta$ -ray. A little to the right of the middle of the photograph a straight line can be drawn from bottom to top which will pass through a great many pairs of specks. These specks are the

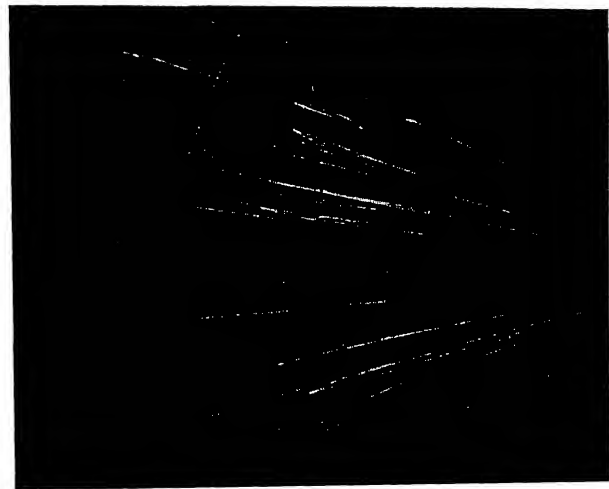


FIG. 14.—Photograph taken by Blackett and Lees (Cambridge, Eng) of the tracks of  $\alpha$  rays of mean ranges 82.7 mm. and 44.3 mm. The crosswise track is that of a proton ejected from a nitrogen nucleus by the impinging  $\alpha$  particle.

FIG. 15.—Photograph of  $\alpha$  ray tracks taken by C. T. R. Wilson. The heel at the point of impact is due to the velocity imparted by the blow to the nitrogen nucleus which in this case was not disrupted.



FIG. 14

FIG. 15

PHOTOGRAPHS OF THE TRACKS OF  $\alpha$ -PARTICLES SHOOTING THROUGH AIR

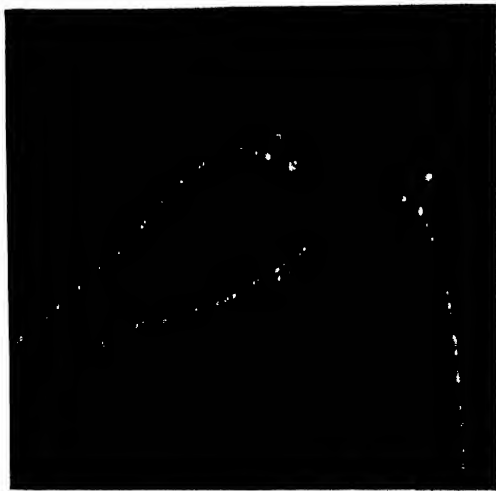


FIG 16

FIG. 16.—Photograph taken by C. T. R. Wilson of the track of a  $\beta$  ray from radium.

FIG. 17.—Photograph of the track of a very high-speed negative electron or  $\beta$  particle. It was actually released by a cosmic ray and has an energy of 1.3 billion electron-volts. Such a particle shoots in practically a straight line through 20 cm. of lead. The photograph was taken in the Norman Bridge Laboratory at Pasadena.

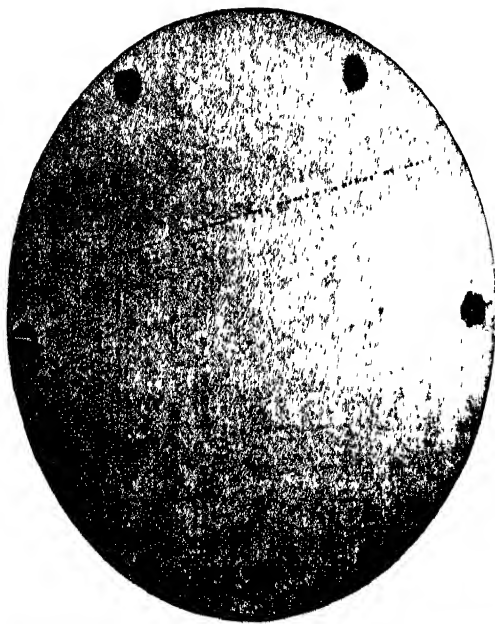


FIG. 17

PHOTOGRAPHS OF THE TRACKS OF  $\beta$ -PARTICLES SHOOTING THROUGH AIR

water droplets formed about the ions which were produced at these points. Since we know the size of a molecule and the number of molecules per cubic centimeter, we can compute, as in the case of the  $\alpha$ -particle, the number of molecules through which a  $\beta$ -particle must pass in going a given distance. The extraordinary situation revealed by this photograph is that this particular particle shot through on an average as many as 300 atoms before it came near enough to an electronic constituent of any one of these atoms to detach it from its system and form an ion. *This shows conclusively that the electronic or other constituents of atoms can occupy but an exceedingly small fraction of the space inclosed within the atomic system. Practically the whole of this space must be empty to an electron going with this speed.*

The left panel in the lower half of the plate (Fig. 16) shows the track of a negative electron of much slower speed, and it will be seen, first, that it ionizes much more frequently, and, secondly, that instead of continuing in a straight line it is deflected at certain points from its original direction. The reason for both of these facts can readily be seen from the considerations on p. 139, which it may be worth while to extend to the case in hand as follows.

If a new planet or other relatively small body were to shoot with stupendous speed through our solar system, the time which it spent within our system might be so small that the force between it and the earth or any other member of the solar system would not have time either to deflect the stranger from its path or to pull the earth out of its orbit. If the speed of the strange



body were smaller, however, the effect would be more disastrous both to the constituents of our solar system and to the path of the strange body, for the latter would then have a much better chance of pulling one of the planets out of our solar system and also a much better chance of being deflected from a straight path itself. The slower a negative electron moves, then, the more is it liable to deflection and the more frequently does it ionize the molecules through which it passes.

This conclusion finds beautiful experimental confirmation in the three panels of the plate opposite this page, for the speed with which X-rays hurl out negative electrons from atoms has long been known to be much less than the speed of  $\beta$ -rays from radium, and the zigzag tracks in these photographs are the paths of these corpuscles. It will be seen that they bend much more often and ionize much more frequently than do the rays shown in Figs. 16 and 17.

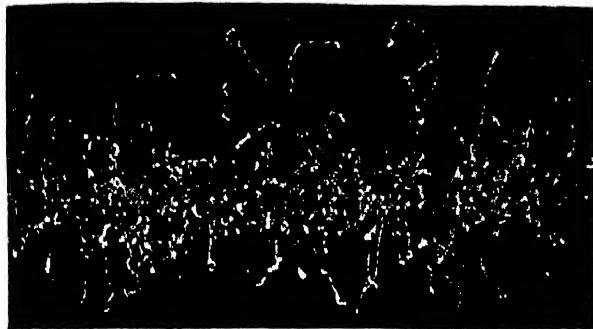
But the study of the tracks of the  $\alpha$ -particles (Figs. 14 and 15, opposite p. 190) is even more illuminating as to the structure of the atom. For the  $\alpha$ -particle, being an atom of helium eight thousand times more massive than a negative electron, could no more be deflected by one of the latter in an atom through which it passes than a cannon ball could be deflected by a pea. Yet Figs. 14 and 15 show that toward the end of its path the  $\alpha$ -particle does in general suffer several sudden deflections. Such deflections could be produced only by a very powerful center of force within the atom whose mass is at least comparable with the mass of the helium atom.



↑  
FIG. 18

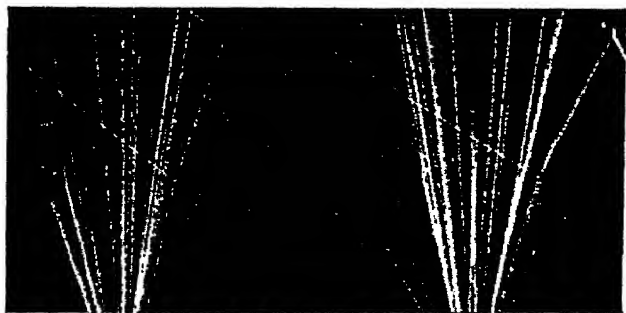


↑  
FIG. 19

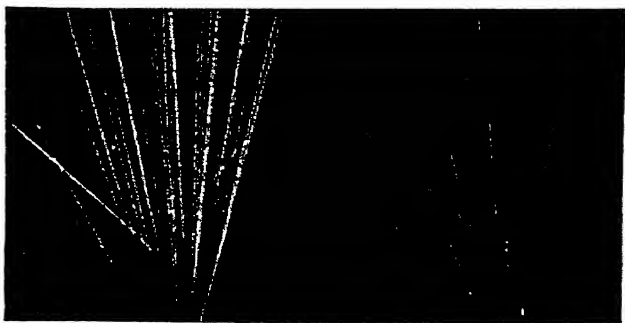


↑  
FIG. 20

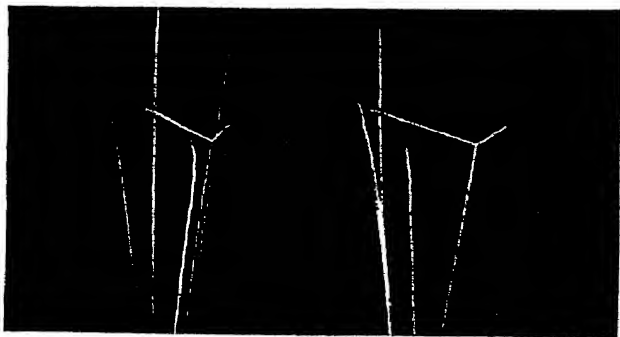
PHOTOGRAPHS OF THE TRACKS OF  $\beta$ -PARTICLES EJECTED BY X-RAYS FROM MOLECULES OF AIR



Collision of  $\alpha$  particle with hydrogen atom



Collision of  $\alpha$ -particle with helium atom



Collision of  $\alpha$ -particle with oxygen atom  
**BLACKETT'S PHOTOGRAPHS OF  $\alpha$ -PARTICLE COLLISIONS**

These sharp deflections, which occasionally amount to as much as  $150^\circ$  to  $180^\circ$ , lend the strongest of support to the view that the atom consists of a heavy positively charged nucleus about which are grouped enough electrons to render the whole atom neutral. But the fact that in these experiments the  $\alpha$ -particle goes through 130,000 atoms without approaching near enough to this central nucleus to suffer appreciable deflection more than two or three times constitutes the most convincing evidence that this central nucleus which holds the negative electrons within the atomic system occupies an excessively minute volume, just as we computed from the electromagnetic theory of the origin of mass that the proton, for example, should do. Indeed, knowing as he did by direct measurement the speed of the  $\alpha$ -particle, Rutherford, who is largely responsible for the nucleus-atom theory, first computed,<sup>2</sup> with the aid of the inverse square law, which we know to hold between charged bodies of dimensions which are small compared with their distances apart, how close the  $\alpha$ -particle would approach to the nucleus of a given atom like that of gold before it would be turned back upon its course (see Appendix F). The result was in the case of gold, one of the heaviest atoms, about  $10^{-12}$  cm., and in the case of hydrogen, the lightest atom, about  $10^{-13}$  cm. These are merely upper limits for the dimensions of the nuclei.

However uncertain, then, we may feel about the sizes of positive and negative electrons computed from the electromagnetic theory of the origin of the mass, we may regard it as fairly well established by such direct experiments as these that the electronic constituents

<sup>2</sup> *Phil. Mag.*, XXI (1911). 669.

of atoms are as small, in comparison with the dimensions of the atomic systems, as are the sun and planets in comparison with the dimensions of the solar system. Indeed, when we reflect that we can shoot helium atoms by the billion through a thin-walled highly evacuated glass tube without leaving any holes behind, i.e., without impairing in the slightest degree the vacuum or perceptibly weakening the glass, we see from this alone that the atom itself must consist mostly of "hole"; in other words, that an atom, like a solar system, must be an exceedingly loose structure whose impenetrable portions must be extraordinarily minute in comparison with the penetrable portions. The notion that an atom can appropriate to itself all the space within its boundaries to the exclusion of all others is then altogether exploded by these experiments. A particular atom can certainly occupy the same space at the same time as any other atom if it is only endowed with sufficient kinetic energy. Such energies as correspond to the motions of thermal agitation of molecules are not, however, sufficient to enable one atom to penetrate the boundaries of another, hence the seeming impenetrability of atoms in ordinary experiments in mechanics. That there is, however, a portion of the atom which is wholly impenetrable to the alpha particles is definitely proved by experiments of the sort we have been considering; for it occasionally happens that an alpha particle hits this nucleus "head on," and, when it does so, it is turned straight back upon its course. As indicated above, the size of this impenetrable portion, which may be defined as the size of the nucleus, is in no case larger than  $1/10,000$  the diameter of the atom, *and yet there may be contained within*

*it, as will presently be shown in the case of uranium 92 positive electrons, so that the excessive minuteness of these bodies is established, altogether without reference to any theory as to what they are.*

#### IV. THE NUMBER OF ELECTRONS IN AN ATOM

If it be considered as fairly conclusively established by the experiments just described that an atom consists of a heavy but very minute positively charged nucleus which holds light negative electrons in some sort of a configuration about it, then the number of negative electrons outside the nucleus must be such as to have a total charge equal to the free positive charge of the nucleus, since otherwise the atom could not be neutral.

But the positive charge on the nucleus has been approximately determined as follows: With the aid of the knowledge, already obtained through the determination of  $e$ , of the exact number of atoms in a given weight of a given substance, Sir Ernest Rutherford<sup>1</sup> first computed the chance that a single helium atom in being shot with a known speed through a sheet of gold foil containing a known number of atoms per unit of area of the sheet would suffer a deflection through a given angle. This computation can easily be made in terms of the known kinetic energy and charge of the  $\alpha$ -particle, the known number of atoms in the gold foil, and the unknown charge on the nucleus of the gold atom (see Appendix F). Geiger and Marsden<sup>2</sup> then actually counted in Rutherford's laboratory, by means of the scintillations produced on a zinc-sulphide screen, what

<sup>1</sup> *Phil. Mag.*, XXI (1911), 669-88.

<sup>2</sup> *Ibid.*, XXV (1913), 604.

fraction of, say, a thousand  $\alpha$ -particles, which were shot normally into the gold foil, were deflected through a given angle, and from this observed number and Rutherford's theory they obtained the number of free positive charges on the nucleus of the gold atom.

Repeating the experiment and the computations with foils made from a considerable number of other metals, they found that in every case *the number of free positive charges on the atoms of different substances was approximately equal to half its atomic weight*. This means that the aluminum atom, for example, has a nucleus containing about thirteen free positive charges and that the nucleus of the atom of gold contains in the neighborhood of a hundred. This result was in excellent agreement with the conclusion reached independently by Barkla<sup>1</sup> from experiments of a wholly different kind, namely, experiments on the scattering of X-rays. These indicated that the number of scattering centers in an atom—that is, its number of free negative electrons—was equal to about half the atomic weight. But this number must, of course, equal the number of free positive electrons in the nucleus.

#### V. MOSELEY'S REMARKABLE DISCOVERY

The foregoing result was only approximate. Indeed, there was internal evidence in Geiger and Marsden's work itself that a half was somewhat too high. The answer was made very definite and very precise in 1913 through the extraordinary work of a brilliant young Englishman, Moseley, who, at the age of twenty-seven, had accomplished as notable a piece of research in

<sup>1</sup> *Phil. Mag.*, XXI (1911), 648.

physics as has appeared during the last fifty years. Such a mind was one of the early victims of the world-war. He was shot and killed instantly in the trenches in Gallipoli in the summer of 1915.

Laue in Munich had suggested in 1912 the use of the regular spacing of the molecules of a crystal for the analysis, according to the principle of the grating, of ether waves of very short wave-length, such as X-rays were supposed to be, and the Braggs<sup>1</sup> had not only perfected an X-ray spectrometer which utilized this principle, but had determined accurately the wave-lengths of the X-rays which are characteristic of certain metals. The accuracy with which this could be done was then set simply by the accuracy in the determination of  $e$ , so that the whole new field of exact X-ray spectrometry was first opened through our exact knowledge of  $e$ . Moseley's discovery,<sup>2</sup> made as a result of an elaborate and difficult study of the wave-lengths of the characteristic X-rays which were excited when cathode rays were made to impinge in succession upon anticathodes embracing most of the known elements, was that these characteristic wave-lengths of the different elements, or, better, their characteristic frequencies, are related in a very simple but a very significant way. *These frequencies were found to constitute the same sort of an arithmetical progression as do the charges which we found to exist on our oil drops.* It was the square root of the frequencies rather than the frequencies themselves which showed this beautifully simple relationship, but this is an unimportant detail. The significant fact is that, *arranged in the order of increas-*

<sup>1</sup> Bragg, *X-Rays and Crystal Structure*, 1915.

<sup>2</sup> *Phil. Mag.*, XXVI (1912), 1024; XXVII (1914), 703.



*ing frequency of their characteristic X-ray spectra, all the known elements which have been examined constitute a simple arithmetical series each member of which is obtained from its predecessor by adding always the same quantity.*

The plate opposite this page shows photographs of the X-ray spectra of a number of elements whose atomic numbers—that is, the numbers assigned them in Moseley's arrangement of the elements on the basis of increasing X-ray frequency—are given on the left. These photographs were taken by Siegbahn.<sup>1</sup> The distance from the "central image"—in this case the black line on the left—to a given line of the line spectrum on the right is approximately proportional to the wave-length of the rays producing this line. The photographs show beautifully, first, how the atoms of all the elements produce spectra of just the same type, and, secondly, how the wave-lengths of corresponding lines decrease, or the frequencies increase, with increasing atomic number. The photograph on the left shows this progression for the highest frequency rays which the atoms produce, the so-called K series, while the one on the right shows the same sort of a progression for the rays of next lower frequency, namely, those of the so-called L series, which have uniformly from seven to eight times the wave-length of the K series. The plate opposite p. 199 shows some very beautiful photographs taken by De Broglie in Paris<sup>2</sup> in October, 1916. The upper one is the X-ray emission spectrum of tungsten. It consists of general radiations, corresponding to white light, scattered throughout the whole length of the spectrum as a background

<sup>1</sup> *Jahrbuch der Radioaktivität u. Elektronik*, XIII (1916), 326.

<sup>2</sup> *Comptes rendus*, CLXV (1916), 87, 352.

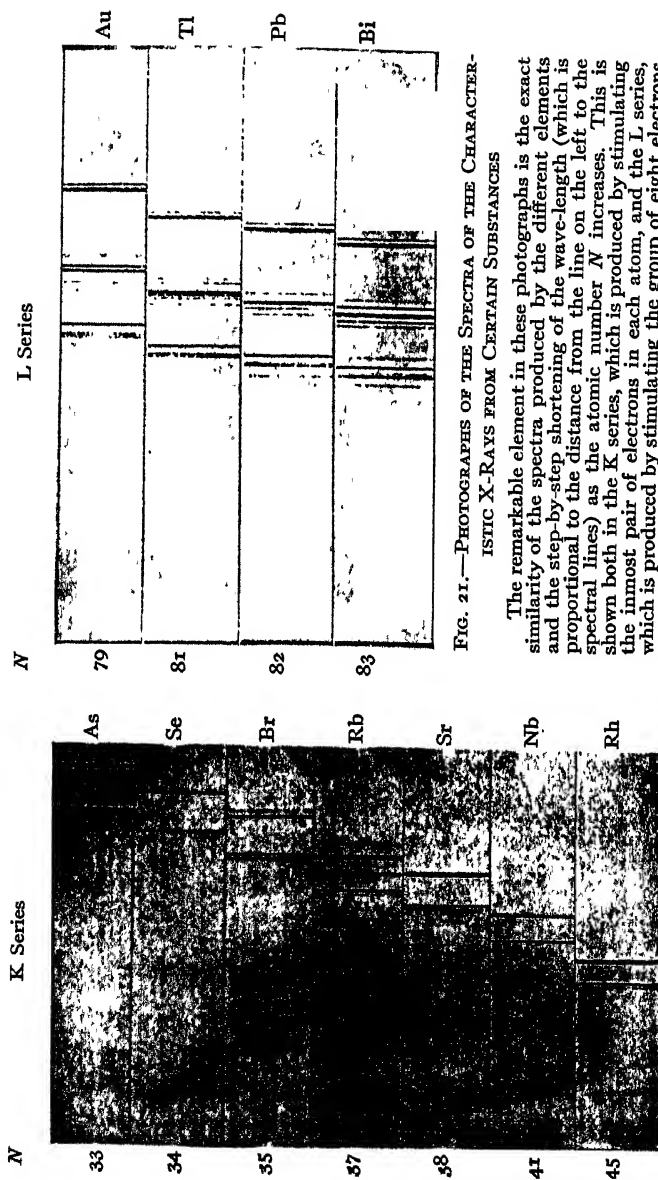


FIG. 21.—PHOTOGRAPHS OF THE SPECTRA OF THE CHARACTERISTIC X-RAYS FROM CERTAIN SUBSTANCES

The remarkable element in these photographs is the exact similarity of the spectra produced by the different elements and the step-by-step shortening of the wave-length (which is proportional to the distance from the line on the left to the spectral lines) as the atomic number  $N$  increases. This is shown both in the K series, which is produced by stimulating the innermost pair of electrons in each atom, and the L series, which is produced by stimulating the group of eight electrons in the second ring or shell from the center.

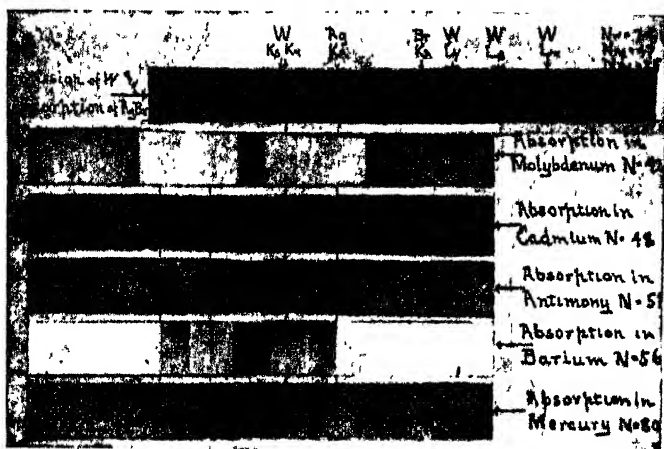


FIG. 22.—X-RAY ABSORPTION SPECTRA, K SERIES

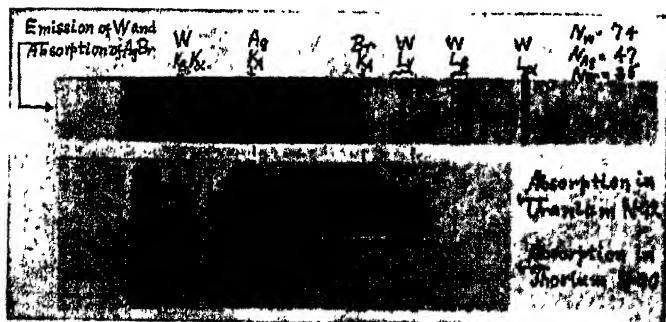


FIG. 23.—X-RAY ABSORPTION SPECTRA, L SERIES

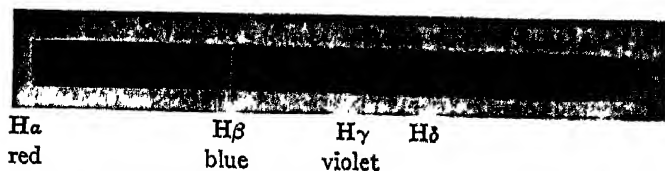
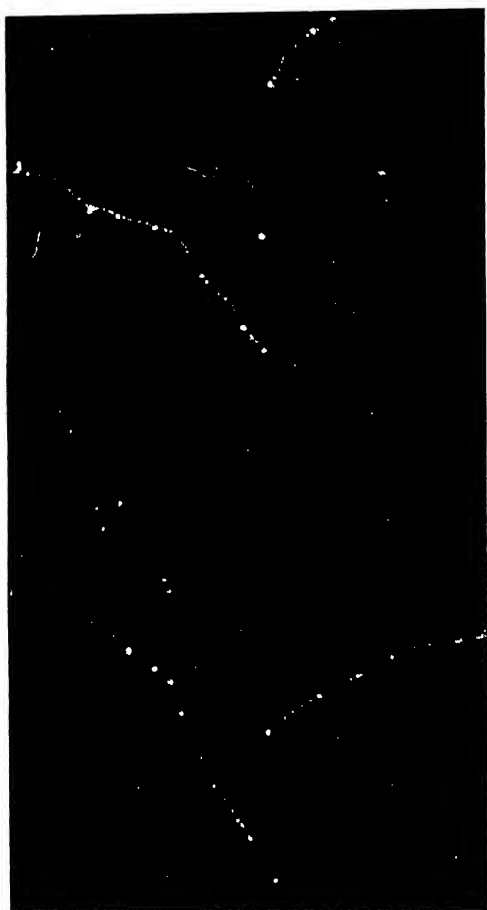


FIG. 24.—HYDROGEN SPECTRUM FROM THE STAR VEGA

and superposed upon these two groups of lines. The two K lines are here close to the central image, for the K wave-lengths are here very short, since tungsten has a high atomic number (74). Farther to the right is the L series of tungsten lines which will be recognized because of its similarity to the L series in the plate opposite p. 198. Between the K and the L lines are two absorption edges marked  $\frac{\text{Ag}}{\text{K}_\alpha}$  and  $\frac{\text{Br}}{\text{K}_\alpha}$ . The former represents the frequency above which the silver absorbs all the general radiation of tungsten but below which it lets it all through. The latter is the corresponding line for bromine. In a print from a photograph absorption in the plate itself obviously appears as a darkening, transmission as a lightening. Just below is the spectrum obtained by inserting a sheet of molybdenum in the path of the beam, i.e., before the slit of the spectrometer. Absorption in the molybdenum will obviously appear as a lightening, transmission as a darkening. It will be seen that the molybdenum absorbs all the frequencies in the X-ray emission of tungsten higher than a particular frequency and lets through all frequencies lower than this value. This remarkable characteristic of the absorption of X-rays was discovered by Barkla in 1909.<sup>2</sup> The absorption edge at which, with increasing frequency, absorption suddenly begins is very sharply marked. This edge coincides with the highest emission frequency of which molybdenum is theoretically capable, and is a trifle higher than the highest observed emission frequency. De Broglie has measured accurately these critical absorption frequencies for all the heavy elements

<sup>2</sup> Barkla and Sadler, *Phil. Mag.*, XVII (May, 1909), 749.

up to thorium, thus extending the K series from atomic number  $N=60$  where he found it, to  $N=90$ , a notable advance. The two absorption edges characteristic of the silver and the bromine in the photographic plate appear in the same place on all the photographs in which they could appear. The other absorption edges vary from element to element and are characteristic each of its particular element. The way in which this critical absorption edge moves toward the central image as the atomic number increases in the steps Br 35, Mo 42, Ag 47, Cd 48, Sb 51, Ba 56, W 74, Hg 80, is very beautifully shown in De Broglie's photographs all the way up to mercury, where the absorption edge is somewhat inside the shortest of the characteristic K radiations of tungsten. There must be twelve more of these edges between mercury ( $N=80$ ) and uranium ( $N=92$ ) and De Broglie has measured them up to thorium ( $N=90$ ). They become, however, very difficult to locate in this K region of frequencies on account of their extreme closeness to the central image. But the L radiations, which are of seven times longer wave-length, may then be used, and Fig. 23 of the plate opposite page 199 shows the L-ray absorption edges, of which there are three, as obtained by De Broglie in both uranium and thorium, so that the position in the Moseley table of each element all the way to the heaviest one, uranium, is fixed in this way by direct experiment. Fig. 25 shows the progression of square-root frequencies as it appears from measurements made on the successive absorption edges of De Broglie's photographs and on a particular one of Siegbahn's emission lines. It will be noticed that, in going from bromine (35) to uranium (92), the length of



↑

These beta ray tracks were taken by C. T. R. Wilson. The electrons are photoelectrically released and hence receive the full energy of the incident photons, here over 25,000 volts. When these photons are of sufficiently low energy the electrons are thrown out at right angles to the direction of the incident photon (see Figs. 18, 19, 20), but as the energy increases the electron tends to take on a stronger and stronger forward component as here shown. The X-ray beam was here a very narrow one passing upward in a line just to the right of the middle of the figure (see arrow).

$\sqrt{\frac{\nu}{R}}$ 

K-Series

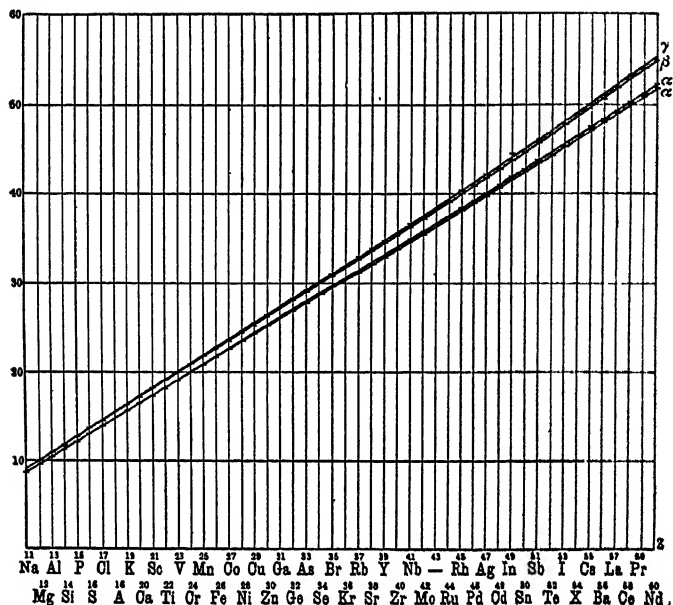


FIG. 25a. MOSELEY'S LAW

This figure shows in graphical form the linear step-by-step progression of square-root X-ray frequencies with atomic number. It is this relationship, now extending in 92 steps from hydrogen up to uranium, that tells us that the physical world as we know it is built up out of just 92 elements, an element being defined as a body possessing a given nuclear charge, and therefore in its neutral state having a corresponding number of extra-nuclear electrons. Fermi, in Rome, announced in 1934 that there is some evidence for the existence of element of atomic number 93. Each element may have a number of isotopes because the foregoing charge relations can be built up by combinations of protons and negative electrons that give different weights to the nucleus.

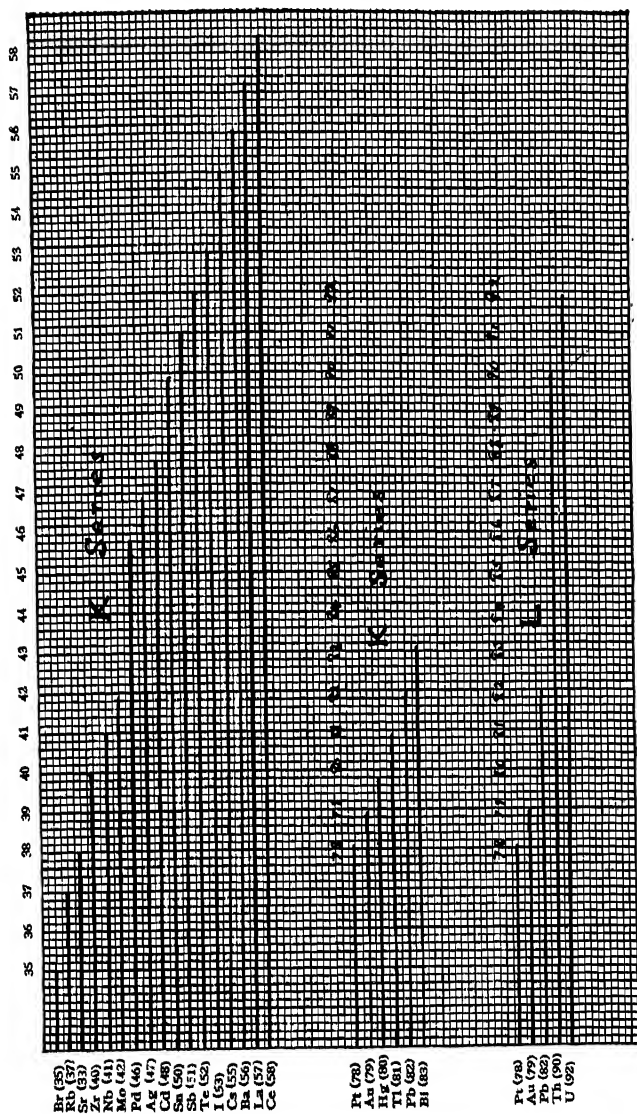


FIG. 25



the step does change by a few per cent. The probable cause of this will be considered later.

According to modern theory an absorption edge appears where the incident energy—which is proportional to the incident frequency—has become just large enough to lift the particular electron which absorbs it entirely out of the atom. If this removed electron should then fall back to its old place in the atom, it would emit in so doing precisely the frequency which was absorbed in the process of removal.

Since these enormously high X-ray frequencies must arise from electrons which fall into extraordinarily powerful fields of force, such as might be expected to exist in the inner regions of the atom close to the nucleus, Moseley's discovery strongly suggests that the charge on this nucleus is produced in the case of each atom by adding some particular invariable charge to the nucleus of the atom next below it in Moseley's table. This suggestion gains added weight when it is found that with one or two trifling exceptions, to be considered later, *Moseley's series of increasing X-ray frequencies is exactly the series of increasing atomic weights.* It also receives powerful support from the following discovery.

Mendeleëff's periodic table shows that the progression of chemical properties among the elements coincides in general with the progression of atomic weights. Now it was pointed out twenty years ago that whenever a radioactive substance loses a doubly charged  $\alpha$ -particle it moves two places to the left in the periodic table, while whenever it loses a singly charged  $\beta$ -particle it moves one place to the right,<sup>1</sup> thus showing that the chemical

<sup>1</sup> Soddy, *The Chemistry of the Radioelements*, Part II, 1914.

character of a substance depends upon the number of free positive charges in its nucleus.

One of the most interesting and striking characteristics of Moseley's table is that all the known elements between sodium (atomic number 11, atomic weight 23) and lead (atomic number 82, atomic weight, 207.2) have been fitted into it and there are now left no vacancies within this range. Below sodium there are just 10 known elements, and very recent study<sup>\*</sup> of their spectra in the extreme ultra-violet has fixed the place of each in the Moseley progression, though in this region the progression of atomic weights and of chemical properties is also altogether definite and unambiguous. It seems highly probable, then, from Moseley's work that we have already found every one of the complete series of different types of atoms from hydrogen to lead, i.e., from 1 to 82, of which the physical world is built. From 82 to 92 comes the group of radioactive elements which are continually transmuting themselves into one another, and above 92 (uranium) no stable, permanent elements exist.

That hydrogen is indeed the base of the Moseley series can be seen independently of all theory by the following simple computation. If we write Moseley's discovery that the square roots of the highest frequencies,  $n_1$ ,  $n_2$ , etc., emitted by different atoms are proportional to the nuclear charges,  $E_1$ ,  $E_2$ , etc., in the following form:

$$\sqrt{\frac{n_1}{n_2}} = \frac{E_1}{E_2} \text{ or } \frac{\lambda_2}{\lambda_1} = \frac{E_1^2}{E_2^2} \dots \dots \dots (33)$$

<sup>\*</sup> Millikan and Bowen, "Extreme Ultra-Violet Spectra," *Phys. Rev.*, January, 1924.

and substitute for  $\lambda_2$  the observed wave-length of the highest frequency line emitted by tungsten—a wave-length which has been accurately measured and found to be  $0.179 \times 10^{-8}$  cm.; and, further, if we substitute for  $E_2$ , 74, the atomic number of tungsten, and for  $E_1$ , 1, if the Moseley law were exact we should obtain, by solving for  $\lambda_1$ , the wave-length of the highest frequency line which can be emitted by the element whose nucleus contains but one single positive electron. The result of this substitution is  $\lambda_1 = 98.0 \mu\mu$  (millionths millimeters). Now the wave-length corresponding to the highest observed frequency in the ultra-violet series of hydrogen lines recently discovered by Lyman is  $97.4 \mu\mu$  and there is every reason to believe from the form of this series that its convergence wave-length—this corresponds to the highest frequency of which the hydrogen atom is theoretically capable—is  $91.2 \mu\mu$ . The agreement is only approximate, but it is as close as could be expected in view of the lack of exact equality in the Moseley steps. *It is well-nigh certain, then, that this Lyman ultra-violet series of hydrogen lines is nothing but the K X-ray series of hydrogen.* Similarly, it is equally certain that the L X-rays series of hydrogen is the ordinary Balmer series in the visible region, the head of which is at  $\lambda = 365 \mu\mu$ . In other words, hydrogen's ordinary radiations are its X-rays and nothing more.

There is also an M series for hydrogen discovered by Paschen in the ultra-red, which in itself would make it probable that there are series for all the elements of longer wave-length than the L series, and that the complicated optical series observed with metallic arcs are parts of these longer wave-length series. As a

matter of fact, an M series has been found for a considerable group of the elements of high atomic number.

Thus the Moseley experiments have gone a long way toward solving the mystery of spectral lines. They reveal to us clearly and certainly the whole series of elements from hydrogen to uranium, all producing spectra of remarkable similarity, at least so far as the K and L radiations are concerned, but scattered regularly through the whole frequency region, from the ultra-violet, where the K lines for hydrogen are found, all the way up to frequencies  $(92)^2$  or 8,464 times as high. There is scarcely a portion of this whole field which is not already open to exploration. How brilliantly, then, have these recent studies justified the predictions of the spectroscopists that the key to atomic structure lay in the study of spectral lines!

Moseley's work is, in brief, evidence from a wholly new quarter that all these elements constitute a family, each member of which is related to every other member in a perfectly definite and simple way. It looks as if the dream of Thales of Miletus had actually come true and that we have found a primordial element out of which all substances are made, or better two of them. For the succession of steps from one to ninety-two, each corresponding to the addition of an extra free positive charge upon the nucleus, suggests at once that the unit positive charge is itself a primordial element, and this conclusion is strengthened by recently discovered atomic-weight relations. It is well known that Prout thought a hundred years ago that the atomic weights of all elements were exact multiples of the weight of hydrogen, and hence tried to make hydrogen itself the primordial

element. But fractional atomic weights like that of chlorine (35.5) were found, and were responsible for the later abandonment of the theory. Within the past ten years, however, it has been shown that, within the limits of observational error, practically all of those elements which had fractional atomic-weights are mixtures of substances, so called *isotopes*, each of which has an atomic weight that is very nearly an exact multiple of the unit of the atomic-weight table, so that Prout's hypothesis is now very much alive again.

Indeed, all results so far obtained are consistent with the view that within every atomic nucleus each positive electron is at least associated with the mass characteristic of the nucleus of the hydrogen atom, so that to within one part in eighteen hundred thirty-five the mass of every atom may be thought of as simply the mass of the whole number of hydrogen nuclei, or protons (each charged with one positive electron) which are contained within its nucleus. Now the atomic weight of helium is four, while its atomic number, the *free* positive charge upon its nucleus, is only two. The helium atom might therefore contain *inside its nucleus* two negative electrons which neutralize two of these positives and serve to hold together the four positives which would otherwise fly apart under their mutual repulsions. An alternative, and in 1946 much preferred, picture is a helium *nucleus* composed of two so-called "neutrons" and two protons. This reduces to the foregoing picture if two of the above-mentioned four protons are conceived as already tightly combined within the nucleus with the two negatives to form two "neutrons" (see chap. xv). This type of change applies also to the following pictures.

Before the neutron appeared (1932), we counted the exact number of both positive and negative electrons which are packed into the nucleus of every atom thus: In uranium, for example, since its atomic weight is 238, we thought that there must be 238 positive electrons in its nucleus. But since its atomic number, or the measured number of free unit charges upon its nucleus, is but 92, we considered that  $(238 - 92 =)$  146 of the 238 positive electrons in the nucleus must be neutralized by 146 negative electrons *which are also within that nucleus*; and so, in general, *the atomic weight minus the atomic number gave at once the number of negative electrons which are contained within the nucleus of any atom*. That these negative electrons are actually there within the nucleus appeared to be demonstrated by the facts of radioactivity, for in the radioactive process we find negative electrons, so called  $\beta$ -rays, actually being ejected from the nucleus. They can come from nowhere else, for the chemical properties of the radioactive atom are found to change with every such ejection of a  $\beta$ -ray, and change in chemical character always means change in the free charge contained in the nucleus.

We were thus enabled to look with the eyes of the mind, not only inside an atom, a body which becomes but a meter in diameter when looked at through an instrument of ten billion fold magnification, but also inside its nucleus, which, even with that magnification, is still a mere pin point, and to count within it just how many positive and how many negative electrons are there imprisoned, numbers reaching 238 and 146, respectively, in the case of the uranium atom. And let it be remembered, the dimensions of these atomic nuclei are about

one-billionth of those of the smallest object which has ever been seen or can ever be seen and measured in a microscope. From these figures it will be obvious that, for practical purposes, we may neglect the dimensions of electrons altogether and consider them as mere point charges.

But what a fascinating picture of the ultimate structure of matter has been presented by this voyage to the land of the infinitely small! Only two ultimate entities have we been able to see there, namely, positive and negative electrons; alike in the magnitude of their charge but apparently differing much in mass; the positive being eighteen hundred and thirty-five times heavier than the negative; both being so vanishingly small that hundreds of them can somehow get inside a volume which is still a pin point after all dimensions have been swelled ten billion times: the ninety-two different elements of the world determined simply by the difference between the number of positives and negatives which have been somehow packed into the nucleus; all these elements transmutable, ideally at least, into one another by a simple change in this difference. Has nature a way of making these transmutations in her laboratories? She is doing it under our eyes in the radioactive process—a process, however, which is confined to the two heaviest elements, uranium and thorium, and their disintegration products, save that it is possessed in very slight degree by potassium and rubidium. Does the process go on in both directions, heavier atoms being continually formed as well as continually disintegrating into lighter ones? Not on the earth so far as we can see. Perhaps in the depths of space or in the stars. Some day we may find out. (Again see chap. xv for further possibilities.)

Can we on the earth artificially control the process? To a certain degree we know already how to disintegrate artificially and also how to build up. For, ever since 1919 Rutherford and his co-workers at the Cavendish Laboratory have been disintegrating all the elements from boron to potassium, save only carbon and oxygen, by bombarding them with  $\alpha$  rays (see opp. p. 190)—a process which knocks hydrogen nuclei out of these elements. Also beginning in 1932, Cockroft and Walton in England, Lawrence in Berkeley, and Lauritsen and Crane in Pasadena have built up certain new atoms by throwing hydrogen nuclei with great energy, imparted by powerful electric fields, into other nuclei. How far we can go in this artificial transmutation of the elements is not yet certain. (See chap. xv.)

## VI. THE BOHR ATOM

Thus far nothing has been said as to whether the electrons within the atom are at rest or in motion, or, if they are in motion, as to the character of these motions. In the hydrogen atom, however, which contains, according to the foregoing evidence, but one positive and one negative electron, there is no known way of preventing the latter from falling into the positive nucleus unless centrifugal forces are called upon to balance attractions, as they do in the case of the earth and moon. Accordingly it seems to be necessary to assume that the negative electron is rotating in an orbit about the positive. But such a motion would normally be accompanied by a continuous radiation of energy of continuously increasing frequency as the electron, by virtue of its loss of energy, approached closer and closer to the nucleus. Yet experiment reveals no such behavior, for, so far as we



know, hydrogen does not radiate at all unless it is ionized, or has its negative electron knocked, or lifted, from its normal orbit to one of higher potential energy, and, when it does radiate, it gives rise, not to a continuous spectrum, as the foregoing picture would demand, but rather to a line spectrum in which the frequencies corresponding to the various lines are related to one another in the very significant way shown in the photograph of Fig. 24 and represented by the so-called Balmer-Ritz equation,<sup>1</sup> which has the form

$$\nu = N \left( \frac{1}{n_1^2} - \frac{1}{n_2^2} \right) \dots \dots \dots (34)$$

In this formula  $\nu$  represents frequency,  $N$  a constant, and  $n_1$ , for all the lines in the visible region, has the value 2, while  $n_2$  takes for the successive lines the values 3, 4, 5, 6, etc. In the hydrogen series in the infra-red discovered by Paschen<sup>2</sup>  $n_1 = 3$  and  $n_2$  takes the successive values 4, 5, 6, etc. It is since the development of the Bohr theory that Lyman<sup>3</sup> discovered his hydrogen series in the ultra-violet in which  $n_1 = 1$  and  $n_2 = 2, 3, 4$ , etc. Since 1 is the smallest whole number, this series should correspond, as indicated heretofore, to the highest frequencies of which hydrogen is capable, the upper limit toward which these frequencies tend being reached when  $n_1 = 1$  and  $n_2 = \infty$ , that is, when  $\nu = N$ .

<sup>1</sup> Balmer (1885) expressed the formula in wave-lengths. Ritz (1908) first replaced wave-lengths by wave-numbers, or frequencies, and thereby saw his "combination-principle," while Rydberg discovered the general significance of what is now known as the Rydberg constant  $N$ .

<sup>2</sup> Paschen, *Ann. d. Phys.*, XXVII (1908), 565.

<sup>3</sup> *Spectroscopy of the Extreme Ultraviolet*, p. 78.

Guided by all of these facts except the last, Niels Bohr, a young mathematical physicist of Copenhagen, in 1913 devised<sup>2</sup> an atomic model which has had some very remarkable successes. This model was originally designed to cover only the simplest possible case of one single electron revolving around a positive nucleus. In order to account for the large number of lines which the spectrum of such a system reveals (see Fig. 24), Bohr's first assumption was that the electron may rotate about the nucleus in a whole series of different orbits, as shown in Fig. 26, and that each of these orbits is governed by the well-known Newtonian law, which when mathematically stated takes the form:

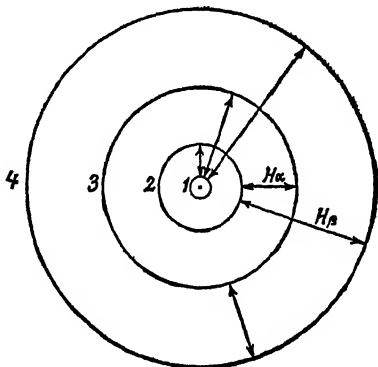


FIG. 26.—The original Bohr model of the hydrogen atom.

$$\frac{eE}{a^2} = (2\pi n)^2 ma \dots \dots \dots (35)$$

in which  $e$  is the charge of the electron,  $E$  that of the nucleus,  $a$  the radius of the orbit,  $n$  the orbital frequency, and  $m$  the mass of the electron. This is merely the assumption that the electron rotates in a circular orbit which is governed by the laws which are known, from

<sup>2</sup> N. Bohr, *Phil. Mag.*, XXVI (1913), 1 and 476 and 857; XXIX (1915), 332; XXX (1915), 394; Sommerfeld, *Atomic Structure and Spectral Lines*. New York: Dutton, 1923.

the work on the scattering of the alpha particles, to hold inside as well as outside the atom. The radical element in it is that it permits the negative electron to maintain this orbit or to persist in this so-called "*stationary state*" without radiating energy even though this appears to conflict with ordinary electromagnetic theory. But, on the other hand, the facts of magnetism<sup>1</sup> and of optics, in addition to the successes of the Bohr theory which are to be detailed, appear at present to lend experimental justification to such an assumption.

Bohr's second assumption is that radiation takes place only when an electron jumps from one to another of these orbits. If  $A_2$  represents the energy of the electron in one orbit and  $A_1$  that in any other orbit, then it is clear from considerations of energy alone that when the electron passes from the one orbit to the other the amount of energy radiated must be  $A_2 - A_1$ ; further, this radiated energy obviously must have some frequency  $\nu$ , and, in view of the experimental work presented in the next chapter, Bohr placed it proportional to  $\nu$ , and wrote:

$$h\nu = A_2 - A_1 \dots \dots \dots (36)$$

$h$  being the so-called Planck constant to be discussed later. It is to be emphasized that this assumption gives no physical picture of the way in which the radiation takes place. It merely states the energy relations which must be satisfied when it occurs. The red hydrogen line  $H_\alpha$  is, according to Bohr, due to a jump from orbit 3 to orbit 2 (Fig. 26), the blue line  $H_\beta$  to a jump from 4 to 2,

<sup>1</sup> Einstein and De Haas, *Verh. der deutsch. phys. Ges.*, XVII (1915), 152; also Barnett, *Phys. Rev.*, VI (1915), 239; also Epstein, *Science*, LVII (1923), 532.

H $\gamma$  to a jump from 5 to 2, etc.; while the Lyman ultra-violet lines correspond to a series of similar jumps into the inmost orbit 1 (see Fig. 26).

Bohr's third assumption is that the various possible circular orbits are determined by assigning to each orbit a kinetic energy  $T$  such that

$$T = \frac{1}{2} \tau h n \dots \dots \dots (37)$$

in which  $\tau$  is a whole number,  $n$  the orbital frequency, and  $h$  is again Planck's constant. This value of  $T$  is assigned so as to make the series of frequencies agree with that actually observed, namely, that represented by the Balmer series of hydrogen.

It is to be noticed that, if circular electronic orbits exist at all, no one of these assumptions is arbitrary. Each of them is merely the statement of the existing *experimental* situation. It is not surprising, therefore, that they predict the sequence of frequencies found in the hydrogen series. They have been purposely made to do so. But they have not been made with any reference whatever to the exact numerical values of these frequencies.

The evidence for the soundness of the conception of non-radiating electronic orbits is to be looked for, then, first, in the success of the constants involved, and, second, in the physical significance, if any, which attaches to the third assumption. If these constants come out right within the limits of experimental error, then the theory of non-radiating electronic orbits has been given the most crucial imaginable of tests, especially if these constants are accurately determinable.

What are the facts? The constant of the Balmer series in hydrogen, that is, the value of  $N$  in equation (34), is known with the great precision attained in all wave-length determinations and is equal to  $3.28988 \times 10^{15}$ . From the Bohr theory it is given by the simplest algebra (Appendix G) as

$$N = \frac{2\pi^2 e^4 m}{h^3} = \frac{2\pi^2 e^5}{h^3 \frac{e}{m}} \dots \dots \dots (38)$$

As already indicated, in 1917 I had redetermined<sup>1</sup>  $e$  with an *estimated accuracy* of one part in a thousand and obtained at that time the value  $4.770 \times 10^{-10}$ . As shown in the next chapter, in 1915 and 1916 I also determined  $h$  photo-electrically<sup>2</sup> with an error in the case of sodium, which I estimated at one-half of one per cent, the value being  $6.56 \times 10^{-27}$ . Through the substitution of these two values in equation 38 I obtained with the aid of Houston's value of  $\frac{e}{m}$ , namely,  $1.7570 \times 10^7$ ,  $N = 3.285 \times 10^{15}$ , a result surprisingly close to the foregoing spectroscopic value. But when the much more significant and more accurate 1946 values on page 630 are used, the result begins to have real significance. *It then agrees to within less than a tenth of one per cent with the observed value.*<sup>3</sup> This agreement constitutes most extraordinary justification of the theory of non-radiating electronic orbits. It demonstrates that the behavior of the negative electron in the hydrogen atom is at least correctly described by the *equation* of a circular non-radiating orbit. If this equation can be

<sup>1</sup> R. A. Millikan, *Phil. Mag.*, XXXIV (1917), 1.

<sup>2</sup> R. A. Millikan, *Phys. Rev.*, VII (1916), 362.

<sup>3</sup> Best 1945 values of all constants make the fit better.

obtained from some other physical condition than that of an actual orbit, it is obviously incumbent upon those who so hold to show what that condition is. The so-called wave mechanics, which is rather a modification than an abandonment of orbits, does equally well.

Again, the radii of the stable orbits for hydrogen are easily found from Bohr's assumptions to take the mathematical form (Appendix G)

$$a = \frac{\tau^2 h^2}{4\pi^2 m e^2} \dots \dots \dots (39)$$

In other words, since  $\tau$  is a whole number, the radii of these orbits bear the ratios 1, 4, 9, 16, 25. If normal hydrogen is assumed to be that in which the electron is in the inmost possible orbit, namely, that for which  $\tau=1$ ,  $2a$ , the diameter of the normal hydrogen atom, comes out  $1.1 \times 10^{-8}$ . The best determination for the diameter of the hydrogen molecule yields  $2.2 \times 10^{-8}$  in extraordinarily close agreement with the prediction from Bohr's theory.

Further, the fact that normal hydrogen does not absorb at all the Balmer series lines which it emits is beautifully explained by the foregoing theory, since, according to it, normal hydrogen has no electrons in the orbits corresponding to the lines of the Balmer series. Again, the fact that *hydrogen emits its characteristic radiations only when it is ionized or excited* favors the theory that the process of emission is a process of settling down to a normal condition through a series of possible intermediate states, and is therefore in line with the view that a change in orbit is necessary to the act of radiation.

Another triumph of the theory is that the third assumption, devised to fit a purely empirical situation, viz., the observed relations between the frequencies of the Balmer series, is found to have a very simple and illuminating physical meaning and one which has to do with *orbital* motion. It is that all the possible values of the *angular momentum* of the electron rotating about the positive nucleus are exact multiples of a particular value of this angular momentum. Angular momentum then has the property of *atomicity*. Such relationships do not in general drop out of *empirical* formulae. When they do, we see in them at least general interpretations of the formulae—not merely coincidences.

Again, the success of a theory is often tested as much by its adaptability to the explanation of deviations from the behavior predicted by its most elementary form as by the exactness of the fit between calculated and observed results. The theory of electronic orbits has had remarkable successes of this sort. Thus it predicts the Moseley law (33). But this law, discovered afterward, was found inexact, and it should be inexact when there is more than one electron in the atom, as is the case save for H atoms and for such He atoms as have lost one negative charge, and that because of the way in which the electrons influence one another's fields. By taking account of these influences, the inexactnesses in Moseley's law have been very satisfactorily explained.

Another very beautiful quantitative argument for the correctness of Bohr's orbital conception comes from the prediction of a slight difference between the positions in the spectrum of two sets of lines, one due to ionized helium and the other to hydrogen. These two sets of

lines, since they are both due to a single electron rotating about a simple nucleus, ought to be exactly coincident, i.e., they ought to be one and the same set of lines, *if it were not for the fact that the helium nucleus is four times as heavy as the hydrogen nucleus.*

To see the difference that this causes it is only necessary to reflect that, when an electron revolves about a hydrogen nucleus, the real thing that happens is that the two bodies revolve about their common center of gravity. But since the nucleus is two thousand times heavier than the electron, this center is exceedingly close to the hydrogen nucleus.

When, now, the hydrogen nucleus is replaced by that of helium, which is four times as heavy, the common center of gravity is still closer to the nucleus, so that the helium-nucleus describes a much smaller circle than did that of hydrogen. This situation is responsible for a slight but accurately predictable difference in the energies of the two orbits, which should cause the spectral lines produced by electron-jumps to these two different orbits to be slightly displaced from one another.

This predicted slight displacement between the hydrogen and helium lines is not only found experimentally, but the most refined and exact of recent measurements has shown that *the observed displacement agrees with the predicted value to within a small fraction of 1 per cent.*

This not only constitutes general evidence for the orbit theory, but it seems to be irreconcilable with a ring-electron theory once favored by some authors, since it seems to require that the mass of the electron be concentrated at a point.



The next amazing success of the orbit theory came when Sommerfeld<sup>1</sup> showed that the "quantum" principle underlying the Bohr theory ought to demand two different hydrogen orbits corresponding to the second quantum state—second orbit from the nucleus—one a circle and one an ellipse. And by applying the general relativity theory (which involves the change in mass of the electron with its change in speed as it moves through the different portions of its orbit), he showed that the circular and elliptical orbits should have slightly different energies, and consequently that both the hydrogen and the helium lines corresponding to the second quantum state should be close doublets.

Now *not only is this found to be the fact, but the measured separation of these two doublet lines agrees closely with the predicted value*, so that this again constitutes extraordinary evidence for the validity of the orbit-conceptions underlying the computation.

In Fig. 27 the two orbits which are here in question are those which are labeled  $2_2$  and  $2_1$ , the large numeral denoting the total quantum number, and the subscript the auxiliary, or *azimuthal*, quantum number which determines the ellipticity of the orbit. The figure is introduced to show the types of stationary orbits which the extended Bohr theory permits. For total quantum number 1 there was but one possible orbit, a circle. For total quantum numbers 2, 3, 4, etc., there are 2, 3, 4, etc., possible orbits, respectively. The ratio of the auxiliary to the total quantum number gives the ratio of the minor and major axes of the ellipse. The fourth quantum

<sup>1</sup> A. Sommerfeld, *Ann. d. Phys.*, III (1916), 1. Also Paschen, *ibid.*, p. 901.

state, for example, has four orbits,  $4_1, 4_2, 4_3, 4_4$ , all of which have the same major axis, but minor axes which increase in the ratios 1, 2, 3, 4 up to equality, in the circle ( $4_4$ ), with the major axis. It is this multiplicity of orbits which predicts with beautiful accuracy the "fine-structure" of all of the lines due to atomic hydrogen and to helium.

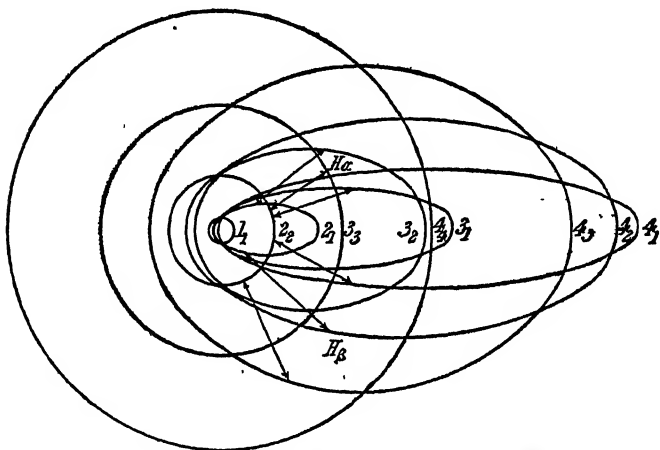


FIG. 27.—Bohr-Sommerfeld model of the hydrogen atom with stationary orbits corresponding to principal quantum numbers and auxiliary or azimuthal quantum numbers.

The next quantitative success of the Bohr theory came when Epstein,<sup>2</sup> of the California Institute, applied his unusual grasp of orbit theory to the exceedingly difficult problem of computing the perturbations in electron orbits, and hence the change in energy of each, due to exciting hydrogen and helium atoms to radiate in an electrostatic field. He thus predicted the whole complex character of what we call the "Stark effect,"

<sup>2</sup> P. Epstein, *ibid.*, L (1916), 489.

showing just how many new lines were to be expected and where each one should fall, and then *the spectroscope yielded, in practically every detail, precisely the result which the Epstein theory demanded.*

Another quantitative success of the orbit theory is one which Mr. I. S. Bowen and the author,<sup>1</sup> at the California Institute, have brought to light. Through creating what we call "hot sparks" in extreme vacuum we have succeeded in stripping in succession, 1, 2, 3, 4, 5, and 6 of the valence, or outer, electrons from the atoms studied. In going from lithium, through beryllium, boron and carbon to nitrogen, we have thus been able to work with stripped atoms of all these substances.

Now these stripped atoms constitute structures which are all exactly alike save that the fields in which the single electron is radiating as it returns toward the nucleus increase in the ratios 1, 2, 3, 4, 5, as we go from stripped lithium to stripped nitrogen. *We have applied the relativity-doublet formula, which, as indicated above, Sommerfeld had developed for the simple nucleus-electron system found in hydrogen and ionized helium, and have found that it not only predicts everywhere the observed doublet-separation of the doublet-lines produced by all these stripped atoms, but that it enables us to compute how many electrons are in the inmost, or K shell, screening the nucleus from the radiating electron. This number comes out just 2, as we know from radioactive and other data that it should.* However, it will be shown in chapter xii that these facts require notable modification of the orbit theory.

Further, when we examine the spectra due to the stripped atoms of the group of elements from sodium

<sup>1</sup> See *Phys. Rev.*, July, 1924.

to sulphur, one electron having been knocked off from sodium, two from magnesium, three from aluminum, four from silicon, five from phosphorus, and six from sulphur, we ought to find that the number of screening electrons in the two inmost shells combined is  $2+8=10$ , *and it does come out 10, quite as predicted*, and all this through the simple application of the relativity principle in quite the same way in which it is applied to the quantitative computation of the orbit of Mercury.

The physicist has thus piled Ossa upon Pelion in his quantitative test of the correctness of the orbital equations of Bohr. About the *shapes* of these orbits he has some little information (Fig. 27) but about their *orientations* he is as yet pretty largely in the dark. The diagrams<sup>1</sup> on the accompanying pages, Figs. 28, 29, and 31, represent hypothetical conceptions, due to Bohr in 1922, of the electronic orbits in a group of atoms. Since, however, these orbits are some sort of space configurations, the accompanying plane diagrams are merely schematic. They may be studied in connection with Fig. 27, Table XIV, and Bohr's diagram<sup>2</sup> of the periodic system of the elements shown in Fig. 30. These contain the most essential additions which Bohr made in 1922 and 1923 to the simple theory developed in 1913.

The most characteristic feature of these additions is the conception of the penetration, in the case of the less simple atoms, of electrons in highly elliptical orbits into the region inside the shells of lower quantum number.

<sup>1</sup> These appeared in an article by Kramers in *Naturwissenschaften*, 1923.

<sup>2</sup> Bohr and Coster, *Zeit. f. Physik*, XII (1923), 344.

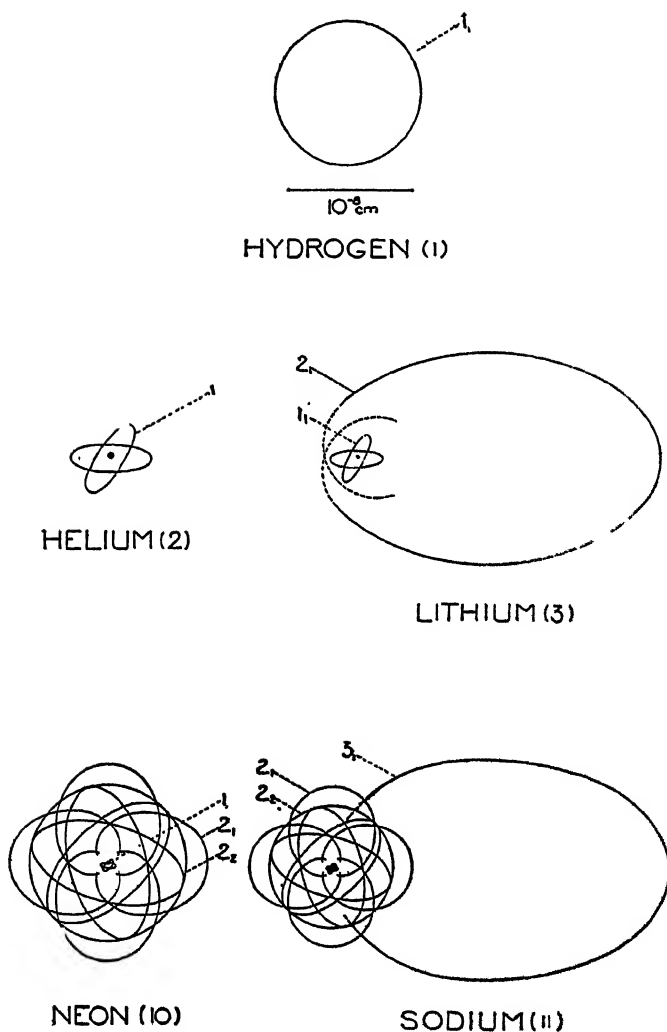
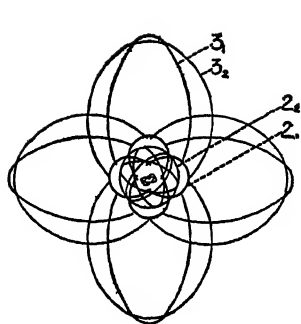


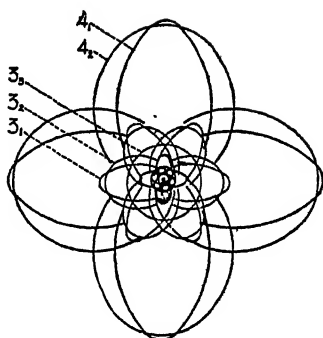
FIG. 28.—Hypothetical atomic structures of the year 1923, modified a few years later in the manner shown in Table XIV, then rendered less definite by the development of wave-mechanics.

This gives, so Bohr believes, these penetrating electron-orbits in some cases a smaller mean potential energy, and therefore a higher stability, than some of the orbits corresponding to the smaller quantum numbers.

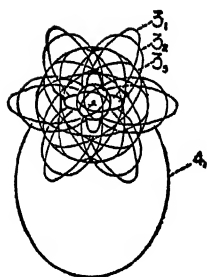
A glance at the group of elements beginning with argon, the last element in shell 3, in both Table XIV and Fig. 30, will make clear the meaning of this statement. The fourth column of Table XIV shows that we may assign to argon two very elliptical orbits of shape  $3_1$  and six of shape  $3_2$ . Glancing down the same column to copper, or lower, one sees that there are eighteen possible third-shell orbits, namely, two of shape  $3_1$ , six of shape  $3_2$ , and ten of shape  $3_3$ , i.e., there are in the third shell in argon ten unfilled orbits. But when a new electron is added, as we pass from argon to potassium, it goes, according to Bohr, into the  $4_1$  orbit, thus giving potassium univalent properties like lithium and sodium (see Fig. 28). Similarly, calcium is shown in Table XIV as taking its two extra electrons into its  $4_1$  orbits. But as now the nuclear charge gets stronger and stronger with increasing atomic number, the empty third-shell orbits gain in stability over the fourth-shell ones, and a stage of reconstruction sets in with scandium (Fig. 30) and continues down to copper, all the added electrons now going *inside* to fill the ten empty orbits in the third shell, with the result that the chemical properties, which depend on the outer or valence electrons, do not change much while this is going on. With copper (see Table XIV) the eighteen third-shell orbits are completely filled and one electron is in the  $4_1$  orbit (see also Fig. 29), and from there down to krypton the chemical properties progress normally much as they do from Mg to Ar.



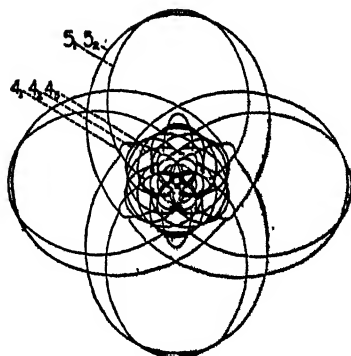
ARGON (18)



KRYPTON (36)



COPPER (29)



XENON (54)

FIG. 29.—Hypothetical atomic structures of the year 1923, modified a few years later in the manner shown in Table XIV, then rendered less definite by the development of wave-mechanics.

Precisely the same procedure is repeated in the fifth period of eighteen elements between krypton and xenon, the rare-earth group which intervenes between strontium (Sr) and silver (Ag) corresponding to the elements in which, with increasing atomic number, the added electrons are filling up the empty orbits in the fourth shell instead of going into what is now the outer or fifth shell (see Table XIV).

Now in considering the sixth period of thirty-two elements from xenon (Xe) to niton (Nt), a glance at Table XIV shows that the fourth shell in xenon contained only eighteen electrons, whereas in niton there are thirty-two, i.e., there are fourteen unfilled orbits in xenon in the fourth shell; and a similar glance at the fifth shell shows  $18-8=10$  vacant orbits there. The first two elements in this group, viz., caesium (Cs) and barium (Ba), take the added electrons in 6<sub>r</sub> orbits, then the electrons begin to go inside until gold is reached, when the fourth and fifth shells become full and from gold (Au) to niton (Nt), as the added electrons go to the outer shell, the chemical properties again progress as from sodium to argon, or from copper to krypton.

It will be noticed that in Fig. 30 element 72 is hafnium, the element discovered in 1923 by Coster and Hevesy<sup>†</sup> by means of X-ray analysis. It is because its chemical properties resemble so closely those of zirconium that it had not been found earlier by chemical means. Hevesy estimates that it represents one one hundred-thousandth of the earth's crust, which makes it more plentiful than lead or tin.

<sup>†</sup> Coster and Hevesy, *Nature*, III (1923), 79; *Ber. d. chem. Ges.*, LVI (1923), 1503.



1 H	11 Na	19 K	37 Rb	55 Cs	87 -
2 He	12 Mg	20 Ca	38 Sr	56 Ba	88 Ra
	13 Al	21 Sc	39 Y	57 La	89 Ac
	14 Si	22 Ti	40 Zr	58 Ce	90 Th
	15 P	23 V	41 Nb	59 Pr	91 Pa
	16 S	24 Cr	42 Mo	60 Nd	92 U
	17 Cl	25 Mn	43 -	61 -	
	18 Ar	26 Fe	44 Ru	62 Sm	
		27 Co	45 Rh	63 Eu	
		28 Ni	46 Pd	64 Gd	
		29 Cu	47 Ag	65 Tb	
		30 Zn	48 Cd	66 Dy	
		31 Ga	49 In	67 Ho	
		32 Ge	50 Sn	68 Er	
		33 As	51 Sb	69 Tm	
		34 Se	52 Te	70 Yb	
		35 Br	53 I	71 Lu	
		36 Kr	54 Xe	72 Hf	
				73 Ta	
				74 W	
				75 -	
				76 Os	
				77 Ir	
				78 Pt	
				79 Au	
				80 Hg	
				81 Tl	
				82 Pb	
				83 Bi	
				84 Po	
				85 -	
				86 Rn	118 -

FIG. 30.—Bohr's form of the periodic table, the most illuminating thus far devised. The elements which are in process of orbital reconstruction, because of the passage of electrons into thus far unfilled inner quantum orbits, are inclosed in frames. Lines connect elements which have similar properties.

TABLE XIV

NUMBER OF ELECTRONS IN DIFFERENT  $n_k$  ORBITS  
 ( $n_k$  is now generally replaced by  $n_l$  where  $l$  is defined as  
 $(k-1)$  [see chap. xii])

Period	Z	1s	2s 2p	3s 3p 3d	4s 4p 4d 4f	5s 5p 5d 5f	6s 6p 6d 6f	7s 7p
1...	1 H 2 He	1						
2...	3 Li 4 Be 5 B	2 2 2	1 2 2 1					
	10 Ne	2	2 6					
3...	11 Na 12 Mg 13 Al	2 2 2	2 6 2 6 2 6	1 2 2 1				
	18 A	2	2 6	2 6				
4...	19 K 20 Ca 21 Sc 22 Ti	2 2 2 2	2 6 2 6 2 6 2 6	2 6 2 6 2 6 1 2 6 2	1 2 2 2			
	29 Cu 30 Zn 31 Ga	2 2 2	2 6 2 6 2 6	2 6 10 2 6 10 2 6 10	1 2 2 1			
	36 Kr	2	2 6	2 6 10	2 6			
5...	37 Rb 38 Sr 39 Y 40 Zr	2 2 2 2	2 6 2 6 2 6 2 6	2 6 10 2 6 10 2 6 10 2 6 10	2 6 2 6 2 6 1 2 6 2	1 2 (2) (2)		
	47 Ag 48 Cd 49 In	2 2 2	2 6 2 6 2 6	2 6 10 2 6 10 2 6 10	2 6 10 2 6 10 2 6 10	1 2 2 1		
	54 Xe	2	2 6	2 6 10	2 6 10	2 6		
6...	55 Cs 56 Ba 57 La 58 Ce 59 Pr	2 2 2 2 2	2 6 2 6 2 6 2 6 2 6	2 6 10 2 6 10 2 6 10 2 6 10 2 6 10	2 6 10 2 6 10 2 6 10 2 6 10 I 2 6 10 2	2 6 2 6 2 6 I 2 6 I 2 6 I	1 2 (2) (2) (2)	
	71 Lu 72 Hf	2 2	2 6 2 6	2 6 10 2 6 10	2 6 10 14 2 6 10 14	2 6 I 2 6 2	(2) (2)	
	79 Au 80 Hg 81 Tl	2 2 2	2 6 2 6 2 6	2 6 10 2 6 10 2 6 10	2 6 10 14 2 6 10 14 2 6 10 14	2 6 10 2 6 10 2 6 10	1 2 2 1	
	86 Rn	2	2 6	2 6 10	2 6 10 14	2 6 10	2 6	
7...	87 — 88 Ra 89 Ac 90 Th	2 2 2 2	2 6 2 6 2 6 2 6	2 6 10 2 6 10 2 6 10 2 6 10	2 6 10 14 2 6 10 14 2 6 10 14 2 6 10 14	2 6 10 2 6 10 2 6 10 2 6 10	2 6 2 6 2 6 1 2 6 2	1 (2) (2) (2)
	118 (?)	2	2 6	2 6 10	2 6 10 14	2 6 10 14	2 6 10	2 6

The seventh period begins (Fig. 30) with an unknown element of atomic number 87, which, with its single  $7_x$  orbit, should have a valency of 1, then passes to radium with its two  $7_x$  orbits (see Fig. 31) and valency 2, and breaks off suddenly with uranium because the *nucleus* has here become unstable.

It should be clearly understood that the detailed theory as here presented, and above all the models of complicated atoms, are to a very considerable degree hypothetical and speculative. But it is highly probable that they give a more or less correct *general* picture of the way electrons behave in atoms. So far as the general conception of orbits which behave in the main, especially in the simpler atoms, in accordance with the Bohr assumptions, is concerned, if the test of truth in a physical theory is large success both in the prediction of new relationships and in correctly and exactly accounting for old ones, the theory of non-radiating orbits is one of the well-established truths of modern physics. However, all mechanical pictures like the foregoing, while useful as mnemonic devices, have definite limitations (see chapter xii) and must not be thought of as corresponding in any accurate way to reality.

I am well aware that the facts of organic chemistry seem to demand that the valence electrons be grouped in certain definite equilibrium positions about the periphery of the atom, and that at first sight this demand appears difficult to reconcile with the theory of electronic orbits. But a little reflection shows that there is here no necessary clash. With a suitable *orientation* of orbits, these localized valencies of chemistry are about as easy to reconcile with an orbit theory as with a fixed electron

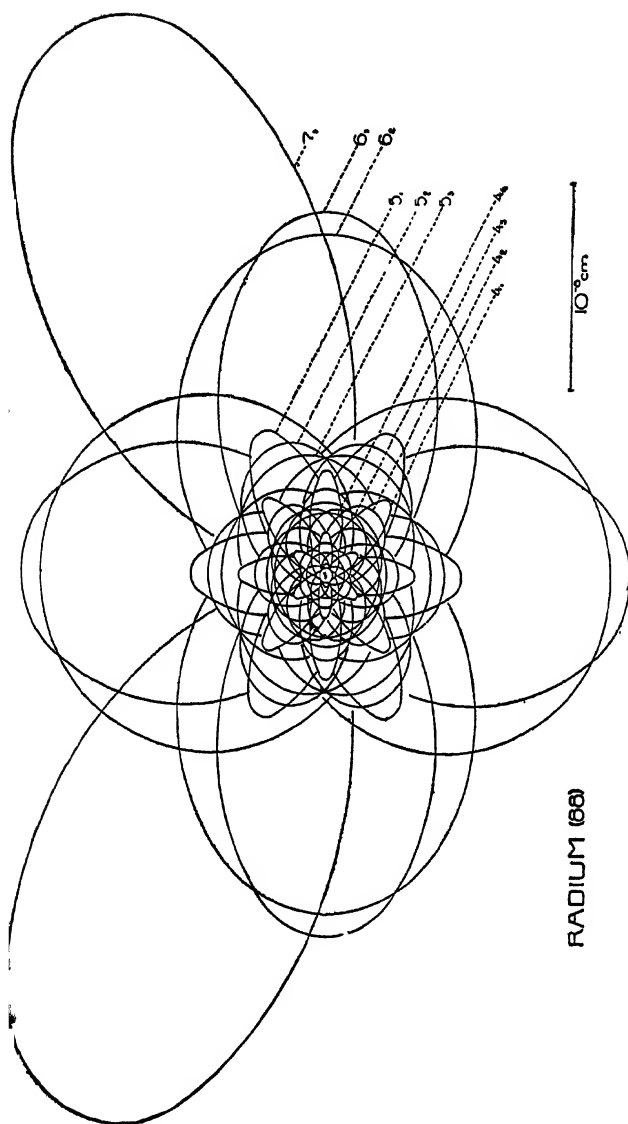


FIG. 31.—Hypothetical structure of the radium atom of the year 1923, modified a few years later in the manner shown in Table XIV, then rendered less definite by the development of wave-mechanics.

theory. It is only for *free atoms* that spectroscopic evidence has led us to built up orbit pictures of the foregoing sort. When atoms unite into molecules, or into solid bodies, these orbits will undoubtedly be very largely readjusted under the mutual influence of the two or more nuclei which are now acting simultaneously upon them.

It has been objected, too, that the Bohr theory is not a radiation theory because it gives us no picture of the mechanism of the production of the frequency  $\nu$ . This is true, and therein lies its strength, just as the strength of the first and second laws of thermodynamics lies in the fact that they are true irrespective of a mechanism. The Bohr theory is a theory of atomic structure; it is not a theory of radiation, for it merely states what energy relations must exist when radiation, whatever its mechanism, takes place. It is the first attempt to determine in the light of well-established experimental facts what the electrons inside the atom are doing, and as such a first attempt it must be regarded as, thus far, a success, though it has by no means got beyond the hypothetical stage. Its chief difficulty arises from the apparent contradiction involved in a non-radiating electronic orbit, and there appears to be no solution to this difficulty save in the denial of the *universal* applicability of the classical electromagnetic laws. But why assume the universal applicability of these laws, even in the hearts of atoms, when this is the first opportunity which we have had to test them out in the region of the infinitely small?

There is one other very important relation predicted by the Bohr theory and beautifully verified by experiment, but not involving at all its orbital feature. The

frequency value of the inmost, or K level, can be exactly determined by measuring the K absorption edge so beautifully shown on the De Broglie photographs opposite p. 199. Let us call this frequency  $\nu_{KA}$ . Similarly, to each orbit in the second or  $L$  quantum state, there corresponds a definite absorption edge  $\nu_{LA}$ . Two of these are shown clearly in Fig. 23. The difference between the K absorption frequency and each  $L$  absorption frequency should obviously, according to Bohr, correspond exactly to the frequency  $\nu_{K\alpha}$  of an *emission* line in the K X-ray spectrum, i.e.,

$$\nu_{KA} - \nu_{LA} = \nu_{K\alpha} \dots\dots\dots (40)$$

This so-called Kossel relation is of course applicable to all X-ray and optical spectra. Indeed, in the latter field it appeared before the Bohr theory under the name of the "Ritz combination principle." It has been one of the most important keys to the unlocking of the meaning of spectra and the revealing of *atomic structure*.

## CHAPTER X

### THE NATURE OF RADIANT ENERGY

The problems thus far discussed have all been in the domain of molecular physics, but the discovery and measurement of the electron have also exerted a powerful influence upon recent developments in the domain of ether physics. These developments are of extraordinary interest and suggestiveness, but they lead into regions in which the physicist sees as yet but dimly—perhaps more dimly than he thought he saw forty years ago.

But while the beauty of a problem solved excites the admiration and yields a certain sort of satisfaction, it is after all the unsolved problem, the quest of the unknown, the struggle for the unattained, which is of most universal and most thrilling interest. I make no apologies, therefore, for introducing one of the incompletely solved problems of modern physics, nor for leaving it with somewhat tentative suggestions toward a solution.

#### I. THE CORPUSCULAR AND THE ETHER THEORIES OF RADIATION

The newest of the problems of physics is at the same time the oldest. For nothing is earlier in the experiences either of the child or of the race than the sensation of receiving light and heat from the sun. But how does light get to us from the sun and the stars through the empty interstellar spaces? The Greeks answered this query very simply and very satisfactorily from the standpoint of people who were content with plausible explanations

but had not yet learned perpetually to question nature experimentally as to the validity or invalidity of a conclusion. They said that the sun and all radiators of light and heat must shoot off minute corpuscles whose impact upon the eye or skin produces the sensations of light and warmth.

This corpuscular theory was the generally accepted one up to 1800 A.D. It was challenged, it is true, about 1680 by the Dutch physicist Huygens, who, starting with the observed phenomena of the transmission of water waves over the surface of a pond or of sound waves through the air, argued that light might be some vibratory disturbance transmitted by some medium which fills all interstellar space. He postulated the existence of such a medium, which was called the luminiferous or light-bearing ether.

Partly no doubt because of Newton's espousal of the corpuscular theory, the ether or wave theory gained few adherents until some facts of interference began to appear about 1800 which baffled explanation from the standpoint of the corpuscular theory, but which were easily handled by its rival. During the nineteenth century the evidence became stronger and stronger, until by its close the corpuscular theory had been completely eliminated for four different reasons: (1) The facts of interference were not only found inexplicable in terms of it, but they were completely predicted by the wave theory. (2) The fact that the speed of propagation of light was experimentally found to be greater in air than in water was in accord with the demands of the ether theory, but directly contrary to the demands of the corpuscular theory. (3) Wireless waves had appeared and had been shown



to be just like light waves save for wave-length, and they had been found to pass over continuously, with increasing wave-length, into static electrical fields such as could not apparently be explained from a corpuscular point of view. (4) The speed of light had been shown to be independent of the speed of the source as demanded by the ether theory and denied by the corpuscular theory.

By 1900, then, the ether theory had become apparently impreguably intrenched. A couple of years later it met with some opposition of a rather ill-considered sort, as it seems to me, from a group of extreme advocates of the relativity theory, but this theory is now commonly regarded, I think, as having no bearing whatever upon the question of the existence or non-existence of an ether as I use the term. For such an ether was called into being solely for the sake of furnishing a carrier for electro-magnetic waves, and so defined it stands or falls with the existence of such waves *in vacuo*, and this has never been questioned by anyone so far as I am aware.

## II. DIFFICULTIES CONFRONTING THE WAVE THEORY

Up to 1903, then, the theory which looked upon an electromagnetic wave as a disturbance which originated at some point in the ether at which an electric charge was undergoing a change in speed, and was propagated from that point outward as a spherical wave or pulse, the total energy of the disturbance being always spread uniformly over the wave front, had met with no serious question from any source. Indeed, it had been extraordinarily successful, not only in accounting for all the known facts, but in more than one instance in predicting new ones. The first difficulty appeared after the discovery of the

electron and in connection with the relations of the electron to the absorption or emission of such electromagnetic waves. It was first pointed out in 1903 by Sir J. J. Thomson in his Silliman lectures at Yale. It may be stated thus:

X-rays unquestionably pass over all but an exceedingly minute fraction, say one in a thousand billion, of the atoms contained in the space traversed without spending any energy upon them or influencing them in any observable way. But here and there they find an atom from which, as is shown in the photographs opposite p. 192, they hurl a negative electron with enormous speed. This is the most interesting and most significant characteristic of X-rays, and one which distinguishes them from the  $\alpha$ - and  $\beta$ -rays just as sharply as does the property of non-deviability in a magnetic field; for Figs. 14 and 15 and the plate opposite p. 190 show that neither  $\alpha$ - nor  $\beta$ -rays in general eject electrons from the atoms through which they pass, with speeds comparable with those produced by X-rays, else there would be new long zigzag lines branching out from points all along the paths of the  $\alpha$ - and  $\beta$ -particles shown in these photographs.

But this property of X-rays introduces a serious difficulty into a wave theory. For if the electric intensity in the wave front of the X-ray is sufficient thus to hurl a corpuscle with huge energy from one particular atom, why does it not at least detach corpuscles from all of the atoms over which it passes?

Again when ultra-violet light falls on a metal it, too, like X-rays, is found to eject negative electrons. This phenomenon of the emission of electrons under the

influence of light is called the photo-electric effect. Lenard<sup>1</sup> first made the astonishing discovery that the energy of ejection of the electron is altogether independent of the intensity of the light which causes the ejection, no matter whether this intensity is varied by varying the distance of the light or by introducing absorbing screens. I have myself<sup>2</sup> subjected this relation to a very precise test and found it to hold accurately. Furthermore, this sort of independence has also been established for the negative electrons emitted by both X- and  $\gamma$ -rays.

Facts of this sort are evidently difficult to account for on any sort of a spreading-wave theory. But it will be seen that they lend themselves to easy interpretation in terms of a corpuscular theory, for if the energy of an escaping electron comes from the absorption of a light-corpuscle, then the energy of emission of the ejected electron ought to be independent of the distance of the source, as it is found to be, and furthermore corpuscular rays would hit but a very minute fraction of the atoms contained in the space traversed by them. This would explain, then, both the independence of the energy of emission upon intensity and the smallness of the number of atoms ionized.

In view, however, of the four sets of facts mentioned above, Thomson found it altogether impossible to go back to the old and exploded form of corpuscular theory for an explanation of the new facts as to the emission of electrons under the influence of ether waves. He accordingly attempted to reconcile these troublesome new facts with the wave theory by assuming a fibrous structure in the ether and picturing all electromagnetic

<sup>1</sup> *Ann. d. Phys.* (4), VIII (1902), 149.

<sup>2</sup> *Phys. Rev.*, I (1913), 73.

energy as traveling along Faraday lines of force conceived of as actual strings extending through all space. Although this concept, which we shall call the ether-string theory, is like the corpuscular theory in that the energy, after it leaves the emitting body, remains localized in space, and, when absorbed, is absorbed as a whole, yet it is after all essentially an ether theory. For in it the speed of propagation is determined by the properties of the medium—or of space, if one prefers a mere change in name—and has nothing to do with the nature or condition of the source. Thus the last three of the fatal objections to a corpuscular theory are not here encountered. As to the first one, no one has yet shown that Thomson's suggestion is reconcilable with the facts of interference, though so far as I know neither has its irreconcilability been as yet absolutely demonstrated.

But interference aside, all is not simple and easy for Thomson's theory. For one encounters serious difficulties when he attempts to visualize the universe as an infinite cobweb whose threads never become tangled or broken however swiftly the electrical charges to which they are attached may be flying about.

### III. EINSTEIN'S QUANTUM THEORY OF RADIATION

Yet the boldness and the difficulties of Thomson's "ether-string" theory did not deter Einstein<sup>1</sup> in 1905 from making it even more radical. In order to connect it up with some results to which Planck of Berlin had been led in studying the facts of black-body radiation, Einstein assumed that the energy emitted by any radiator not only kept together in bunches or quanta as it traveled

*Ann. d. Phys.* (4), XVII (1905), 132; XX (1906), 199.

through space, as Thomson had assumed it to do, but that a given source could emit and absorb radiant energy only in units which are all exactly equal to  $h\nu$ ,  $\nu$  being the natural frequency of the emitter and  $h$  a constant which is the same for all emitters.

I shall not attempt to present the basis for such an assumption, for, as a matter of fact, it had almost none at the time. But whatever its basis, it enabled Einstein to predict at once that the energy of emission of electrons under the influence of light would be governed by the equation

$$\frac{1}{2}mv^2 = Ve = h\nu - p \dots\dots\dots (41)$$

in which  $h\nu$  is the energy absorbed by the electron from the light wave or light quantum, for, according to the assumption it was the whole energy contained in that quantum,  $p$  is the work necessary to get the electron out of the metal, and  $\frac{1}{2}mv^2$  is the energy with which it leaves the surface—an energy evidently measured by the product of its charge  $e$  by the potential difference  $V$  against which it is just able to drive itself before being brought to rest.

At the time at which it was made this prediction was as bold as the hypothesis which suggested it, for at that time there were available no experiments whatever for determining anything about how the positive potential  $V$  necessary to apply to the illuminated electrode to stop the discharge of negative electrons from it under the influence of monochromatic light varied with the frequency  $\nu$  of the light, or whether the quantity  $h$  to which Planck had already assigned a numerical value appeared at all in connection with photo-electric discharge. We

are confronted, however, by the astonishing situation that after ten years of work at the Ryerson Laboratory (1904-15) and elsewhere upon the discharge of electrons by light this equation of Einstein's was found to predict accurately all of the facts which had been observed.

#### IV. THE TESTING OF EINSTEIN'S EQUATION

The method which was adopted in the Ryerson Laboratory for testing the correctness of Einstein's equation involved the performance of so many operations upon the highly inflammable alkali metals in a vessel which was freed from the presence of all gases that it is not inappropriate to describe the experimental arrangement as a machine-shop *in vacuo*. Fig. 32 shows a photograph of the apparatus, and Fig. 33 is a drawing of a section which should make the necessary operations intelligible.

One of the most vital assertions made in Einstein's theory is that the kinetic energy with which monochromatic light ejects electrons from any metal is proportional to the frequency of the light, i.e., if violet light is of half the wave-length of red light, then the violet light should throw out the electron with twice the energy imparted to it by the red light. In order to test whether any such linear relation exists between the energy of the escaping electron and the light which throws it out it was necessary to use as wide a range of frequencies as possible. This made it necessary to use the alkali metals, sodium, potassium, and lithium, for electrons are thrown from the ordinary metals only by ultra-violet light, while the alkali metals respond in this way to any waves shorter than those of the red, that is,

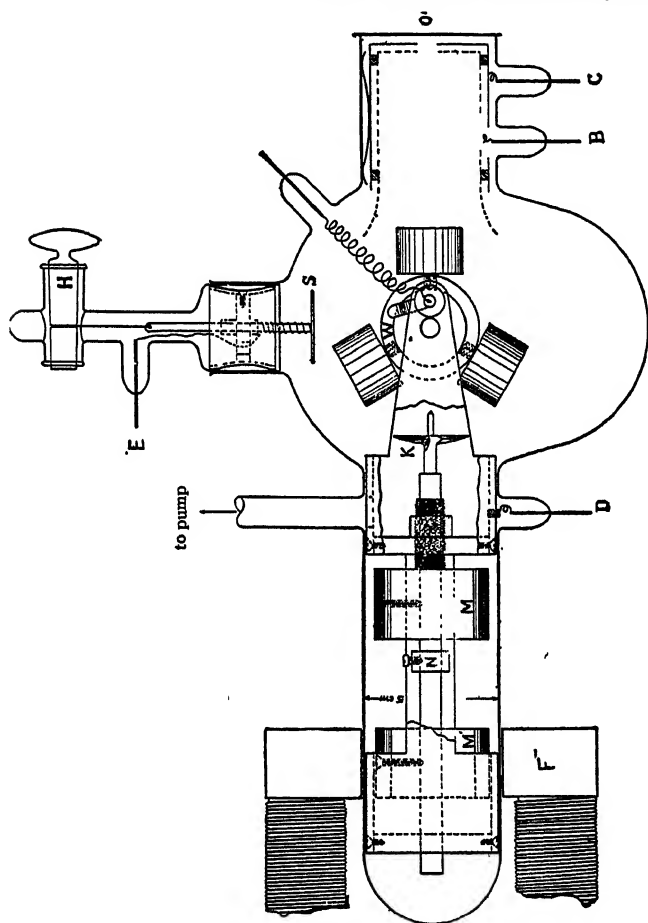
they respond throughout practically the whole visible spectrum as well as the ultra-violet spectrum. Cast cylinders of these metals were therefore placed on the wheel *W* (Fig. 33) and fresh clean surfaces were obtained by cutting shavings from each metal in an excellent vacuum with the aid of the knife *K*, which was operated



FIG. 32

by an electromagnet *F* outside the tube. After this the freshly cut surface was turned around by another electromagnet until it was opposite the point *O* of Fig. 33 and a beam of monochromatic light from a spectrometer was let in through *O* and allowed to fall on the new surface. The energy of the electrons ejected by it was measured by applying to the surface a positive potential just strong enough to prevent any of the discharged electrons from

reaching the gauze cylinder opposite (shown in dotted lines) and thus communicating an observable negative



F-G. 33

charge to the quadrant electrometer which was attached to this gauze cylinder. For a complete test of the equation it was necessary also to measure the contact-



electromotive force between the new surface and a test plate *S*. This was done by another electromagnetic device shown in Fig. 32, but for further details the original paper may be consulted.<sup>1</sup> Suffice it here to say that Einstein's equation demands a linear relation between the applied positive volts and the frequency of the light, and it also demands that the slope of this line should be exactly equal to  $\left(\frac{h}{e}\right)$ . Hence from this slope, since *e* is known, it should be possible to obtain *h*. How perfect a linear relation is found may be seen from Fig. 34, which also shows that from the slope of this line *h* is found to be  $6.26 \times 10^{-27}$ , which is as close to the value obtained by Planck from the radiation laws as is to be expected from the accuracy with which the experiments in radiation can be made. The most reliable value of *h* obtained from a consideration of the whole of this work is

$$h = 6.56 \times 10^{-27}.$$

In the original paper will be found other tests of the Einstein equation, but the net result of all this work is to confirm in a very complete way the equation which Einstein first set up on the basis of his semi-corpuscular theory of radiant energy. And if this equation is of general validity it must certainly be regarded as one of the most fundamental and far-reaching of the equations of physics, and one which is destined to play in the future a scarcely less important rôle than Maxwell's equations have played in the past, for it must govern the transformation of all short-wave-length electromagnetic energy into heat energy.

<sup>1</sup> *Phys. Rev.*, VII (1916), 362.

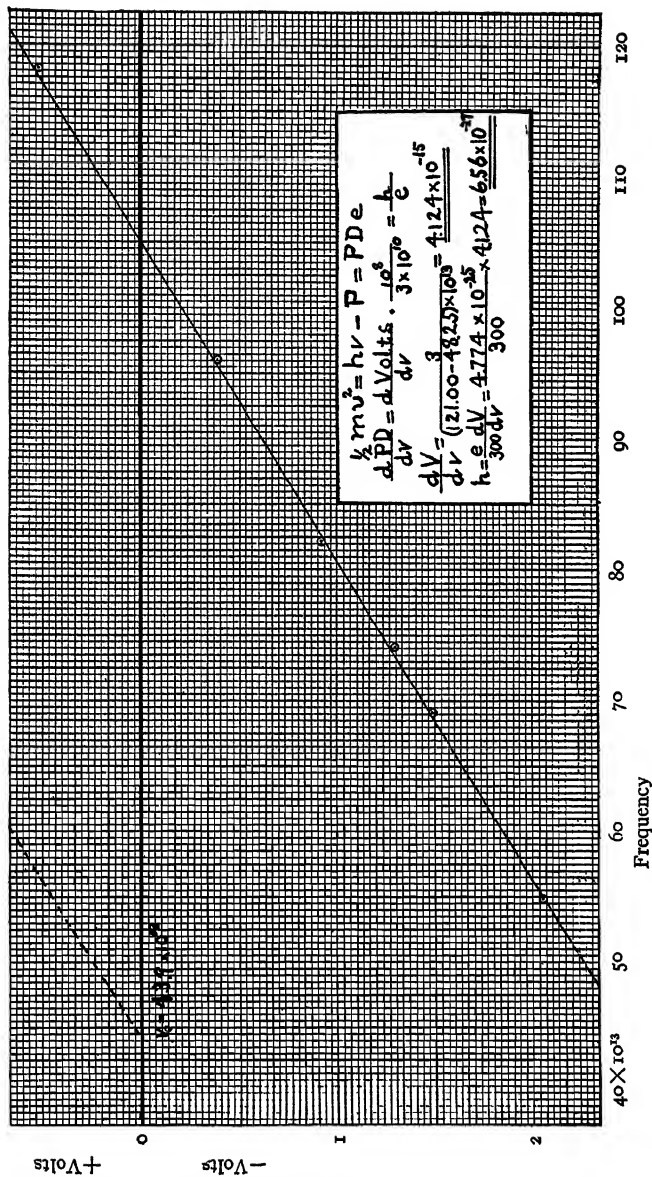


Fig. 34

## V. HISTORY OF EINSTEIN'S EQUATION

The whole of this chapter up to this point has been left practically as it was written for the first edition of *The Electron* (1917). Now the altogether overwhelming proof that Einstein's equation is an exact equation of very general validity was perhaps the most conspicuous achievement of experimental physics during the next decade. Its history is briefly as follows.

As early as 1900 Planck<sup>1</sup> had been led from theoretical considerations to the conclusion that atoms radiated energy discontinuously in units which were equal to, or multiples of,  $h\nu$ , in which  $\nu$  is the natural frequency of the radiator, and  $h$  a universal constant which is now called Planck's  $h$ . He adopted the view that the seat of the discontinuity was *in the radiator*, not in the radiation after it had left the radiator, and in the second edition of his book modified the formulation of his theory so as to make this appear without any ambiguity.

It was in 1905, as stated above, that Einstein definitely put the discontinuity into the radiation itself, assuming that light itself consisted of darts of localized energy, "light-quants," of amount  $h\nu$ . He further assumed that one of these light-quants could transfer its energy undiminished to an electron, so that, in the photo-electric effect, the electron shot out from the metal with the energy  $h\nu - p$ , where  $p$  represents the work necessary to get it out of the metal.

In 1913 Bohr, in the development of his theory of spectra, without accepting Einstein's view as to the seat of the discontinuity, assumed an *equation* which was

<sup>1</sup> *Warme Strahlung*, 1st. ed.

precisely the inverse of Einstein's, i.e., he assumed that the energy lost when an electron jumps from one stationary state to another is wholly transformed into monochromatic radiation whose frequency is determined by equating the loss in energy  $E_1 - E_2$  to  $h\nu$ . In other words, *Einstein and Bohr together have set up a reciprocal and reversible relation between electronic and radiant energy.*

Up to 1914 no direct experimental proof had appeared for the correctness of this relation. In the photo-electric field discussion was active as to whether any definite maximum velocity of emission of electrons under the influence of monochromatic light existed, and although linear relations between energy and frequency had been reported by Ladenburg, Richardson and Compton, and Hughes, the range of frequencies available had been so small as to leave uncertainties in the minds of reviewers<sup>1</sup> who showed that  $\nu \propto \sqrt{E}$  fitted existing observations quite as well as  $\nu \propto E$  ( $E$  denoting stopping potential).

The unambiguous experimental proofs of the correctness of the foregoing theoretical relation came with the publication of the accompanying photoelectric results<sup>2</sup> reported briefly in 1914, and submitted *in extenso* in September, 1915. These were in a form to prove the correctness of the Einstein equation; for monochromatic light of widely differing frequencies fell upon a metal and the maximum energy of electronic ejection was found to be exactly determined by  $h\nu = \frac{1}{2}mv^2 + \phi$  as Einstein's equation required.

<sup>1</sup> Cf. R. Pohl u. P. Pringsheim, *Verh. der deutsch. phys. Ges.*, XV (1913), 637; Sommerfeld, *Atombau*, etc. (3d ed. 1922), p. 47; also *Phys. Rev.*, VII (1916), 18, 362.

<sup>2</sup> *Phys. Rev.*, IV (1914), 73; VI (1915), 55; and VII (1916), 362.

A year or two later Duane<sup>1</sup> and his associates had found unambiguous proof of the inverse effect. A target had been bombarded by electrons of known and constant energy ( $Ve = \frac{1}{2}mv^2$ ) and the maximum frequency of the emitted ether waves (general  $x$  radiation) was found to be precisely given by  $\frac{1}{2}mv^2 = h\nu$ .

D. L. Webster then proved that the characteristic X-ray frequencies of atoms begin to be excited at exactly the potential at which the energy of the stream of electrons which is bombarding the atoms has reached the value given by  $h\nu = \frac{1}{2}mv^2$  in which  $\nu$  is now the frequency of an absorption edge.<sup>2</sup> This checks Bohr's formulation of frequency-energy relations, since it shows that when an electron within an atom receives just enough energy by bombardment to be entirely removed from the atom, the total energy values of the frequencies emitted during its return are equal to the electronic energy of the original bombardment.

De Broglie,<sup>3</sup> Ellis,<sup>4</sup> and Watson<sup>5</sup> on the other hand, have measured with great accuracy, through deviabilities in a magnetic field, the velocities of electrons ejected from different sorts of atoms by monochromatic X-rays, and have completely confirmed by such photo-electric work in the X-ray field my previous results obtained with ultra-violet light. They here verify in great detail and with much elaboration the Einstein formulation

<sup>1</sup> *Phys. Rev.*, VI (1915), 166; *Proc. Nat. Acad.*, II (1916), 90; *Phys. Rev.*, VII (1916), 599; IX, 568; X (1917), 93 and 624.

<sup>2</sup> D. L. Webster and H. Clark, *Proc. Nat. Acad.*, III (1917), 18. Also Webster, *ibid.*, VI (1920), 26 and 639.

<sup>3</sup> Paper read before the Third Solvay Congress, 1921.

<sup>4</sup> *Proc. Roy. Soc.*, XCIX (1921), 261. See *ibid.*, January, 1924.

<sup>5</sup> Watson and Van den Akker, *Proc. Roy. Soc. A.*, CXXVI (1929), 138.

$\frac{1}{2}mv^2 = h\nu - p$  where  $p$  now represents the work necessary to lift the electron out of any particular level in the atom.

Parallel to this very complete establishment of the validity in the X-ray field of the Einstein photoelectric equation, and of its inverse the Bohr equation, has come the rapid working out in the domain of optics of the very large field of ionizing and radiating potentials which has also involved the utilization and verification of the same reciprocal relation. This will be seen at once from the definition of the ionizing potential of an atom as the electronic energy which must be thrown into it by bombardment to just remove from it one of its outer electrons. Through the return of such removed electrons there is in general a whole spectral series emitted. Similarly the radiating potential of an atom is defined as the bombarding energy which must be supplied to it to just lift one of its outer electrons from its normal orbit to the first virtual orbit outside that normal orbit. When this electron drops back there is in general the emission of a single-line spectrum. All this work took its origin in the fundamental experiments of Franck and Hertz<sup>1</sup> on mercury vapor in 1914. From 1916-22 the field was worked out in great detail, especially in America by Foote and Mohler, Wood, McLennan, Davis and Goucher, and others.

Suffice it to say that whether the energy comes in the form of ether waves which through absorption in an atom lift an electron out of a normal orbit, so that the atom passes over to an excited or to an ionized state, or whether the energy enters in the form of a bombarding

<sup>1</sup> *Verh. der deutsch. phys. Ges.*, XV and XVI, 1914.

electron and reappears as a radiated frequency, *the reciprocal relation represented in the Einstein-Bohr equation  $E_1 - E_2 = h\nu$  has been found fulfilled in the most complete manner.*

In view of all these methods and experiments the general validity of the Einstein equation, first proved photo-electrically between 1912 and 1916, is now universally conceded. *The incident energy is called a "photon."*

## VI. OBJECTIONS TO AN ETHER-STRING THEORY

In spite of the credentials which have just been presented for Einstein's equation, the essentially corpuscular theory out of which he got it has only recently met with general acceptance even by physicists of Bohr's type; for there seemed at first to be no possibility of bringing it into harmony with a whole group of well-established facts of physics, and even now the difficulties are great.

The recent practically complete bridging of the gap between X-rays and light,<sup>1</sup> as well as that between heat waves and wireless waves,<sup>2</sup> with the perfectly continuous passage of the latter over into static electrical fields, appears to demand that, if we attempt to interpret high-frequency electromagnetic waves—X-rays and light—in terms of undulatory "darts of light," we use a similar mechanism in the interpretation of static electrical fields. This brings us back to something like an ether-string theory, which seems to be a necessary part of Einstein's conception, if it is to have any physical basis whatever.

<sup>1</sup> Millikan and Bowen, *Phys. Rev.*, January, 1924. Also H. Flemberg at Uppsala, Sweden, in 1942, using a curved crystal grating with our "hot-spark" source, got optical spectra down to  $\lambda = 6\text{\AA}$ —a great feat.

<sup>2</sup> Nichols and Tear, *Phys. Rev.*, XXI (1923), 378.

Two very potent objections, however, may be urged against all forms of ether-string theory. The first is that no one has ever yet been able to show that such a theory can predict any one of the facts of interference. The second is that there is direct positive evidence against the view that the ether possesses a fibrous structure. For if a static electrical field has a fibrous structure, as postulated by any form of ether-string theory, "each unit of positive electricity being the origin and each unit of negative electricity the termination of a Faraday tube,"\* then the force acting on one single electron between the plates of an air condenser cannot possibly vary *continuously* with the potential difference between the plates. Now in the oil-drop experiments<sup>2</sup> we actually study the behavior in such an electric field of one single, isolated electron and we find, over the widest limits, exact proportionality between the field strength and the force acting on the electron as measured by the velocity with which the oil drop to which it is attached is dragged through the air.

When we maintain the field constant and vary the charge on the drop, the granular structure of electricity is proved by the discontinuous changes in the velocity, but when we maintain the charge constant and vary the field the lack of discontinuous change in the velocity disproves the contention of a fibrous structure in the field, unless the assumption be made that there are an enormous number of ether strings ending in one electron. Such an assumption takes most of the virtue out of an ether-string theory.

\* J. J. Thomson, *Electricity and Matter*, p. 9.

<sup>2</sup> *Phys. Rev.*, II (1913), 109.



Despite, then, the apparently complete success of the Einstein equation, the physical theory of which it was designed to be the symbolic expression is thus far so irreconcilable with a whole group of well-established facts that most modern physicists have abandoned the attempt to visualize it, and we are somewhat in the position of having built a very perfect structure and then knocked out entirely the underpinning without causing the building to fall. It stands complete and apparently well tested, but without any visible means of support. These supports must obviously exist, and the most fascinating problem of modern physics is to find them. Experiment has outrun theory, or, better, guided by thus far non-visualizable theory, it has discovered relationships which seem to be of the greatest interest and importance, but the physical reasons for them are as yet not at all understood.

#### VII. ATTEMPTS TOWARD A SOLUTION

It is possible, however, to go a certain distance toward a solution and to indicate some conditions which must be satisfied by the solution when it is found. For the energy  $h\nu$ , with which the electron is found by experiment to escape from the atom, must have come either from the energy stored up inside of the atom or else from the light. There is no third possibility. Now the fact that the energy of emission is the same, whether the body from which it is emitted is held within an inch of the source, where the light is very intense, or a mile away, where it is very weak, would seem to indicate that the light simply pulls a trigger in the atom which itself furnishes all the energy with which the electron escapes,

as was originally suggested by Lenard in 1902,<sup>1</sup> or else, if the light furnishes the energy, that light itself must consist of bundles of energy which keep together as they travel through space, as suggested in the Thomson-Einstein theory.

Yet the fact that the energy of emission is directly proportional to the frequency  $\nu$  of the incident light spoils Lenard's form of trigger theory, since, if the atom furnishes the energy, it ought to make no difference what kind of a wave-length pulls the trigger, while it ought to make a difference what kind of a gun, that is, what kind of an atom, is shot off. But both of these expectations are the exact opposite of the observed facts. *The energy of the escaping electron must come, then, in some way or other, from the incident light, or from other light of its frequency, since it is characteristic of that frequency alone.*

When, however, we attempt to compute on the basis of a spreading-wave theory how much energy an electron can receive from a given source of light, we find it difficult to find anything more than a very minute fraction of the amount which it actually acquires.

Thus, the total luminous energy falling per second from a standard candle on a square centimeter at a distance of 3 m. is 1 erg.<sup>2</sup> Hence the amount falling per second on a body of the size of an atom, i.e., of cross-section  $10^{-15}$  cm., is  $10^{-15}$  ergs, but the energy  $h\nu$  with which an electron is ejected by light of wave-length  $500 \mu\mu$  (millionths millimeter) is  $4 \times 10^{-12}$  ergs, or four thousand times as much. Since not a third of the incident energy is in wave-lengths shorter than  $500 \mu\mu$ , a

<sup>1</sup> *Ann. d. Phys.* (4), VIII (1902), 149.

<sup>2</sup> Drude, *Lehrbuch der Optik* (1906), p. 472.

surface of sodium or lithium which is sensitive up to  $500\ \mu\mu$  should require, even if all this energy were in one wave-length, which it is not, at least 12,000 seconds or 4 hours of illumination by a candle 3 m. away before any of its atoms could have received, all told, enough energy to discharge an electron. Yet the electron is observed to shoot out the instant the light is turned on. It is true that Lord Rayleigh has shown<sup>1</sup> that an atom may conceivably absorb wave-energy from a region of the order of magnitude of the square of a wave-length of the incident light rather than of the order of its own cross-section. This in no way weakens, however, the cogency of the type of argument just presented, for it is only necessary to apply the same sort of analysis to the case of  $\gamma$ -rays, the wave-length of which is sometimes as low as a hundredth of an atomic diameter ( $10^{-8}$  cm.), and the difficulty is found still more pronounced. Thus Rutherford<sup>2</sup> estimates that the total  $\gamma$ -ray energy radiated per second by one gram of radium cannot possibly be more than  $4.7 \times 10^4$  ergs. Hence at a distance of 100 meters, where the  $\gamma$ -rays from a gram of radium would be easily detectable, the total  $\gamma$ -ray energy falling per second on a square millimeter of surface, the area of which is ten-thousand billion times greater than that of an atom, would be  $4.7 \times 10^4 \div 4\pi \times 10^{10} = 4 \times 10^{-7}$  ergs. This is very close to the energy with which  $\beta$ -rays are actually observed to be ejected by these  $\gamma$ -rays, the velocity of ejection being about nine-tenths that of light. Although, then, it should take ten thousand billion seconds for the atom to gather in this much energy from

<sup>1</sup> *Phil. Mag.*, XXXII (1916), 188.

<sup>2</sup> *Radioactive Substances and Their Radiations*, p. 288.

the  $\gamma$ -rays, on the basis of classical theory, the  $\beta$ -ray is observed to be ejected with this energy as soon as the radium is put in place. This shows that if we are going to abandon the Thomson-Einstein hypothesis of localized energy, which is of course competent to satisfy these energy relations, there is no alternative but to assume that at some previous time the electron had absorbed and stored up from light of this wave-length enough energy so that it needed but a minute addition at the time of the experiment to be able to be ejected from the atom with the energy  $h\nu$ . What sort of an absorbing and energy-storing mechanism an atom might have which would give it the weird property of storing up energy to the value  $h\nu$ , where  $\nu$  is the frequency of the *incident* light, and then shooting it all out at once, is terribly difficult to conceive. Or, if the absorption is thought of as due to resonance it is equally difficult to see how there can be, in the atoms of a solid body, electrons having all kinds of natural frequencies so that some are always found to absorb and ultimately be ejected by impressed light of any particular frequency.

However, then, we may interpret the phenomenon of the emission of electrons under the influence of ether waves, whether upon the basis of the Thomson-Einstein assumption of bundles of localized energy traveling through the ether, or upon the basis of a peculiar property of the inside of an atom which enables it to absorb continuously incident energy and emit only explosively, *the observed characteristics of the effect seem to furnish proof that the emission of energy by an atom is a discontinuous or explosive process.* This was the fundamental assumption of Planck's so-called quantum theory

of radiation. The Thomson-Einstein theory makes both the absorption and the emission sudden or discontinuous, while the loading theory first suggested by Planck makes the absorption continuous and only the emission explosive.

The new facts in the field of radiation which have been discovered through the study of the properties of the electron seem, then, to require in any case a very fundamental revision or extension of classical theories of absorption and emission of radiant energy. The Thomson-Einstein theory throws the whole burden of accounting for the new facts upon the unknown nature of the ether, or, if one dislikes the word, "of the field," and makes radical assumptions about its structure. The loading theory leaves the ether alone and puts the burden of an explanation upon the unknown conditions and laws which exist inside the atom.

In the first edition of *The Electron*, of date 1917, I expressed the view that the chances were in favor of the ultimate triumph of the second alternative. In 1921, however, I presented at the Third Solvay Congress some new photo-electric experiments<sup>1</sup> which seemed at the time to point strongly the other way.

These experiments consisted in showing with greater certainty than had been possible in earlier years<sup>2</sup> that the stopping potentials of different metals *A*, *B*, *C*, when brought in succession before the same Faraday cylinder *F* (see Fig. 35) and illuminated with a given frequency, were strictly identical. The significance of these results

<sup>1</sup> Millikan, *Phys. Rev.*, XVIII (1921), 236.

<sup>2</sup> Page, *Amer. Jour. Sci.*, XXXVI (1913), 501; Hennings and Kadesch, *Phys. Rev.*, VIII (1916), 217.

for the theory of quanta lay in the fact that I deduced from them the conclusion that in the photo-electric effect, contrary to preceding views including my own, the energy " $h\nu$ " is transferred without loss from the ether-waves to the free, i.e., the conduction electrons of the metal, and not merely to those bound in atoms. This seemed to take the absorbing mechanism out of the atom entirely, and to make the property of imparting the energy  $h\nu$  to an electron, whether free or bound, an intrinsic property of light itself.

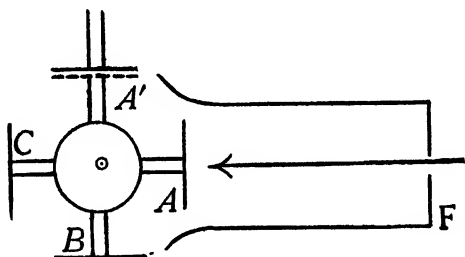


FIG. 35.—Showing how photo-electric stopping potentials of different metals are compared by rotating *B* and *C* in *vacuo* into the position of *A*.

But a beautiful discovery by Klein and Rosseland<sup>1</sup> a little later, in Bohr's Institute, made this conclusion unnecessary. For it showed that there was an intermediate process, namely, a so-called *collision of the second kind*, by means of which the energy  $h\nu$  might be transferred without loss, *indirectly* from the light-wave to the conduction electron, thus obviating the necessity of a *direct* transfer. In other words, the Klein and Rosseland discovery proved that the energy  $h\nu$  could be transferred from the light-wave to the conduction electron by being

<sup>1</sup> *Zeitschrift für Physik*, 4 (1921), 46.

absorbed first by an atom, which would thus be changed from the normal to the excited state, i.e., the state in which one of its electrons has been lifted from a normal to an outer orbit. This excited atom could then return to its normal state *without radiation* by a collision "of the second kind," which consists in transferring its whole absorbed energy  $h\nu$  to a free or conduction electron. The reality of this phenomenon has been experimentally checked by Franck and Cario.<sup>1</sup> This important discovery then left the evidence for localized light-quanta precisely where it was before.<sup>2</sup>

In the years 1923-25, however, the American physicist, Dr. A. H. Compton, of the University of Chicago, discovered another new phenomenon which constitutes quite as good evidence as the photoelectric effect in favor of Einstein's hypothesis of localized light-quanta.

Compton's procedure is as follows. Assuming, for the sake of obtaining quantitative relations, the correctness of Einstein's hypothesis, he argues that when such a "light-quant" collides with a *free* electron the impact should be governed by the laws which hold for the collision between any material bodies. These are two in number, namely: (1) the principle of the conservation of energy; (2) the principle of the conservation of momentum (Newton's Third Law).

Now the energy of a light-quant, as heretofore shown, is  $h\nu$ . It moves with the speed of light,  $c$ , and if its momentum is taken as  $mc$ , it follows at once from the Einstein relativity relation between energy and mass,

<sup>1</sup> *Zeitschrift für Physik*, 10 (1922), 185.

<sup>2</sup> This was first called to my attention by Dr. Epstein, of the California Institute.

namely,  $\text{energy}/c^2 = m$ , that its momentum is  $\frac{h\nu}{c}$ . This is seen by substituting in the foregoing Einstein relation  $h\nu$  for energy. Or, if preferred, the same expression for momentum may be deduced easily from the established laws of light-pressure.

The qualitative results of the preceding assumptions are immediately seen to be as follows. The light-quant, by colliding with the free electron necessarily transfers some of its energy to it, and therefore, if it arrives with the energy  $h\nu_0$ , it must recoil from the impact at some angle  $\theta$  with a smaller energy  $h\nu_\theta$ , and therefore a lower frequency  $\nu_\theta$ , than that with which it impinged. In other words, *light waves should be changed from a higher frequency to a lower—from blue toward red—by impact with a free electron.*

A second qualitative result is that, since the mass of the light-quant, as defined above, is even for the hardest X-rays ( $\lambda = 0.1$  Angstrom), of the order of a tenth of the mass of the electron, it is impossible from the laws of elastic impact that it transfer more than a small part of its energy to it. In other words, *if Compton's assumptions are correct, the photo-electric effect, in which there certainly is such a complete transfer, cannot possibly represent the interaction between a light-wave and a free electron.* When the electron is *bound* in the atom there is no difficulty of this sort, for the huge mass of the atom then permits the momentum equation to be satisfied without forbidding the practically complete transfer of the energy to one of its electrons. From this point of view, then, the photo-electric effect represents the interaction between ether-waves and *bound* electrons—the Compton effect the interaction between ether-waves and *free* electrons.



The quantitative results which can be deduced from Compton's assumptions are definite and simple. Combining the energy and momentum equations in the manner shown in Appendix H he obtains easily the result

$$\Delta\lambda = 0.484 \sin^2 \frac{1}{2}\theta,$$

in which  $\Delta\lambda$  represents the increase in wave-length due to the "scattering" of the incident beam by free electrons, and  $\theta$  is the angle between the original direction of the beam and the direction at which the scattered waves come to the measuring apparatus.

Compton then tested this relation experimentally,<sup>1</sup> using as his incident waves the characteristic X-rays from a molybdenum target, and as his scattering substance the free (or substantially free) electrons found in graphite. *He found indeed that the  $\alpha$ -line of molybdenum was shifted toward longer wave-lengths just as predicted, and in approximately the correct amount.* There was also an unshifted line presumably due to scattering by bound electrons.

Compton had used an ionization-chamber spectrometer for locating his lines. Ross<sup>2</sup> repeated these experiments at Stanford University, California, using the more accurate photographic plate for locating his lines, but still using graphite as the scattering substance. His published photograph shows a line shifted the correct amount and also an unshifted one, but he commented on the fact that the shifted line shows no sign of a separation of the  $\alpha_1$  and  $\alpha_2$  components while they are clearly separate in the direct picture.

<sup>1</sup> A. H. Compton, *Phys. Rev.*, XXI (1923), 483, 715; XXII (1923), 409.

<sup>2</sup> P. A. Ross, *Proc. Nat. Acad.*, VII (1923), 246.

Molybdenum K primary  
radiation scattered at  
 $63^{\circ}30' \pm 30'$

Molybdenum K primary  
radiation scattered at  
 $90^{\circ} \pm 30'$

Molybdenum K primary  
radiation scattered at  
 $156^{\circ}27' \pm 15'$



Dependence of broadening  
on primary wave-length.

FIG. 36.—The DuMond Kirkpatrick Experiment. The six spectrograms were made with X-rays which had been scattered at well-defined scattering angles by a graphite scattering body and analyzed by means of a multi-crystal X-ray spectrograph<sup>1</sup> containing fifty crystals, each an X-ray spectrograph in itself, and all focussing their spectra in exact register on a single photographic film. The accuracy of register is evident from the sharpness of the fine lines in the unmodified scattered positions.

The modified lines designated by the subscript  $c$  are seen to be greatly broadened. The broadening is (to a classical approximation) simply a Doppler effect of the rapidly moving electrons inside the atoms of the scattering body. It is more accurate to refer to the *momenta* rather than to the velocities associated with these electrons, however, since the kinematic terminology is meaningless when, as in this case, the electron cannot be sharply localized in space. The dynamic quantity momentum rather than the kinematic quantity velocity is what is actually measured in this experiment. The Compton effect which is the *shift* of the modified line away from the unmodified position may be thought of as an effect of the recoil of the electrons under the impact imparted by the X-rays. The DuMond-Kirkpatrick effect which is the *broadening* of the modified line is on the contrary an effect of the *initial momenta* possessed by the electrons in their quantum dynamical states.

The six spectrograms shown establish the validity of this interpretation of the breadth of the modified line. By a simple analysis based only on the laws of conservation of energy and momentum DuMond predicted<sup>2</sup> that this breadth of the modified line in wave-length units should to a first approximation vary directly as the primary wavelength and as the sine of half the scattering angle. This variation can be seen in the above spectra and the predictions mentioned have been completely verified by microphotometer analysis. The broadening is thus shown to behave in all observable respects like a Doppler broadening.<sup>3</sup>

Curves of the statistical distribution of momentum among the electrons derived from the microphotometer analysis of these spectra turn out to be in good agreement with the Bohr theory of the atom and in even better agreement with the quantum dynamical improvements upon that theory.<sup>5</sup>

The scattering bodies employed were solids. The electrons involved in producing this effect are therefore not only those associated with individual atoms but also the structure electrons shared between atoms and in the case of electrical conductors the "free electrons" responsible for conductivity. Experiments of this type by DuMond<sup>4</sup> with a beryllium scatterer have furnished the first experimental evidence for the correctness of the Fermi statistics in con-  
tradistinction to the Maxwell-Boltzmann statistics in the case of such conduction electrons.

<sup>1</sup> *Rev. Scient. Instr.*, I (1930), 88-105.

<sup>2</sup> *Phys. Rev.*, XXXVII (1931), 136-59.

<sup>3</sup> *Ibid.*, XXXVIII (1931), 1094-1108.

<sup>4</sup> *Ibid.*, XXXIII (1929), 643-58.

<sup>5</sup> *Rev. Mod. Phys.*, V (1933), 1-33.

## VIII. DUMOND'S DISCOVERY

The reason these components are not separated in any experiments like those of Ross was brought to light in very beautiful work at the California Institute of Technology by Dr. Jesse DuMond in 1931. DuMond designed and built a multicrystal X-ray spectrograph with the aid of which he and Harry A. Kirkpatrick were able for the first time to analyze the structure of the Compton shifted line. They found it not a line at all (see Fig. 36) but rather a broad band the width of which DuMond most skilfully and convincingly interpreted as due to the actual distribution of velocities among the electrons, within the metals, which suffered Compton-encounters with the incident X-ray photons. He showed that the displacement of each element of this band from the central image represented the superposition of the simple Compton effect arising from the impact of a photon with a stationary electron and the Doppler effect arising from the relative velocities of the incident photon and the moving electron actually encountered. This DuMond effect thus gives us a means of determining not only the distribution of velocities among the free electrons of a metal but also *the orbital velocities of the bound electrons*. It presents direct evidence of the existence of both of these velocities, or momenta, since it is the electronic momentum that actually appears in DuMond's equations. It will of course be seen that these interpretations are all made in terms of the photon, or light-dart, theory of radiation, and thus lend the strongest of support both to it and to the conceptions of Bohr as to the dynamic as opposed to static nature of the atom, since these are also implied in the interpretations.

## CHAPTER XI

### WAVES AND PARTICLES

The foregoing history and discussion show how inevitably the physicist has been forced whenever he is dealing with the interaction between so-called electromagnetic waves and individual electrons to use and to believe in the Einstein hypothesis of localized light quanta, or light-darts, more generally termed "photons."

But what, then, has become of the wave theory which seemed to be established "beyond the shadow of a doubt" by all the vast amount of work that has been done during the past hundred years in the field of *interference*? Whether we are dealing with long wireless waves or with short ones, with heat waves, with light waves, with X-rays, or with gamma rays from radium, the classical electromagnetic wave theory always predicts correctly the observed interference pattern. How can we reconcile these old facts with the more recently discovered facts detailed above which seem to demonstrate so conclusively that radiant energy travels in the form of localized bunches of energy or photons, each of energy  $h\nu$ ?

This was the problem upon which Louis de Broglie was working when he published a paper in 1925 in which he tried to bring about some reconciliation between wave ideas and particle ideas.<sup>1</sup> To do this he at first said something like this, let us conceive the electronic orbits of Bohr as due to the travel of waves of some kind about the

<sup>1</sup> L. de Broglie, *Ann. de Physique*, X, 1925.

nucleus, each of the Bohr non-radiating orbits being of such radius that its circumference contains a whole number of wave-lengths of these hypothetical waves, and thus constitutes a system of "standing electronic waves." This hypothesis required, in accordance with the Bohr equation, that the wave-lengths of these assumed electron waves be given by  $\lambda = \frac{h}{mv}$  in which  $m$  is the mass of the electron and  $v$  is the velocity with which it is moving. These wave ideas of de Broglie were taken up and modified by Schrödinger, Dirac, and others, and developed into what is now known as the theory of "wave mechanics," but with all these changes in mode of approach the wave-length is always given by  $\lambda = \frac{h}{mv}$ .

Then the experimentalist came upon the scene, and the wave mechanics began to be more than merely a theory. One of its earliest successes in predicting results that had seemed quite inexplicable from the standpoint of preceding modes of thought came through its application by Oppenheimer at the Norman Bridge Laboratory to the interpretation of the laws of "field currents," or cold emission which had been worked out experimentally by Eyring, Lauritsen, and the author.<sup>1</sup> We had first found that steady streams of negative electrons could be pulled out of cold metal surfaces by the use of sufficiently powerful electrical fields, a result which we felt no difficulty in interpreting from the standpoint of the usual electron theory of metals. But when we found that the law governing the relation of this current,  $i$ , to the applied field,

<sup>1</sup> Millikan and Eyring, *Phys. Rev.*, XXVII (1926), 51, and Lauritsen. *Proc. Nat. Acad. Sci.*, XIII (1928). 45-49.

$F$ , was of the precise form  $i = Ce^{-\frac{\phi}{F}}$ , a form analogous to that governing thermionic emission save that the field  $F$  here plays the same rôle that the temperature  $T$  plays in thermionic effects, we were completely unable to give the law any theoretical significance until Oppenheimer<sup>1</sup> deduced it from the fundamental postulate of the wave mechanics, viz., that there is a certain *probability* at all temperatures that an electron will pass *through*, rather than *over*, a "potential barrier like that existing at the surface of a metal."

But even before this there had begun to appear much more spectacular successes of the new "wave equations," for a bit earlier in 1927 Davisson and Germer in the Bell Laboratories in New York had found in very fact that when they directed a stream of electrons against the surface of a metallic crystal the reflected electrons distributed themselves according to a diffraction pattern precisely like that obtained when X-rays of wave-length  $\lambda = \frac{h}{mv}$  are reflected from the same crystalline surface.<sup>2</sup>

Then, a little later in 1927, G. P. Thomson,<sup>3</sup> and after him many others, shot streams of electrons through thin crystal gratings and obtained in every case diffraction patterns precisely like those obtained by passing ether waves of wave-length  $\lambda = \frac{h}{mv}$  through these same

<sup>1</sup> Oppenheimer, *Phys. Rev.*, XXXI (1928), 914. This is the first date of publication but it was in the fall of 1927 that these laws, experimental and theoretical, were being worked out at the Norman Bridge Laboratory.

<sup>2</sup> Davisson and Germer, *Phys. Rev.*, XXX (1927), 707.

<sup>3</sup> G. P. Thomson and A. Reif, *Nature*, CXIX (1927), 890.

gratings. The complete parallelism between the diffraction patterns obtained by passing ether waves through crystal gratings and streams of electrons through such gratings is beautifully illustrated in the reproductions shown on Figures 37, 38, 39, 40, 41, and 42.

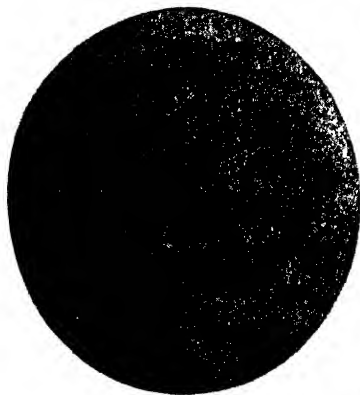


FIG. 37



FIG. 38

FIG. 37.—Diffraction pattern produced by passing a narrow beam of X-rays (ether waves) through a thin crystal of zinc blend parallel to the trigonal axis. (Friederich and Knipping.)

FIG. 38.—The quite similar diffraction pattern obtained by passing a narrow beam of electrons (particles) through a thin film of crystallized aluminum. (G. P. Thomson.)

Finally, Otto Stern of Hamburg and his collaborators performed similar experiments with streams of atoms of known velocities  $v$  and found in every case tried diffraction patterns which could be computed from the equation  $\lambda = \frac{h}{mv}$  where  $m$  is now the mass of the *atom* in question.

In a word, then, experiments of this sort have shown with entire conclusiveness that streams of particles, when



either passed through, or reflected from, crystal gratings, produce all the interference patterns which the 19th century had associated with and explained by the ether-wave theory. Inversely, as shown above in the photoelectric and Compton effects, we have found ether waves acting like localized bunches of energy which in their interplay with electrons follow consistently the laws of particle en-

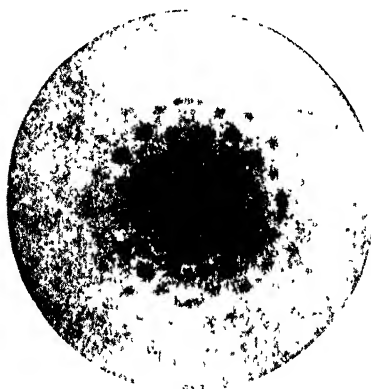


FIG. 39

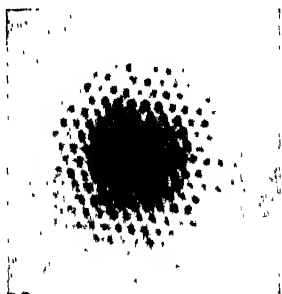


FIG. 40

FIG. 39.—Diffraction pattern produced by passing a narrow beam of X-rays (ether waves) through zinc blend parallel to a cubic axis. (Friederich and Knipping.)

FIG. 40.—A quite similar diffraction pattern obtained by passing a beam of electrons (particles) through a thin mica crystal. (S. Kikuchi.)

counters. *In other words, particles actually are found experimentally to act like waves and waves to act like particles.* All these reciprocal wave-particle effects have been fitted into the equations of the so-called wave mechanics, that new field of theoretical physics which has been developed from the primitive beginnings made by de Broglie through the labors of Schrödinger and Heisenberg and Dirac and Oppenheimer and many others.

Whether the wave properties or the particle properties of light quants, electrons, atoms, and molecules are the more fundamental may not yet be altogether certain, but we are at least beginning to be able to formulate some of the rules which Nature follows whenever and wherever we



FIG. 41

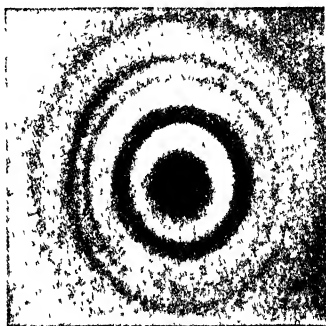


FIG. 42

FIG. 41.—A typical X-ray (or ether wave) diffraction pattern produced by a powder or thin film in which the elementary minute crystals have been forced by the grinding and sifting, or else by the beating or rolling, to take a random distribution.

FIG. 42.—The corresponding diffraction pattern obtained when a beam of electrons (particles) passes through a thin gold foil in which the rolling or beating has given the elementary crystals again a random distribution.

find her playing this wave-particle game. These rules may be stated as follows:

Whenever, to take the simplest possible illustration, a single helium atom (alpha particle), a single electron, or a single light-dart shoots through a minute hole in a screen and impinges on another screen set up at some distance behind the first one, this second screen experiences *at one particular point* a bullet-like blow. About this there

can be no doubt, for if the screen is made of a suitable fluorescent material an eye observing it actually sees a flash or scintillation where the bullet struck. In such individual *elementary processes* nature appears to exhibit only particle, or corpuscle, properties.

But when from a given very small source, commonly called a point source, a *large succession* of atoms, or electrons, or light-darts shoot through the aforesaid hole, no matter how large is the mean time interval between the successive shots, these are actually found to distribute themselves on the screen in the series of concentric circles which is the characteristic diffraction pattern for the case in which light of wave-length  $\lambda = \frac{h}{mv}$  from a point source

passes through a small hole and falls upon a screen set up parallel to the screen containing the hole. In the case of a light quant the  $m$  of the foregoing equation is given by the Einstein equation  $E = mc^2$  or  $h\nu = mc^2$ , i.e.,  $m = \frac{h\nu}{c^2}$ .

In the case of an electron or an atom  $m$  is the mass of that electron or atom in grams. Just why the light quants, passing through holes, or slits, or lenses, or gratings of any kind, distribute themselves so as to produce in all cases precisely the patterns computed by the classical wave theory seems to transcend at present *physical* explanation. It is sometimes said that the light quants are "directed by" the "Poynting vector" or by the "field equations" or that the electrons or atoms "are guided by a train of waves." But this is merely to state in other words the aforementioned fact.

The new experimental discovery, made since the advent of the wave mechanics and stimulated by it, is that

other kinds of particles besides photons, namely, electrons and atoms, also behave in the same way. We can now compute for these latter particles the wave-lengths corresponding to given masses and given velocities, and then actually get photographically and quantitatively, too, the predicted wave patterns. To state the newly discovered situation as generally and as briefly as possible, whenever we are dealing with a single *elementary process*, i.e., an individual "microscopic event," we find that we must use the particle conception in order to describe our experimental findings, but whenever we are dealing with a huge ensemble of elementary processes, i.e., a statistical array of microscopic events, we must use the wave conception in order to predict the experimental situation. From the standpoint of the thinking of the nineteenth century these two facts are contradictory.

Why have these contradictions arisen? Can we see even dimly a physical significance behind them? For, to the experimental physicist, at least, this world is at bottom more than a world of equations or even of ideas. Some external physical things are happening and we cannot rest indefinitely content with two types of physical interpretation of the same phenomena that seem to be mutually exclusive. The ultimate *elementary processes* which constitute light cannot be both waves and corpuscles. Which are they really? And what kind of *legerdemain* has nature played upon us to make them seem otherwise? How "did the rabbit actually get into the hat?" The only way I can see out of the contradiction is to assume that all microscopic or elementary processes, whether they are processes of matter physics or of ether physics, are at bottom discrete-particle processes, the four

types of units involved being (1) elementary units of electrical charge, (2) elementary units of mass, (3) elementary units of radiant energy, and (4) elementary units of momentum or "action" (called Planck's  $h$  units). Only when large numbers of these units are involved do we get over into the field of *continuous* processes of which waves constitute one of the best of examples. In other words, according to this view, all apparently continuous phenomena represent *statistical or mean behaviors* of elementary particles, in precisely the same way as the temperature of a mass is the mean kinetic energy of its particles, a fact which obviously does not imply that every individual molecule has that energy.

In the words of M. de Broglie, "the fundamental postulate of the wave mechanics is that with every independent particle, whether of matter or of radiation, there is associated the propagation of a wave, the *intensity* of this wave representing at each point and at each instant the *probability* that the associated particle will reveal itself at this point at this instant." The "wave" here spoken of as associated with the movement of the particle is then only an "artifice of calculation." It is a *probability* wave which describes accurately *how the particles do actually distribute themselves*, but it contains no information whatever as to why they do it. Yet this question of "why" will not down. Physicists are just now trying to find the reason for this behavior in the Heisenberg uncertainty principle, i.e., an uncertainty, or better an incorrectness in the assumption of an absolute length and an absolute time. The *probability function* which comes out of Heisenberg's equation has already succeeded in some cases in

predicting the wave equation which yields the observed interference pattern.

At any rate, this recent particle-wave history that I have tried to recount shows at least that we are moving on and on continuously in our knowledge of the *actual behavior* of the physical world. We first discover the laws that govern the interaction of large bodies, Newton's laws, and these are just as valid now for large bodies as they ever were; then we discover the laws that hold in all the large-scale phenomena of ether physics, and they too are just as valid now as ever *for these large-scale phenomena*. Then we discover methods of pushing our researches farther into the field of individual, *elementary processes* and a whole group of new laws appears, not irreconcilable with the first but *containing the first as limiting cases as the number of units involved becomes large*. In other words, when we are buying sand by the ton we do not even need to know that it contains grains. And so long as we are merely building houses we get along satisfactorily without the knowledge of the granular structure. But fortunately the mind of man is not content with merely building houses. It seeks to "*know* so that life may be enriched."

## CHAPTER XII

### THE SPINNING ELECTRON

There is perhaps no chapter in the history of the development of modern electronic physics which better illustrates the usual steps in the process of discovery than does the chapter in which is told the story of the introduction of the idea of the spinning electron. This process here goes through the following sequence: (1) prediction on the basis of established findings and existing theory of results to be expected; (2) the search of the experimentalist to verify these predictions; (3) his discovery through this search of elements of inadequacy in the conception from which he started out; and (4) the subsequent extension of the theory so as to make it cover the new findings.

Up to the present the electron has been thought of as essentially a point charge. It is true that in the development of the idea of the electromagnetic origin of mass we were led to assign a radius to the sphere over which the electronic charge might be assumed to be uniformly distributed in order to obtain from our theory the rest-mass that the free electron is by experiment found to possess, but we were careful to call this the radius of "the equivalent spherical charge," since we had no real warrant for assuming that the electron itself is a charge distributed over such a sphere. Up to the present we have dealt with no properties of the electron which required of it anything more than (1) to attract or repel other charges from a location which might be looked upon as a point in space, and (2) to describe, in the case of the Bohr atom, an orbit about another essentially point-charge on the atomic nucleus. This was perhaps too simple a conception to be

expected to last, for nature in the history of her encounters with the scientist has always shown herself to be a consummate poker player, often holding cards up her sleeve when he thought her hand was on the table. But at any rate the story of how her hand was forced in this case is somewhat as follows:

### I. RELATIVISTIC INTERPRETATION OF FINE STRUCTURE

It will be recalled that Bohr's simple theory had considered only circular orbits, the radius  $r$  of a given orbit remaining constant and the azimuth  $\varphi$  alone varying as the electron moved about in its orbit. In its inmost orbit the electron of the hydrogen atom had one unit of moment of momentum, the unit being actually  $h/2\pi$ , in its second orbit two units, in its third three, etc. But this condition could be as well fulfilled with certain types of elliptical orbits as with circular orbits, but in that case two independent variables  $\varphi$  and  $r$  would have to be introduced to describe the motion in each ellipse. Sommerfeld applied the same sort of quantum conditions to both of these variables as Bohr had applied to  $\varphi$  alone before, i.e., he made the very natural assumption that if it was necessary to quantize one of the independent variables which defined the system it was necessary to treat the other in the same way. He thus made the total integral of the moment of momentum<sup>1</sup> of the system taken around a complete cycle

<sup>1</sup> More correctly "quantity of action" defined by  $\int p_{\varphi} d\varphi + \int p_r dr$  for the Wilson-Sommerfeld rules for the quantization of the azimuthal co-ordinate  $\varphi$  and the radial co-ordinate  $r$  are

$$\int_{\varphi=0}^{\varphi=2\pi} p_{\varphi} d\varphi = kh \text{ and } \int_{r=0}^{r=2\pi} p_r dr = nh$$

$p_{\varphi}$  representing "moment of momentum" with respect to  $\varphi$  and  $p_r$  repre-



—the so-called total quantum number—the sum of an azimuthal and a radial quantum number, the way in which the total integral of the moment of momentum was divided between the two determining the ellipticity of the orbit. Thus, when the total quantum number was 1, the azimuthal quantum number had to be 1 and the radial quantum number 0, or else the azimuthal 0 and the radial 1. The first condition meant physically a circular orbit, as in the simple Bohr theory, while the last meant a radial oscillation in which the electron had to pass through the nucleus, which seemed a physical impossibility (see, however, V, p. 300). Hence the inmost orbit, designated as a  $1_1$  orbit (the first integer denoting total, the last azimuthal quantum number, the radial being the difference between the two), had always in this theory to be a circle. (See Fig. 27, page 219.) For total quantum number 2, however, two different orbits were possible, namely, a  $2_2$  orbit, a circle (later on to be designated a  $p$  orbit), or a  $2_1$  orbit, an ellipse (later called an  $s$  orbit) having its major axis equal to the diameter of the circle and twice its minor axis. The orbits of total quantum number 3 might have the shapes  $3_3$ ,  $3_2$ , or  $3_1$ , just as shown in Figure 27, namely, a circle and two ellipses all having the same major axis but minor axes in the ratios 3, 2, 1.

So long as the Newtonian law of attraction governed the motions, all the orbits of a given total quantum number would have exactly the same energy (for this would be determined solely by the length of the major axis of the ellipse) and hence be spectroscopically indistinguishable,

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sending momentum along  $r$ , so that, since  $d\varphi$  has no dimensions while  $dr$  is a length, both quantities under the integral have the dimensions of "moment of momentum"

since the only directly observable quantity in spectroscopy is the emitted frequency, determined by the electron jump from one orbit to another, i.e., by the difference in the *energies* of two orbits. But when the Einstein relativity corrections are applied to the Newtonian laws there is introduced, as already indicated on page 218, a change of mass with speed which makes the energy of binding of the electron to the nucleus in the case of a series of orbits of the same major axis (total quantum number) slightly greater the greater the ellipticity of the orbit, and hence makes the electron jumps into these orbits from a given position correspond to a number of slightly different frequencies, thus introducing fine structure into spectral lines. The theoretical procedure here adopted is in part the same as that used by Einstein in explaining precession in the perihelion of Mercury, i.e., it is another instance of the application of the laws of celestial mechanics to the domain of atomic mechanics. The quantitative prediction, however, of Mercury's precession involves the *general* relativity theory, whereas the foregoing has to do only with "*special* relativity," since the *gravitational* force between the nucleus and the electron is practically zero.

This Sommerfeld relativistic interpretation of fine structure introduced new possibilities of spectroscopic prediction which from 1919 to 1924 had extraordinary successes. Thus, all the lines of the so-called Balmer series of hydrogen, which consist of jumps into the state of total quantum number 2, a state possessing, according to the foregoing theory, only two possible orbits, one a circle and one an ellipse, should reveal a fine structure corresponding to the difference in the energies of these particular circular and elliptical electronic orbits. To a first approxi-

mation they should actually all be doublet-lines with an accurately predictable difference in wave-length, or in frequency; and again *the prediction could be made wholly from the laws of orbital mechanics*. Sommerfeld's theoretical value of the frequency separation of the hypothetical hydrogen doublets of the Balmer series (total quantum number 2) came out .365 cm.<sup>-1</sup> frequency units. Now with instruments of high resolving power not only are these hydrogen lines *all* found actually to be doublets just as predicted, but the most accurate determination of this separation, obtained by Professor William V. Houston<sup>1</sup> in the Norman Bridge Laboratory from his measurements on the  $H_\alpha$ ,  $H_\beta$ , and  $H_\gamma$  doublets came out .36 cm.<sup>-1</sup>—a value within 1 per cent of the computed value—an altogether outstanding and spectacular demonstration of the power of the physicist's newly discovered methods of spectroscopic prediction.

Again, the theoretical relativity formula made the frequency separation of a corresponding pair of lines vary with the *fourth power* of the atomic number so that the corresponding lines in ionized helium—like atomic hydrogen a simple nucleus-electron system, but with a charge on the nucleus twice that in hydrogen—should be sixteen times as far separated as in hydrogen. This prediction also was accurately verified, as early as 1916, by Paschen,<sup>2</sup> his observed separation divided by 16 yielding, with extraordinary accuracy,  $0.365 \pm .0005$ —another quantitative triumph of orbital theory.

But perhaps the most spectacular success of the relativity formula as applied to the interpretation of spectro-

<sup>1</sup> William V. Houston, *Astrophys. Jour.*, LXIV (1926), 81.

<sup>2</sup> Paschen, "Helium linien," *Ann. der Physik*, L (1916) 207

scopic fine structure came when this formula predicted with approximate correctness the frequency separation of the so-called  $L$  doublets in the X-ray spectra of the heavy elements like uranium. This, being a doublet corresponding, like the hydrogen doublets, to the electronic orbits of total quantum number 2, ought, if it were actually a relativity doublet as assumed by Sommerfeld, to have a frequency separation obtainable by multiplying the observed separation in the case of hydrogen by the huge factor of 71 million, for this represents the fourth power of the ratio of the atomic number of uranium (92) to that of hydrogen (1). The more accurate relation is

$$\Delta\nu_L = \Delta\nu_H(Z-s)^4$$

in which  $\Delta\nu_L$  is the separation of the X-ray  $L$  doublet,  $\Delta\nu_H$  that for hydrogen, viz., 0.365,  $Z$  the atomic number of the element in question, and  $s$  the screening constant for the  $L$  shell of electrons which Sommerfeld took as 3.5. This may be thought of as 2 for the two  $K$  electrons and 1.5 for the interaction of the electrons of the  $L$  shell upon one another, thus making  $s=3.5$ . This prediction also checked with experiment.<sup>1</sup>

*No theoretical formula in the history of physics has had more spectacular successes than has Sommerfeld's relativity formula as applied to spectroscopic fine structure.*

## II. THE SPECTRA OF THE ALKALI METALS

We have seen in the preceding section how Sommerfeld applied the relativity principle to the elementary Bohr theory so as to account for the fact that all the lines of the spectra of the atoms of both hydrogen and helium

<sup>1</sup> See Sommerfeld's *Atombau, etc.*, 4th Ger. ed., p. 445.

are *close* doublets, the elliptical, or  $2_z$ , orbit corresponding to a slightly larger binding energy than the circular or  $2_x$  orbit.

The next logical application of the orbit theory as presented in Figure 27, page 219, was to the interpreta-

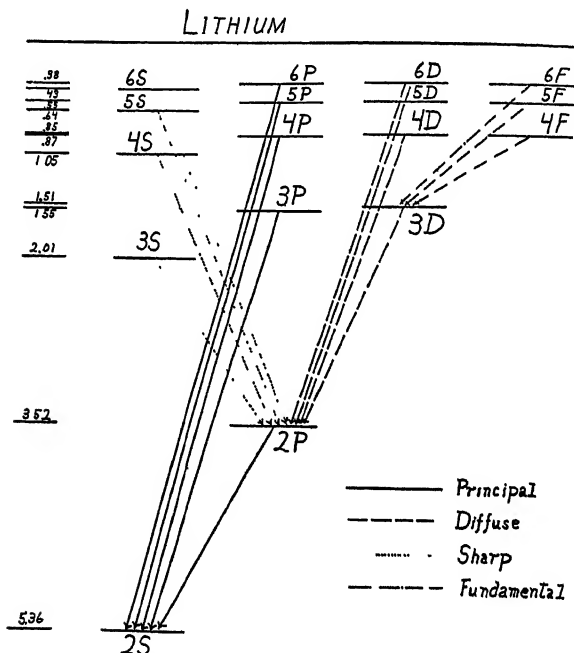


FIG. 43.—The diagrammatic representation of the spectra emitted by the atom of lithium.

tion of the spectra of the atoms of the alkali metals, of which lithium is the simplest example. Its spectrum is shown diagrammatically in Figure 43, the various possible orbits being here replaced simply by "energy levels" between which electrons jump in order to produce the ob-

served spectral lines. The so-called "principal series" are produced by electron jumps into the so-called  $S$  levels, each of which in the Bohr theory, of course, corresponds to a particular orbit, indicated in the first column on the left. Since, according to the theory, there are in the second electronic shell (total quantum number 2) but two orbits, and since the lowest observed level corresponds to the highest numerical value of the binding energy, it will be seen that this lowest  $S$  level must be a  $2_1$  orbit, i.e., an ellipse. Similarly, the lines of the so-called sharp series are produced by jumps from the  $S$  levels into the  $P$  levels, or orbits, indicated in the second column from the left, and this  $P$  level in the second or  $L$  shell must according to the theory be a  $2_2$  orbit, i.e., a circle. Likewise, the so-called diffuse series correspond to electron jumps from the more remote  $D$  levels shown in the third column, into the  $P$  levels, and finally the so-called fundamental series to jumps into the  $D$  levels from the still more remote  $F$  levels of the fourth column.

If, now, it were possible for electrons to jump at will from any level of higher numerical potential energy to one of lower, lithium would show a spectrum having a very much greater number of lines than is actually found. Hence, so-called selection rules are set up so as to restrict the possible jumps to those corresponding to actually observed lines. Geometrically stated these rules limit the jumps to levels of lower numerical energy *in adjacent columns*, as shown in Figure 43, in which the lines connecting levels show all the electron jumps that are found to be normally possible.

But now let us see what these levels mean from the standpoint of the Bohr orbit theory. Lithium has the

atomic number 3, and this means that it has three electrons in the region surrounding its nucleus, two in its *K* shell and one in its *L* shell. It is the jumping of the *L* electron from one level more remote from the nucleus to another less remote that produces all the lines indicated in Figure 43. The orbit in which this electron gets closest to the nucleus is of course the elliptical or  $2_1$  orbit. (See Fig. 27.) The other *L* orbit is a circle, designated by  $2_2$ , and is represented by the lowest level in the second or *p* column. Now it will be remembered that Sommerfeld found the explanation of the very close doublet structure of the hydrogen and helium lines (so close that powerful spectroscopes are needed to separate them) in the fact that because of relativity the binding energy of the  $2_1$  orbit was just a trifle more than that of the  $2_2$  orbit. But how does it come that in lithium this same difference between a  $2_1$  and a  $2_2$  orbit produces forty thousand times that energy difference (for this is actually the order of the energy difference between the lowest levels shown in column 1 and column 2, Fig. 43)?

Bohr found the answer to this question in the fact, easily computable from the orbit theory, that the  $2_1$  orbit permits the electron describing it to cut inside the orbit in which are found the two *K* electrons. The necessary relations are all found merely in the *shapes* of the orbits, as these are fixed by the foregoing quantization rules. For suppose the single electron which belongs to the *L* shell of lithium is in the  $2_1$  orbit—an ellipse the major axis of which is twice the minor axis. Since the nucleus is at the focus of this ellipse, the revolving electron must at perihelion dip inside the circular *K* orbits of the two *K* elec-

trons, as it is shown in Figure 27 to be doing, and there be relieved from the screening effect of these two  $K$  electrons for it. In other words, because of this *penetration of the elliptical orbit inside the  $K$  shell* the electron when in the  $2_1$  orbit gets into a field of force several times as intense as it would if it did not penetrate the  $K$  shell. It is thus *on the average* very much more tightly bound to the nucleus than is the electron in the  $2_2$  orbit, which does not thus dip inside the  $K$  shell. Hence, wherever there is such an inner shell to penetrate, the energy difference between the  $2_1$  and the  $2_2$  orbits will be very much larger than the relativity difference. The very beautiful way in which this sort of explanation fits the observed facts whenever there are inner shells to penetrate (see Fig. 27) constitutes another extraordinary triumph for the orbital conception. Indeed, these "interpenetration ideas" of Bohr, illustrated in Figures 28, 29, and 31, have been among the most fruitful of any of the orbital conceptions, illuminating in an extraordinary way the chemical properties of the elements as revealed particularly in the picture of the periodic table given in Figure 30.<sup>1</sup>

Up to this point, then, Sommerfeld's application of the relativity principle to the Bohr orbits has had altogether remarkable success, not only in explaining the fine structure of the spectra of the atoms of hydrogen and helium, but also in making intelligible both the similarities and the differences between the spectra of these simple atoms and those of the alkali metals.

<sup>1</sup> N. Bohr, *Three Lectures on Atomic Physics*, Vieweg: Braunschweig, 1922; also *Ann. der Physik*, LXXI (1923), 228.



## III. INNER QUANTUM NUMBERS

The only cause of spectroscopic fine structure thus far considered has been the relativity cause which operates by virtue of a difference in the shapes of orbits of the same total quantum number. But it was found as early as 1920<sup>1</sup> that that was not enough to account for all the facts of fine structure. In X-rays, for example, the so-called  $L$  orbits, or  $L$  levels, correspond to a total quantum number 2, and this permits of only two different orbits, one a circle designated as a  $2_2$  orbit, and one an ellipse designated as a  $2_1$  orbit; but X-ray absorption experiments, like those shown in Figures 22 and 23, brought to light *three* different levels or orbits, all close together in frequency, in which  $L$  electrons were actually found. Two of these followed the relativity law, also known as "the *regular* doublet law" referred to in the last paragraph. The frequency difference of these two levels varied with the fourth power of the atomic number, as demanded by the relativity equation. These two levels are represented by the two diverging lines marked  $L_1$   $L_2$  in Figure 44. The third level,  $L_3$ , is seen to follow an entirely different law, for it runs everywhere parallel to  $L_2$ , and could therefore be accounted for by some difference in the apparent value of the nuclear charge such as might be produced by some difference in the screening of the nucleus from the two orbits in question.  $L_2$   $L_3$  are therefore sometimes called "the screening doublet." The frequency difference between  $L_2$  and  $L_3$  follows what has become known as the *irregular* doublet law, so that the geometrical representation in Figure 2 of the irregular or screening

<sup>1</sup> Sommerfeld, *Ann. der Physik*, LXIII (1920), 221. See also *Atombau u. Spectrallinien*, chap. viii.

doublet law is parallel lines, of the regular or relativity doublet law diverging lines.

Similarly, in the field of optics there are found *experimentally* three levels, or orbits, corresponding to the total quantum number 2, instead of merely the two permitted

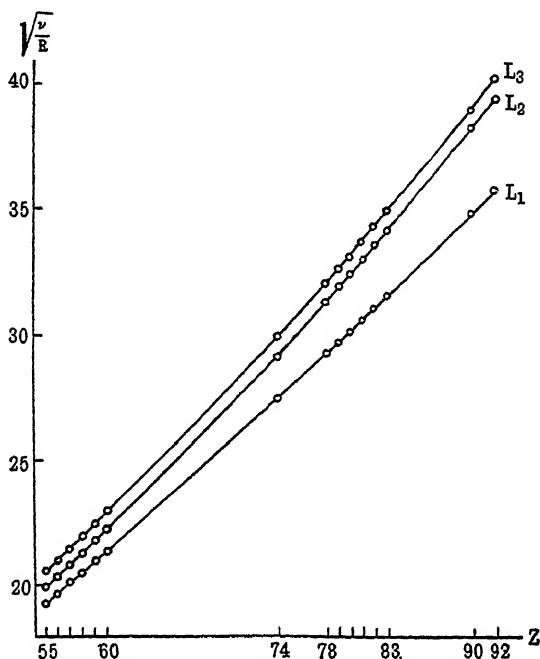


FIG. 44.—Regular and irregular X-ray doublets

by the relativity theory. Although they are not both shown in Figure 43, there are actually two  $p$  levels too close together to be distinguishable in this diagram, and not merely the one  $z_2$  orbit permitted thus far by the theory. It is these two  $p$  levels that are responsible for the familiar red doublet most characteristic of lithium.

Since, then, only two different *shapes* were possible, Sommerfeld introduced the idea that two orbits of the same shape, but of different *orientations*, might exist, and that some dissymmetry in the central force-field gave these two orbits slightly different energies. He introduced a so-called *inner quantum number* generally denoted by the symbol  $J$  to take care of this variation in *orientation*, just as changes in azimuthal quantum number took care of variations in *shape*.

The difference in the frequencies of the familiar doublet lines in lithium, for example, was supposed to be due to the fact that these two lines represented jumps into a common orbit called an  $s$  orbit from two orbits which differed only in inner quantum number, i.e., orbits of different orientations but the same shapes—in this case circles, or  $2_1$  orbits, known as the  $p_1, p_2$  orbits. The  $s$  orbit, on the other hand, into which these two electrons jumped to form the lithium doublet, was the third possible orbit of the total quantum state 2, namely, the  $2_1$  orbit, of elliptical shape, the major axis being twice the minor. The two circular, or  $p$ , orbits differed only slightly in frequency, or energy, but the change in energy in going from either of the  $p$  orbits to the  $s$  orbit was relatively very large, as explained in the preceding section.

This was the situation with respect to both optical and X-ray doublets when I. S. Bowen and myself began our studies on the spectra of so-called “stripped atoms.”

#### IV. THE SPECTRA OF STRIPPED ATOMS

These studies grew out of the development of the technique of what we termed “hot-spark vacuum spectrometry” with the aid of which it became possible to open up

to exploration and careful study the whole range of wave-lengths between the visible and the X-ray fields. Prior to 1920 Lyman<sup>1</sup> had succeeded in obtaining the spectrum of the gas helium down as far into the ultra-violet as to 600 Angstroms, but aside from this the ultra-violet spectrum below 1,000 Å was a completely unexplored region and the available data between 1,800 Å and 1,000 Å was very meager. It was precisely in this region that lay the "stripped atom" spectra of greatest significance for the advances recorded in this chapter. For by 1923 with our high-vacuum, high-potential, "hot-spark" technique<sup>2</sup> we had carried our ultra-violet explorations down to a reported wave-length of 136 Å using vertical incidence of the light upon the gratings, and as early as the spring of 1923 Bowen had developed and successfully used the grazing incidence technique and with it checked our wave-lengths down to 124 Å. He did not, however, publish this grazing incidence method since in his early work he did not reach much shorter wave-lengths than we had obtained using normal incidence. In 1926 this method was independently worked out and published by A. H. Compton, and has since yielded in many hands fine spectra down to as low as 1 Å.

By the year 1924 Bowen and the author had succeeded with this "hot-spark" high-vacuum spectroscopy in stripping the entire outer or valence shell of electrons from each of the whole series of atoms Li, Be, B, C, N, and O. We thus obtained for the first time a long series

<sup>1</sup> Lyman, *Astrophys. Jour.*, XLIII (1916), 102.

<sup>2</sup> Millikan and Bowen, "Extreme Ultra-violet Spectra," *Phys. Rev.*, XXIII (1924), 1. See also Millikan, Sawyer, and Bowen, *Astrophys. Jour.*, LIII (1921), 150. for still earlier work on "hot sparks."

of light atoms having an identical electronic structure but a linearly increasing effective nuclear charge. For in view of the fact that the atomic numbers of these atoms are 3, 4, 5, 6, 7, 8, respectively, and each of them has two electrons in its  $K$  shell, the effective nuclear charges for these stripped atoms are 1, 2, 3, 4, 5, 6. It is, of course, the return of one of these removed electrons through successive

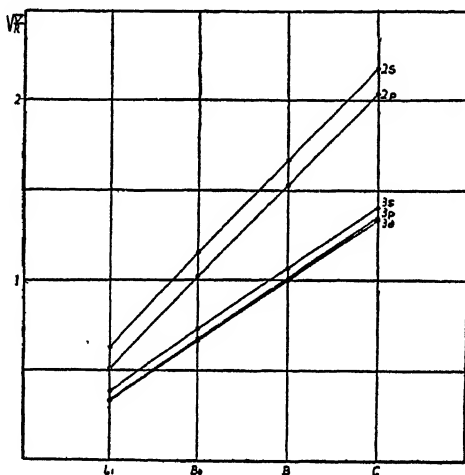


FIG. 45.—Moseley's law in the field of optics. Stripped atoms of the second row of the periodic table.

jumps from the more remote to the inner levels that produces, for example, the lithium spectrum shown diagrammatically in Figure 43, and precisely corresponding spectra pushed, however, farther and farther into the ultra-violet where our hot-spark spectroscopy could get at them, as the effective nuclear charge rose successively from 1 to 6 in going from stripped lithium to stripped oxygen. *But it is precisely this combination of identity of in-*

*ternal electronic structure among heavy atoms with linearly increasing nuclear charge which is responsible for the existence of the Moseley law in the X-ray field—a law in which the square root frequency increases linearly with atomic number (Fig. 44).*

When we tested this law with the foregoing sequence of stripped atoms, both in the levels for which the total

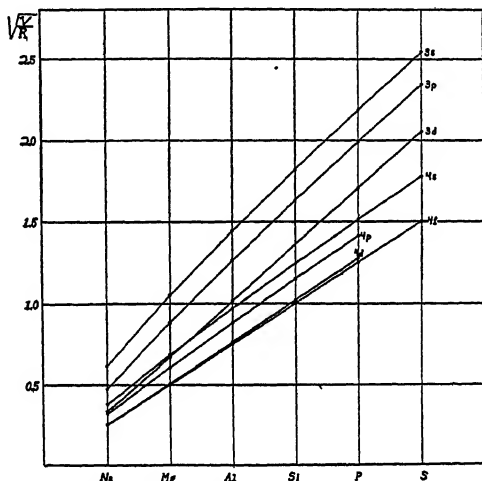


FIG. 46.—Moseley's law in the field of optics. Stripped atoms of the third row of the periodic table.

quantum number is 2 and those in which it is 3, we obtained the diagram shown in Figure 45. Similarly, we stripped the outer shell of electrons from the whole series of atoms of the third row of the periodic table, viz., Na, Mg, Al, Si, P, S, and Cl, and found the Moseley law again completely applicable (Fig. 46).

The new simplicity and orderliness introduced into the tangled empiricism of the field of optical spectra, by

the opening to systematic exploration in the years 1920-25 of the ultra-violet region between 1,800 Å and 150 Å, is very strikingly illustrated by Figures 47 and 48. To understand what these plates mean consider first the familiar and prominent pair of red lines in the lithium spectrum. These may be taken as the *characteristic flag* of a one-electron system, if we here define a one-electron system as a stripped atom through whose series of empty quantum orbits the first electron is jumping back as the atom tries to restore itself to its normal condition. When then we found this characteristic flag in the beryllium spectrum pushed over toward the ultra-violet just the right amount to fit the Moseley law, and with just the right wave-length separation, we knew that there existed in our source stripped Be atoms and we then proceeded to look in the beryllium spectrum for all the other lines characteristic of a one-electron system as found in lithium. Then going farther toward the ultra-violet we sought first the characteristic doublet flag when boron was in our hot-spark electrodes, and found it, and afterward all the other expected lines. Then we did the same with carbon, then with nitrogen, then with oxygen, though not at that time with fluorine. Then going over to the next row of the periodic table we did the same with Na, Mg, Al, Si, P, S, and Cl, for every one-electron system always flies this doublet flag.

Precisely similarly every two-electron system, i.e., a nucleus with two electrons jumping through its otherwise empty quantum orbits, has its own characteristic spectrum, the presence of which can be easily recognized by some prominent group of lines that may be called the characteristic flag of a *two*-electron system. In just the

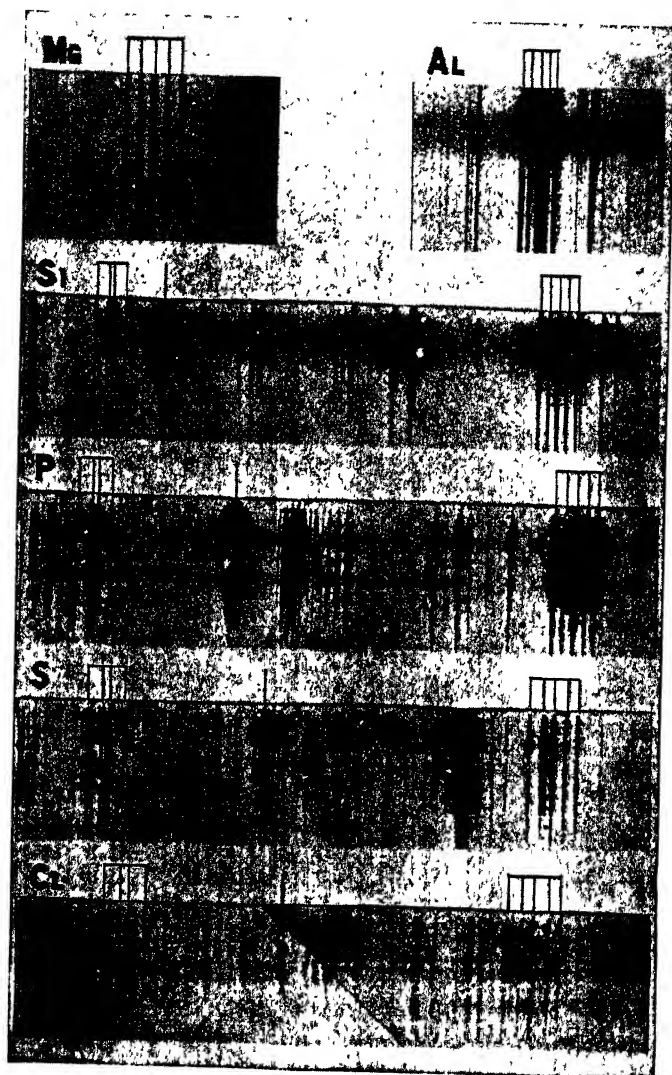


FIG. 47.—The five-band group of spectral lines (Figs. 47 and 48) is the characteristic flag of all two-valence electron systems. The four-band group is the corresponding flag of a three-valence system.





FIG. 48.—The five-band group of spectral lines (Figs. 47 and 48) is the characteristic flag of all two-valence electron systems. The four-band group is the corresponding flag of a three-valence system.

same way we can find a characteristic flag flown by a three-electron system. Now Figures 47 and 48 show very beautifully these characteristic flags of both two- and three-electron systems, and hence tell us that any source in which these flags appear contains such atomic systems. Thus, the quintuplet of nearly equally spaced lines (Figs. 47 and 48) has now been found for all the two-valence electron spectra which have been studied by the method of hot-spark spectroscopy, namely for  $\text{Mg}_{\text{I}}$ ,  $\text{Al}_{\text{II}}$ ,  $\text{S}_{\text{III}}$ ,  $\text{P}_{\text{IV}}$ ,  $\text{Sv}$ ,  $\text{Cl}_{\text{VI}}$ , and for  $\text{Be}_{\text{I}}$ ,  $\text{B}_{\text{II}}$ ,  $\text{C}_{\text{III}}$ ,  $\text{N}_{\text{IV}}$ ,  $\text{O}_{\text{V}}$ , where the roman subscripts now signify the number of valence electrons that have been displaced from the normal atom in order that this spectrum may appear. Similarly, the quadruplet flag shown in the spectra of Si, P, S, Cl, C, N, O is the characteristic flag for all three-valence electron systems. Furthermore, these quintuplet and quadruplet groups shown in Figures 47 and 48 are of exceptional theoretical interest because their existence proves that *two electrons, both of which are in unstable quantum states, may simultaneously perform two definite quantum jumps and integrate their combined energy-changes into a single monochromatic radiation.*<sup>1</sup> The mechanism by which such an integration takes place is at present entirely unknown.

But the results shown in Figures 45 and 46 had important consequences in still other directions. It will be seen that the difference between the frequencies of the  $s$  and  $p$  series is precisely like the difference between the two upper lines of the X-ray series shown in Figure 44. In other words, it is an irregular or screening doublet relation that exists between the  $s$  orbits and the  $p_2$  orbits in

<sup>1</sup> Bowen and Millikan, *Phys. Rev.*, XXVI (1925), 150.

optics, just as between the  $L_2$  and  $L_3$  orbits in X-rays. We next found to our amazement, by careful measurements on the variation of the separations of the  $p_1p_2$  doublet lines in going through the stripped atom series Li, Be, B, C, N, O, and also through the series Na, Mg, Al, Si, P, S, Cl, that these separations increased according to a fourth-power law with effective nuclear charge, i.e.,  $p_1p_2$  constituted a relativity doublet precisely as did  $L_1L_2$  in X-rays. In a word, there was complete correspondence between the  $s$  orbit in optics and the  $L_3$  orbit in X-rays, the  $p_2$  orbit in optics and the  $L_2$  orbit in X-rays, the  $p_1$  orbit in optics and the  $L_1$  orbit in X-rays.

There had been indications of this relation earlier. Sommerfeld in the first edition of his book, 1919, had suggested it, but in later editions had discarded it as untenable because theoretically impossible and not experimentally justified. No unambiguous evidence could in fact be found until we had obtained a long series of atoms of identical electronic structure and varying nuclear charge to compare. It was work with these series that proved beyond a doubt that *the energy difference between the  $p_1p_2$  orbits followed in every respect the relativity equation, though it could not possibly be due to a relativity cause*, as this cause was then understood, since this cause required differences in *shapes* of orbits, while the  $p_1p_2$  orbits could not have differences in shapes but only differences in orientation. For the only difference in shapes of orbits permitted in the foregoing Bohr theory in the second or  $L$  quantum state was the  $2_12_2$  difference required between the  $s$  and the  $p$  orbit in order to form the basis for the interpenetration ideas of Bohr which had had so manifold successes that they could not possibly be disregarded.

In papers published in 1924 and 1925<sup>1</sup> we therefore stated it as one of the most interesting problems of theoretical physics to retain relativity as a cause of fine structure in hydrogen and helium, and indeed in general, and yet to find another non-relativistic cause "magnetic, or magnetic and electrostatic combined," which would follow exactly the relativity equation. We concluded one of these articles with the following italicized statement:

*"The evidence for a difference in kind between the fine structure of the lines of the two lightest elements, atomic hydrogen and ionized helium, and that of lithium and all the elements beyond it is so good, despite their apparent similarity in behavior in strong magnetic fields, that we propose to attribute the doublets of atomic hydrogen and ionized helium to a true relativity cause, and to introduce a new non-relativistic cause, which, however, obeys an equation almost exactly like the relativity equation, to account for the behavior of lithium and the elements of higher atomic number. This is the only possible way to retain both Bohr's interpenetration ideas and Sommerfeld's relativistic treatment of electron orbits; and both of them seem at present to demand retention. To find a new cause for the relativity-doublet-formula with only a little leeway in the value of the numerical constants is a problem worthy of the efforts of the theoretical physicist."*

Probably never before in the history of physics had such an extraordinary—so well nigh impossible—a condition been imposed. And yet within a year of our state-

<sup>1</sup> *Phys. Rev.*, XXIV (1924), 209-28; *Proc. Nat. Acad. Sci.*, XI (1925), 119; *Phil. Mag.*, XLIX (1925), 923.

ment of the problem, two young Dutch physicists, Uhlenbeck and Goudsmit,<sup>1</sup> stimulated partly by our work, partly by other difficulties with existing theory, pointed out especially by Landé, and having to do with the so-called anomalous Zeeman effect, had found *in the assumption of the spinning electron* another cause of fine structure which followed exactly the same law in all respects as the relativity cause. The incident furnishes as striking an illustration as the history of physics thus far affords of the power of experimental and theoretical methods combined for predicting new phenomena, interpreting old ones, and thus slowly but inevitably, step by step, forcing open nature's hitherto fast-bolted doors.

The new physical conception introduced by Uhlenbeck and Goudsmit is that every electron within an atom is not merely revolving in an orbit, but at the same time rotating, just as does a planet, on its own axis. There are assumed to be but two possible directions of spin,  $180^\circ$  apart, but the moment of momentum of spin is assumed to be always the same, namely, exactly one-half unit of moment of momentum, i.e.,  $\frac{1}{2}h/2\pi$ . Such a conception introduces precisely the right amount of energy-difference between the  $p, p_z$  circular orbits which is necessary to account for their observed spectroscopic frequency separation. This effect is simply superposed upon the relativity effect, thus making the fine structure, even in hydrogen and ionized helium, somewhat more complex than could be accounted for by the relativity effect alone. *This newly predicted complexity was soon found by new and more refined measurements by Professor Houston<sup>2</sup> to fit the*

<sup>1</sup> Uhlenbeck and Goudsmit, *Nature*, CXVII (1926), 264.

<sup>2</sup> W. V. Houston, *Astrophys. Jour.*, CXIV (1926), 81.

*experimental facts much better than did the old theory.* Further, the introduction as above of half-units of moment of momentum due to electron-spin at once cleared up difficulties which had appeared in interpreting the facts of band spectra, so that the idea of the spinning electron has definitely proved itself to be of very great usefulness. Whether the very notable results which have flowed from its introduction could have been obtained in any other way it is still too early to say.

#### V. THE NEW SPECTROSCOPIC RULES

With the aid of this new conception it has now become possible to make a nearer approach than heretofore to presenting a physical interpretation of a group of remarkable spectroscopic rules developed, largely empirically, between 1925 and 1927 by Russell,<sup>1</sup> Heisenberg,<sup>2</sup> Pauli,<sup>3</sup> and Hund,<sup>4</sup> and embracing in an altogether remarkable way most of the facts of spectroscopy known up to the present. The success with which these empirical rules describe the facts of spectroscopy is little less than magical. These rules naturally all start with, and grow out of, the fundamental assumption underlying all quantum theory, namely, that all periodic motions must be quantized, i.e., that periodic motion itself is unitary in its nature. As applied to atomic mechanics this means simply that all moments of momentum characteristic of the periodic motions within the atom are to be assigned characteristic quantum numbers and are to be allowed to change only by unit steps.

<sup>1</sup> Russell and Saunders, *ibid.*, LXI (1925), 38.

<sup>2</sup> Heisenberg, *Zeit. f. Phys.*, XXXII (1925), 841.

<sup>3</sup> Pauli, *ibid.*, XXXI (1925), 765.

<sup>4</sup> Hund, *ibid.*, XXXIII (1925), 345.

In the case of each individual electron there are just four sorts of such moments of momentum to consider. In other words, there are four elements necessary to the complete description of an electron's motion within the atom, namely (1) the size of its orbit, (2) the shape of its orbit, (3) the orientation of its orbit in space, and (4) the orientation, or direction, of its spin.

1. The total integral of the moment of momentum (quantity of action, see I, p. 271) of an electronic orbit is characterized by its total quantum number  $n$  introduced by Bohr. This fixes the size (or major axis) of the orbit.

2. The azimuthal quantum number which, with a given  $n$  or major axis, fixes the shape (minor axis) of the orbit has heretofore been characterized by the quantum number  $k$ . For some reason, perhaps not yet fully understood, but doubtless of profound physical significance (see below) in order to make the new spectroscopic rules fit the experimental facts it is found necessary to reduce by unity all values of  $k$  heretofore assigned. Since, however, we are not yet ready to discard entirely for the old purposes the old interpretations, this reduced value of  $k$  is for convenience denoted by a new symbol  $l$ , so that merely by definition  $l = k - 1$ . Thus for an  $s$  orbit  $l = 0$ , for a  $p$  orbit  $l = 1$ , for a  $d$  orbit  $l = 2$ , etc.

3. The projection of the orbital moment of momentum  $l$  upon any fixed direction of reference, which, in the consideration of the Zeeman effect, is the direction of the applied external magnetic field, is quantized and designated by the symbol  $m_l$ . This projection obviously fixes the orientation of the orbit in space. The physical significance of the fact that this projection is quantized is that only certain definite orientations of this orbit are possible

(such spacial quantization is directly proved by the so-called Stern and Gerlach experiments).

4. The projection of the moment of momentum of spin upon the fixed direction of reference is designated by the symbol  $m_r$ . As stated above, there are supposed to be in each atom but two possible directions of spin,  $180^\circ$  apart; so that  $m_r$  of course determines in which of these two directions a given electron is spinning. The quantities  $m_l$  and  $m_r$  are usually called magnetic quantum numbers merely because of their use in connection with magnetic fields.

Now one of the new and very illuminating spectroscopic rules known as the Pauli exclusion rule states that in a given atom no two electrons may be alike in all four of the above elements; in other words, two electrons cannot occupy one and the same electronic position within an atom.

This rule carries with it at once a whole group of conclusions which have been reached by piecing together evidence from many quarters. Thus it requires that the  $K$  shell of all atoms possess two electrons and no more; for since in this shell  $n=1$  and  $l=0$  and hence  $m_l=0$  it follows from the rule that in the fourth element of their motion, namely, the spin, the electrons must be different; and also that not more than two can exist without having two alike in all four elements, and hence violating Pauli's rule.

Similarly, and for precisely the same reasons, there can be but two electrons in  $s$  orbits in a shell of any total quantum number whatever, and this in turn requires that the eight electrons of the  $L$  shell shall be found two in  $s$  orbits and six in  $p$  orbits—a relation discovered by



Stoner<sup>1</sup> and Main-Smith<sup>2</sup> in England in 1924 by putting together evidence from a variety of sources. This relation underlies the whole of Table XIV, page 227.

Further, because of the opposite directions of spin of the two electrons of a *K* shell their joint or resultant moment of momentum and also their total magnetic moment must be zero, which in turn requires that helium be diamagnetic as it is found in common with all the noble gases actually to be.

Pauli's rule also requires that every closed shell, indeed every completely symmetrical electronic configuration, have a zero value of its resultant moment of momentum, and also of its magnetic moment. This accords with the fact that mercury, and other two-valence atoms, in their ground or unexcited states are diamagnetic.

Since every completed shell has zero moment of momentum, it follows at once that the total or resultant moment of momentum of an atom having a given electronic configuration<sup>3</sup>—and the number of different values that this moment can assume determines, of course, the number of terms in the fine structure corresponding to that configuration—must be made up of the combined moments of momentum of the electrons in the uncompleted or valence shell. This important relation was

<sup>1</sup> Stoner, *Phil. Mag.*, XLVIII (1924), 719.

<sup>2</sup> Main-Smith, *Jour. Soc. Chem. Ind.*, LXIV (1925), 944.

<sup>3</sup> The word configuration as above used means the definite distribution or assignment of the valence electrons to their proper types of orbit, e.g.,  $s^2p^3$  means two electrons in *s* orbits and three in *p* orbits. Again  $s^2p^2s$  means that one of the three *p* electrons, just referred to, has been pushed up into a higher state. Since there are a total of 6 *p* orbits, 3 electrons can be fitted into the 6 orbits in a considerable number of different ways and to each way corresponds a characteristic term value.

first perceived by Russell\* who also first formulated the new rules for the composition of the total moment of momentum of the atom from the foregoing components. Thus, when more than one electron is present in the valence shell the joint orbital moment of momentum  $L$  of the whole group is obtained by taking the quantized vector sum of the individual moments  $l$ . For example, for two electrons in  $p$  orbits, for each of which  $l=1$ , the quantized vector sum is 0, 1, or 2. The physical significance of the fact that the vector sum of the  $l$ s is quantized to obtain  $L$  is that the electrons are able to rotate only in orbits of such orientations about the nucleus that this vector sum is a whole number of units of moment of momentum.

The next step is to obtain the joint moment of momentum  $R$  of the spins of the individual electrons. Since these spins are assumed to be in the same plane and each of amount  $r=\frac{1}{2}$ , the quantized vector sum is here simply the algebraic sum, i.e., in this case  $R=\frac{1}{2}-\frac{1}{2}=0$  or  $\frac{1}{2}+\frac{1}{2}=1$ .

Finally, to obtain the total moment of momentum of the whole atom we take the quantized vector sum of the total orbital moment  $L$  and the total spin moment  $R$ . This is precisely the quantity which was originally called by Sommerfeld the inner quantum number and designated by the letter  $J$ . Thus for the values  $L=2$ ,  $R=1$  the quantized vector sum is 1, 2, or 3.

The fundamental quantum condition is now that all possible values of  $J$  constitute a series of which the successive steps differ by unity. If the value of  $R$  is an odd number of half-units, i.e., if there is an odd number of

\* Russell and Saunders, *Astrophys. Jour.*, LXI (1925), 38.

spinning electrons, then all the values of  $J$  are obviously half-integral ( $\frac{1}{2}$ ,  $\frac{3}{2}$ ,  $\frac{5}{2}$ , etc.) while if the value of  $R$  is integral, then all the values of  $J$  are likewise integral. The number of possible values of  $J$  obtained from a given pair of values of  $R$  and  $L$  gives the multiplicity, i.e., the number, of terms in the fine structure. The maximum of the multiplicity is actually  $2R+1$ .

The notation now in general use in the formulation of the new rules is as follows. When the value of  $L$  built up as above from the vectorial summation of the  $l$ s of the individual orbits is 0, the term is by definition an  $S$  term. When  $L=1$  the term is by definition a  $P$  term; when  $L=2$  it is a  $D$  term; when  $L=3$  it is an  $F$  term, etc.

The foregoing quantization rules predict a much larger number of terms than are actually obtained from a given configuration, but Pauli's exclusion rule succeeds in reducing the number of terms to those actually observed.

The new rules thus briefly outlined have had such altogether extraordinary success in predicting the character of the spectra emitted, not only by the simpler atoms, such as those which have been the subject of the studies of Dr. Bowen and myself, but by atoms like iron and titanium, thousands of whose lines have already been identified, that it seems as though we now have within our grasp the means of predicting the precise sorts of radiations which can be emitted by all the possible excited states into which any atom can be thrown. Indeed, the predictions even of complicated spectra have recently been so successfully made that one prominent spectroscopist has lately remarked that "the heroic age of spectroscopy is already past."

Nevertheless, these rules are still to a considerable ex-

tent empirical—a remarkable witness to the ingenuity of the physicist in picking out *rules of behavior*, and extrapolating from these rules from observed to unobserved phenomena. They are, however, little more than *rules*. They do not yet represent a completely logical and consistent scheme of interpretation, and they are only vaguely and very imperfectly translatable into physical pictures visualizable in terms of physical relationships. Thus, after the extraordinary and manifold successes detailed above which followed upon Sommerfeld's introduction of the idea of elliptical orbits—an idea definitely requiring that one unit of azimuthal moment of momentum be assigned to  $s$  orbits, two to  $p$  orbits, etc.—it is somewhat disconcerting to find that to fit the new spectroscopic rules  $s$  electrons can have no orbital momentum at all ( $l=0$ ). This looks like a very fundamental contradiction and seems to spoil a whole group of interpretations which were thought to be quite definitely established. It is, however, just such contradictions which point the way to the next advance, as was so beautifully shown in the foregoing history of the development of the idea of the spinning electron. Furthermore, this advance is perhaps already dimly in sight, for the new wave mechanics actually does require that the  $s$  state (orbit) always represent a pure radial pulsation, centrally symmetric, and without any axial structure, and hence without any angular momentum. *The whole moment of momentum of electrons in the  $s$  state would then inhere in the spin.* This means that we have overdone somewhat *shape of orbit* in all the foregoing attempts at physical interpretation. Thus, for example, on page 272, we discarded  $k=0, n=1$  as a possible case because it represented a linear oscillation or pulsa-

tion of the electron along a line containing the nucleus. The introduction of Heisenberg's principle of uncertainty, which means that while retaining as a first approximation the orbit conceptions of Bohr we can never specify the *precise* position of an electron in a particular orbit, makes it now possible to reintroduce this linear case  $k=0, n=1$ , which is precisely what we did in making  $k=0$  for the *s* orbit through the convention  $l=(k-1)$ . This change also makes it possible to retain all that is essential in the interpenetration ideas of Bohr. In any case the foregoing series of possible values of moment of momentum of the electron must be retained and the introduction of the idea of the spinning electron has helped greatly in the attempt toward physical visualization of how these various moment of momenta come in. But just what influence this new group of ideas is to have upon the whole group of orbital conceptions developed in the early portion of this review is still somewhat uncertain.

The last twenty years of the history of spectroscopy, however, constitutes a remarkable illustration, first, of the rapidity of our modern rate of advance—a whole huge domain, a veritable dark continent—having been explored and reduced to order and civilization in a period of about twenty years; and, second, of the power of the physicist's two tools, analysis and experiment, when used properly together, for forcing open nature's most tightly barred and bolted doors and wresting her most jealously guarded secrets from her for the enrichment of the life of future generations. For every bit of added knowledge of nature adds so much to our control over her, that is, to our ability to turn her hidden forces to useful ends.

## CHAPTER XIII

### THE DISCOVERY OF THE COSMIC RAYS

#### I. THE DISCOVERY OF THE COSMIC RAYS

The term "cosmic rays" is but twenty years old, and the branch of physics with which it deals first began to be opened up about 1910. It came about as follows: It will be remembered that X-rays were discovered in 1895 and that the characteristic that distinguished them from all "radiations" theretofore known was their very much greater penetrating power. Indeed, it was their ability to penetrate the flesh of the hand and leave shadow pictures of the bones upon photographic plates that first called their existence to the attention of Professor Roentgen<sup>1</sup> in Würzburg, Germany. It was Becquerel's search in Paris for other sources of such rays that led him to the discovery of the radioactivity of uranium in 1896. These rays were in their turn soon found to be very much more penetrating than were the X-rays, and after the separation from uranium of radium and its concentration by Mme Curie in 1898 careful studies of these penetrating powers began to be made.

It was 1902 when Rutherford and Soddy<sup>2</sup> succeeded in separating these rays into three groups, which they named  $\alpha$ ,  $\beta$ , and  $\gamma$  rays, the first two of which were found to be deflectable by a magnetic field and therefore to con-

<sup>1</sup> Roentgen, *Wied. Ann.*, LXIV (1896), 1.

<sup>2</sup> Rutherford and Soddy, *Phil. Mag.*, IV (1902), 370, 569, and V (1903), 576.

sist of electrically charged particles, while the last of the three were not so deflectable and therefore were in this respect like light. The  $\beta$  rays (electrons) were a hundred times more penetrating than the  $\alpha$  rays (helium nuclei), and the  $\gamma$  rays a hundred times more penetrating than the  $\beta$  rays. Indeed, the  $\gamma$  rays were found so penetrating as to be detectable through as much as two inches of lead. That these radioactive materials, giving off radiations of just such great penetrating powers, were present in minute amounts in all kinds of rocks and soils was indicated (later definitely proved) when it was shown in 1903 both by Rutherford and Cook<sup>1</sup> and McLennan and Burton<sup>2</sup> that the ordinary rate of discharge of an electroscope could be somewhat reduced by surrounding it with lead shields an inch or so thick, for these were experiments exactly like those that had already been performed with concentrated samples of radium, uranium, and thorium.

Up to 1910 not a trace of evidence had appeared for the existence of any rays of a penetrating power greater than that of the  $\gamma$  rays of radium. Indeed, all the work that had been done prior to 1910, even on rays capable of discharging electroscopes through metal walls centimeters or even inches thick, was interpreted in terms of such earth rays, or of the radiations given off by the radioactive emanations getting from the earth into the lower atmosphere, and these are, in fact, responsible for much the greater part of the observed electroscope-discharging effects found on the earth's surface. Also, prior to 1910, not a trace of evidence had appeared that penetrating rays entered the earth from outside. Such a hypothesis

<sup>1</sup> Rutherford and Cook, *Phys. Rev.*, XVI (1903), 183.

<sup>2</sup> McLennan and Burton, *ibid.*, p. 184

had not even been seriously proposed. Apart from a passing suggestion of Richardson's<sup>1</sup> in 1906 that electro-scope-discharge effects observed at the earth's surface might possibly have something to do with solar influences—a suggestion quickly negated by the fact that these effects are as strong in night time as in daytime—I can find no record of the existence anywhere up to 1910 of any ideas even remotely related to those that are now associated with the term "cosmic rays." Indeed, in 1909 all the work that had appeared in this field up to that date was reviewed by Kurz<sup>2</sup> and careful consideration given to each one of the only three possible origins of the observed electro-scope-discharging effects, namely (1) the earth, (2) the atmosphere, and (3) the regions beyond the atmosphere. *The last two were definitely discarded* and the conclusion drawn that there was not the slightest evidence for the existence of any penetrating rays other than those produced by radioactive substances in the earth—this with full knowledge, too, dwelt upon at length in this article, that half a mile of the earth's atmosphere was sufficient to absorb all such radioactive radiations.

When, therefore, in 1910, the Swiss Gockel<sup>3</sup> took an electro-scope three different times in a balloon to heights that reached 4,500 meters and found that *its rate of discharge was there even higher than on the earth*, he had *discovered something new and important*, namely, that although there are penetrating rays that do originate in the earth and are indeed abundantly given off from practically all kinds of rocks and soils in the earth's crust, as Kurz

<sup>1</sup> O. W. Richardson, *Nature*, LXXIII (1906), 607; LXXIV (1906), 55.

<sup>2</sup> K. Kurz, *Phys. Zeit.*, X (1909), 834.

<sup>3</sup> Gockel, *ibid.*, XI (1910), 280; also XII (1911), 597.



and the other workers prior to 1910 had rightly concluded, yet *there must be other rays abundant at high altitudes that come in from above* originating either (2) in the remoter regions of the atmosphere or else (3) coming in from outer space. Which one of these two possibilities corresponds to the correct origin it took a great deal of work by Hess, Kohlhörster, v. Schweidler, Bowen, Otis, Cameron, myself, and others, from 1910 to 1925 definitely to determine.<sup>1</sup>

The point of real significance in Gockel's experiments is simply the fact that the readings certainly did *not* fall at all to zero at altitudes above 1,000 meters, as they were obliged to do, as shown repeatedly before 1910, if the earth were the source of the observed effects. Instead of this, Gockel's experiments showed, to quote Hess's words, "a slight increase with altitude," thus definitely disproving the hypothesis generally favored up to this time and forcing a choice of one or the other of the hypotheses (No. 2 or No. 3) rejected by Kurz.

The next year, 1911, Hess, after repeating and checking Gockel's experiments, extending them to 5,200 meters, and making them more quantitative, spoke in favor of a return to Kurz' hypothesis No. 3, although at the same time suggesting the possibility of No. 2. He also pointed out the important fact that these discharging effects were apparently as strong in night time as in daytime. In 1913 and 1914 Kohlhörster carried observations essentially like those of Gockel and Hess to 9,000 meters, and in interpreting his observed twelve- or thirteen-fold increase in discharge rate at that height over that at sea level, fa-

<sup>1</sup> Millikan, "History of Research on Cosmic Rays," *Nature*, CXXVI (July 5, 1930), 14.

vored hypothesis No. 3. But, as late as 1924, Wigand<sup>1</sup> in a comprehensive and admirable review summarizes the situation as it existed at that date as follows: "The hypotheses as to the origin of the penetrating high altitude rays look upon these sources as either outside the earth, i.e., in the cosmos, or else in the higher layers of the atmosphere (Hess, 28, 33, v. Schweidler, Seeliger). From the many evidences for and against it is not possible as yet to recognize a clear picture and one must, for the present, reckon with several possibilities."

On account of the World War there were no observations significant for this history from 1914 to 1922 when, in March and April of the latter year, Bowen and I made from Kelly Field, San Antonio, Texas, the first ascent into the stratosphere<sup>2</sup> (15,500 meters or 50,000 feet) with self-registering electroscopes, barometers, and thermometers, carried up in sounding balloons. Figure 49 shows the first type of electroscope to ascend so high. It weighed with all its recording and driving mechanisms about 7 ounces. It was gone three hours, and twenty minutes and landed near Houston, a hundred miles from its starting point. Our object in making this high flight was to obtain what we hoped would be crucial evidence to differentiate between hypotheses No. 2 and No. 3. For, we argued, that if the rays originated outside the atmosphere we should expect an exponential increase in ionization, i.e., a geometrical progression of discharge rate, clear to the top of the atmosphere [our instruments went .89 of

<sup>1</sup> Wigand, *Phys. Zeit.*, XXV (1924), 193.

<sup>2</sup> Millikan, *Carnegie Inst. of Wash. Year Book No. 21*, 1922, pp. 385-86; also Millikan and Bowen, *Phys. Rev.*, XXII (1923), 198, and XXVII (1926), 353.

the way to the top] with about the absorption coefficient which had been computed from Kolhörster's highest

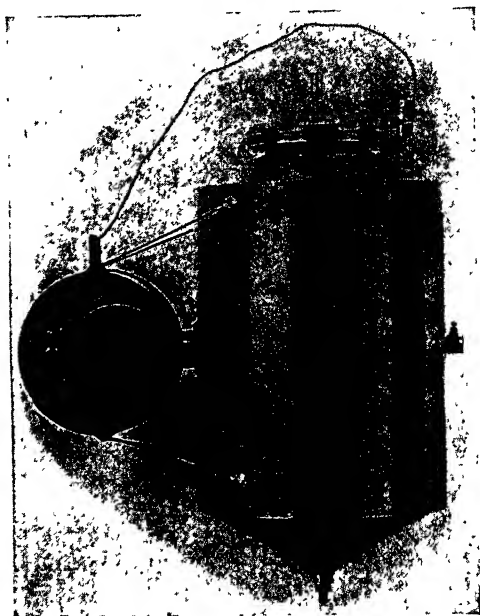


FIG. 49.—The first stratosphere flight with instruments for recording cosmic rays was made from Kelly Field, San Antonio, Texas, in April, 1922. The electrometer with the recording mechanism for giving a continuous record of temperature, pressure, and cosmic-ray intensity weighed 7 oz. It was carried up to 15.5 Km. (50,000 ft.) by two balloons filled with hydrogen to a diameter of 4 feet and brought back to earth with a good record after 3½ hours by the balloon that did not burst. Skylight falling through the 0.001-inch slit at the right casts shadows (diffraction images) of fibres on the photographic film placed just behind a horizontal slit in the recording attachment to the left.

flight (to 9,000 meters), namely,  $=0.55$  per meter of water. Since our electroscope came down with a total discharge very considerably less than that computed from

this coefficient, we concluded that *the apparent absorption coefficient went through a maximum before reaching the top*, a behavior at least consistent with hypothesis No. 2, so that these experiments left us still in doubt about the place of origin of the rays.

The difficulty was that up to this time no one had measured directly the penetrating power of these rays, but only the way their intensity varied with altitude. But very soft rays suitably distributed in origin in the atmosphere might show any desired distribution of intensity with height, while rays of external origin in order to be felt near the earth's surface would have to have a penetrating power sufficient to go through 10 meters of water—the equivalent in absorbing power of the atmosphere—while the rays from any known radioactive substances could not possibly penetrate more than, say, 2 meters of water. So Otis, Cameron, and I, in the fall of 1922, set at the problem of directly measuring the penetrating power of the rays in question, and by the summer of 1925 had succeeded, through sinking our electroscopes meter by meter (down to 15 meters) in the high-altitude, snow-fed Muir Lake (11,800 feet) near Mt. Whitney, California, in proving that *there were here rays coming in exclusively from above of at least 18 times the penetrating power of the hardest known gamma rays*, a penetrating power amply sufficient to get through the atmosphere several times over.

We also proved by next sinking the same electroscopes in Arrowhead Lake, California (5,100 feet) and finding that each of the readings in this lower lake was identical with a reading in the upper lake 6 feet farther down (the 6 feet being just the water-equivalent of the amount of

atmosphere between the levels of the two lakes), that *the atmosphere between these two levels acted simply as an absorbing blanket and contributed not a bit to the intensity of radiation found at the lower level.*

These two experiments seemed to us to settle the question that the rays were of cosmic origin, for the first showed that they had ample penetrating power to come from outside, and the second showed that the sources were not distributed throughout the atmosphere. Further, we could not conceive of any type of events, of the energies here involved, taking place in our atmosphere which would be entirely absent from the sun's atmosphere, as we could definitely prove the sources of these rays to be (see Section II of this chapter). Therefore, late in 1925, we wrote the first article in which we called these rays "cosmic rays."<sup>1</sup> In the matter of absorption coefficient in 1923 Kolhörster<sup>2</sup> too had gone at the problem of trying to measure directly the penetrating power of the rays in question. To do this he took readings, first on top of a glacier in the Alps and second in a crevasse in the glacier where if local rays from the surrounding mountains and from glacial dirt were assumed to be absent all the ionizing rays had to pass through a known thickness of ice. He thus obtained an absorption coefficient of the same order of magnitude as that which we found in 1925. He obtained results of this order of magnitude too in shallow bodies of water, and drew the conclusion that he had at least "established the existence of a hard gamma ray having an absorption coefficient about  $\frac{1}{10}$  that of the

<sup>1</sup> *Proc. Nat. Acad. Sci.*, XII (1926), 48-55; *Phys. Rev.*, XXVIII (1926), 851.

<sup>2</sup> Kolhörster, *Sitz. Ber. Preuss. Akad. Wiss.*, XXXIV (1923), 366.

hardest known gamma ray." However, at the Volta Centenary held at Lake Como, as late as 1927 one of the most distinguished of living physicists, Rutherford, still stated a belief in an upper atmospheric origin of these radiations, so that up to that time neither our work nor Kolhörster's had carried complete conviction.

Today, however, I think the cosmic origin has been practically universally conceded and with that concession it follows from the measurements of intensities which we carried very close to the top of the atmosphere in 1922 and carried up to still higher altitudes in 1932<sup>1</sup> and 1933,<sup>2</sup> as did also Regener, Piccard and some others (see below), that the total cosmic-ray energy falling into the earth is approximately one-half of the total energy coming in in the form of radiant heat and light from the stars. We get this total of the inflowing cosmic-ray energy simply by counting the total number of ions produced and multiplying this by the known mean energy required to ionize air, viz., 32 electron-volts. Again, since the energy per cubic centimeter in the form of heat and light inside our galaxy must be much greater than it is in intergalactic space, while the cosmic-ray energy is just as dense outside as inside (for Cameron and I found the cosmic rays quite as intense when the Milky Way was out of sight as when it was overhead), it follows that *there is in the universe much more energy in the form of cosmic rays than in the form of heat and light. From the astronomical estimates of the distribution of the nebulae we conclude that the total radiant energy in the universe existing in the form of cosmic rays is from 30 to 300 times greater than that existing in all other forms of radiant energy combined.*

<sup>1</sup> Bowen and Millikan, *Phys. Rev.*, XLIII (1933), 695.

<sup>2</sup> Bowen, Millikan, and Neher, *ibid.*, XLVI (1934), 641.

## II. EVIDENCE AS TO THE PLACE OF ORIGIN OF THE COSMIC RAYS

In the last paragraph I have touched upon the most amazing property of the cosmic rays, namely, *the uniformity of their distribution over the celestial dome*. In spite of an enormous amount of experimenting by Hoffmann, Steinke, Corlin, Lindholm, Hess, and many other<sup>1</sup> observers, *no one has yet brought to light any certain, direct influence of the sun on the intensity of the cosmic rays*. Within the limits of my own observational uncertainty they are just as strong at night time as in daytime. In my own careful tests upon this point,<sup>2</sup> covering years of time, I found small daily changes amounting to 1 or 2 per cent, as did other observers, but the phases of these changes did not coincide with the times of appearance and disappearance of the sun, nor with the time of its passage across the meridian where any influences from it must encounter the minimum of atmospheric absorption, so that any direct solar influence should show symmetrical variations about the noon hour, which they did not do. All the very small observed variations appear to me consistent with the view that they are due to daily convective changes in the thickness of the atmospheric blanket through which the rays must pass to reach the earth's surface, and, despite much controversy, I think this view is now quite generally accepted. The exceedingly careful and exact measurements of Hoffman,<sup>3</sup> in Halle, are quite convincing on this point. Nevertheless, if the cosmic

<sup>1</sup> See review by Axel Corlin, Dissertation. Lund, Sweden, 1934; also Summary by Hoffmann, *Phys. Zeit.*, XXXIII (1923), 633.

<sup>2</sup> *Phys. Rev.*, XXXIX (1932), 391.

<sup>3</sup> Hoffman, *Zeit. f. Physik*, XLIX (1931), 704.

rays are shooting uniformly in all directions through space, *secondaries released in the sun's atmosphere might produce slightly greater day-time than night-time effects* such as Hess finds (1934). This effect, if it exists, is well masked by the other causes of fluctuations, and has no bearing anyway on the following conclusions.

The processes that give rise to these rays do not then take place in appreciable amount in the earth's crust, else the rays would not all come in from above, as the measurement of intensities by Cameron and Millikan, clear down to within a few feet of the bottom of lakes, hundreds of feet deep, definitely proved them to do. The equality of day-time and night-time effects shows equally definitely that these rays do not come to us in appreciable amount from the sun, and the entire lack of effect of the presence overhead of the Milky Way—a point which in 1926 we tested<sup>1</sup> carefully in South America, where we could get entirely out of sight of any portion of the Milky Way for several hours at a time—shows convincingly that these rays do not come from any of the stars of our galaxy. Positively stated, these experiments seem to show altogether definitely that *these rays come from beyond the Milky Way*. If, again, they originate in any kind of atomic or nuclear transformations, the normal conditions existing in the earth, the sun, and the stars are apparently unfavorable for these transformations. In a word, the portions of the universe in which the great bulk of the matter of the universe is concentrated are certainly not the portions in which the cosmic rays originate.

If, then, these rays are being formed *now* anywhere,

<sup>1</sup> Millikan and Cameron, *Phys. Rev.*, XXXI (1928), 169.



we must conclude that the conditions of temperature and pressure existing wherever matter is largely concentrated are unfavorable to such formation, and we seem to be forced, then, to seek their origin in the conditions of exceedingly low densities, temperatures, and pressures existing in interstellar space if we would account for the uniformity, by night and by day, of their distribution over the celestial dome. This possibility is a most stimulating one, for it would mean that there is some heretofore undreamed-of kind of activity taking place, more or less uniformly, throughout the depths of space—an activity which manifests itself in continuously raining enormously energetic bullets of some kind (photons, electrons, or both) from all directions upon the heads of us mortals who live on the surface of the earth.

### III. HYPOTHESES AS TO THE MODE OF ORIGIN OF THE COSMIC RAYS

The only kind of activity that I had been able to think of that could furnish bullets of the requisite energy to account at least for the least penetrating, and by far the most powerfully ionizing part of the cosmic rays, was the occasional sudden building up, out in the depths of space, of one or another of the heavier elements out of the hydrogen nuclei from which our atomic weight table tells us that all the heavier atoms have at some time or other actually been built up. This atom-building hypothesis will presently be shown to be a special case of the so-called mass-annihilation hypothesis as to the origin of the cosmic rays. The only other source than matter-annihilation that has been suggested for these observed cosmic-ray bullets is a cosmic electric field, essentially symmetri-

cal with respect to the earth, and of such strength and sign as to drive electrons or ions continually into the earth with energies which must rise as high as the highest energies observed in the cosmic rays, namely, several billions (I use a billion as a thousand million) of electron-volts. The difficulties with a hypothesis of this latter kind are so great that I have, for the present, discarded it altogether as completely untenable.<sup>1</sup>

But in any case, so far as we can now see, one has only these two alternatives between which to choose as to the origin of the observed energies, namely, cosmical electric fields, symmetric with respect to the earth and rising to the values indicated, or else some kind of atomic transformation which is capable of releasing the observed energies. These latter must be one or the other of two types of processes, namely, atom-building processes or atom-annihilating processes, or both, and these are, in their most fundamental aspect, not essentially different, for in accordance with Einstein's equation,  $E=mc^2$ , every process which releases radiant energy of any kind must be accompanied by a corresponding decrease in the mass of the radiating system, i.e., it must correspond to the transformation of mass into radiant energy. As a matter of fact, the mass of every known atom is less than the sum of the masses of the hydrogen nuclei which, at some time, have come together to form it, so that, as stated above, *atom-building processes represent merely a partial stage in the atom-annihilating process.*

From the measured masses of the various atoms it is possible to compute the energy released if an atom of helium is formed by the sudden union of four atoms of hydro-

<sup>1</sup> Bowen, Millikan, and Neher, *Phys. Rev.*, XLVI (1934), 641

gen. It comes out 28 million electron-volts; that released by the sudden formation of an oxygen atom out of 16 hydrogen atoms comes out 116 million electron-volts; that released in the formation of silicon out of 28 atoms of hydrogen is 216 million volts, of iron out of 56 atoms of hydrogen 460 million volts, and of uranium, the heaviest known atom, about 1,800 million volts. On the other hand, *complete* sudden annihilation of an atom of hydrogen would yield about 1,000 million electron-volts, of helium 4,000 million, of lithium 7,000 million, of carbon 12,000 million, of oxygen 16,000 million, etc.

We shall see later that some of the cosmic rays measured at sea-level have energies within the above ranges corresponding to the sudden building-up of the common elements, oxygen, silicon, iron, possibly also helium, out of hydrogen. It is interesting to observe in this connection that Henry Norris Russell concludes from his astronomical studies that more than 90 per cent of the universe is still in the form of hydrogen (chap. xviii).

However, there are some of the cosmic rays that have energies as high as 10 billion of electron-volts, so that these fall into the range to be expected from the sudden and *complete* transformation of the whole mass of some of the lighter atoms into radiant energy. How these atom-building and atom-annihilating acts could both be taking place throughout the depths of space we could only conjecture. My own tentative hypothesis was that under the exceedingly low temperatures existing in interstellar space there are formed aggregates or clusters of hydrogen atoms, just as clouds consisting of aggregates of water molecules are formed at ordinary temperatures in our atmosphere. Only at temperatures near absolute

zero, such as exist in interstellar space, could such condensation be expected from hydrogen, the lightest of the elements. As a matter of fact, the modern astronomer thinks he has evidence for the existence of a vast amount of cosmic dust of some kind floating about in space. If we may assume, then, the existence of vast quantities of "hydrogen dust" scattered throughout the universe, it is then to be expected that in accord with the postulates of the modern quantum theory a time will come sooner or later when some such cluster of hydrogen atoms finds itself in just the right condition to jump over the "potential wall" that has thus far kept it a loose cluster of separate atoms of hydrogen and to clamp itself together into the nucleus of a helium atom, or perchance an oxygen atom or one of iron. This act, because of what is known as the "packing effect," destroys a part of the mass existing when the hydrogen atoms were still in the form of hydrogen, and could release a ray of one of the smaller energies listed above.

But again, we may also assume that in rare instances one of these clusters, having got started on the road to ruin, instead of stopping at the stage of the partial annihilation of its mass required to produce say an atom of helium or of oxygen, completes the catastrophe and transforms its whole mass into radiant energy. We could thus account for the uniformity of distribution of both the hard and the soft components of the cosmic rays.

A slight modification of this hypothesis is suggested by Baade and Zwicky,<sup>2</sup> who instead of letting these clustering and subsequent partial or complete annihilation processes take place under the influence of the intense cold of

<sup>2</sup> Baade and Zwicky, *Proc. Nat. Acad. Sci.*, XX (1934), 259.

interstellar space, have assumed that the catastrophic processes which result in the sudden flashing-up of temporary stars, called "novae," may create another type of extreme conditions that facilitate these atom-building and atom-annihilating processes. If novae are assumed to be uniformly distributed throughout space the uniformity of distribution of the cosmic rays would thus be accounted for. Still another hypothesis to account for uniformity of distribution is to assume that the cosmic rays were created in bygone ages, when the universe was in a different state from that existing now. All these, however, are only variants of the partial or complete atom-annihilating hypothesis, for *our present knowledge of the universe reveals no other source of such enormous energies as are found in the cosmic rays.*

#### IV. THE BANDED CHARACTER OF THE COSMIC RAYS

We can gain some rough notion of the relative energies of the various components of the cosmic rays from measuring their relative penetrating powers. The first evidence that they consist not at all of a single band or group of rays of one particular penetrating power, but rather of at least two (possibly more) such bands, came as a result of the 1925 measurements<sup>1</sup> on intensities as a function of depth beneath the surfaces of Muir and Arrowhead lakes; for the absorption coefficient of the rays found near the surface of Muir Lake came out 0.30 per meter of water, while at a depth of 50 feet it was less than half as much, so that it was considered that a definite inhomogeneity, or a "spectral" distribution of the cosmic

<sup>1</sup> Millikan and Cameron, *Phys. Rev.*, XXVIII (1926), 851; XXXII (1928), 533; XXXVII (1930), 235.

rays had been established. More accurate, and more elaborate, studies of this spectral distribution were carried out in the summers of 1927, 1928, and 1929, the depth-ionization curve being followed accurately down to a depth of 70 meters (235 feet) beneath the surface of Gem Lake (California), and cosmic rays found at that depth had a penetrating power fully ten times that of those most abundant at the surface. This meant rays capable of penetrating more than 20 feet of lead. Indeed, Regener, repeating these under-water experiments<sup>1</sup> later in Europe, found traces of these most penetrating cosmic rays at depths as low as 230 meters. When it is remembered that the most penetrating gamma rays from radioactive substances which correspond to an energy of 2.6 million electron-volts can only penetrate say 2 meters of water at most, it will be seen that the energies of the most penetrating band of cosmic rays must be exceedingly high. The most complete study, however, of the relative penetrating powers of the different cosmic-ray bands has come from combining the foregoing under-water results with corresponding high-altitude studies made by sending automatic recording electroscopes up to 29,000 feet in airplanes and up to 60,000 feet in balloons.<sup>2</sup> Figure 50 shows the type of very sensitive high-pressure electroscope—30 atmospheres of argon—that we developed for the under-water and the airplane measurements. For the airplane work, however, it was redesigned internally and made self-recording (p. 412). The four most important conclusions from these studies are: (1) that it is in no way

<sup>1</sup> Regener, *Phys. Zeit.*, XXXIV (1933), 306.

<sup>2</sup> Bowen and Millikan, *Phys. Rev.*, XLIII (1933), 695, and Bowen, Millikan, and Neher, *ibid.*, XLIV (1933), 246.

possible to build up the curve found in equatorial latitudes without the assumption of at least three cosmic-ray bands of widely different penetrating power, namely, about  $\mu=0.5$ ,  $\mu=.07$ ,  $\mu=.015$  per meter of water, though four bands  $\mu=0.55$ ,  $\mu=0.12$ ,  $\mu=.03$ ,  $\mu=.0075$  will do equally well; (2) that though there is no unique solution for such an absorption curve and though the smaller co-



FIG. 50.—The sensitive electroscope, filled with air to a pressure of 450 lbs., with which the first cosmic-ray intensity curve was obtained in high-altitude, snow-fed lakes down to depths of 300 feet. This curve assisted greatly in the solution of the problem of the nature of the cosmic rays.

efficients can be adjusted considerably, yet all possible solutions give absorption bands, or regions, bearing a rather close resemblance to the foregoing solution, the most notable characteristic of which is that the softest component possesses a coefficient which is from four to seven times that of its nearest neighbor; (3) that the softest band, namely  $\mu=0.5$ , is capable of very little adjustment; that it takes care of the great bulk of the ionization of the at-

mosphere above say 14,000 feet; that it, indeed, is responsible for more than 90 per cent of the whole ionization found within the atmosphere; (4) that this softest component is only six to seven times more penetrating than the most penetrating gamma rays, those having an energy of 2.6 million electron-volts. This banded character of the cosmic rays is at least consistent with the atom-building and atom-annihilating theory as to their origin. It is, however, to be remembered that the experimental fact that one of these bands, for example the least penetrating one, throughout a certain range of altitudes, *acts like* a homogeneous beam does not necessarily mean that it is such. Indeed, it is quite certain that in temperate latitudes the shape of the depth-ionization curve at high altitudes, from which the coefficient of absorption of the least penetrating band is determined, is due to the joint action of two quite different causes, one of which is tending to push down the apparent value of  $\mu$ , the other to raise it. Further facts bearing upon this theory will be presented in chapter xvi.



## CHAPTER XIV

### THE DIRECT MEASUREMENT OF THE ENERGY OF COSMIC RAYS AND THE DISCOVERY OF THE FREE POSITIVE ELECTRON

Prior to the night of August 2, 1932, the fundamental building-stones of the physical world had been universally supposed to be simply protons and negative electrons. Out of these two primordial entities all of the ninety-two elements had been formed. The proton was itself the positive unit of charge, or the positive electron, exactly like the negative unit so far as charge alone was concerned, but by its very nature different from the negative unit in that it was always associated with a mass 1,837 times that of the rest-mass of the negative electron. Thus, we assumed an intrinsic difference between the natures of positive and negative electricity, and explained the fact that not only was the whole positive charge of an atom concentrated in its nucleus, but also practically the whole of its mass as well. To fit all this into the electromagnetic theory of the origin of mass, most of us, in view of J. J. Thomson's famous equation for the mass of a spherical charge, namely  $m = \frac{2}{3} \frac{e^2}{a}$  (Appendix D), conceived the positive unit of charge as having a radius only  $\frac{1}{1837}$  of the radius of the negative unit, as this equation seemed to require.

A cosmic-ray photograph taken in the Norman Bridge Laboratory on the afternoon of August 2, 1932, put a stop to our complacency with the foregoing picture.

Carl D. Anderson had taken the photograph and developed the film. He at once realized its importance, and, with Seth Neddermeyer, spent the whole night trying in vain to see if there were not some way of looking at it that would save the old point of view. It could not be done! The photograph revealed indubitably the track of a *free positive electron* having precisely the properties of the free negative electron, save for the sign of its charge—not at all the properties of the proton. The name of the proton might still remain, but its character had changed, for it was no longer itself the ultimate positive entity. A cosmic ray had apparently picked off from it the disembodied positive unit of electrical charge, the identical twin of the free negative electron. The way this discovery came about is as follows.

#### I. THE DIRECT MEASUREMENT OF THE ENERGIES INVOLVED IN COSMIC RAYS

Up to the year 1931 no particle energies higher than 15 million electron-volts had been measured. From the enormous penetrating power of the cosmic rays, as well as from the known energies freed in the building of the common elements out of hydrogen, I had estimated as early as 1928<sup>1</sup> that the most penetrating of the observed cosmic rays had an energy of as much as 500 million electron-volts, and even if these came to the earth as photons they would be expected to release electrons of about this same energy from the atoms of the atmosphere. It was therefore of the utmost importance to find some way of *directly measuring* electron energies of this order of magnitude, since the estimates of energies made in other ways

<sup>1</sup> Millikan and Cameron, *Phys. Rev.*, XXXII (1928), 533.

were most uncertain. In the summer of 1929, therefore, Dr. Carl D. Anderson and I went at the design of a *vertical* Wilson cloud chamber—the first of this type—set in the midst of an exceedingly powerful and widely extended horizontal magnetic field.

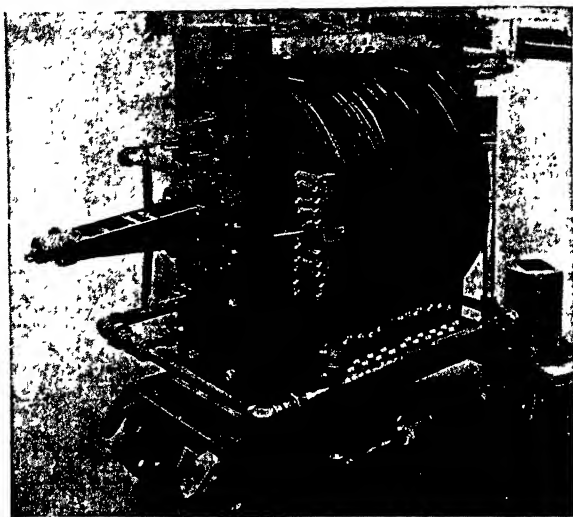


FIG. 51a.—The photograph of the huge electromagnet with which the measurement of charged-particle energies has been pushed up from about 15 million electron-volts, the limit up to 1930, to about 6,000 million electron-volts. Cosmic-ray particles of this energy have actually been found.

Figure 51a shows a photograph of the resulting cosmic-ray energy-measuring device, and Figure 51b a schematic diagram of it. Two thousand amperes of current from a thousand-horse-power motor-generator create a 24,000 gauss field of nearly uniform strength over a space measuring 17 cm.  $\times$  17 cm.  $\times$  4 cm. In this apparatus the

electron tracks 15 cm. long permit of the measurement of their curvatures up to energies of as much as 6 billion ( $10^9$ ) electron-volts, so that the highest electron energies that are being measured now are 400 times the highest energies that were accessible to measurement up to 1931.

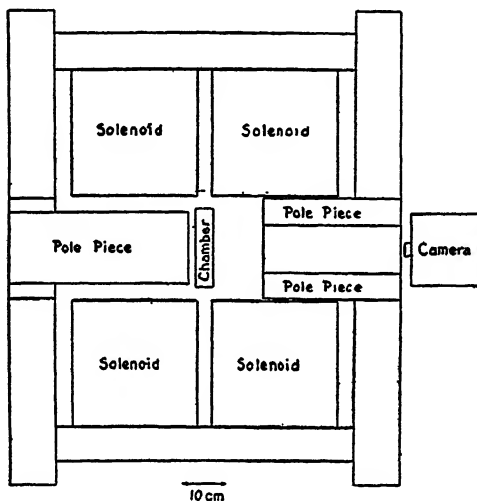


FIG. 51b.—Diagram of cosmic-ray magnet shown in 51a. A nearly uniform magnetic field in the cylindrical chamber 17 cm. in diameter, 4 cm. thick, is created by a current of 2,000 amperes through the solenoids. The magnetic field throughout this large volume is 24,000 gauss.

To show strikingly how this apparatus is capable of differentiating between the effects of gamma rays and cosmic rays, two of the first photographs taken in the summer of 1931 are shown in Figures 52 and 53. When gamma rays from  $\text{ThC}''$ , maximum energy 2.6 million electron-volts, are passing through the expansion chamber, the electrons jerked out of the atoms of the gases in

the chamber are forced by the powerful field to move in spirals about the lines of force, and since the camera is looking along these lines the photographs should ideally reveal only circles. Since the light from some parts of the field comes at a slight angle with the lines of force, the



FIG. 52.—12,000 gauss. A test photograph showing tracks in air of secondary electrons ejected by gamma rays from radium filtered through 2.5 cm. of steel. The energies of the electrons range from  $2 \times 10^6$  electron-volts down.

spiral form of the tracks can actually be seen in Figure 52. From the strength of the field, here 12,000 gauss, the curvature of the path and the known mass of the electron, the energy can be at once calculated and it comes out for the largest circle in Figure 52 about 2 million electron-volts, as it should. Figure 53 shows a cosmic-ray track.

The curvature shows that the energy with which this electron was jerked out of its parent atom was about 8 million electron-volts, more than three times the energy that the most penetrating gamma rays from ThC'' could furnish.

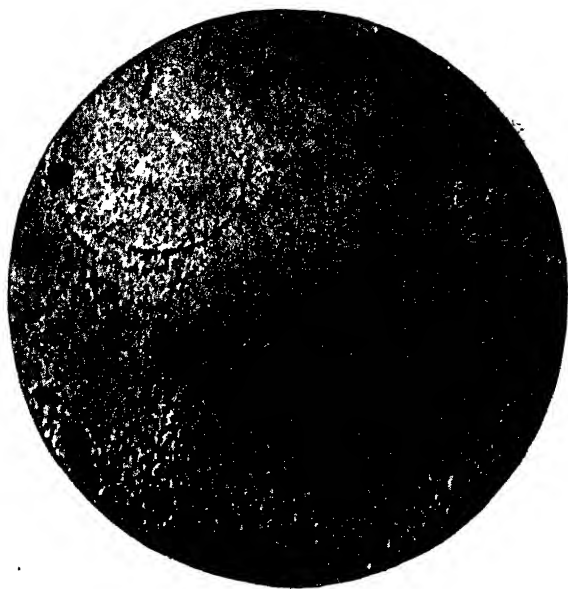


FIG. 53.—Field strength was 12,000 gauss. Track of an 8-million-volt cosmic-ray electron which makes over  $1\frac{1}{2}$  complete revolutions in the chamber.

But the next photographs, Figures 54 and 55, show not only much higher energies but something much more important, namely, that *the incoming cosmic rays have here encountered the nucleus of an atom, and as a result of that encounter thrown out from that impact both a positive and a negative particle*, for no other interpretation of the

opposite curvatures of the two tracks was possible. Since positives could not possibly come from extra-nuclear encounters, this was direct, unambiguous proof

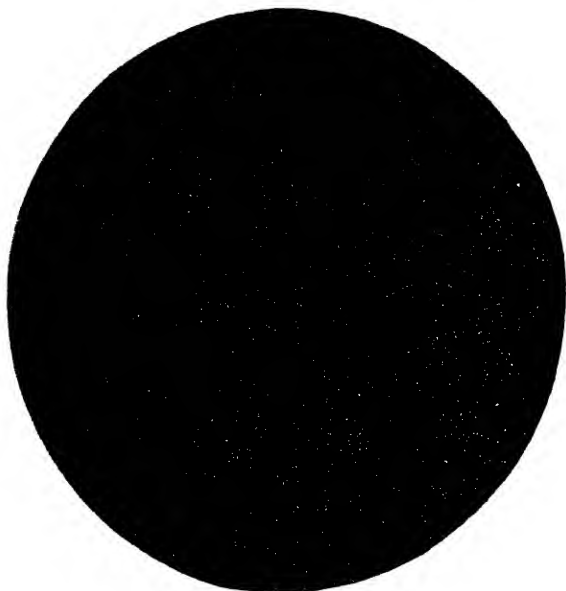


FIG. 54.—17,000 gauss. A pair of associated tracks; at the left an electron of 120 million volts energy, at the right apparently a proton of 130 million volts energy. Since protons and free electrons for a given velocity have equal specific ionization, then from the measurements of Williams and Terroux (*Proc. Roy. Soc.*, CXXVI [1929-30], 300) on the ionization by  $\beta$  particles a 130-million-volt proton is expected to ionize about 3 times as heavily as the electron. It appears to be doing so.

first, that the nucleus plays an important rôle in cosmic-ray absorption, and, second, that both positive and negative particles can fly out from a nucleus when it is hit by a cosmic ray. The nearest approach to anything like this that had been observed before was found in the discovery

by Rutherford in 1919 that the impact of alpha particles upon the nuclei of most of the light atoms could knock protons out of them. But cosmic rays were certainly not alpha particles, so that here was an entirely new phenomenon.



FIG. 55.—12,000 gauss. A negative electron of 27 million volts energy and a positive of about 450 million volts energy.

I presented these photographs in lectures in both Cambridge, England, and Paris at the Institute Poincare in November, 1931, and interpreted Figure 54 as showing that a cosmic ray had knocked out of a nucleus a proton, the track curving downward and to the right, and a negative electron, the track curving downward and to the left. From the curvatures the computed energy of the



negative electron was 120 million electron-volts, of the proton 130 million, so that the energy of the incoming cosmic ray had to be at least 250 million electron-volts. This particular photograph was first published on December 18, 1931, by Science Service, along with Dr. Anderson's photograph, under the title "Cosmic Rays Disrupt Atomic Hearts." I am giving it some attention in this narrative partly because it brought to light the first *direct* proof of the importance of nuclear encounters in the interpretation of cosmic-ray phenomena, but also because it was this photograph that actually delayed the discovery of the free positive electron for at least eight months, and that for the following reason. A proton of the foregoing energy should ionize the gas much more copiously than should a 120-million-volt electron, and this is just what the positive particle of Figure 54 appears to be doing. Hence, we had no doubt whatever that it was a proton. This checked completely with the then universal conception of the composition of the nucleus.

The photograph shown in Figure 55, however, taken at about the same time, bothered us very much, and Dr. Anderson and I discussed it at great length. The positive particle, to the right, has only a little curvature, and from this curvature we concluded that it was a proton of 450 million electron-volts of energy, while the track to the left was computed to be an electron of 27 million electron-volts. Now we knew that protons of more than a billion ( $10^9$ ) electron-volts of energy would give an ionization along its track scarcely distinguishable from the ionization produced by a free electron, but at 450 million electron-volts the ionization due to a proton should be from  $1\frac{1}{2}$  to 2 times that due to an electron of 27 million electron-volts,

and yet *no trace of a difference in ionization between the right- and the left-hand tracks of Figure 55 was discernible.* We saw no way out of this difficulty save in the assumption that in this enormously high-energy range, in which no one had worked before, perhaps there was something wrong with the theory of the way the ionization of protons varied with energy. In the lower energy range represented by Figure 54 everything seemed all right. Contenting ourselves temporarily, at least, with the foregoing excuses, though we definitely promised ourselves to come back to this point when time permitted and find out what it was that was wrong, we continued to call the particles that produced all our positive tracks "protons," and it so happened that for some eight months we found no positives of so large curvature as to make the foregoing explanation impossible. It is to be remembered that only about one-eighth of our tracks were "associated" in the sense of showing at least two tracks branching downward from a common center,<sup>1</sup> and these alone were able to be unquestionably identified as a positive and a negative. Any isolated single track of small ionizing power that curved rapidly to the right, and therefore ought to be a positive going down, might also be considered a negative going up, so that a crucial test of the proton hypothesis was at this date (fall of 1931) not so easy a matter as it might seem, and furthermore the idea that the proton was itself the fundamental unit of positive electricity was so deeply rooted that almost any other kind of a hy-

<sup>1</sup> Skobelzyn, *Zeit. f. Physik*, LIV (1929), 686, had first called attention to these branching tracks. He had found no evidence, however, nor did he suggest, that one of them consisted of a positive. Indeed his field was too weak to enable him to obtain information on this point, which is the point that ties the phenomenon definitely to the nucleus.

pothesis to get out of a difficulty would at that time have seemed preferable to that of abandoning it.

## II. THE DISCOVERY OF THE FREE POSITIVE ELECTRON

But on August 2 Dr. Anderson took the photograph shown in Figure 56. In the middle of the chamber is seen a 6 mm. lead plate that had been inserted for the very purpose of finding the loss in energy of the charged particles in traversing a known thickness of lead. The curvature below the plate corresponds to an energy of 63 million electron-volts in a body having the rest-mass of the electron. The curvature above the plate corresponds to an energy of the same body of but 23 million electron-volts. Since it was quite impossible that it could have *gained* the difference in these two energies in traversing the lead, the direction of motion of the particle had to be upward, not downward.<sup>1</sup> This, with the direction of curvature in the field of known direction, fixed the sign of the charge of the particle as positive. But not only was the thinness of the track precisely like that shown by negative electrons of this curvature, but its length above the lead was at least ten times greater than the possible length of a proton path of this curvature. It seemed to Dr. Anderson, therefore, after his all-night vigil with it, that the

<sup>1</sup> The upward motion of an electron is sometimes due to the effect of the magnetic field in reversing its direction. Indeed, without a field so few secondary electrons move upward that Dr. Neher and the author found no measurable difference in the readings of an electroscope when it was taken up in an airplane to an altitude of 15,000 feet, first when resting on a light wooden frame, and second when resting on a heavy mass of lead 10 cm. thick, in spite of the fact that lead is known to stimulate very many new secondaries. This shows that the great majority of all these secondaries move downward in the direction of the incoming beam.

track simply had to be that of a free positive electron. In early September I had the pleasure of showing this track to Dr. Aston of the Cavendish Laboratory, who was on a



FIG. 56.—A 63-million-volt positron ( $H\rho = 2.1 \times 10^5$  gauss-cm.) passing through a 6 mm. lead plate and emerging as a 23-million-volt positron ( $H\rho = 7.5 \times 10^4$  gauss-cm.). The length of this latter path is at least ten times greater than the possible length of a proton path of this curvature.

visit to the Bridge Laboratory, and of assuring him that we should withhold publication until we had found other unambiguous cases. These came very soon, and in September<sup>1</sup> Dr. Anderson published his discovery.

<sup>1</sup> Anderson, *Science*, LXXVI (1932), 238.

Even then, however, and for some months thereafter, we regarded the appearance of the free positive electron as a rare event, and kept on interpreting most of our high-energy positive tracks as due to protons. For reasons that will appear below, we later came to regard this view as entirely erroneous. Indeed, after studying thousands of track photographs, the only one among them all that we now see no way of interpreting save as the track of a proton is the original one shown in Figure 54, so that our present conclusion is that if protons, or any other sort of nuclei, ever appear in an ion chamber or an electroscope as a result of the passage of cosmic rays through the chamber, their number is so small as to be inappreciable in the tests we have made to discover them. Since among electrons of energies above say 100 million electron-volts as many positive tracks appear as negatives, indeed a few more in the highest energy ranges (above 3 billion volts), it is clear that approximately half of the ionization due to cosmic rays of energies above these values is due to the passage through the gas of free positive electrons or "positrons"<sup>1</sup> released in cosmic-ray encounters with the nuclei of atoms.

<sup>1</sup> To remove the ambiguity in the definition of the term "electron" existing at the present time because of the double sense in which it is used in the literature, namely, to denote on the one hand—as for example in the universally used expression electron-volts—the *magnitude of the elementary quantity of electric charge*, and on the other hand, the name of a *particle* of a particular mass, the terms "negatron" and "positron" are here used. These terms are used merely as convenient contractions for the fully descriptive *particle* designations, "free negative electron" and "free positive electron." The term electron then retains its historical, derivative, and logical meaning as the name of the elementary unit of charge, and the present ambiguity no longer remains. It is pointed out that this suggestion is not at all in conflict with the tradition and usage

Between September, 1932, and March, 1933, a large number of confirmatory cosmic-ray photographs revealing unambiguously the existence of positrons was taken and a second report was published by Dr. Anderson<sup>1</sup> in March, 1933, in which fifteen of these photographs were discussed. Figure 57 is one of the most illuminating of these photographs. The track at the left of the central group of four tracks is made by an 18-million-volt free negative electron (which from symmetry we may now contract to negatron<sup>2</sup> whenever a differentiation in the sign of charge is the important consideration, though this is not often necessary), while the track at the right is that of a positron of an energy of about 20 million volts. This whole group represents tracks all of which are "*associated in time*,"<sup>2</sup> i.e., represent ionizations necessarily occurring at the same instant, since they all have the same amount

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of the term electron. Even today probably nine-tenths of the usage has reference in the mind of the author to charge rather than to mass, as, to take but a single example, in all cases in which the number of electrons going to a given electrode is under consideration. The usage we are suggesting is merely for the sake of removing the ambiguity, the bad effects of which are becoming increasingly felt since the discovery of the "free positive electron," and since the discussion of nuclear processes has become more common. In this usage there is no difficulty in speaking of electrons as existing in the nucleus, since one has then in mind only the number of units of electric charge.

<sup>1</sup> Anderson, *Phys. Rev.*, XLIII (1933), 491.

<sup>2</sup> The tracks in Fig. 55 are geometrically associated, i.e., spring from a common center, as well as being associated in time. In general geometrically associated tracks are also necessarily time-associated. For with the infrequency with which in the fall of 1931 we got cosmic-ray tracks at all—about one in thirty exposures—the chance that two curved tracks coming from a common center should have originated in independent events is practically nil. This is why we felt so sure that the positive track in Fig. 54 corresponded to a proton. But during the year 1932 in our discussions we adopted the term "*associated in time*" to describe the num-

of diffuseness. This diffuseness is here due to the fact that the expansion occurred say half a second after the passage of the ionizing particles, so that the ions had dif-

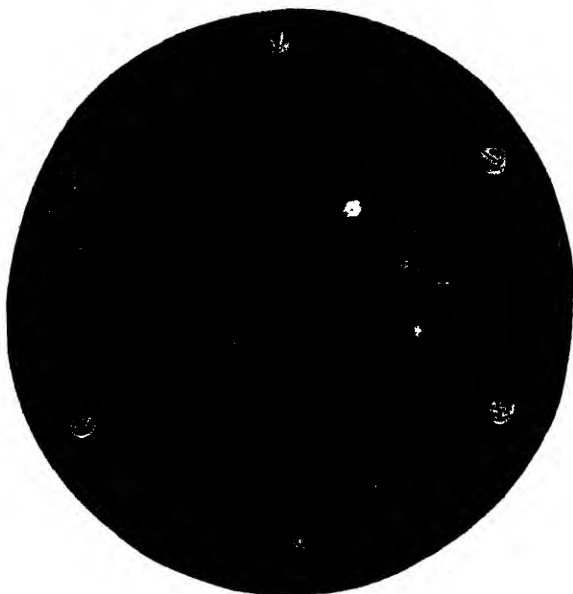


FIG. 57.—A group of six particles projected from a region in the wall of the chamber. The track at the left of the central group of four tracks is a negatron of about 18 million volts energy ( $H\rho = 6.2 \times 10^4$  gauss-cm.) and that at the right a positron of about 20 million volts energy ( $H\rho = 7.0 \times 10^4$  gauss-cm.). Identification of the two tracks in the center is not possible. A negatron of about 15 million volts is shown at the left. This group represents early tracks which were broadened by the diffusion of the ions. The uniformity of this broadening for all the tracks shows that the particles entered the chamber at the same time.

bers of photographs taken on which appeared tracks, like some of those in Fig. 57, which show identity in diffuseness without geometrical association. Later when we got many of these on a given photograph we used the term "a photon spray." The phenomenon is what Blackett and Occhialini describe by the term "non-ionizing links."

fused away from their point of origin before their motion was stopped by the condensation of water vapor upon them. This makes it possible to count *accurately* under a microscope the number of ions per cm. of path, as well as to measure the curvatures. These two elements taken together, when applied to a number of such tracks, fixed both the charges and the masses of the two electrons as the same within 10 per cent and 20 per cent, respectively <sup>1</sup> so that within these limits positrons and negatrons have been definitely proved to be "identical twins" with a difference only in sign of charge. Thus far they had appeared only in cosmic-ray photographs taken at the Bridge Laboratory. In March, 1933, beautiful confirmatory evidence for the existence of positrons resulting from cosmic-ray encounters was presented by Blackett and Occhialini<sup>2</sup> of the Cavendish Laboratory based on similar experiments with a vertical cloud chamber in a magnetic field of 3,000 gauss and actuated by the responses of Geiger-Müller counters.

### III. POSITRONS DUE TO GAMMA RAYS

At once search began for these positive tracks from other sources. In April, 1933, Chadwick, Blackett, and Occhialini,<sup>3</sup> Curie and Joliot,<sup>4</sup> and Meitner and Philipp<sup>5</sup> all reported that the bombardment of beryllium by the alpha rays of polonium is able to produce radiation that results in the production of positrons, though in none of

<sup>1</sup> *Ibid.*, XLIV (1933), 406.

<sup>2</sup> Blackett and Occhialini, *Proc. Roy. Soc. A*, CXXXIX (1933), 699.

<sup>3</sup> Chadwick, Blackett, and Occhialini, *Nature*, CXXXI (April 1, 1933), 473.

<sup>4</sup> Curie and Joliot, *Compt. Rend.*, CXCVI (1933), 1105

<sup>5</sup> Meitner and Philipp, *Naturwiss.*, XXI (1933), 286.



these experiments was it possible definitely to identify the nature of the radiations producing the positrons. Nevertheless, Curie and Joliot showed that the yield of positrons decreased approximately as was to be expected if gamma rays resulting from the alpha-ray bombardment of the beryllium in their turn produced the positrons. The first experiments proving directly, however, that a gamma-ray photon impinging upon a nucleus gives rise to positrons were made by Anderson at the Norman Bridge Laboratory, also in April, 1933, using the gamma rays from thorium C'', and reported to the National Academy of Sciences in Washington<sup>1</sup> on April 24, 1933. In this paper the fact that free electrons of both positive and negative sign are produced simultaneously by the impact of a single gamma-ray photon, an observation of considerable theoretical importance, was first presented. Figure 58 shows one of many such cases photographed. A very narrow beam of photons from Th C'', that was found experimentally to eject electrons so infrequently that the possibility of two such ejections occurring exactly simultaneously was practically nil, comes in from above. Out of the 2 mm. lead sheet in the upper part of the chamber a single photon is then seen to knock two negatives and a positive. The latter is seen to shoot through the thin aluminum plate 0.5 mm. thick in the middle of the field of view, to lose energy in so doing, and to come out below with increased curvature. The curvature below the middle plate actually corresponds to an energy of 520,000 volts, while above the middle plate the curvature corresponds to 820,000 volts.

<sup>1</sup> Carl D. Anderson, *Nat. Acad. Sci.* (meeting April 24, 1933), and *Science*, LXXVII (1933), 432.

Measurements of the energies of these positives produced by the  $\gamma$  rays of ThC'' have been made by Anderson and Neddermeyer,<sup>1</sup> Curie and Joliot,<sup>2</sup> Meitner and

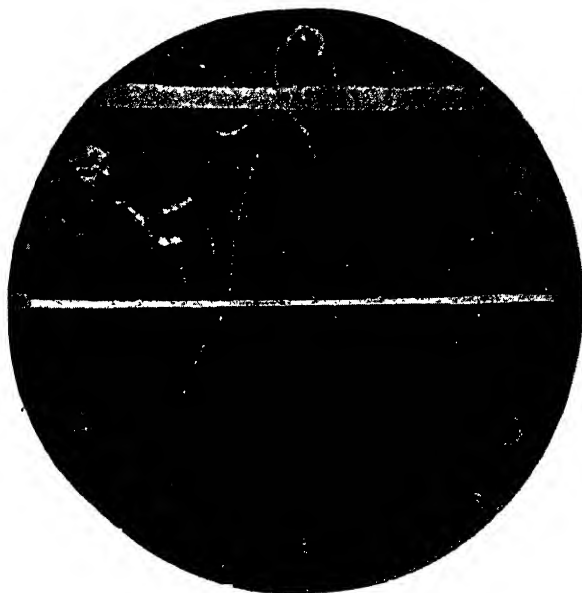


FIG. 58.—A positron ejected from a lead plate by gamma rays and passing through a 0.5 mm. aluminum plate. The energy above the aluminum is 820,000 volts, below 520,000 volts.

Phillipp,<sup>3</sup> and Chadwick, Blackett, and Occhialini.<sup>4</sup> Anderson and Neddermeyer were the first to make a prolonged statistical study of as many as 2,500 tracks of single electrons, both positive and negative, and positive-

<sup>1</sup> Anderson and Neddermeyer, *Phys. Rev.*, XLIII (1933), 1034.

<sup>2</sup> Curie and Joliot, *op. cit.*, p. 1581.

<sup>3</sup> Meitner and Philipp, *Naturwiss.*, XXIV (1933), 468.

<sup>4</sup> Chadwick, Blackett, and Occhialini, *op. cit.*

negative pairs ejected from plates of lead, aluminum, and carbon by  $\gamma$  rays from ThC'' filtered through 2.5 cm. of lead. Their purpose was to determine the frequency of occurrence both of pairs and of single positrons along with their energy-distribution for absorbing materials of different atomic numbers. This ejection of particles was observed from lead plates of 0.25 mm. thickness, aluminum plates of 0.5 mm. thickness, and a graphite plate of 1.4 cm. thickness. For the measurement of these low energies the magnetic field was here adjusted to 825 gauss.

Both the single positives and the pairs (the sum of the energies of the positive and the negative components being taken) ejected from the lead plate showed a maximum energy of 1.6 million electron-volts, though 80 per cent of the single positives had an energy of less than half this value. Similarly, in the case of the positives and the pairs ejected from the plates of aluminum the maximum energy was also 1.6 million electron-volts.

On the other hand, the maximum energy of the single negatives was in all cases about 2.6 million electron-volts. When it is remembered that the most penetrating and the most intense constituent of the gamma radiation incident upon the lead and the aluminum from ThC'' has an energy of but 2.62 million electron-volts, it will be seen that these experiments seem to say quite definitely that about a million volts more energy is required to get a positive electron out of an atom than to eject from it the least tightly bound (and of course extra-nuclear) negative electron, and that, further, this difference is the same for both lead and aluminum. So far as experiments with lead alone are concerned, these results have recently been

checked even more accurately at the Cavendish Laboratory.<sup>1</sup>

This result is of peculiar significance if for no other reason than because of how it came to be obtained, which was as follows:

While the discovery of the positive electron and its appearance under the impact of both cosmic rays and gamma rays was made without the guidance of any theory whatever, as was also the discovery of the frequent occurrence of positive-negative pairs of tracks, like those of Figures 54, 55, and 58, when Blackett and Occhialini published in March, 1933, their check of Anderson's discovery of the positron they first suggested an interpretation of this phenomenon in terms of the so-called Dirac theory of the creation of positive-negative electron pairs through the impact of photons on the nuclei of atoms. Also, when Anderson and Neddermeyer in April, 1933, had proved that gamma rays, as well as cosmic rays, eject apparently both single positives and positive-negative pairs from the nuclei of both lead and aluminum, Oppenheimer and Plesset<sup>2</sup> at the Norman Bridge Laboratory set about working out in detail the consequences of the Dirac theory for the absorption of the photons of  $\text{ThC}''$  by the nuclei of atoms. It was also this theory of Dirac's and Blackett and Occhialini's use of it that first suggested to Anderson and Neddermeyer that the maximum energy associated with a positron produced by  $\text{ThC}''$  should be a million volts less than the energy of the incident photon,

<sup>1</sup> Chadwick, Blackett, and Occhialini, *Proc. Roy. Soc. A.*, CXLIV (1934), 235.

<sup>2</sup> Oppenheimer and Plesset, *Phys. Rev.*, XLIV (1933), 53.

i.e., that it should be 1.6 million electron-volts, as they very soon found it in fact to be.

This million volts is obtained from Dirac's theory and Einstein's relation,  $E = mc^2$ , as follows: It will be remembered that the rest-mass of the electron is about one two-thousandth that of a hydrogen atom which, as we have seen on page 314, chapter xiii, has a mass equivalent to a billion electron-volts, so that the energy equivalent of the mass of the electron is roughly a billion divided by two thousand, or a half-million electron-volts. Hence, to create a positive-negative electron pair would require an expenditure of a million electron-volts of energy and leave of the total 2.6 million volts contained in a quantum of  $\text{ThC''}$ , 1.6 million to be divided between the positive and negative of the pair in a way which the theory had nothing to say about.

To find experimentally this distribution was one of the objects of Anderson and Neddermeyer's statistical study both of the numbers of single negatives, of single positives and of pairs, as well as of the energies of each. Out of a total of 1,542 electrons ejected from the 0.25 mm. lead plate by the  $\gamma$  rays of  $\text{ThC''}$  filtered through 2.5 cm. of lead, 1,387 were found to be single negatives, 96 single positives, and 59 pairs. From an aluminum plate 0.5 mm. thick and ejected by the same radiation there were, out of a total of 943 electron tracks, 916 single negatives, 20 single positives, and 7 pairs. Of these apparent pairs some may not be pairs at all since a negative going up and bounding back from a lower surface of the lead plate is indistinguishable from a pair.

The foregoing negatives may be assumed to have arisen in general from Compton and photoelectric encounters

with extra-nuclear electrons, and this is why their maximum energy reached practically 2.6 million electron-volts. But all the single positives and probably most of the pairs correspond to nuclear encounters. If we assume that on the average an equal number of positives and negatives results from nuclear impacts, we can calculate the ratio of the nuclear to the extra-nuclear absorption. This amounts to about 20 per cent for lead and 5 per cent for aluminum—values in reasonably good agreement with those obtained by Chao,<sup>1</sup> Meitner,<sup>2</sup> and Gray and Tarrant<sup>3</sup> by entirely different methods. That the nuclear absorption in carbon for ThC'' rays is still smaller in carbon than in aluminum, as is to be expected from the above relations of lead and aluminum, is shown by the fact that as compared with 415 negatives knocked out of a carbon plate 1.4 cm. thick there appeared only two pairs and six single positives.

On the whole, then, the energy relations of the positives and pairs from both the aluminum and the lead appear to be quite consistent with the pair-creation hypothesis, as are also the approximate values of the excess absorption in lead and aluminum as calculated by Oppenheimer on this pair-creation assumption. But the fact that all told there are nearly twice as many single positives as pairs, and possibly more, and in aluminum and carbon at least three times as many, present some difficulties to the pair theory. Further, Anderson has observed one case in which two negatives and two positives were all

<sup>1</sup> Chao, *Proc. Nat. Acad. Sci.*, XVI (1930), 431, and *Phys. Rev.*, XXXVI (1930), 1519.

<sup>2</sup> Meitner and Hapfield, *Naturwiss.*, XIX (1931), 775.

<sup>3</sup> Gray and Tarrant, *Proc. Roy. Soc. A.*, CXXXVI (1932), 662

observed to originate at one point in the lead plate. The possibility that this can represent two pairs accidentally associated in time and position is so remote that the experiment may be taken as evidence that photons of energy even so low as those of  $\text{ThC}''$  can occasionally give rise to "showers" such as are common with cosmic rays (see § IV below), a phenomenon that according to Oppenheimer cannot as yet be handled satisfactorily by the pair-formation theory. (No longer true in 1946.)

#### IV. COSMIC-RAY SHOWERS

Whatever may be the mechanism by which gamma-ray photons of from one to three million volts of energy produce positive and negative electrons through their encounters with the nuclei of atoms, a study of cosmic-ray showers with the aid of our big magnet leaves little doubt that a billion-volt photon can cause to emerge one or many electrons, both positive and negative, as a result of collisions with heavy atoms.

Some 88 per cent of all the tracks that we obtain when expansions and exposures are taken at random are the tracks of single electrons of energies varying from say 50 million to 6,000 million electron-volts. The other 12 per cent are showers, a shower being defined as two or more associated tracks. Tracks are considered "associated" if they are produced by electrons that pass through the chamber at the same time, as indicated by the identity in the sharpness or in the diffuseness of the tracks they leave. The distribution of these tracks in both energy and sign of charge has been carefully studied by Anderson<sup>1</sup> with the result that between 100 million and 3,000

<sup>1</sup> Carl D. Anderson, *Phys. Rev.*, XLIV (1933), 411; also Anderson, Millikan, Neddermeyer, and Pickering, *ibid.*, XLV (1934), 352.

million volt positives and negatives appear in about equal numbers for all energies. Of the 12 per cent of associated tracks perhaps a tenth show more than five tracks, but by placing a bar of lead across the middle of the expansion chamber the chance of bringing a "many-track-shower" into evidence is of course increased. Further, an arrangement in which two Geiger-counters are placed one just above and one just below the expansion chamber, and the circuits so adjusted that the passing of an electron through them both produces both the expansion and the exposure and thus forces the cosmic ray to take its own photograph, is one that has a marked selective action on showers for a reason that will presently appear.

The photographs shown in Figures 59-64 were taken with such automatic Geiger-counter controlled expansions and exposures, first used by Blackett and Occhialini. As will be seen from these photographs, with their legends, a new fact is here strikingly brought to light, namely, that *in the absorption of the cosmic rays there are produced in addition to electron showers in some instances sprays of large numbers of secondary photons of relatively low energies.* These photons are presumably produced by the same mechanism that gives rise to the general X-radiation when cathode rays are shot into a target of some dense metal, for in this latter case we think it is the sudden impact of the cathode-ray electron against the nucleus of a heavy atom that generates the impulse radiation (bremsstrahlung) of which the general X-radiation consists. So here when the powerful incoming cosmic ray particle hits a nucleus it creates, first, an X-ray photon. This collides with another nucleus and produces an



electron pair; these, colliding with still other nuclei, produce new photons, which in turn produce new electron pairs, etc., until through this cascading process the

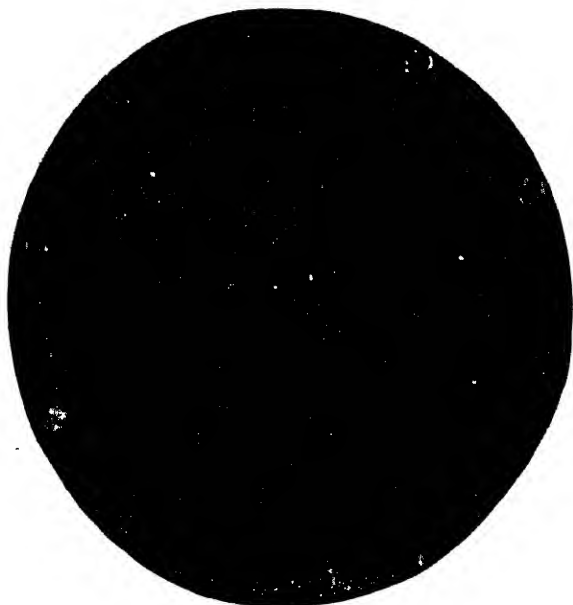


FIG. 59.—17,000 gauss field. An electron pair, positron 75 million electron-volts, negatron 290 million, ejected presumably from the nucleus of a lead atom above the upper counter. These electrons in getting through or out of the nucleus presumably collided with its mass, and produced thereby "bremsstrahlung." This photon spray shot downward and its absorption in the gas of the chamber, or the surface layer or its wall, produced the four secondaries seen between the electron tracks of energies in millions of electron-volts 9, 9, 4, 1.

energy becomes divided between many low-energy photons and electrons, as shown in Figure 61. Figures 59 and 60 show, in addition to the positive and negative

electrons ejected by the incident cosmic ray, low-energy circular tracks, presumably due to the absorption in the gas of the chamber of these soft photons of the general

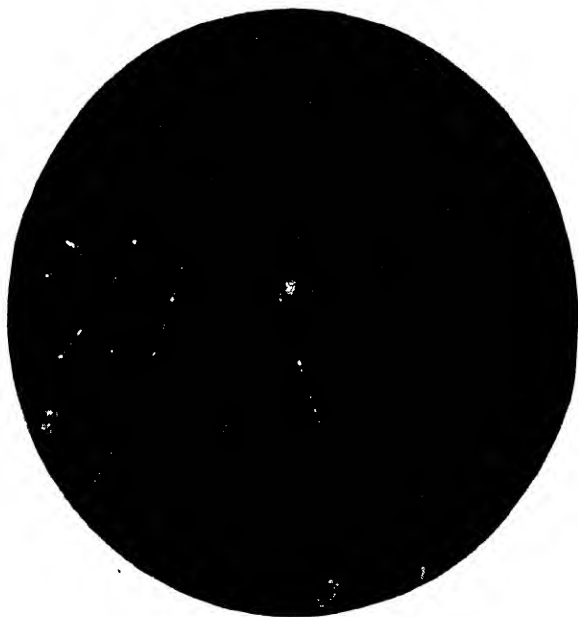


FIG. 60.—17,000 gauss field. A shower presumably originating in the impact of a cosmic-ray photon upon the nucleus of an atom of lead in the bar just above the top counter. Energies in millions of electron-volts; positrons, 145, 38, negatrons, 104, 65, 28; sum of all, 380. Again the presence of secondary photons is demonstrated by the tracks of low-energy particles at the left; their energies are: 6.7, 4, 2, 0.1.

X-ray type. Figure 61 shows more than 80 of these low-energy tracks. It is this spray of relatively low-energy photons that sets off both counters even though the original cosmic-ray photon passed through neither of them.

This is, then, why this Geiger-counter control exerts so selective an action upon the appearance of "showers."

That pair production or shower formation by a fast electron (positive or negative) as distinct from a photon

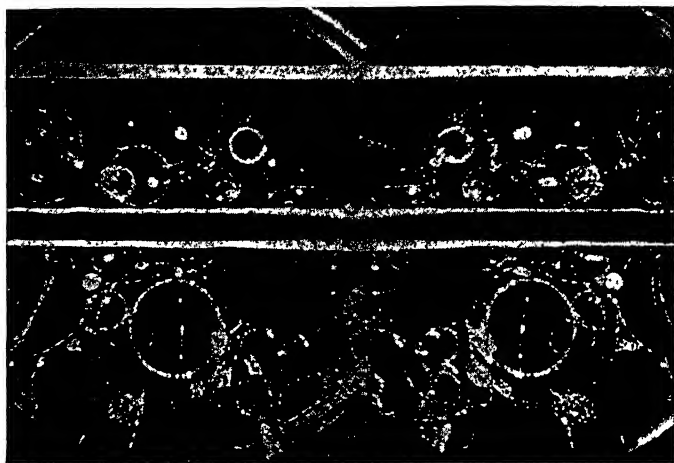


FIG. 61.—17,000 gauss field. More than 80 low-energy tracks. A stereoscopic study with the aid of the direct image (left) and the reflected one (right) of the orientations and directions of these tracks shows that in most instances their motions are nearly in the plane of the chamber so they could not have originated except from a considerable number of separate centers, hence indicating a large number of secondary low-energy photons (100,000 to 10 million electron-volts) presumably resulting from the collision of a primary photon with a lead nucleus above the upper counter. It is the lower end of this "shower cascade" that must set off both counters, as well as produce the cloud-chamber effects.

is a relatively rare event is shown by the fact that more than a thousand fast electrons have been observed to traverse a 1 cm. lead plate, and only in one instance has a definite pair (+ and -) been projected from the lead by such a fast electron, while a very large number of sec-

ondary negative electron tracks have appeared as the result of close encounters with the extra-nuclear electrons within the lead. Figure 62 shows one of the rare cases in which a negatron (curvature to left) seems simply to make a nuclear hit within the lead and transfer its energy

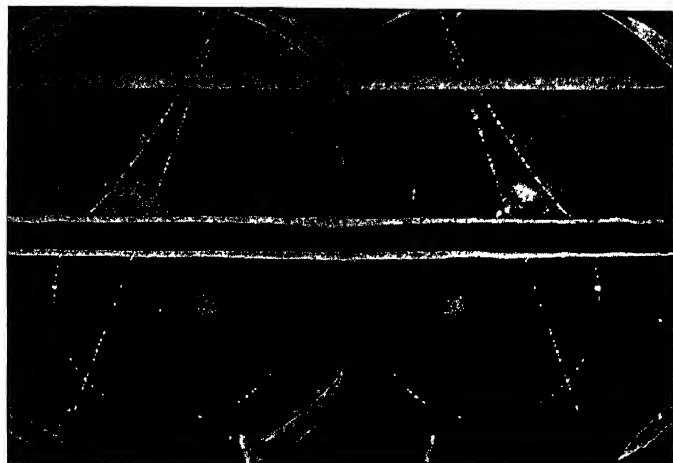


FIG. 62.—17,000 gauss field. At the left an electron passes into the middle lead plate and either transfers its energy to a positron or else forms a pair, both the negatron-component of the pair and the original negatron being absorbed in the lead. The former interpretation seems more likely. The difference in energy above and below the plate is consistent with observed values of the specific energy loss for electrons in lead, inasmuch as the fluctuations are rather large. Energies: (—) above, 90; (+) below 26. (Specific energy loss  $49 \times 10^6$  e.v./cm.)

to the positron (curvature to right) that emerges from the bottom of the plate.

Because of the very powerful magnetic field we are using, it is possible to deflect all but a very small number of the electrons projected in the showers by the photon impacts. In general, in a shower a pronounced asymme-

try is noted in the numbers of positive as compared with negative electrons emerging from the lead plates, in one instance 7 positives and 15 negatives (Fig. 63), and in a second case 15 positives and 10 negatives (Fig. 64).

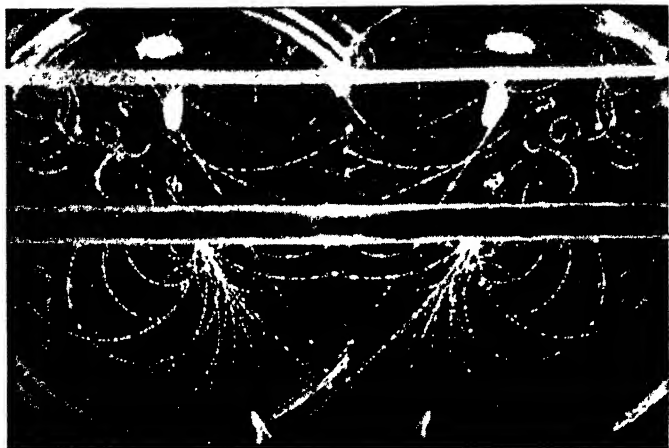


FIG. 63.—17,000 gauss field. The tracks in the upper part of the photograph, at least three of which converge to a region in front of the chamber, indicate the occurrence of a shower above the chamber. A second shower of 22 particles, 7 positives, 15 negatives, is seen to originate in the lead plate, the initial directions of the particles indicating that the photon (or photons) producing it passed through a point very close to the origin of the upper shower. The high-energy positron (520 million electron-volts) passing through both lead plates probably has its origin at the point above the chamber through which passed the photon which gave rise to the showers. There are other tracks not in line with the main shower, as, for example, a group of three tracks from the upper lead plate at the left, which we attribute to the absorption there of secondary photons. The two heavy white patches just above and just below the upper lead plate cannot be associated in time with the shower. Their diffuse appearance may be explained by the assumption that they are due to recoil nuclei released *before* the expansion. The total energy of all the tracks is about a billion volts. All this suggests that a high-energy photon may knock out one or many electrons from several nuclei which it may encounter along its path.

These effects are *not* all necessarily included in the Dirac-Blackett theory of the creation of pairs out of incident photons. Rather might they indicate the existence of a nuclear reaction of a type in which the nucleus plays a more active rôle than merely that of a catalyst, as for example the ejection from it of positive and negative

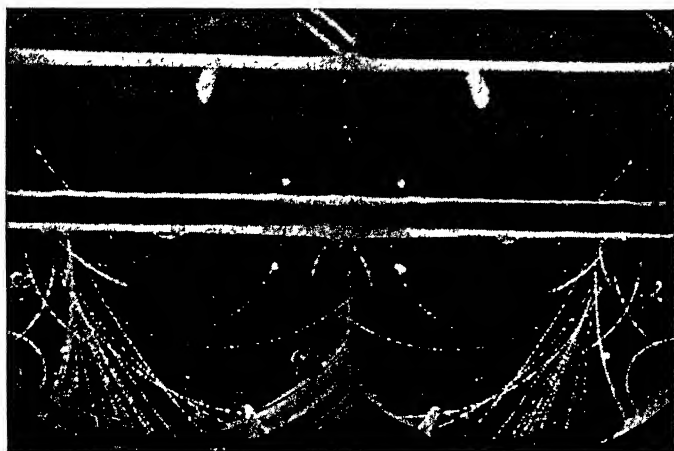


FIG. 64.—17,000 gauss field. Shower of 28 electron tracks resulting presumably from the absorption of a very high-energy primary photon in the central lead bar. From one main center at the left there diverge 15 positrons and 10 negatrons, while the three remaining tracks may arise from the photon spray. The total energy is about  $2.5 \times 10^9$  volts, slightly less than that of the highest energy single tracks we have observed.

charges which then appear in the showers as free positive and negative electrons. The essential difference, however, between these two points of view may be merely that in one case the nucleus may change its charge, and in the other it does not do so.

To study nuclear absorption in a light element more than four hundred successful photographs were taken in

which a carbon plate of 1.4 cm. thickness replaced the lead plate. Many of these showed showers originating in a block of lead placed above the chamber, but in no instance was a secondary shower observed in the carbon plate. This indicates, in agreement with data obtained with  $\text{ThC''}$ , the relatively small probability in comparison with lead of a carbon-nucleus absorbing a photon by shower production.

One other striking and significant result of these studies is that the total energy available in the incident ray seems to be able to appear as a single positive, a single negative, a pair, or a multiple-track shower, but in general the larger the number of tracks between which the energy is divided the smaller the energy in the individual tracks. Thus, we have measured both individual positives and negatives of energies above three billion electron-volts, but the sum of the energies in all the tracks of the most energetic shower, Figure 64, is just under three billion electron-volts. Similarly, the sum of the energies of the many-track shower of Figure 63 is between one and two billion electron-volts.

#### V. POSITRONS FROM ARTIFICIALLY ACTIVATED SUBSTANCES

From the time of its discovery by Becquerel in 1896 up to January 15, 1934, the phenomenon of radioactivity had been found to be beyond the control of man. Certain kinds of atoms were discovered to be *spontaneously* disintegrating with the emission of  $\alpha$ ,  $\beta$ , or  $\gamma$  rays, or of two or three of them simultaneously, but the rate at which these radiation-processes were going on was fixed by the nature

of the atom and was independent of its environment.

On the aforementioned date, however, Mme Irene Curie and her husband, M. F. Joliot, read a paper<sup>1</sup> before the French Academy in which they showed (1) that by bombarding boron, magnesium, or aluminum with the alpha rays of polonium all of these substances could be rendered radioactive; (2) that the radioactive rays emitted by them consisted of positrons; (3) that the half-decay time for the positron radioactivity of aluminum was 3 minutes, 15 seconds; of boron 14 minutes; and of magnesium 2 minutes and 30 seconds, while the average energy of the positrons from aluminum, estimated from absorption measurements, was about 2.2 million electron-volts, and that from boron and magnesium 0.7 million electron-volts. Curie and Joliot also predicted from their view of the nature of this new kind of radioactivity that if carbon could be bombarded by heavy hydrogen (the nucleus of which, called a "deuteron," contains a proton and a neutron) it, too, would show positron radioactivity.

Meanwhile, at the California Institute of Technology, his million-volt X-ray tube which, in addition to its continuous use for four years for therapeutic purposes, had been modified in 1932-33 so as to adapt it to the problem of bombarding targets with ions of the lighter elements after they had fallen through million-volt fields,<sup>2</sup> and more. (The Lauritsen tube has actually been run continuously at 1,200,000 volts.) With this arrangement

<sup>1</sup> Curie and Joliot, *Compt. Rend.* CXCVIII (1934), 254.

<sup>2</sup> Crane and Lauritsen, *Rev. Sci. Inst.*, IV (1933), 118; also Crane, Lauritsen, and Soltan, *Phys. Rev.*, XLIV (1933), 692 and XLV (1934), 507.



they found in February, 1934, that both boron and carbon could be endowed with positron radioactivity by bombarding them with deuterons (heavy hydrogen) of  $0.9 \times 10^9$  electron-volts of energy. The carbon activity had a half-period of 10.3 minutes, the boron of about 20 minutes,<sup>1</sup> quite consistently with the well-known behavior of ordinary negatron or beta radioactivity. Figures 65 and 66 are photographs of the tracks of these positive electrons taken by Anderson and Neddermeyer<sup>2</sup> with the aid of our big magnet. That the rays consist of positives is shown by the fact that they all bend to the right in the "direct" image. Figure 65 was taken immediately after the carbon plate had been activated and placed in the upper part of the cloud chamber, where it can be seen in the photographs. Figure 66 was taken some minutes later when the induced radioactivity had fallen to about a third of its initial intensity. These experiments confirm in a most satisfactory way the findings of Curie-Joliot and extend them somewhat in that the radioactivity is now produced wholly artificially, since here the bombardment is done not by the pre-existent and uncontrollable alpha-ray activity of polonium but by artificially produced ions of any desired kind freed in a specially designed discharge tube and accelerated to any desired energy by a man-made field. This wholly artificial radioactivity was of the order of a thousand times the intensity of that before obtained. This step added notably to the possibilities open for the study of different kinds and conditions of artificially stimulated radioactivity. In fact, Professor Laurit-

<sup>1</sup> Crane, Lauritsen, and Harper, *Science*, LXXIX (March, 1934), 234; also Crane and Lauritsen, *Phys. Rev.*, XLV (March 15, 1934), 430.

<sup>2</sup> Anderson and Neddermeyer, *Phys. Rev.*, XLV (1934), 653.



FIG. 65.—The carbon plate in the upper part (here right side) of the chamber is seen to be emitting positrons. It has been bombarded by 900,000-electron-volt protons, then immediately placed in the cloud chamber.

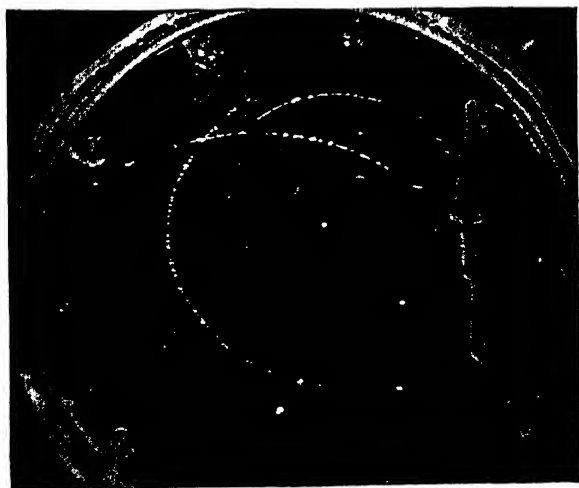


FIG. 66.—The same as Fig. 65 after the induced positron radioactivity has been in the chamber for some 20 minutes.

sen<sup>2</sup> and his collaborators have obtained similar results with the use of protons and of helium nuclei used as bombarders, as well as with deuterons. They have also obtained most interesting results with a series of other targets, notably lithium and fluorine. Also a little later Professor Lawrence<sup>3</sup> of Berkeley got similar results with his unique ion-accelerating device.<sup>3</sup> The kind of nuclear transformations involved in the generation of this artificial radioactivity will be considered more fully in the next chapter.

There is, however, one further very important particular in which Professor Lauritsen's group at the Kellogg Radiation Laboratory of the California Institute has checked and extended the work of other investigators on the properties of the positive electron, as follows.

#### ELECTRON

From the time of the first discovery of the positive electron in the summer of 1932 the question of what became of it had been the subject of prolonged discussion within the Norman Bridge Laboratory. According both to all experimental findings and all theory up to the time of its discovery all the positive electricity that was demanded to neutralize the negative electrons that swarm about the nucleus of every atom and by their occasional detachment from the outer shells of these atoms produce the phenomena of conductivity, all this neutralizing positive electricity was imprisoned within the nuclei of the atoms

<sup>2</sup> Crane, Lauritsen, and Soltan, *Phys. Rev.*, XLV (February 1, 1934), 226; Crane and Lauritsen, *ibid.* (March 1, 1934), 344; *ibid.* (April 1, 1934), 493 and 497; *ibid.* (April 15, 1934), 550.

<sup>3</sup> Henderson, Livingston, and Lawrence, *ibid.* (March 15, 1934), 428.

<sup>3</sup> Lawrence and Livingston, *ibid.* (1934), 608.

and the total number of positive electrons there found was of necessity the same as the total number of extra-nuclear negative electrons in all neutral systems. Anderson's discovery showed that a cosmic-ray photon, possibly also a high-energy electron, colliding with a nucleus, could eject positive electrons out into the inter-atomic world which swarmed everywhere with negative electrons. That it could not live there long after its energy of ejection had been spent was certain, both from experiment and theory. For the former showed that these positive electrons play no part in conduction—gaseous, electrolytic, or metallic—and hence that they do not remain free. Theory, on the other hand, said that free positive and negative electrons existing side by side would of necessity rush together and destroy each other unless in some way through the presence of the free positive electron a new proton could be formed which by then capturing from the surroundings a new negative electron would give rise to a new atom of hydrogen. For in all nuclei, including that of hydrogen, the mutual destruction of positive and negative electricity is avoided through the usual quantum conditions holding within the atom. But no matter how the positron disappears, the region in which such disappearances are taking place must be a region from which radiant energy is emerging, for with either alternative as to mode of escape, according to Einstein's equation, the disappearing potential energy of two separated attracting systems, corresponding to the  $m$  in  $E = mc^2$ , must of necessity appear in radiant form.

Now, before it was known that positrons were produced by the more energetic of the gamma rays, Bowen, Cameron, and I had obtained and presented to the Na-

tional Academy of Sciences in November, 1929,<sup>1</sup> convincing evidence that the nucleus of the atom of lead plays an appreciable rôle in the absorption of cosmic rays, and very early in 1929 we asked Mr. Chao to test this point carefully with respect to the rays from ThC'', using a much narrower and more sharply defined beam than had before been employed, for we wished to improve the accuracy in the measurement of scattering, secondary radiation, and absorption. With this arrangement Chao<sup>2</sup> first found definite evidence of nuclear absorption in addition to Compton scattering, and he brought to light a secondary, as distinct from scattered, radiation of wavelength of 22.5 XU ( $0.55 \times 10^6$  electron-volts). He also found that this radiation was emitted in about the same intensity in a number of directions making angles of between  $30^\circ$  and  $150^\circ$  with the primary beam; that, further, this secondary radiation was stimulated at a threshold value of the incident photons of about 2.0 million electron-volts.

Meanwhile Tarrant,<sup>3</sup> who had been working at the Cavendish Laboratory on the absorption of ThC'' rays in lead, published in July, 1930, evidence in agreement with Chao's in suggesting nuclear absorption, and in 1932 Gray and Tarrant<sup>4</sup> checked most satisfactorily Chao's 1930 discovery of the stimulation in lead by ThC'' rays of a secondary isotropic radiation of an energy of about half a million volts. Again in 1934,<sup>4</sup> they checked both

<sup>1</sup> Chao, *Proc. Nat. Acad. Sci.*, XVI (1930), 431 and *Phys. Rev.*, XXXVI (1930), 1519; also *Proc. Roy Soc. A.*, CXXXV (1931), 206. Chao's results were first presented before the National Academy of Sciences on April 29 (see *Proc.*, XVI [1930], 421).

<sup>2</sup> Tarrant, *Proc. Roy Soc. A.*, CXXVIII (1930), 345.

<sup>3</sup> Gray and Tarrant, *Proc. Roy Soc. A.*, CXXXVI (1932), 662

<sup>4</sup> Gray and Tarrant, *ibid.*, CXLIII (1934), 681.

themselves and Chao in the determination of the properties of this radiation, though they do not succeed in fixing its energy with a certainty greater than perhaps 25 per cent. They think they find also a weaker isotropic radiation of an energy of a million volts, but Lauritsen and Oppenheimer<sup>1</sup> in a critical analysis of all the already extensive work in this field reach the conclusion that the addition of Chao's isotropic half-million-volt radiation to the various types of scattered rays to be expected is sufficient to account for all the facts thus far observed.

This half-million-volt isotropic radiation thus brought to light by Chao is obviously just what is to be expected if the positrons which are produced by the absorption of the 2.6-million-volt rays of ThC'' in lead, etc., are ultimately destroyed through combining with negatives, for though such mutual annihilation of two electrons should release a million volts of radiant energy the Newtonian momentum law cannot be satisfied unless two half-million-volt photons go off in opposite directions from the scene of the catastrophe.

This annihilation hypothesis as to the fate of the positive electrons has then the advantage over its only possible rival, namely, the hypothesis that the positron's end is the formation by some sort of mechanism of a new proton in that the energy released by such formation would in this latter case have to be *arbitrarily* assumed to be about half a million volts, whereas the annihilation hypothesis *predicts* the value actually found. The greatest weakness in the position of this hypothesis so far lies in the fact that none of the observers using the foregoing method have

Lauritsen and Oppenheimer, *Phys. Rev.*, XLVI (1934), 80.

been able to determine with sufficient accuracy the energy of the photons resulting from the annihilation.

The discovery of artificial radioactivity by Curie-Joliot assisted, however, in obtaining greater precision, since this made it possible to obtain a pure positron source of large intensity. But just prior to this discovery Thibaud<sup>1</sup> and Joliot<sup>2</sup> presented, independently, papers in the same issue of the *Comptes Rendus* (December 18, 1933) in which they both had separated by means of a suitably arranged magnetic field the positrons from the negatrons when both were produced by the bombardment of aluminum by the alpha rays of polonium. When the magnetic field was thrown on in one direction the positives were caused to fall upon a thin sheet of platinum (Thibaud) or lead or aluminum (Joliot), and when the field was thrown on in the other direction it was only negatives that fell on the thin sheet. From the region of the positives there emerged gamma rays of much greater intensity than when the field was reversed, and the energy of these gamma rays was found, by interposing absorbing sheets, to correspond to about half a million volts. This constitutes a step somewhat in advance of that taken by Chao and Gray and Tarrant, since the positron beam that creates the gamma rays is completely under control and directing it upon a given spot causes the gamma rays to emerge from that spot.

The next step was taken by Lauritsen and Crane, who with their powerful million-volt tube produced in plates of boron, carbon, aluminum, etc., wholly artificial positron radioactivity of a thousand times the intensity of that theretofore available. Ordinary electroscopes, in-

<sup>1</sup> J. Thibaud, *Compt. Rend.*, CXC VII (1933), 1629.

<sup>2</sup> F. Joliot, *ibid.*, p. 1622.

stead of Geiger-Müller counters, could be used for its measurement. For example, one experiment was as follows: Dr. Lauritsen activated strongly a small plate of carbon, placed it activated side up on the top plate of an electroscope which was too thick to let any of the positrons through, and observed the rate of discharge of the electroscope due to the gamma rays produced by the disappearance of the positrons that shot downward from the activated layer of carbon. Then he simply laid a piece of aluminum on top of the activated carbon. At once the electroscope practically doubled its rate of discharge, for the half of the positrons that shot upward had now all to disappear in the aluminum, where they could throw their annihilation gamma rays into the electroscope, while prior to the time the aluminum plate was placed upon the activated carbon they had shot off to the walls of the room whence practically none of their progeny of annihilation-rays could get back to the electroscope. This constitutes a very simple and direct way of showing that these gamma rays are indeed generated by the disappearance of the positrons.

Lauritsen's and Crane's measurement of the absorption coefficient<sup>1</sup> of these rays agreed with the theoretical value to within some 4 or 5 per cent—a very considerable improvement in accuracy—so that it now seems to be fairly well established experimentally that the positive electron lives outside the nucleus only until it has lost the bulk of the kinetic energy that has been imparted to it—this will perhaps be of the order of  $5 \times 10^{-10}$  seconds—when it disappears somewhere, probably by committing suicide with the first negative that comes near it.

<sup>1</sup> Crane and Lauritsen, *Phys. Rev.*, XLV (1934), 430.



## CHAPTER XV

### THE NEUTRON AND THE TRANSMUTATION OF THE ELEMENTS

#### I. EARLY EVIDENCES OF TRANSMUTATION

The first suggestion, after the days of alchemy, of the transmutability of the elements came with the appearance in 1815 of Prout's hypothesis; for if, as Prout supposed, all atoms had weights that were exact multiples of the weight of the atom of hydrogen it is at once to be inferred that they have been built up at one time or another out of hydrogen, and this of course means that they are ideally transmutable. But for three-quarters of a century nothing further happened to suggest that atomic transformations ever actually take place.

Then in 1896 came the discovery of radioactivity. It was this discovery that definitely destroyed the idea of the independence and permanence of the elements. But while through this radioactive process a few of the elements were universally recognized to be spontaneously transforming themselves into other elements, no definite evidence appeared until 1919 that atomic transformations could be in any way controlled by man. In that year Rutherford,<sup>1</sup> by bombarding nitrogen with swift  $\alpha$  particles, definitely proved that protons, or hydrogen nuclei, were in some instances knocked out of the atoms of nitrogen by this bombardment. Also the fact that this proton shot out sometimes in a forward direction, some-

<sup>1</sup> Rutherford, *Nature*, CIII (1919), 415; *Phil. Mag.*, XXXVII (1919), 537, 571, 581.

times a backward one, and with an energy that enabled it to make a cloud-chamber track more than 50 cm. long—greater than could possibly be due to energy immediately transferred to it from the  $\alpha$  particle—meant that some kind of change capable of supplying energy had taken place in the nucleus. The inference was that the  $\alpha$  particle had been captured by the nucleus, thus forming out of nitrogen ( $N^{14}$ ) a rare isotope of oxygen ( $O^{17}$ ), though no definite proof of this could be brought forward. But in any case the production of an atom of hydrogen through the bombardment of nitrogen by  $\alpha$  rays was an unquestioned fact. Here, then, was man-controlled transmutation, though natural radioactive substances were needed to bring it about.

By 1930 this type of semi-artificial transmutation of elements had been studied with a good deal of care,<sup>2</sup> and it had been shown that all the elements from boron to potassium, save only carbon and oxygen, can be disintegrated by such bombardment with  $\alpha$  particles. The result of the disintegration was in every case the emission of a hydrogen nucleus or proton. The second step in artificial disintegration came in 1930 with the discovery of Bothe and Becker, treated at length in the next section, of a new, highly penetrating radiation excited in beryllium by bombarding it with alpha rays from polonium. Bothe could see no source of such energy as seemed to be required for such penetration without the assumption of a nuclear transformation within the beryllium atom that would release the requisite energy in accordance with the Einstein equation.

<sup>2</sup> See Rutherford, Chadwick, and Ellis, *Radiations from Radioactive Substances*, 1930, chap. x.

The next step in artificial nuclear interaction came when, in the fall of 1931 at the Norman Bridge Laboratory, we got the definite proof already presented in chapter xiv that the tracks of both positive and negative particles appeared on our photographic plates as a result of a cosmic-ray photon encounter with the nucleus of a heavy atom. But like all our predecessors we could only conjecture what sort of nuclear changes had been occasioned by this kind of bombardment.

All three of the foregoing types of atomic changes are semi-artificial in the sense that all that man does is to place substances in the way of projectiles which nature has herself provided, namely, alpha rays of naturally radioactive substances, and cosmic-ray photons. But men were at work in many laboratories trying to find ways of producing artificial projectiles of high enough energy to disrupt atomic nuclei. The first successful device of this kind was built at the California Institute of Technology by Professors C. C. Lauritsen and R. D. Bennett.<sup>1</sup> In 1928 they succeeded in building and continuously operating a giant X-ray tube in which streams of either electrons or positive ions could be accelerated up to potentials of 750,000 volts; and four years later, 1932, Professor Lauritsen was able to report to the Physical Society that he had been able to run a modification of this tube continuously at 1,200,000 volts. These Lauritsen tubes, which have now been introduced into the regular equipment of quite a number of hospitals, made it possible for the first time to produce wholly artificially rays of essentially the same quality as the gamma rays of radi-

<sup>1</sup> Lauritsen and R. D. Bennett, *Phys. Rev.*, XXXII (1928), 850; Lauritsen and Cassen, *ibid.*, XXXVI (1930), 988.

um, but in an intensity that could not be equaled by 100 grams (or at current prices seven million dollars' worth) of radium.

Mr. W. K. Kellogg of Battle Creek, Michigan, and Mrs. Seeley W. Mudd of Los Angeles at once provided funds for carrying out a five-year experimental program designed for the purpose of developing the technique of the proper therapeutic use of these powerful artificial gamma rays primarily in the treatment of cancer, and although Dr. Lauritsen and his associates have for four years been daily occupied with this humanitarian program—a program which prevents the use of this particular tube for the production of an artificial beam of atomic projectiles—they have yet found time to build a second similar tube for this specific purpose (Fig. 67) and in the summer of 1933<sup>1</sup> succeeded in producing with it a wholly artificial  $\alpha$  ray beam of greatly increased intensity, which in its turn produced atomic transmutations of precisely the sort that Irene Curie and F. Joliot, as well as Chadwick, had previously produced with the  $\alpha$  rays from radioactive substances (see § II below).

Simultaneously and quite independently Professor E. O. Lawrence<sup>2</sup> at Berkeley had been developing a most ingenious ion-accelerating device with which he and his colleagues have reached ion-bombarding energies as high as two million volts, though their currents are as yet very much smaller than Lauritsen's. Also Tuve<sup>3</sup> of the Carnegie Institution of Washington and Van de Graaff of the

<sup>1</sup> Crane, Lauritsen, and Soltan, *ibid.*, XLIV (1933), 514; also XLV (1934), 507.

<sup>2</sup> Lawrence, Livingston, and Lewis, *ibid.*, XLIV (1933), 35.

<sup>3</sup> M. A. Tuve, *Journal of the Franklin Institute*, CCXVI (July, 1933), 1.

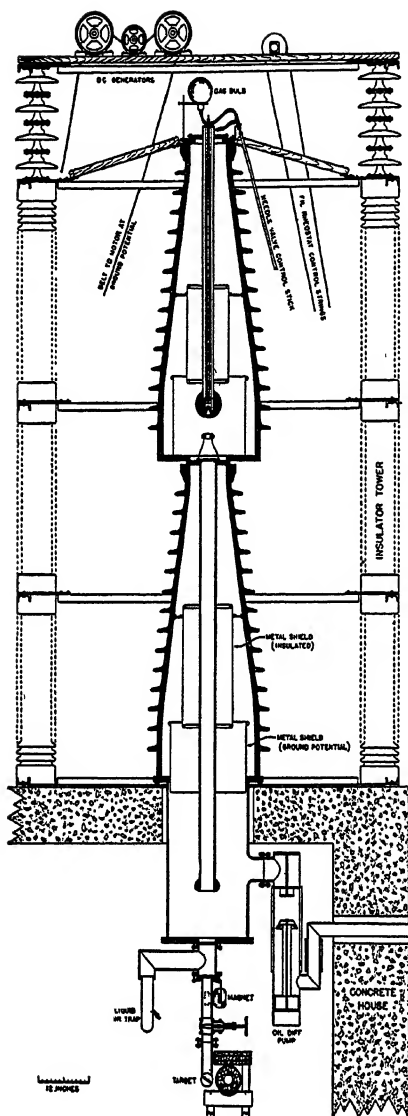


FIG. 67.—Sectional view of Lauritsen-Crane million-volt tube.

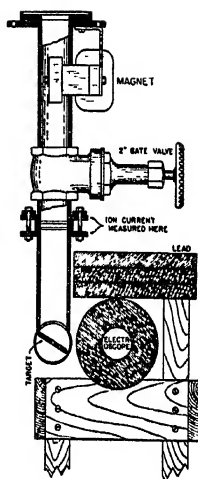


FIG. 67a.—Part-sectional view of the lower end of the tube, showing the lead shielding and the position of the electro-scope with respect to the target.

A million-volt (root-mean-square value) cascade transformer is used as the source of high potential. The top of the upper tube is connected, through a suitable protective resistance, to the high potential end of the transformer set, and the midpoint between the two tubes is connected to the half-potential point of the transformer set. The apparatus therefore operates as two separate tubes, each giving the ions half their total acceleration.

The source of the ions is located in the end of the inner electrode of the upper tube. A metal ring in the shape of a doughnut, 3 in. (7.5 cm) in diameter and having a 1 in. (2.5 cm) hole is supported from the bottom plate of the upper tube, about 5 in. (12.5 cm) from the end of the electrode. This modifies the field in a way that is favorable to concentration of the ion beam. From here the ions pass down the hollow central electrode, and receive the second half of their acceleration in the gap of the lower tube. The target consists of a 2 in. (5 cm) brass disk mounted on a shaft, so that either side can be exposed to the ion beam. One side of the disk is covered with the material to be disintegrated, and the other side is covered with some material which gives no effect, such as brass or aluminum, for the purpose of comparison.

Massachusetts Institute of Technology had joined in the race of producing artificially very high-energy ionic projectiles. But before any of them had got their outfits into practical working order, Cockroft and Walton<sup>1</sup> of Cambridge, England, discovered that certain types of atomic disintegrations could be produced *wholly artificially* with the use of comparatively small accelerating voltages—those of the order of 100,000 volts. The present explanation of this important phenomenon is as follows.

Before the advent of the wave mechanics it had been thought quite impossible that a proton could force its way into the nucleus of an atom like lithium against the field created by the three positive charges on that nucleus unless it were endowed with an energy of more than a million electron-volts. According to the principles of the wave mechanics, however, there is a certain *probability* that it can “leak through” the potential barrier about the nucleus in quite the same way in which electrons leak through the potential barrier at the surface of the metal in Oppenheimer’s wave mechanical interpretation (see p. 261) of the phenomena of cold emission. At any rate, the experimental fact now is that protons, or hydrogen ions, endowed with energies of as low as 30,000 electron-volts do sometimes get into the nucleus of the atom of lithium and produce the following transformation first discovered by Cockroft and Walton.

The hydrogen nucleus  $H^1$  forces itself into the lithium nucleus  $Li^7$  and the resulting nucleus then breaks up into two  $\alpha$  particles which are ejected in opposite directions as they must be to satisfy the momentum equations.

<sup>1</sup> Cockroft and Walton, *Proc. Roy. Soc. A.*, CXXXVII (1932), 229.

Kirchner,<sup>1</sup> and Dee and Walton,<sup>2</sup> have made very beautiful cloud chamber photographs, samples of which are shown in Figure 69, in which the bombarding ions come in from above, as shown in Figure 68, hit a lithium target, and the two oppositely directed  $\alpha$  particles shoot out

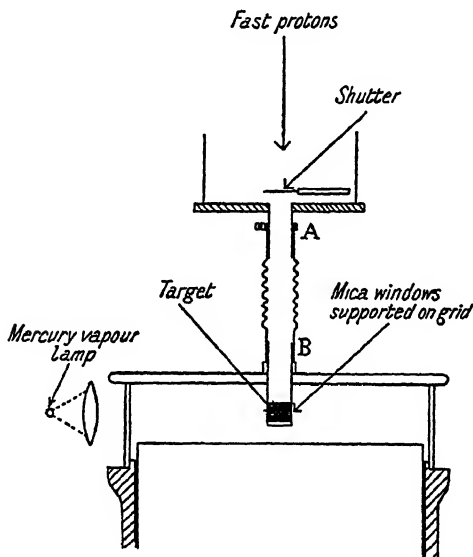


FIG. 68.—Diagram of arrangement for observing tracks of  $\alpha$  particles made by bombarding lithium by protons (after Dee and Walton).

through very thin windows in the end of the tube in which the target is placed, and are thus seen shooting in opposite directions through the cloud chamber. The reaction is



<sup>1</sup> F. Kirchner, *Sitz. Ber. Bayerischen Akd. Wiss. Sitz.*, März 4, 1933, p. 129.

<sup>2</sup> Dee and Walton, *Proc. Roy. Soc.*, CXLI (1933), 733.

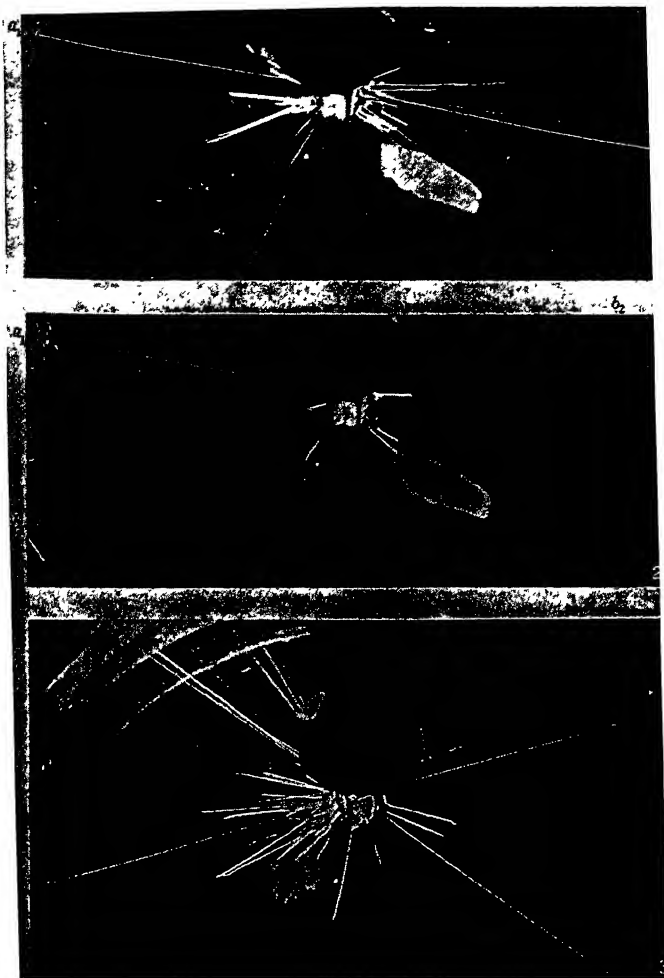


FIG. 69.—(1).—Disintegration of lithium by ions of the heavy isotope of hydrogen, showing the emission of two particles ( $a_1$ ,  $a_2$ ) in opposite directions passing out of the expansion chamber and with ranges therefore greater than 10 cm. ( $\text{Li}^6 + \text{H}^2 \rightarrow 2\text{He}^4$ ). The thin long track ( $b$ ) is probably a fast proton.

(2).—This photograph shows the type of disintegration described above, (1), and also a disintegration with the emission of a pair of opposite 8.4 cm. particles ( $b_1$ ,  $b_2$ ), probably due to the presence of protons in the positive ion beam.

(3).—This shows several cases of particles with range greater than 10 cm. lying in opposite directions. There are also particles with different ranges less than 8 cm. ending in the chamber. (Photographs by Dee and Walton.)



Here was then a case of the *completely* artificial transmutation of lithium into helium; and further, the synthesis of atoms was a part of the process, too, since the proton had to be incorporated into one of the resultant atoms of helium. Following Cockroft and Walton, Lewis Livingston and Lawrence obtained evidence for the disintegration of many more elements by means of artificially accelerated deuterons ( $\text{H}^2$ ) and Crane, Lauritsen, and Soltan first succeeded in producing by means of *artificially* accelerated ions ( $^2\text{He}^4$  and  $^1\text{H}^2$ ) transformations yielding "neutrons." But in order to follow further the history of artificial transmutation it is necessary to tell the story of how this new body, the neutron, comes into the picture.

## II. THE DISCOVERY OF THE NEUTRON

The first step that led up to the discovery of the neutron was taken when Bothe and Becker<sup>1</sup> at Giesen, Germany, found that if certain light elements, notably beryllium, and in lesser degree boron and lithium, are exposed to the bombardment of the alpha rays of polonium they emit rays of several times the penetrating power of the most energetic gamma rays from known radioactive substances, namely those of  $\text{ThC}''$  (2.62 million electron-volts). They used the responses of Geiger counters to detect these rays and to estimate the value of their penetrating power. They interpreted their experiments as bringing to light gamma rays of an energy of as much as 14 million electron-volts, and to account for so high an energy they assumed that the alpha particle was captured by the nucleus of the bombarded atom of beryllium and

<sup>1</sup> Bothe and Becker, *Zeit. f. Physik*, LXVI (1930), 289, and *Naturwiss.*, XIX (1931), 753.

that the energy released in the radiant form came from the known excess in the mass of  $\text{Be}^9 + \text{He}^4$  over that of the atom ( $\text{C}^{13}$ ) assumed to be formed by their union, i.e., they adopted the atom-building hypothesis and used the Einstein equation  $E = mc^2$  (see p. 313) to supply the necessary energy.

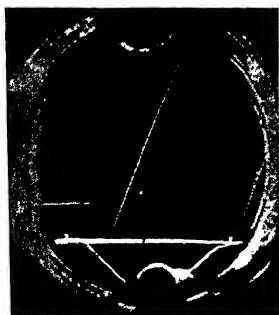
The second important step was taken by Irene Curie and her husband, F. Joliot,<sup>1</sup> in Paris, who, in repeating these experiments used ionization chambers for measuring the radiation and found that when they interposed in the path of the rays to this chamber sheets of carbon, aluminum, copper, silver, and lead, the ionization currents in the electroscope were practically unaffected, but that when they interposed a sheet of paraffin, the current was doubled. Similar, though smaller, increases were observed when water, cellophane, or other substances *containing hydrogen* were used in place of the paraffin. Curie-Joliot then showed that this increased current was due to the fact that Bothe's rays in passing through hydrogen compounds knocked out of them protons (hydrogen nuclei). They measured the length of these hydrogen tracks in a cloud chamber and concluded that these protons had received energies as high as 4.5 million electron-volts. They also presented convincing evidence that Bothe's rays could impart kinetic energies to the nuclei of helium and carbon, as well as hydrogen, and thought they had shown by these experiments that electromagnetic rays of high frequency are capable of imparting high kinetic energies to the nuclei of hydrogen and other light atoms.

<sup>1</sup> Curie-Joliot, *Compt. Rend.*, CXCIV (1932) (January 18), 273; (February 22), 708.

But such a transfer of momentum and energy from a photon of the energy in question to a proton or other nucleus is impossible without a violation of the laws of momentum and energy that have been found to hold everywhere thus far, even in encounters between photons and electrons (Compton effect).

It was Chadwick,<sup>1</sup> of Cambridge, England, who resolved the difficulty by showing not only that the principle of the conservation of momentum could be retained, but that the momenta all came out consistently for the different kinds of nuclei to which both his own and Curie-Joliot's experiments showed that motion was imparted by the Bothe rays *if a non-ionizing particle of the mass of a proton were the bombarding agent*. In a word, he brought forward convincing evidence that when the alpha particles from polonium bombard atoms of beryllium, boron etc., they knock out of the nuclei of these atoms particles of about the mass of the proton but *devoid of charge* and hence properly called *neutrons*. It is these neutrons then, that because of their lack of charge have a very high penetrating power, and that also because of their mass are able to transfer their energy and momentum to the hydrogen nuclei that Curie-Joliot found shooting through their cloud chamber (see Fig. 70) when they interposed hydrogen-bearing substances between it and the beryllium-polonium combination. Chadwick assumed that the capture of the alpha particle by the nucleus of the atom of beryllium must release "a neutron" through the following reaction, the atomic numbers of the elements be-

<sup>1</sup> J. Chadwick, *Nature*, CXXIX (1934), 312; *Proc. Royal Soc. A.*, CXXXVI (1932), 692; *ibid.*, CXLII (1932), 1.



(a) A neutron entering the chamber from below traverses a plate of paraffin extending across the lower part and ejects from it a proton ( $H^+$ ) which shoots clear across the chamber.



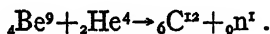
(b) The chamber is here filled with helium. A neutron entering from below collides with a He nucleus four times its own mass and can only impart to it a range of about 5 mm.



(c) The neutron here causes the transmutation of a nitrogen nucleus which it enters and by so doing occasions the ejection of an  $\alpha$  particle while the heavy short trajectory reveals the recoil of the remainder of the nucleus. The pressure was here low and the magnification large.

FIG. 70.—Photographs of the effects of the collision of neutrons with the atoms of hydrogen (a), helium (b), and nitrogen (c) (after Curie-Joliot).

ing written as preceding subscripts, the atomic weights as superscripts:



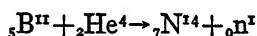
Chadwick's first suggestion of the "Possible Existence of a Neutron" was published in a brief note to *Nature* on February 19, 1932. His elaboration of his views with the supporting evidence appeared in the June 1 issue of the *Proceedings of the Royal Society* three months before Anderson's announcement of the existence of the positive electron.

Chadwick advanced the view that the neutron consists of a proton and a negative electron in close combination. This view required no *fundamental* change in the usual conception of the nucleus as a number of protons equal to the atomic weight held together by a number of negative electrons equal to the atomic weight minus the atomic number. The new view merely went a bit farther in specifying the locations within the nucleus of these two familiar constituents. Thus on this view all the negative electrons within the nucleus simply became closely attached to protons, thus making as many neutrons as there were negative electrons, and the excess protons then provided the free positive charge on the nucleus, or, the atomic number.

This view required that the mass of the neutron be precisely that of the hydrogen atom, taken as 1.00777 less the change in the binding energy of the negative electron to the proton that has taken place because of the transfer of the electron from the innermost hydrogen orbit to the extremely close association that it is assumed to have with the proton in the neutron. By applying the Einstein

equation to the transformation involved in the capture of an  $\alpha$  particle of known energy by the nucleus of an atom of boron, and the emission of a neutron of measured energy, Chadwick obtained an experimental determination of the mass of the neutron as 1.0067 and hence concluded that the binding energy of the proton and negative electron was 0.001 atomic weight units or about a million electron-volts.

Chadwick's reasoning in arriving at the foregoing important conclusion as to the mass of the neutron was as follows. He assumed that when alpha particles, i.e., nuclei of helium, bombard boron and in the process unite with the nucleus of the boron atom of atomic weight 11, atomic number 5 ( ${}_5\text{B}^{11}$ ) they form thus an atom of nitrogen ( ${}_7\text{N}^{14}$ ) and throw out the observed neutron ( ${}_0\text{n}^1$ ) the equation of transformation being



The kinetic energy with which the neutron was ejected was obtained by letting these neutrons pass through paraffin and measuring the maximum range communicated to the protons that were found to emerge from the paraffin. This was found to be 16 cm. in air, a range that corresponds, according to a carefully worked out empirical curve, to a velocity of  $2.5 \times 10^9$  cm. per second. But, since the mass of the neutron is practically the same as that of the proton ejected by it from the paraffin, in the most favorable case the whole momentum of the colliding body is transferred to the struck body so that the foregoing number is the maximum velocity of the neutron liberated from boron by an  $\alpha$  particle of polonium, the velocity of which is known to be  $1.59 \times 10^9$  cm. per second. Again

assuming that the momentum is conserved in the inelastic collision of the  $\alpha$  particle with the  $B^{11}$  to form  $N^{14}$  we can at once calculate the velocity communicated to the  $N^{14}$  nucleus and we then know the masses and the kinetic energies of all the particles concerned in the nitrogen-building process and can at once insert the numerical values of all the masses and all the kinetic energies involved in the Einstein equation, namely, mass of  $B^{11}$  + mass of  $He^4$  + Kin. En. of  $He^4$  = mass of  $N^{14}$  + mass of  $n^1$  + Kin. En. of  $N^{14}$  + Kin. En. of  $n^1$ .

The masses, according to Aston, are  $B^{11} = 11.00825 \pm .0016$ ,  $He^4 = 4.00106 \pm 0.0006$ ,  $N^{14} = 14.0042 \pm 0.0028$  and the above kinetic energies reduced to mass units<sup>1</sup> by  $E = mc^2$  are for the  $\alpha$  particle 0.00565; for the neutron 0.0035; and for the nitrogen nucleus 0.00061. From these figures the mass of the neutron comes out 1.0067. The errors quoted for the mass measurements are those given by Aston. Chadwick thought that making adequate allowance for all the errors in the foregoing the mass of the neutron cannot be less than 1.003 and "that it probably lies between 1.005 and 1.008."

In order that the reader may have the data with the aid of which all such computations are made there is listed herewith the masses of thirteen of the lighter atoms as determined with much precision by Aston and Bainbridge in their mass spectrographs, the mass of the  $O^{16}$  atom being taken as exactly 16. The figures given represent the masses of the neutral atom with all the

<sup>1</sup> If we express  $m$  in grams and  $E$  in electron-volts,

$$E = \frac{mc^2}{e} = \frac{c^2}{\frac{e}{m}} = \frac{(2.9978 \times 10^{10})^2}{1.7590 \times 10^7 \times 10^7} = 511,000 \text{ ev.}$$

(for 1 volt =  $10^7$  a.m.u.)

The  $E$  of the H atom is then 1,837 times this = 0.949 Mev = roughly 1 Mev. Also 1 atomic mass unit =  $(949 \text{ Mev} \div 1.008) = 0.932 \text{ Mev}$ .

extra-nuclear electrons in place. To obtain the masses of the nuclei alone one must subtract the product of the number of extra-nuclear electrons by 0.00055, which is the rest-mass of a single electron. The listed uncertainties are "probable errors," which are only about one-third of the maximum uncertainties quoted above from Aston.

H <sup>1</sup>	1.00812 ± .000035	C <sup>12</sup>	12.0038 ± .0004
H <sup>2</sup>	2.01471 ± .00008	N <sup>14</sup>	14.00751 ± .00005
He <sup>4</sup>	4.00390 ± .00013	O <sup>16</sup>	16.0000 (standard)
Li <sup>6</sup>	6.0169 ± .0003	F <sup>19</sup>	19.0045 ± .002
Li <sup>7</sup>	7.0182 ± .0006	Ne <sup>20</sup>	19.9988 ± .0009
Be <sup>9</sup>	9.0150 ± .0006	Ne <sup>22</sup>	21.9984 ± .00088
B <sup>10</sup>	10.0162 ± .0005	Cl <sup>35</sup>	34.980 ± .0012
B <sup>11</sup>	11.0129 ± .0005	Cl <sup>37</sup>	36.978 ± .0019

### III. THE NATURE OF THE NEUTRON

The foregoing mass, 1.0067, is what is to be expected if the neutron consists of a closely bound proton and a negative electron the sum of the masses of which in the hydrogen atom is 1.0078, thus leaving a binding energy, or a mass defect, of about 0.001 or roughly a million electron-volts due to the much closer association, or approach, of the two charges in the neutron than in the hydrogen atom. Up to this point, then, everything fitted nicely into the old conception of a universe made up of the two fundamental primordial entities—negative electrons and protons, neutrons representing merely a closer union of the two than there had been any particular reason for postulating before Chadwick's discovery of the neutron.

All this simplicity vanished with Anderson's discovery of the existence of the free positive electron, which showed that *the positive unit charge could exist entirely detached*



*from the mass of the proton.* This made it necessary to postulate the existence of at least three fundamental entities to account for all the phenomena that had before been taken care of by the assumption of the two fundamental entities, negative electrons and protons. The three now required were (1) positive electrons, negative electrons, and protons, or else (2) positive electrons, negative electrons, and neutrons. In this last conception the proton loses its rank as a fundamental entity, being now regarded merely the combination of a neutron and a positive electron, while the neutron rises to the rank of one of the independent fundamental building-stones of the universe. The whole conception of the electromagnetic origin of mass as such would then be abandoned. If one does not wish to give this up he can retain it by taking the first alternative and making the positive electron, the negative electron, and the proton the three fundamental entities. He may then assume further, if he so wishes, that the fundamental unit of positive electricity can exist both in the proton form and the free positive electron form and then, in order to get into consistency with the Einstein equation, he may also assume that under suitable conditions the proton can blow itself up into the free positive electron, for instance by expanding its radius about 2,000 times. By such an artifice one might possibly be able to retain the conception of the electromagnetic origin of mass and with it Chadwick's conception of the neutron as a mere combination of a proton and a negative electron.

But there is an experimental approach to this question. Thus, Chadwick's determination of the mass of the neutron placed it, according to his estimate, between the limits 1.003 and 1.008 a m u. Furthermore, he had taken

the mass of the hydrogen atom in atomic weight units as  $1.0078 \pm .00002$ ; that of the electron  $1/1,837$  as much or  $0.00055$  mass units. This makes the mass of the proton alone quite definitely  $1.00722$ , for the binding energy of the electron to the proton in the hydrogen atom is negligible—only about 14 volts. If, then, the neutron is a fundamental thing to which a positive electron of mass  $0.00055$  becomes attached to form a proton, then its mass,  $M$ , must be given by  $M + 0.00055 - \text{binding energy} = 1.00722$  or

$$M = 1.0067 + \text{binding energy}.$$

This equation says, then, that if the neutron is a primordial thing and the proton a complex thing, the neutron mass cannot possibly be lower than  $1.0067$ , but it may be as much larger than  $1.0067$  as you please, the difference, whatever it is, determining the binding energy, which the apparent stability of the neutron indicates should be high. Any experimental neutron mass lower than, or even close to,  $1.0067$  speaks in favor of the *proton* as a primordial thing.

On the other hand, if the neutron is merely a proton and a negative electron in close association, i.e., a collapsed hydrogen atom, then the mass of this neutron system can be anything you please *less* than  $(1.00722 + .00055) = 1.0078$ , the difference, whatever it is, in this case representing the binding energy. In other words, for this case

$$M = 1.0078 - \text{binding energy}.$$

Any experimental neutron-mass higher than, or even close to,  $1.0078$  speaks in favor of the *neutron* as a primordial thing. If Chadwick's actual measurement of the

mass of the neutron (viz., 1.0067) were accurate to one part in a thousand, then on the hypothesis of a primordial neutron there would be nothing left to serve as the binding energy of the positive electron to the neutron, whereas if the neutron is a closely coupled proton and a negative electron then, as Chadwick pointed out above, there would be about a million volts left to serve as the binding energy of the negative electron to the proton. This looks like evidence in favor of the proton as a fundamental entity.

But this evidence must not be taken too seriously for the reason that Chadwick's accuracy is not at all adequate for the definite drawing of the foregoing conclusion, as shown by his later work, plus that of Lauritsen.

#### IV. LAURITSEN AND CRANE'S WORK ON THE GAMMA RAYS EMITTED IN THE PROCESS OF ARTIFICIAL TRANSMUTATION

In setting up the foregoing equation Chadwick tacitly assumed that the capture of an alpha ray by boron and the ejection of a neutron in accordance with the foregoing reaction and computation was an operation in which no energy was lost through the emission of a gamma ray. But in the case of the bombardment both of beryllium and boron by  $\alpha$  rays Bothe and Becker<sup>1</sup> and Rasetti<sup>2</sup> as well as Curie-Joliot<sup>3</sup> had proved that weak gamma rays, as well as neutrons, are actually given off. To measure the energy of these very weak but very penetrating gamma rays Bothe and Becker adopted the following most ingenious technique. They set up two Geiger counters so that the

<sup>1</sup> Bothe and Becker, *Zeit. f. Physik*, LXXVI (1932), 421.

<sup>2</sup> Rasetti, *ibid.*, LXXVIII (1932), 165.

<sup>3</sup> Curie-Joliot, *Jour. d. Phys. et le Radium*, IV (1933), 21.

electrons ejected from matter placed in the path of these gamma rays could produce audible responses at an observed rate by their successive passage through both counters. Then they interposed increasing thicknesses of aluminum, or other substances, between the two counters until the responses ceased—a condition reached when the ejected electrons had just insufficient energy to traverse the intervening sheets of matter. From this thickness they estimated that the gamma rays produced when boron is bombarded by  $\alpha$  rays have an energy of about 3 million electron-volts, while when beryllium replaces the boron the gamma rays now produced have an energy of about 5 million electron-volts. *These were the highest gamma-ray energies yet obtained from terrestrial sources.*

If radiations of anything like such energies were involved in the reaction assumed by Chadwick, his estimate of the mass of the neutron needed notable reduction, so that the figure 1.0067, even if derived from very precise measurement of masses and energies, was rather an upper limit than an exact determination, at least until it became definitely established that no radiative losses had been involved in the assumed reaction. However, Chadwick's estimated range of uncertainty, viz., 1.003 to 1.008, was sufficient to take care of the escape even of a 5-million-volt gamma ray. It was the great intensity of the stream of bombarding particles that Lauritsen and Crane<sup>2</sup> were able to obtain in their wholly artificial production of neutron beams—intensities a thousand times those used by any of their predecessors—that made possible the bringing to light and quantitative study of the accompanying gam-

<sup>2</sup> Crane, Lauritsen, and Soltan, *Phys. Rev.*, XLIV (1933), 514, 783.

ma radiations in the case of most reactions giving rise to neutrons.

Their method of separating the gamma rays from the neutron is illustrated in Figure 71,<sup>2</sup> in which the curves all apply to the radiations produced when deuterons, i.e.,

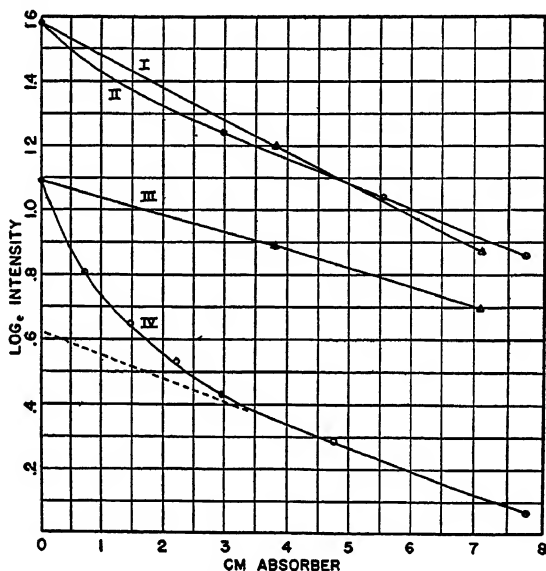


FIG. 71.—Absorption of the beryllium radiation. I. Paraffin-lined chamber, paraffin absorber; II. Paraffin-lined chamber, lead absorber; III. Lead-lined chamber, paraffin absorber; IV. Lead-lined chamber, lead absorber.

heavy hydrogen atoms, are made to bombard a beryllium target in the Lauritsen million-volt tube. The absorption in both lead and paraffin of the radiations stimulated in the beryllium by the deuteron bombardment is measured with the aid of two electroscopes, the first lined with lead,

<sup>2</sup> Crane and Lauritsen, *ibid.*, XLV (1934), 226.

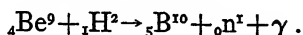
the second with paraffin. The paraffin-lined chamber is much more sensitive to neutrons than the lead-lined chamber, since the ionization within it is increased by the protons ejected from its walls by the neutrons. On the other hand the lead-lined chamber is very much more sensitive to gamma rays than is the paraffin-lined one, since gamma rays eject many more electrons from lead than from paraffin. The figure shows four absorption curves obtained by using the two kinds of absorbers and the two chambers. Curves II and IV are taken in the paraffin and lead lined chambers, respectively, but the beam was successively weakened in both cases by introducing before the chambers a series of *lead* absorbers. It will be seen that at thicknesses greater than 4 cm. the slope is the same for the lead and the paraffin chambers. This means that the radiation is of a single type, either entirely neutrons or entirely gamma rays, since but one absorption coefficient is involved. But the large excess in total intensity of Curve II (paraffin chamber) over Curve IV (lead chamber) shows clearly that the radiation responsible for these curves consists of *neutrons*, since passing through the paraffin walls increases its intensity so largely. Hence, *the slope of Curves II and IV beyond 4 cm. is taken as the absorption coefficient of neutrons in lead.*

At the thicknesses of the absorber less than 4 cm., Curve IV shows a steep rise which clearly indicates the presence of a component of radiation that is more absorbable than is the neutron radiation and that is practically entirely absorbed in 4 cm. of lead, as shown in both Curves II and IV. That this component consists of gamma rays is indicated by the fact that it occurs strongly

in Curve IV (lead-lined chamber) but only weakly in Curve II (paraffin-lined chamber), for it is well known that gamma rays stimulate secondaries much more strongly in lead than in paraffin. Curves I and III show that the paraffin absorbers cut down but slowly the joint effects of the two types of rays, while the difference in the slopes of these two lines shows that the proportions of the different types of rays are not the same in the lead-lined chamber as in the paraffin-lined one, a result obviously to be expected.

By extending the straight part of Curve IV backward as indicated by the dotted line in Figure 71 we can determine the intensity contributed by neutrons alone for absorbers less than 4 cm. Then the difference between the total intensity and the intensity represented by the dotted line should be just the intensity due to the gamma rays alone. The intensity of gamma rays thus obtained, as a function of thickness of absorber, is plotted on a log scale in Figure 72, along with a similar curve taken with the gamma rays from radium under identical conditions. This shows that the gamma rays from beryllium are quite monochromatic with an absorption coefficient considerably greater in lead than the rays of radium after the latter have been filtered through 1-2 cm. of lead. Since the strength of the radium was accurately known, the number of gamma rays emitted from beryllium could be calculated and it came out equal to the number of neutrons to well within the accuracy with which the latter could be determined. Lauritsen and Crane therefore drew the important conclusion that in beryllium the neu-

trons and gamma rays are produced in the same reaction, which they wrote down as follows:



The foregoing illustrates the extreme importance of knowing in the case of every reaction under consideration

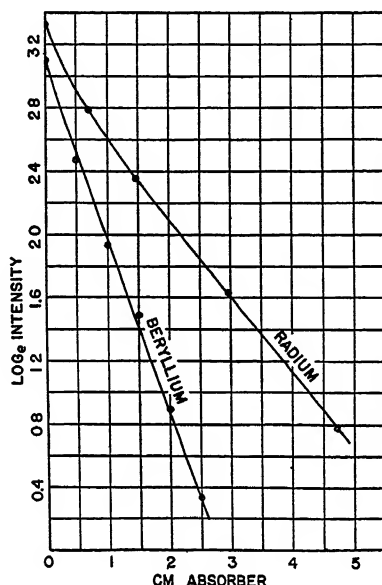


FIG. 72.—Absorption curve of the beryllium-deuteron gamma rays, with an absorption curve of radium gamma rays, made under the same experimental conditions, for comparison.

whether or not there is a gamma ray released in the reaction, and if so just how great is its energy. Lauritsen, Crane, Fowler, and Delsasso (*Phys. Rev.*, LI [1937], 391) have supplied this knowledge for a very considerable number of transmutation reactions, and have thereby notably pushed forward our insight into nuclear effects.



Perhaps the most interesting reaction that they have studied with great care is that produced by the bombardment of lithium by high-speed protons, in which, as already indicated (see p. 365) Cockroft and Walton had first shown that the proton is simply captured by the lithium isotope of mass 7 and the resulting nucleus of mass 8 then breaks up into two alpha particles that are ejected in nearly opposite directions. The energy released in this reaction, easily computed from the masses of  $\text{Li}^7$ ,  $\text{H}^1$  and  $\text{He}^4$ , given on page 375, comes out quite accurately 17.0 million electron-volts. If the whole of this energy plus the 200,000 electron-volts with which the protons were accelerated in the Cavendish Laboratory experiments went into the pair of oppositely flying  $\alpha$  particles (Fig. 69) each would have had an energy of  $\frac{17.2}{2} = 8.6$  million electron-volts, and when this is translated into  $\alpha$  particle-range with the aid of the empirical curve relating  $\alpha$  ray range and energy, now accurately known from twenty years of experimenting on the ranges and the magnetic deflectabilities of  $\alpha$  rays emitted by the uranium and thorium families, the result comes out 8.2 cm.

Now Oliphant, Kinsey, and Rutherford,<sup>2</sup> by interposing in the path of these same  $\alpha$  rays, emitted by lithium bombarded by protons, successive layers of mica each of accurately known air-equivalence, have obtained the relation shown in Figure 73 between the air-equivalent range and the number of  $\alpha$  particles having any particular range.

Their method of taking the data for such a curve is as follows: They let the  $\alpha$  rays shoot into an ionization

<sup>2</sup> *Proc. Roy. Soc.*, CXLI (1933), 722.

chamber a centimeter or two in diameter and a few mm. deep. Each  $\alpha$  ray entering this chamber produces a practically instantaneous ion current which is amplified by an ordinary tube amplifier, and the resulting "oscillograph deflection" photographed on a movie film so that the number of kicks appearing on the film is the same as the

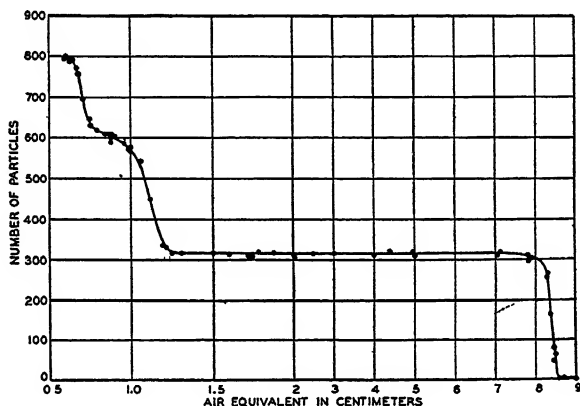


FIG. 73.—Distribution-in-range curve of the  $\alpha$  particles resulting from bombardment of lithium by protons. (Oliphant, Kinsey, and Rutherford.)

number of  $\alpha$  particles that produce them, as shown in Figure 74. By interposing between the source and this chamber a sheet of mica of known air-equivalence the number of particles having energies at least sufficient to get through that thickness will be recorded. Figure 73 shows the number of particles that get through varying thicknesses of mica, these numbers being plotted as ordinates, the air equivalent thicknesses being plotted as abscissae. The broad plateau of Figure 73 means, then, that all the points taken on this plateau correspond to the



(a)



(b)



(c)

FIG. 74.—Three records of the ionization produced by individual particles in a shallow ionization-chamber: (a) alpha-particles of nearly the same speed, (b) protons of various speeds, (c) particles of several kinds which had been set into motion by impacts of neutrons. The fogging along the base-lines is much fainter in the original records than in these reproductions. (J. R. Dunning of Columbia University.) (Taken from an article by Carl Darrow.)

large group of tracks all of which have a range in air of 8.4 cm., which is seen to be the *maximum* distance to which the tracks reach when the mica sheets are all withdrawn.

*But it will have been noticed that the range here accurately observed is very close to that computed above with the aid of the assumption that the whole of the energy released in the aforementioned transmutation of lithium into helium went into the kinetic energy of the flying helium nuclei or  $\alpha$  particles.* This excellent quantitative agreement is convincing evidence of the correctness of the assumptions of Cockroft and Walton and the whole Cavendish group as to the nature of the transmutation-reaction which produced these  $\alpha$  rays of 8.4 cm. range in air. *In this particular reaction there is certainly no energy whatever left to go off as a gamma ray, the whole of the liberated energy being used to impart velocity to the two  $\alpha$  particles.*

How, then, did Lauritsen and Crane find strong gamma rays given off by the bombardment of lithium by protons? In order to find the answer to this riddle we must first know how they tested for the presence of gamma rays and what sort of energies they found. From electroscope tests of the kind already described in the case of beryllium rays they got an absorption coefficient in lead close to that found for  $\gamma$  rays from radium filtered through 2 cm. of lead, and hence at first concluded that the energy involved in these two radiations, one from bombarded lithium the other from radium, was about the same, namely, about 1.6 million electron-volts.

But having begun to suspect the reliability of these absorption formulas for the determination of energies, at least in this region of frequencies, with the assistance of

Messrs. Fowler and Delsasso, they let these same gamma rays from bombarded lithium pass into a cloud chamber set in the midst of a 1,200 gauss magnetic field and measured directly the energies of the electrons shot into the cloud chamber by the absorption of the gamma rays in thin sheets of lead and other metals also placed inside the cloud chamber. This was precisely what Anderson and Neddermeyer had first done when they found the energy distribution of positives and negatives released from lead and aluminum by the gamma rays of  $\text{ThC''}$ —experiments, it will be remembered, in which they discovered that the maximum energy of the negatives, since these are mainly of extra-nuclear origin, some of them picked out of atoms photoelectrically, was very close to the 2.6 million electron-volts which is the long-known energy of the incident gamma rays, while the maximum energy of the positives was 1.6 million volts, the difference representing, according to the Dirac theory, the million volts ( $2mc^2$ ) necessary to create a positron-negatron pair.

A large number (over 1,000) of cloud-chamber measurements of this kind, made by Lauritsen, Crane, Fowler, and Delsasso, on the electrons released by the gamma rays from lithium bombarded by protons yielded results in which the kinetic energy of both of the elements of the pair could be accurately measured through the curvature produced by the magnetic field of known strength. More than 700 pairs, of which Figure 75 shows two beautiful examples, were thus measured. The total summed energy of the two branches of a pair plus the  $2mc^2$  ( $2 \times 0.511$  Mev) approached experimentally the limit  $17.1 \pm 0.5$  Mev. In other words, the energy of this gamma-ray beam produced by the bombardment of lithium by protons was not about 1.8 million volts, as previously esti-

mated from the absorption measurements in lead, but *it was as here directly determined about 17 million volts and it was the highest energy gamma rays as yet found from terrestrial sources.* Not only was this high-energy gamma ray of itself a discovery of importance, but even more so was the discovery of so high an energy combined with so high an absorption coefficient in lead (see § V, p. 402).

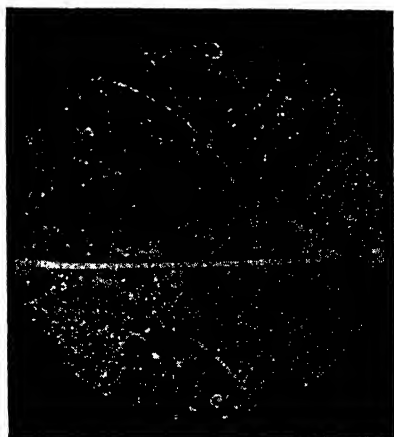
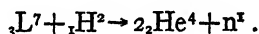


FIG. 75.—Two excellent examples of 17 Mev pairs ejected from a 0.012 cm. lead-scatterer by the gamma radiation from  $\text{Li}^7 + \text{H}^1$ . Magnetic field, 2580 gauss. (Lauritsen and Fowler.)

This begins to show the complexity of the events that can happen in a nuclear transformation. Thus the proton can get into the nucleus of lithium in such a way as to leave in their normal state the two  $\alpha$  particles formed, in which case these two particles must take the whole energy released; they then have the 8.4 cm. range. Or the entering proton may slip in in such a way that the two  $\alpha$  particles formed are given no kinetic energy at all, the whole released energy of the reaction going into the 17.1 Mev gamma ray. This particular transmutation-reaction,

too, is probably one of the very simplest possible. In some other reactions protons of varying speeds are released, in some neutrons, in some gamma rays or photons, in some positrons, and in some negatrons.

Especially because of its relation to the very important subject of the mass of the neutron, Lauritsen and Crane also analyzed very carefully with the great intensities obtainable with their apparatus the mass-energy relations in the reaction produced when lithium is bombarded by "deuterons" instead of as above by protons. This they had before proved to give rise to neutrons through the reaction



Although there was some justification for assuming that gamma rays are not a product of this reaction an experimental test of this point had not been available so that calculations of the mass of the neutron made with the aid of this equation and without certain knowledge as to the presence of gamma rays were to be considered strictly valid only as an upper limit.

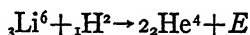
By analyzing the rays produced in this reaction by exactly the method indicated above for Be, Lauritsen and Crane<sup>1</sup> showed that no gamma rays at all come out of this reaction, but only  $\alpha$  rays and neutron rays. The distribution of the ranges of the  $\alpha$  rays had already been very beautifully analyzed by Oliphant, Kinsey, and Rutherford<sup>2</sup> with the results shown in Figure 76. It will be seen from this figure that out of this reaction there comes a

<sup>1</sup> Lauritsen and Crane, *Phys. Rev.*, XLV (1934), 550.

<sup>2</sup> Oliphant, Kinsey, and Rutherford, *Proc. Roy. Soc. A.*, CXLI (1933), 722.

large group of  $\alpha$  particles which have a uniform range of 13.2 cm.—a range that corresponds to an energy of 11.5 million electron-volts.

Now this 13.2 cm. range actually corresponds remarkably closely with the total energy released in the reaction



in which  $E$  is the total kinetic energy of the  $\alpha$  particles. Indeed, the mere differences in the masses of the atoms on the left and right sides of this equation amounts to  $22.2 \times 10^6$  electron-volts and if we add the 200,000 electron-volts for the bombarding energy of the deuterons we see that the energy of each of the two ejected  $\alpha$  particles should be

$$\frac{22.4}{2} = 11.2 \times 10^6 \text{ electron-volts while it was seen that the}$$

range, 13.2, reduced to electron-volts is 11.5. This excellent agreement is then fairly conclusive evidence, even without Lauritsen and Crane's proof that gamma rays are not produced in this reaction, that the deuteron is here captured by  $\text{Li}^6$  in such a way that the whole of the released energy is transformed into the kinetic energy of the two oppositely flying  $\alpha$  particles. It is agreements like this that give us confidence in the correctness of these transmutation equations.

But what is then the explanation of the sloping line of Figure 76 which shows clearly that this bombardment of lithium by deuterons also gives rise to  $\alpha$  particles the ranges of which vary continuously from 1 cm. up to a maximum of 7.8 cm.? If no gamma rays are produced there is nothing left save the observed neutron rays to divide the energy with the  $\alpha$  particles so as to cause the latter to have



all sorts of ranges up to 7.8, which is taken as the range when all the energy goes into the  $\alpha$  particles and none into

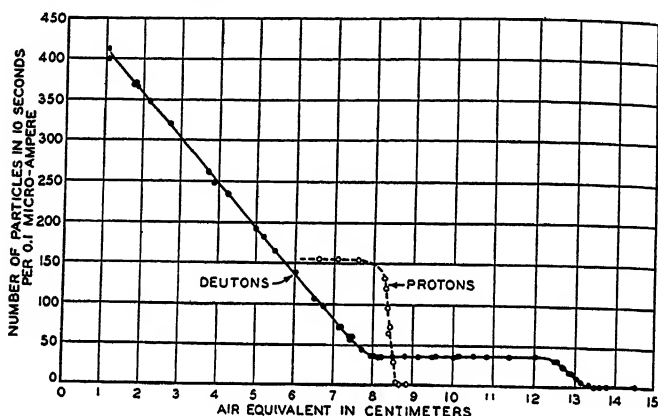
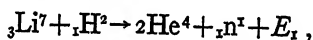
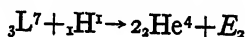


FIG. 76.—Distribution-in-range curve of the  $\alpha$  particles resulting from bombardment of lithium by deuterons. (Oliphant, Kinsey, and Rutherford.)

the neutron. But from the masses involved it is at once obvious that neutron rays can only come from the reaction



in which  $E_1$  is the total kinetic energy carried away by both the  $\alpha$  particles and the neutron. After combining this with the other reaction, discussed above, in which lithium is bombarded by protons, viz.,



Lauritsen and Crane point out that one obtains by subtraction

$$\text{n}^1 = \text{H}^2 - \text{H}^1 + E_2 - E_1$$

in which the only atomic masses involved are  $\text{H}^1$  and  $\text{H}^2$  which are known with considerable precision. The kinet-

ic energy of the bombarding protons ( $H^1$ ) and deuterons ( $H^2$ ) as used by Oliphant, Kinsey, and Rutherford was the same (about  $0.2 \times 10^6$  electron-volts) and hence cancels. The ranges of the  $\alpha$  particles resulting from the two reactions are nearly the same (7.8 cm. and 8.4 cm., respectively) and since only the difference in energy is made use of any systematic error in the measurements, or in the conversion from range to energy, tends to cancel out. Using  $E_1 = 2 \times 8.3 \times 10^6$  and  $E_2 = 2 \times 8.75 \times 10^4$  electron-volts the difference is very closely equivalent to 0.001 atomic-weight units, and adding this to  $H^2 - H^1 = 1.00586$  we obtain for the mass of the neutron 1.0068. On account of the accuracy with which ( $H^2 - H^1$ ) is known *this value seemed to be the most accurate yet obtained.* Oliphant, Kinsey, and Rutherford estimate the errors in the ranges 7.8 cm. and 8.4 cm. as  $\pm 0.2$  cm., but even if two such errors should chance to add their effects the result could scarcely be affected by more than .0003 of an atomic-weight unit, so that the value of the neutron appeared to be found to lie between 1.0065 and 1.0071. Had this work yielded a value definitely lower than 1.0067 it would have spoken strongly against the neutron and in favor of the proton as the elementary particle. As it was, both possibilities were still open, though if the choice be in favor of the neutron it must be admitted that the binding energy between it and the positive electron was somehow strangely small.

In August, 1934, Chadwick<sup>2</sup> published a preliminary report on a closely related method. He exposed a chamber filled with deuterium, heavy hydrogen gas, to the  $2.62 \times 10^6$ -volt gamma radiation of  $ThC''$ . The chamber was connected to a linear amplifier and oscillograph in the

<sup>2</sup> *Nature*, CXXXIV (August 18, 1934), 237.

usual way. A number of kicks were recorded by the oscillograph which had to be attributed to protons resulting from the splitting up of the deuterons into protons and neutrons. When radium rays of  $1.8 \times 10^6$  volts were tried the kicks were greatly reduced and Chadwick concludes that the binding energy of a proton and a neutron to form a deuteron must be more than  $1.8 \times 10^6$  volts. He estimates it at  $2.1 \times 10^6$ . The atom of heavy hydrogen is simply an atom of ordinary hydrogen after a neutron has been added to its nucleus. Hence

$$H^2 + n^1 - \text{binding energy} = H^1,$$

$$\text{or } n^1 = H^2 - H^1 + \text{binding energy} = 1.0058 + \frac{2.1 \times 10^6}{946 \times 10^6} = \pm 1.0080.$$

If these figures should turn out to be correct the mass of the neutron would be above the limit (1.0078) that is consistent with the idea that the proton is an elementary particle. The two last modes of approach to the mass of the neutron did not seem as yet brought into consistency, and whether the neutron or the proton was to be regarded as the elementary particle waited future determination.

At the meeting of the International Union of Pure and Applied Physics on October 24, 1934, Dr. Oliphant pointed out that Chadwick and Goldhaber had just made more accurately by Chadwick's latest method the determination of the mass of the neutron and obtained the value  $1.0080 \pm 0.0005$ . Oliphant preferred, also, to make the assumption discussed at length in Lauritsen and Crane's paper and discarded by them as having too small a probability to be the determining factor in fixing the 7.8 maximum range. This assumption is that this range corre-

sponds not to the case in which the neutron takes none of the energy and the two  $\alpha$  particles divide it equally between them, but rather to the case in which the neutron and one  $\alpha$  particle receive together the same momentum in one direction that the other  $\alpha$  particle receives in the opposite direction. This makes the latter alpha particle receive five-ninths of the total energy released, and thus gives the value of the total energy corresponding to the 7.8 cm. range 14.9 million electron-volts, and brings the mass of the neutrons obtained by Lauritsen and Crane's method up to 1.0083, in good agreement with Chadwick and Goldhaber's<sup>1</sup> value. It is obvious that the uncertainty in this assumption still made it pertinent to retain the spirit of caution of the foregoing paragraph.

#### V. THE LAWS OF ABSORPTION OF HIGH-ENERGY PHOTONS

The discovery, first made independently and in wholly different ways by Chao,<sup>2</sup> and by Bothe and Becker,<sup>3</sup> then greatly extended as shown above by Lauritsen and Crane, that artificially produced nuclear changes are capable of emitting new types of gamma-ray photons, some of them of higher energies than any heretofore originating on earth, has opened up a large new field of both experimental and theoretical advance in our knowledge of the interaction between radiation and matter.

Prior to the work of Chao the theoretical physicist had developed his laws of the absorption, scattering, and

<sup>1</sup> Chadwick and Goldhaber, *Nature*, CXXXIV (1934), 237.

<sup>2</sup> Chao, *Proc. Nat. Acad.*, XVI (1930), 431; *Phys. Rev.*, XXXVI (1930), 1519.

<sup>3</sup> Bothe and Becker, *Zeit. f. Physik*, LXVI (1930), 289; *Naturwiss.*, XIX (1931), 753.

energy degradation of photons on the assumption that the sole agents conditioning these processes were extra-nuclear electrons. Up to the time of the experiments of Chao, the Klein-Nishina formula, the most theoretically sound of our absorption formulas, had been assumed by all of us, Millikan, Jeans, Regener, and all the rest, though with varying degrees of assurance, to be applicable to all frequencies, even those of the most penetrating of the cosmic rays. Chao first showed sharply that the nucleus had its own laws of absorption and that they were wholly different from those described by the Klein-Nishina formula. Gray and Tarrant, Meitner and Phillip (see p. 337) confirmed with much elegance and precision these findings of Chao with respect to the nuclear absorption and re-emission in a new form of the energies of  $\text{ThC''}$  photons, then Anderson discovered the positron and proved conclusively that the encounters of  $\text{ThC''}$  photons with the nuclei of both lead and aluminum produce such positrons. Meanwhile Blackett and Occhialini had suggested the interpretation of cosmic-ray showers in terms of the creation of positron-negatron pairs, Dirac's negative energy states being the basis of this conception, and immediately thereafter Anderson and Neddermeyer found the maximum energy imparted to positive electrons by  $\text{ThC''}$  rays just a million volts less than that imparted to extra-nuclear negatives, thus bringing to light the first new fact predicted by the pair-creation theory.

There followed the very elegant proof first by Thibaud and Joliot independently, then with much precision by Lauritsen and Crane, that Chao's half-million-volt isotropic gamma radiation excited by the impact of  $\text{ThC''}$  photons on the nuclei of both heavy and light

atoms is in all probability nothing but the ether signals sent out from the spot at which a positive electron disappears, presumably by committing suicide with a negative. However, this "annihilation ray" theory must be regarded as resting on evidence that is quite independent of all hypotheses as to where the observed positrons come from, whether from pair creation without any alteration in the charge on a nucleus, or by the extraction from a nucleus with a consequent diminution by one unit of its positive charge. One or the other or both of these origins may exist and both are entirely consistent with the annihilation-ray hypothesis. For in any case we know that these positives appear in our cloud chambers when photons of sufficient energy encounter nuclei, and we know that they disappear somehow, and also that when they disappear half-million-volt photons emerge from the scene of the disaster. We know, too, simply from the Einstein equation, that the radiation equivalent of the mass of two electrons is a million volts—more accurately  $2 \times 511,000$  (see p. 374)—and also that the principle of conservation of momentum requires that this million volts emerge from the point of origin as two oppositely directed half-million-volt photons.

But meanwhile the theorist had been at work. In particular at the Norman Bridge Laboratory Oppenheimer and Plesset<sup>1</sup> had been working out from the pair-creation theory (1) how nuclear absorption should vary for a given nucleus as the energy of the approaching photon increased from the one-million-volt limit where Chao had found it first beginning to set in, and (2) how it should depend upon the atomic number of the nucleus.

<sup>1</sup> Oppenheimer and Plesset, *Phys. Rev.*, XLIV (1933), 53.

Fortunately Lauritsen and Crane's intense sources of artificial gamma rays made it possible for them to obtain a comparison between experiment and theory in the energy interval included between 1 million and 17 million electron-volts, and in the atomic-number interval copper

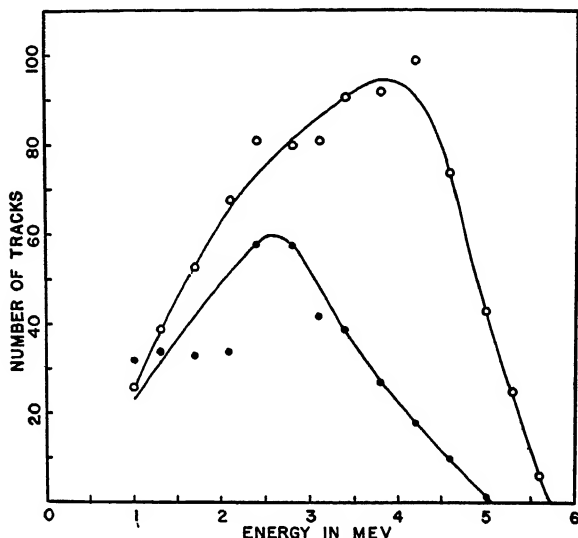


FIG. 77.—Energy spectra of the negative and positive electrons ejected from a thick lead plate by the gamma radiation from fluorine bombarded with protons. Circles indicate negative electrons and dots indicate positive electrons. Each point represents the number of electron tracks in a 0.7 million electron-volt energy interval.

(29) and lead (82). They measured the energy distribution curves for both the positive and negative electrons ejected into a cloud chamber from a 3 mm. lead plate by gamma radiation from  $\text{CaF}_2$  when it was bombarded by 800,000 volt protons. The results shown in Figure 77 reveal roughly the million-volt difference between the

maximum energy of ejection toward which the negative and the positive curves trend as they approach the energy-axis—a relation discovered by Anderson and Neddermeyer for  $\text{ThC}''$  rays and accurately checked by Chadwick, Blackett, and Occhialini, this being, according to the pair theory, the energy lost by the incident photon in the creation of an electron-pair.

It will be seen from Figure 77 that the excess of the number of negatives over the number of positives is very large with the 6.2-million-volt fluorine rays. With the 17 Mev rays this excess was found to be very much smaller. This, of course, means merely that, as is to be expected, the nuclear absorption is a much smaller part of the whole absorption in the case of the 6.2 rays, for, as Chao showed, the threshold at which nuclear effects begin to appear at all is at about 1 million electron-volts. On the assumption that the nuclear absorption shown in these experiments is due solely to pair-formation, the difference between the positive and negative curves should give the effect mainly of *Compton encounters* with extra-nuclear electrons—in other words, the part of the absorption that is taken care of by the Klein-Nishina formula. These alone should theoretically show a difference of only about  $\frac{3}{4}$  million electron-volts. Photoelectric detachment of extra-nuclear electrons, however, gives rise to the full  $(2 \times .511) = 1.02$  Mev of difference between the intercepts of the positive and negative curves on the energy-axis.

A further success of the pair theory is seen in Figure 78, in which is shown Professor Oppenheimer's computation of the pair-absorption in lead and in copper as a function of quantum energy. The curves of total absorption represent merely Klein-Nishina absorption



added to this theoretical pair absorption as computed by Oppenheimer. The difference between the lead and copper curves is due to the fact that according to the pair theory the absorption is proportional to the square of the atomic number. The result is that after pair absorption begins, in the case of a heavy atom like lead, the absorption actually *rises* with increasing energy so

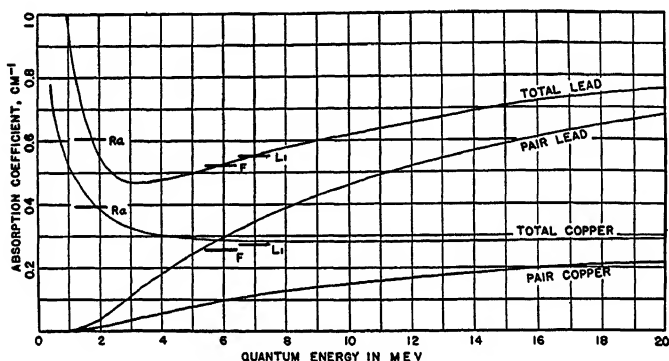


FIG. 78.—Curves giving the total absorption coefficients of gamma rays in lead and in copper as a function of their quantum energy, and also curves giving the absorption due to the formation of electron pairs alone.

that there are two values of the energy such, for example, as 2 million volts and 7 million volts (see Fig. 78), or 1.6 million and 13 million (see also p. 387), that have the same absorption coefficient. In confirmation of this double-valued coefficient both MacMillan at Berkeley and Lauritsen and Crane at Pasadena have made absorption measurements with different kinds of absorbers on the gamma rays from fluorine bombarded with protons, and the latter observers have done the same with the gamma rays from lithium bombarded with

protons with the results shown in Figure 78. The observed absorption coefficients are indicated by horizontal lines intersecting the theoretical curves. Although the absorption coefficients *in lead* of these gamma rays are seen to be not very different from that of radium gamma rays, it is clear from the corresponding coefficients *in copper* that their quantum energy is such as to place them on the high-energy side of the minimum, namely, at about 6.9 million electron-volts for lithium and 5.8 for fluorine, rather than on the low-energy side as the authors originally inferred. This result for fluorine is very nicely confirmed by the direct measurements, shown in Figure 77.

All this is in notable confirmation of the demands of the pair theory for this small range of frequencies herein investigated. But also in the range of very much higher energies involved in cosmic rays I have explained a shower picture, like that shown in Figure 61 (p. 346) by the *so-called cascade theory*. This assumes that, when a photon of energy, even of a billion electron-volts or more, collides with an atomic nucleus, it may transform its energy completely into an electron-pair (just as shown on a lower-energy level in the data given above in connection with Figs. 75 and 77), each component of this high-energy pair producing, by impact upon another nucleus, a new photon of lower energy, which in turn repeats the same process of transformation through other nuclear impacts into new electron pairs, etc. The result is that at the lower end of a cosmic-ray shower there appear a very large number of low-energy photons and electrons such as those seen in Figure 61. So, while there is, in fact, plenty of evidence that pair-formation is not the only

method by which cosmic rays are absorbed as they plunge into our atmosphere, it undoubtedly represents a procedure which sets in first at some critical voltage which we now know to be just the voltage corresponding to the mass of two free electrons (viz.,  $2 mc^2$  or  $2 \times .511$  Mev) and from there on is of increasing importance as the applied voltage rises.

Pair-formation demonstrated in connection with the data accompanying Figures 75 and 77 is called a "materialization" process, i.e., a process by which ether-wave energy is *completely* transformed into electrons, i.e., into *matter*. For "matter" is defined in terms of inertia, and the "rest-mass" of an electron is now an accurately measured inertial or mass quantity the value of which was shown (see p. 374 n.) to be the equivalent of roughly half a million electron-volts, more accurately .511 Mev, which is the equivalent of 0.000549 (generally written 0.00055) atomic mass units (a m u), or  $.9107 \times 10^{-27}$  gram.<sup>2</sup>

That the reverse process of the complete transformation of mechanical energy into radiant, or ether-wave, energy is also not only possible but one of the commonest of nature's processes going on around us all the time is shown by the cooling-off *in vacuo* of hot bodies quite independently of whether they have been heated by hammering (mechanical energy) or by thermal conduction (contact with a hot body). Indeed, herein lies the most simple and obvious basis of the so-called "second law of thermodynamics," namely, that *available* mechanical and its equivalent heat energy is all the time being made unavailable by being radiated away into space. The fact

<sup>2</sup> a m u =  $1/N_0 = (6023 \times 10^{23})^{-1} = 1.6603 \times 10^{-24}$  gram  $\times (2.998 \times 10^{10})^2$   
 =  $1.492 \times 10^{-3}$  Erg = 932 Mev.

that we can prove, as revealed in the discussion of Figures 75 and 77, that there are *some* processes in which radiant energy is *completely* reconverted into mechanical energy is extraordinarily interesting and important. In the case of pair formation this experimental proof was first made by Anderson and Neddermeyer (see above pp. 335-40).

The interconversion constants of some of the common energy units are as follows:

CONVERSION TABLE FOR ENERGY UNITS

<i>Multiply</i>	<i>By</i>	<i>To Obtain</i>
Mev	$1.07 \times 10^{-3}$	mass units
	$1.60 \times 10^{-6}$	ergs
	$3.83 \times 10^{-14}$	g. cal.
	$4.45 \times 10^{-20}$	kw. hr.
Mass units	$9.32 \times 10^2$	Mev
	$1.49 \times 10^{-3}$	ergs
	$3.56 \times 10^{-11}$	g. cal.
	$4.15 \times 10^{-17}$	kw. hr.
Ergs	$6.71 \times 10^2$	mass units
	$6.24 \times 10^5$	Mev
	$2.39 \times 10^{-8}$	g. cal.
	$2.78 \times 10^{-14}$	kw. hr.
Gm. cal.	$2.81 \times 10^{10}$	mass units
	$2.62 \times 10^{13}$	Mev
	$4.18 \times 10^7$	ergs
	$1.16 \times 10^{-6}$	kw. hr.
Kw. hr.	$2.41 \times 10^{16}$	mass units
	$2.25 \times 10^{19}$	Mev
	$3.60 \times 10^{13}$	ergs
	$8.60 \times 10^5$	g. cal.

But now to return to the question of very great philosophic interest as to the mass of the neutron. Up to the fall of 1934, when the foregoing report on this discussion was written, both Chadwick and Lauritsen seemed to be getting together (p. 395) on a value of the mass of the neutron *above*  $1.0078\text{ a m u.}$  Chadwick and Goldhaber had just announced, and obtained preliminary results with, their discovery that it was possible to pull apart and impart kinetic energy to the proton and neutron in deuterium by raying it with the gamma rays from  $\text{ThC''}$ , long known to carry an energy of 2.62 Mev. From the magnitude of the pulse given off by the release of the proton they estimated the energy of ejection of this proton alone as 0.24 Mev; and since Newton's third law required the uncharged neutron of essentially equal mass to fly out with equal and opposite momentum, and also equal energy in case of equal masses, they took the total energy absorbed from the  $\text{ThC''}$  radiation to be .48 Mev, so that they wrote:

$$2.62 - 0.48 = 2.14 \text{ Mev} = 0.00230 \text{ a m u.}$$

This represents in mass-energy units the energy that must appear in this reaction *in excess of the combined rest masses* of proton and neutron over the rest mass of the deuteron. This last, as given on p. 375, is

$$2.01472 - 1.00812 = 1.0066 \text{ a m u.}$$

Adding to this the foregoing binding energy of the deuteron, Chadwick and Goldhaber finally obtained for the total mass of the neutron  $1.00890 \text{ a m u.}$  Bethe,<sup>1</sup> approaching the problem through another reaction, gets for the

<sup>1</sup> Bethe, *Phys. Rev.*, LIII (1938), 313.

mass of the neutron 1.00893, in excellent agreement with Chadwick and Goldhaber, since the last place is uncertain anyway.

According, then, to the foregoing relatively simple approach made possible by Chadwick and Goldhaber's important discovery,<sup>1</sup> the neutron is heavier than the proton by about  $1.00893 - 1.00812 = 0.00081$ , *which is more than 40 per cent in excess of the electronic rest mass* (0.00055). The neutron is, then, certainly not a simple combination of a proton and a negatron. It has, in fact, now been established in the minds of the great majority of physicists *as a fundamental element with a very large binding energy in the nucleus which cannot be wholly of electrical origin. This definitely denies the general validity of the electromagnetic theory of the origin of mass.* Also, with the now experimentally established masses of the neutron, the proton, and the positron, we cannot yet see how we can build up even the proton simply out of a neutron and a positron. Perhaps, then, we shall, for the present, have to be content to live with at least four, and possibly more, equally fundamental particles—at least the neutron, the proton, and the two electrons (+ and -), the word "electron" meaning merely, as originally and most authoritatively used, a unit charge.

At any rate, on account of difficulties illustrated by the foregoing history, it is no longer customary, or indeed possible, for physicists to speak of a nucleus as containing a number of free negative electrons equal to the difference between the atomic weight and atomic number, but rather they *let that difference represent the excess of neutrons over protons in its constitution.* Further, to account for

<sup>1</sup> Chadwick and Goldhaber, *Roy. Soc. Proc.*, CLI (1935), 479.

the observed fact that there are often emitted from a nucleus beta rays or negatrons, and in other cases positrons, it is assumed that when such beta-ray emission occurs it is accompanied by the conversion of a nuclear neutron into a proton, thus conserving at least electric charge; and when a positron is emitted from a nucleus, this event is assumed to be accompanied by the reverse conversion of a nuclear proton into a neutron, *the change in nuclear mass, or binding energy, however it is brought about, supplying the energy for the radiation emitted*, whether it be of the electronic or the gamma-ray type, in a way not unlike that in which the changes in the electronic configurations in the outer shell of the atoms furnish the energy for the emitted quanta.

In all these changes the old idea of the conservation of mass as an entity independent, for example, of radiant energy has long since been abandoned; but *the principle of conservation of energy* has been retained and extended by finding, through the Einstein equation  $E=mc^2$  a constant conversion factor between mass,  $m$ , and energy,  $E$  and hence including mass in the principle of the conservation of energy.

The *principle of conservation of electric charge*, of which the electron  $+$  or  $-$  is always and everywhere, so far as our knowledge now goes, the ultimate unit which in variably appears in exact multiples of itself in every nucleus and everywhere else, is also maintained.

## CHAPTER XVI

### THE RELEASE AND UTILIZATION OF NUCLEAR ENERGY

#### I. PACKING FRACTIONS

Through mass spectrograph studies worked out with great skill and precision, first by Aston<sup>1</sup> and later by Bainbridge,<sup>2</sup> Dempster,<sup>3</sup> Mattauch,<sup>4</sup> and others,<sup>5,6</sup> we now know quite accurately the directly measured weights of practically all the atoms and their isotopes. Since they are all nearly exact multiples of the hydrogen unit of the atomic weight table, there is no longer any doubt that hydrogen is the primordial unit out of which all the ninety-two elements of our physical world have been built. By definition the isotopes of a given element differ in mass number  $A$  but not in charge number  $Z$ , and therefore *not in chemical properties*.

Aston, who pioneered in this field, first exhibited data of the foregoing sort in the form of the so-called "packing-fraction curve," shown in Figure 79. In this, abscissae are simply the increasing atomic weights from 1 to 240; and each ordinate is the measured atomic mass of the element in question divided by the number of hydro-

<sup>1</sup> Aston, *Roy. Soc. Proc.*, CLXIII (1937), 391.

<sup>2</sup> Bainbridge, *Franklin Inst. Jour.*, CCXV (1933), 509.

<sup>3</sup> Dempster, *Phys. Rev.*, LIII (1938), 74 and 869.

<sup>4</sup> Mattauch-Flügge, *Kernphysikalische Tabellen* (Berlin: Springer, 1942); also Hahn, Flügge, and Mattauch, *Phys. Zeit.*, XLI (1940), 1.

<sup>5</sup> Pollard, *Phys. Rev.*, LVII (1940), 1186.

<sup>6</sup> Barkas, *Phys. Rev.*, LV (1938), 691.



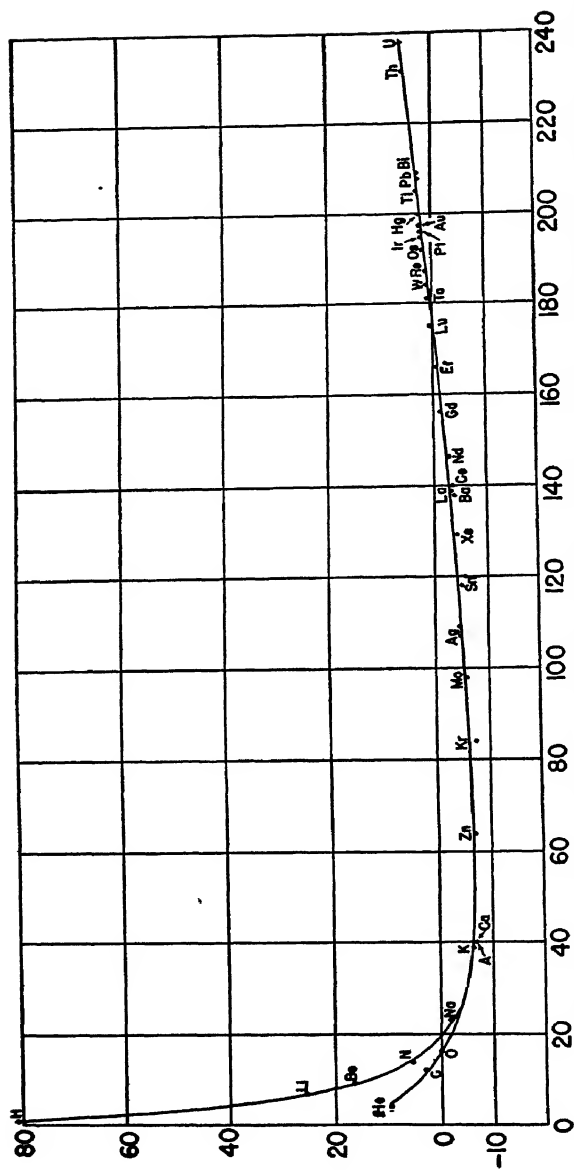


FIG. 79.—Abscissae are atomic mass integers  $A$  extending from 1 (H) to 238 (U). Ordinates are excess mass  $\times 10^4$  a m u. Differences = available packing-fraction energy. If  $M_i$  is a measured isotopic mass, its packing fraction is defined by  $(M_i - A) \div A$ . Thus, packing fraction of  $\text{He}^4 = (4.00390 - 4) \div 4 = 9.7 \times 10^{-4}$ ; of  $\text{Li}^7 = (7.0182 - 7) \div 7 = 26 \times 10^{-4}$ .

gen atoms that have entered into its composition, i.e., it is the mass spectrograph's direct finding of the mass of the hydrogen atom *after its incorporation into the structure of the atom under consideration*. But, in becoming so closely packed together, these constituent hydrogen atoms have lost potential energy and hence, according to Einstein's equation, have also lost mass. This is why the atom of hydrogen is heavier when it is free than when it is in any of its combinations.

Thus, the packing-fraction energy for hydrogen atoms when an atom of oxygen is built out of sixteen hydrogen atoms is 0.00812 a m u (see p. 375), or sixteen times this for the reaction that builds oxygen out of hydrogen, namely,  $.00812 \times 16 = 0.12$  a m u = 121 Mev. This packing-fraction energy is the total that is available to be given out as heat, for example, whenever this reaction occurs. It is considerably greater than the largest energy which the world's most powerful cyclotron has yet been able to impart to a proton or any other singly charged particle. But it is hoped that man-made instruments of the general type of the cyclotron or betatron may some day be able to impart to charged particles an energy of even more than 100 Mev.

With the aid of this packing-fraction curve (or, better, from the table values from which it is taken; see Hahn, Flügge, and Mattauch, *Phys. Zeit.*, XLI [1940], 1) the release of atomic energy in any possible nuclear act of transmutation from a less stable atom to a more stable atom or atoms can be seen at once.

Thus, the curve shows that there are two sorts of atomic transformations, which, if they can be made to take place, will release energy, namely, first, the *building-*

*up, or synthesis*, of any of the elements out of the primordial element hydrogen, which is still thought to constitute 90 per cent of the universe, for free hydrogen appears at the left side of the curve at a much higher level of mass in its free state than when packed into any of the other elements. Further, it was pointed out by Harkins and Wilson<sup>1</sup> as early as 1915 that the loss of mass, or "packing fraction," per hydrogen atom was substantially constant "for all pure species of atoms, i.e., for the stable and abundant atoms that follow closely the whole number rule." This point will be seen later to have some cosmic significance.

But, second, the curve also shows that the mass of the hydrogen constituents of the very heavy elements at its extreme right end is greater than the mass of the hydrogen atoms that are constituents of the common elements lying along or near the bottom of the curve. Hence, any *disintegration* of these heaviest atoms, like uranium and thorium, into the stabler atoms that lie on the lower part of the curve, must also be accompanied by a release of atomic energy, though of much lesser amount per hydrogen atom involved than in the case of the synthesis discussed above. *It is thus synthesis, not disintegration, that is the great source of atomic energy; and the precise mechanism of its release will be next considered in the case of the synthesis of helium.*

There is one set of reactions going on in the sun and stars the end-result of which is of great cosmic significance, namely, the building-up of helium out of hydrogen. The energy-value of this reaction will now be worked out with somewhat more accuracy than was used

<sup>1</sup> See *Phil. Mag.*, XLII (1921), 309 n., and XXX (1915), 723-34.

above in the case of the hypothetical building-up of oxygen out of hydrogen; for it is only approximately correct to say that the atom of helium is produced by the union of four atoms of hydrogen. More accurately, its nucleus is, in fact, the union of two protons and two neutrons. The mass of the proton,  $p$ , is, of course, the mass of the neutral hydrogen atom (1.001812) less the mass of its valence electron (0.00055), so that the mass of the free proton is the difference, or  $p = 1.00757$  a m u. Hence the mass of these two protons and two neutrons is

$$2 \times 1.00757 + 2 \times 1.00893 = 4.0330 \text{ a m u.}$$

But to build up completely a neutral  $\text{He}^4$  atom, one must add the mass of its two circumnuclear electrons, viz.,  $2 \times 0.00055 = 0.00110$  a m u. The total mass of the *neutral* He atom, when it is thought of as merely the sum of its two isolated protons, two isolated neutrons, and two isolated electrons, is, then,  $\text{He}^4 = 4.03300 + 0.0011 = 4.0341$  a m u. But the mass of a neutral He atom, *as directly measured with the mass spectroscope*, is 4.0039 a m u. Hence, in the building-up of the neutral helium atom from its separated elements there has been a loss of mass and therefore a packing-fraction energy released, the amount of which

$$\Delta M = 4.0341 - 4.0039 = 0.0302 \text{ a m u.}$$

Since 1 a m u = 932 Mev, this reaction of the building of  ${}_2\text{He}^4$  out of  ${}_1\text{H}^1$  releases  $.03 \times 932 = 28$  Mev.

This is obviously a measure of the *binding energy of the helium nucleus*. It is nearly three times the kinetic energy of the fastest  $\alpha$ -ray particle from radium and shows why these latter particles are much too stable to

be knocked to pieces by the impacts which they experience in shooting through matter. This large binding energy of the helium atom also accounts, in part, for the great abundance of helium in the universe, for nature is always tending toward a state of greater stability. Bowen's spectroscopic measurements give helium ten times the abundance, in interstellar space, of any other element except hydrogen, which he makes ten times more abundant there even than helium; while Russell estimates that hydrogen still constitutes 90 per cent of the entire universe, and Bethe estimates that 80 per cent of the atoms in the sun are H atoms. The reason that helium is so *nonabundant* on the earth is that its inability to combine prevents it from being held here *in combination*, as is hydrogen, while the lightness and smallness of the helium atom give it the greatest chance of escape from the earth's atmosphere under its own energy of agitation of any gaseous atom or molecule, save uncombined hydrogen.

A glance at the packing-fraction curve shows that all of the most stable and most abundant elements, save hydrogen and helium, lie close to the zero line of the curve, or below it. The bottom of this curve is a position from which atoms cannot be transformed into other atoms with any release of packing-fraction energy at all. In other words, *there is no atomic energy available on earth, or, according to the generally accepted view of Bethe (see below), even in the sun and stars, save packing-fraction energy.* This puts a limitation on the present and future supply of energy from atomic sources that even some of the workers in nuclear physics may not have yet realized. I shall elaborate the reasons for this statement in the last chapter but will here present the substance of the argument.

Prior to 1905 there was no satisfactory theory as to how the sun has been able to pour out light and radiant heat for the great length of time that geologists consider necessary in order to account for the existence of life on earth for at least 500 million years and for the many more millions of years which their observed geological changes, sedimentation, and the like seemed to require. So the geologists and astronomers rejected as wholly inadequate the time scale required by Kelvin's computation of the simple rate of cooling of a body of the size and constitution of the sun. Forest Ray Moulton came to my office in Chicago in 1904 with computations showing that if the sun were made of pure uranium it could not have thrown out heat at its observed rate and have lasted so long as would be necessary to account for the earth's (then estimated) lifetime, now known from radioactive measurements to have been at least  $2\frac{1}{2}$  billion years, and probably much more.

These difficulties all vanished in 1905, when Einstein's equation appeared and showed that, in any case, the sun, not only could be, but had to be, radiating away its mass, and that the hugeness of the  $c^2$  factor in  $E=mc^2$  meant that nothing like the sun's total mass had to be used up to account for a lifetime of the sun much greater than was required by radioactive or other evidence as to the age of the earth.

Not long after 1905, packing fractions were discussed in many physical laboratories as a source of the necessary energy; for, although Aston's curve did not appear until the early twenties, the basic facts of "atomic packing-fraction energy" were widely discussed and even quantitatively worked out for some atoms, as in the case of oxy-

gen, above, long before that date. They were certainly quite elaborately computed and published in the aforementioned article by Harkins and Wilson in 1915 (see p. 410 n.). Also, it was in this same early period that Eddington<sup>1</sup> or Jeans adopted the rival idea of the maintenance of stellar heat, not through the release of this packing-fraction energy involved in the building-up of the heavier atoms out of hydrogen, but rather *through the complete annihilation of atoms within the stars* and the appearance of the equivalent heat as given by the Einstein equation  $E=mc^2$ , in which  $m$  now represents *the whole* mass taking part in the energy transformation rather than a small part of it. The following quotation from a recent Sigma Xi address by Bethe<sup>2</sup> reveals how influentially this latter view was promoted by Eddington a very few years later. Says Bethe:

The sun continuously releases enormous quantities of radiation into space. Each second the energy of the emitted radiation amounts to  $4 \times 10^{33}$  ergs (= 111 billion billion kilowatt hours). At the rate of one cent per kilowatt hour we should have to pay a billion dollars to keep the sun going for a single second. Terrestrial sources of energy are ridiculously insignificant in comparison with these huge amounts. Even if we assumed (which we know to be false) that the sun consisted entirely of coal (carbon), mixed with the right quantity of oxygen, the combustion of that entire material would supply the sun's energy for only 2500 years, which is less than the duration of the written history of mankind.

The theory most widely believed in the nineteen-twenties was Eddington's hypothesis that the energy was produced by a mutual annihilation of protons and electrons in which the mass energy,  $mc^2$ , of these particles was converted into radiation. Such a proc-

<sup>1</sup> Eddington, *Nature*, XCIX (1917), 445, in which he attributes the idea to Jeans (*Nature*, LXX [1904], 101).

<sup>2</sup> *Amer. Sci.*, XXX (1942), 243.

ess would certainly give sufficient energy; in fact it gives a greater energy and therefore a longer lifetime than any other conceivable process.

It was actually in part because of the foregoing theory current in 1928 that Cameron and I in that year wrongly interpreted our cosmic-ray observations in terms of packing-fraction theory. We had proved experimentally that the cosmic rays do not come to us from the sun or stars, and certainly not from the earth, and therefore must have an origin different from that of surface stellar heat. We had also proved that the so-called Klein-Nishina formula, then universally recognized as valid for such computations, located our main observed cosmic-ray absorption band very close to where it should fall if it were due to the building-up of helium atoms out of hydrogen atoms. *In other words, if surface stellar heat were due to the complete transformation of atoms into radiant heat, as Eddington was then asserting, then cosmic rays could not be so interpreted, since our experiments showed that these rays did not come from the sun or stars.* We therefore interpreted them as due to the only possible remaining source of atomic energy, namely, the packing-fraction-energy released through the act of the building-up of helium and other atoms out of hydrogen atoms *under the conditions existing in interstellar space.*

The difficulty with this hypothesis was that the Klein-Nishina formula used by all of us—Jeans, Oppenheimer, Millikan, Regener, and others—was invalid in the region of cosmic-ray energies. Carl Anderson and I first proved this invalidity in 1931 by measuring directly—and for the first time not through an absorption formula, like Klein-Nishina's—the energies of our cosmic rays;



for we measured directly in a vertical cloud chamber cosmic-ray tracks that had more than five times' greater energy than could have any conceivable packing fractions as their source (see Fig. 79). But we have now what seems to us good evidence, as will be shown in a later chapter, that *the complete transformation of the mass of atoms out in interstellar space* into a pair of charged particle rays is the origin of at least the great majority of the cosmic rays. This means, I think, that, since we get no cosmic rays from the sun or stars, there is now no alternative but to reverse completely my original assumption and to postulate, instead, that *the sole cause of the sun's radiant heat is packing-fraction energy*, not total atomic mass annihilation, which we need for the source of cosmic rays under the extreme conditions of freedom from molecular impacts existing in interstellar space.

But this latter conclusion, reached from an entirely different angle of approach, viz., *that it is only packing-fraction energy that feeds the sun's furnaces*, is precisely that which Bethe now supports so strongly, not only with respect to the sun's surface radiations but also with respect to the fundamental nuclear transformations going on in the sun's interior. His penetrating analysis explains so many known cosmic phenomena that I think his views are now quite generally accepted. Briefly stated, his conclusion is that, though a whole series of chains of nuclear reactions involving the carbon nucleus, and also the nitrogen and oxygen nuclei, are going on in the sun's interior, where the temperature is 35,000,000° F., the end result of all these reactions is simply that *helium is continually being formed at the expense of the supply of hydrogen in the sun*. According to Bethe's analysis, the carbon

and the other atoms mentioned above have not been consumed in these reactions but have merely acted as catalysts to keep things going.

According to Bethe's analysis, then, *the sun's temperature and heat emitted are being maintained solely by the packing-fraction energy of this reaction* which we have just worked out and which yields  $\Delta M = .03$  a m u, or 28 Mev of energy for each atom of helium that is built up in the sun out of four atoms of hydrogen.

*Here is, then, the great inexhaustible source of atomic energy, namely, the building-up, or synthesis, of helium out of the primordial element hydrogen*, of which the universe is still so largely constituted. Even if the other elements are being similarly built up, as they must have been sometime, somewhere, in view of Harkins and Wilson's rule that the release of packing-fraction energy per hydrogen atom consumed is substantially a constant, we should only need to know the rate at which hydrogen is disappearing to predict the rate of heat evolution and hence the lifetime of any star.

## II. RADIOACTIVITY AND FISSION

The reason we physicists are wont to date the rise of modern physics from the discovery of X-rays by Roentgen in December, 1895, is that in the immediate train of that discovery, and stimulated by it, there followed quickly: the discovery, by Becquerel in Paris in 1896, of radioactivity; the demonstration, to the satisfaction of physicists generally, by J. J. Thomson in England in 1897, of the concept of the electron as a fundamental constituent of all the atoms in the universe; and the discovery by Planck in Berlin in 1900 of "quanta."

Of these three discoveries, the electron has been the most useful to mankind, for there is scarcely an industry that does not use it, and many new industries have been created by it. Radioactivity has been the most spectacular of the three, the most startling to human thought, and the most stirring to human imagination, for it destroyed the idea of the immutability of the elements and showed that the dreams of the alchemists might yet come true.

Planck's discovery of quanta had the most profound influence of any of these three discoveries upon the fundamentals of physics. It was not revolutionary in undoing the past. The old laws still held in the field in which they had been experimentally tested. The reason the discovery had not been made earlier is that man was here entering an almost completely unexplored domain of the very existence of which he had thus far scarcely dreamed, namely, the domain of subatomic or microscopic, as distinguished from ordinary or macroscopic, energy or momentum exchanges. Practically the whole of our lives are still spent in the macroscopic world of ordinary, large-scale phenomena, in which energy exchanges are continuous processes described by differential equations and governed by the established laws of Galilean-Newtonian mechanics. In the discovery of quanta, man entered for the first time a new atomic, or microscopic, world in which continuous changes, with their laws, no longer rule, but where, instead, all energy exchanges represent sudden, discrete energy jumps that we call "quanta," defined by the product  $h\nu$ , where  $h$  is a universal constant called "Planck's  $h$ " and  $\nu$  is the frequency of a vibration.

Electrons and quanta have been treated in earlier chapters; but my own first researches were in the field of

radioactivity, which I entered in 1900, collecting from all over the world and testing uranium ores for the relation between their radioactivity and their uranium content—a research which Boltwood of Yale did better and more extensively than I. The results were briefly reported at the world's scientific congress held in St. Louis in 1904. It was thus that I first began to realize the rarity of uranium ores.

I have said that radioactivity was revolutionary to human thought, for it meant that some, even of the "eternal atoms," namely, those of uranium and thorium, are unstable and are spontaneously throwing off, with great energy, pieces of themselves, thus transforming themselves into other atoms, notably helium, following the universal tendency of matter to pass over into a state of maximum stability—a state that has already been practically reached on earth by the atoms of all save a few very rare and very heavy elements.

The rate, for example, at which helium atoms under this tendency are flying out of a given weight of radium can easily be counted in any laboratory. Indeed, in the so-called "spintariscopes," a toy now found in every high school, anyone can see and count the flashes made on a fluorescent screen as the charged helium atoms incessantly plunge with an energy each of some two million electron-volts against the screen. To me, it is still a thrilling sight to witness that perpetual violent bombardment and those flashing impacts. The half-lifetime of radium (time required to lose half its activity), for example, has thus been fixed at 1,600 years; the half-lifetime of ordinary uranium (atomic mass 238), at 4.5 billion years. This means that some unknown billions of years ago any

particular piece of uranium, the heaviest of earth's known elements, was somewhere, somehow—perhaps through titanic pressures and temperatures existing in a star—built up into that unstable state, either directly out of helium atoms or out of the great primordial element hydrogen, which is, in fact, the building unit of all of the ninety-two elements found on earth.

We can, of course, utilize the kinetic energies of these high-speed helium nuclei ( $\alpha$  particles) continuously being shot out from uranium and thorium and their radioactive progeny. This was realized by the year 1905; and so-called "radium clocks" were actually built and this atomic energy thus put to work, but at a rate so minute that it did not disturb the power industry any more than the present utilization of atomic energy now seems to me likely to do.

Further, when the idea of packing-fraction energies was first worked out thirty-five years ago, some enthusiastic scientists followed their Jules Verne urge and got into print with the statement that there was enough atomic energy in a cupful of sea water to drive the biggest ship across the Atlantic. They might have told a much bigger story than that, namely, that *if* it were possible to make the hydrogen in all the seas combine at once into helium, or, indeed, into any of the common elements, then we could probably explode the whole earth and transform it into a nebula with the packing-fraction energy set free.

The difficulty is that, so far as we can now see, this particular packing-fraction job is one which the Great Architect assigned to the stupendous pressures and temperatures existing in the interiors of the stars, not to puny

man or even to cold-blooded Mother Earth, for it takes a far hotter body than the Earth to do that job. This situation has been so well known that for the past thirty-five years I have not heard of a scientist or even a newspaper columnist who grew jittery over the prospect of man's setting off that explosion.

But, as already indicated, the disintegration of some of the heavy unstable atoms at the right end of the curve in Figure 79 into lighter and more stable atoms can also release packing-fraction energy in much smaller amounts per H unit, and is continually doing so in the radioactivity of uranium and thorium—at a rate, however, which is negligibly small, so far as the practical applications of heat energy are concerned. Furthermore, one of the most striking characteristics of radioactivity, as originally studied, was that it was going on at a constant rate which was completely beyond man's control, neither temperature nor pressure changing it perceptibly.

But *the discovery of the neutron and its properties changed radically the foregoing picture.* For neutrons, if shot into or simply showered upon uranium metal, since they carry no charge at all, are not repelled away from a uranium atom in their path, either by the swarm of revolving negative electrons that guard its periphery or by the strong positive charge on its nucleus. They therefore not only have very long "mean-free-paths" as they plunge through any mass but can easily penetrate into the structure of the nucleus itself. This nucleus has within it a number of protons equal to its atomic number  $Z$  (for  $\text{Ur}=92$ ) and a number of neutrons equal to the difference between its atomic weight  $A$  and its atomic number  $Z$  ( $A-Z$  for  $\text{Ur}$  is  $238-92=146$ ); and its radius  $\rho$  is esti-

mated, from experiments in the case of small atoms, to have a value of  $2 \times 10^{-13}$  cm., and, in the case of large atoms like Ur, it is computed from the empirical equation  $\rho = 2 \times 10^{-13} Z^{\frac{1}{3}}$  cm.  $\cong$  for Ur  $10^{-12}$  cm.<sup>1</sup> This nucleus must, of course, be held together in the case of stable atoms by attractive forces of some kind between neutrons and protons which are sufficient to balance the repulsive forces between the protons themselves.

A glance at Appendix I shows that all the most abundant and most stable atoms—nearly all contained in the first three rows of the periodic table—have their atomic weights close to twice their atomic numbers, which means that these nuclei contain the same number of neutrons as of protons, thus showing that this is the relation of greatest atomic stability; but from  $Z = 50$  up to  $Z = 92$  a rapidly increasing excess of neutrons is required to hold in check the repulsive forces brought into play by the increasing positive charge on the nucleus as the atomic number  $Z$  increases; for the electrical forces between protons are coulomb forces, not short-range forces like those between protons and neutrons. A result of the increasing repulsions between the protons as  $Z$  increases is that all of the atoms of atomic number above 83 are so unstable as to be radioactive. These relationships thus illuminate, and to a degree explain, radioactivity.

But the idea that it might be possible to control and to accelerate the disintegration of uranium could scarcely have occurred to anyone prior to the discovery of the

<sup>1</sup> Since the volume of the nucleus is  $\frac{4}{3}\pi\rho^3$ , it is seen that the volumes of different nuclei are proportional to the number of contained protons  $Z$ , and this means that a neutron clings tightly to the nearest proton but exerts little or no force on more distant ones.

neutron, nor the realization that this particle, because of its lack of charge, should have the ability to penetrate with relative ease into the nucleus of an atom like uranium and, by so doing, to increase the latter's instability and thus artificially very greatly stimulate the rate of release of its packing-fraction energy. But as a result of this property of the neutron, when on January 6, 1939, Otto Hahn and F. Strassman in Germany published in *Naturwissenschaften* their observation that one of the isotopes of barium was produced by the bombardment of uranium by neutrons, two refugees from Germany who had gone to Bohr's institute in Copenhagen, namely, Dr. Lise Meitner and her nephew, O. R. Frisch, published in the February 11 and 18 issues of *Nature* their "conclusive physical evidence for the breaking up of uranium nuclei into parts of comparable size" under the influence of neutron bombardment with the release of practically the whole of its packing-fraction energy. This was the discovery of "uranium fission," so-called in the foregoing reports by its discoverers. The atomic number of barium is 56, an isotope of which was already known to be one of the fission fragments; and if the other fragment of the split was krypton, atomic number 36, the sum of these two numbers would be 92, the atomic number of uranium. Both barium and krypton are on or near the very lowest part of the packing-fraction curve.

Bohr was just then (January, 1939) leaving for the United States, and on his arrival he communicated the Hahn-Meitner-Frisch results and ideas to the American physicists Fermi, Dunning, and Pegram at Columbia, Wheeler at Princeton, and some others. Fermi suggested that new neutrons might be emitted from the bombarded



uranium atoms. The implications of this guess as to the possibility of "a chain reaction" were obvious. Four American laboratories at once started experiments to confirm the foregoing uranium-fission and chain reaction ideas; and the February 15, 1939, issue of the *Physical Review* contained positive experimental confirmation sent in from Columbia, Johns Hopkins, the Carnegie Institute of Washington, and Berkeley; also, Joliot in Paris published similar results in the *Comptes rendus* of January 30, 1939, and before the end of 1939 nearly one hundred papers on this subject had appeared.

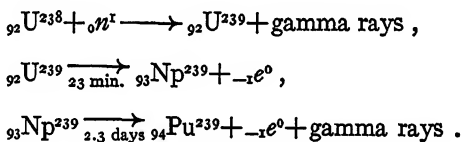
One of the most important observations on neutrons was made by Amaldi, Fermi, Rasetti,<sup>1</sup> and others, who had found, as early as 1935, that the activity induced by shooting fast neutrons into silver and many other elements was greatly increased when between the silver and the neutron source there was interposed paraffin, water, or other substances made up largely of hydrogen. The authors explained these results by assuming (1) that the neutrons had been *slowed down* by successive impacts with hydrogen nuclei and (2) that slow neutrons are captured by the nuclei of silver and other substances much more easily than are fast ones. Neutrons that have thus been slowed down to ordinary molecular speeds through collisions with hydrogen or other light atoms are called "thermal neutrons." Thus, the passage of even 5 Mev neutrons through 10 cm. of paraffin will reduce them to thermal neutrons, the energy of which is usually taken at 15° C. as 0.025 electron-volts. The mean free path or distance of travel between elastic collisions in paraffin of such thermal neutrons is about 3 mm.

<sup>1</sup> *Roy. Soc. Proc.*, CXLIX (1935), 522.

Return, now, to the idea of a chain reaction which is an essential if the splitting of uranium atoms is to take place explosively throughout a large mass of uranium. The only fissionable isotope of uranium for slow (thermal) neutrons is the 235 isotope, and this represents only one 140th part of the uranium atoms. If the two pieces into which the entrance of a neutron into a 235 uranium atom splits are isotopes of barium and krypton, as suggested above, since the heaviest isotope of barium has an atomic mass of 138 and the heaviest isotope of krypton has an atomic mass of 86, only  $138+86=224$  out of the 235 a m u are thus accounted for, and there is therefore room for some neutrons to be thrown out in the splitting process, in addition to the assumed barium and krypton fragments. These new neutrons, in their turn, penetrate neighboring uranium atoms and split them up, so that the free neutrons in the mass multiply very rapidly. The result is the aforementioned chain reaction, setting off in an incredibly short time an enormous number of uranium 235 atoms and thus making a giant explosion, which, however, is contingent upon the separation of 235 from the other isotopes, a very difficult and very expensive process, which has, however, been successfully accomplished. This all means that man has now at his disposal, *whenever costs can be disregarded*, an explosive agent, namely 235, which is, weight for weight, about 1,000,000 times as powerful as TNT. This is one type of uranium atomic bomb.

There is a second type of fission, giving rise to the so-called "plutonium bomb," which originates thus: The uranium isotope 238, which represents 98 per cent of all uranium atoms, is not directly fissionable by slow neutrons; but it is able to receive and incorporate into itself

a higher-speed neutron, and upon doing so becomes, at first, U 239. But this substance possesses beta-ray activity and has a half-lifetime of 23 minutes. Through the emission of a beta ray it increases, by one, its positive charge, thus making it a new transuranic element of atomic number 93. This has been given the name "neptunium." This atom of neptunium again shows negative radioactivity, of half-lifetime 2.3 days. Through the emission of another beta ray it, in turn, changes its nuclear charge to  $Z=94$ ; and *this second transuranic element, called plutonium, has been found to be capable of undergoing neutron fission and hence of behaving quite like U 235.* The reactions involved in the foregoing changes are as follows:



The process, then, of making a plutonium bomb involves, first, the bombarding of pure uranium metal with neutrons and thus creating within the uranium mass atoms of plutonium. These constitute a new fissionable element which can be separated out by both chemical and physical (magnetic) means and which, *after such tedious and expensive separation*, can be used so as to induce in it, through showering it with neutrons, a chain reaction precisely as in the case of 235 considered above.

The source of neutrons that do the bombarding of ordinary uranium to transform some of its atoms into plutonium was provided in the original experimental work

by a beam of protons (accelerated by a cyclotron) impinging upon a beryllium target and throwing out neutrons through a reaction similar to that assumed by Chadwick (see p. 372). In other cases  $U^{238}$  was bombarded with deuterons, thus yielding  ${}_{93}Np^{238}$ , which disintegrates, as shown above, to  ${}_{94}Pu^{238}$  by beta emission. There are a number of other reactions yielding neutrons which might be used as the source of neutrons to do the bombarding, but in the bomb the chain reaction itself can supply its own starter neutrons once the mass is supercritical. But the problem of separating out after such bombardment the plutonium from  $U^{238}$  is very difficult and expensive, just as is the problem of separating  $U^{235}$  from  $U^{238}$  in the manufacture of the 235 bomb.

But whether it is desired to utilize atomic energy for making bombs or merely for doing useful work of any kind, the supreme need is obviously not only a chain reaction but the complete *control* of such a reaction, so as to be able to release the packing-fraction energy only at the desired instant, or, in the case of useful work, to turn the power on and off at will. Those are not the kinds of problems that can be discussed in a book of this sort, which deals primarily merely with some of the fundamental principles of modern physics rather than with its engineering applications. The physicists, chemists, and engineers who went far enough in the solution of these problems to produce the bombs that destroyed two Japanese cities solved some supremely difficult technical problems, and their success has placed before mankind the most momentous decisions the human race has yet encountered. These are very briefly discussed in the following section.

## III. THE CONTROL OF THE ATOMIC BOMB

Throughout the ages good men have sought, thus far in vain, to devise methods of eliminating aggressive war. But even before the advent of the atomic bomb the increasing destructiveness of war, because of the unparalleled developments in the field of weapons, of communications, and of transportation, have made it crystal clear to all thoughtful men that this goal had to be attained if mankind was to be saved from self-destruction. Time was when war may have served a useful purpose in the development of the race, but with the advent of modern total and global war no thinking man can question that that time is past. It now profits no one, victor or vanquished; and as soon as that situation is made clear to the great majority of mankind, a being that has any sort of rationality or intelligence in his makeup, or even any instinct for self-preservation, must and will find a way to save himself from annihilation.

Alfred Nobel, a great pacifist, was convinced that his invention of nitroglycerine had done more to bring about the elimination of war than all the sermons and peace conferences had ever done or could ever do; and with the experiences of the two world wars of the last thirty years before them the great majority of intelligent men had determined, even before the advent of the atomic bomb, to join the ranks of those determined to put a stop, if possible, to international wars. *The great service to mankind of the advent of the atomic bomb has been to make as clear as crystal, to all classes and conditions of men the world over, the necessity for such action.* In my own judgment, no other service that the study of atomic energy can render to mankind is likely to be in any way comparable to this

service; and the other services could all be profitably discarded if this one succeeds. I shall discuss below the limitations as to the types of other service that it can render, but whether I am right or wrong in that analysis is relatively unimportant. The necessity that it has placed upon all mankind to find a substitute for war in the handling of its international relations is that which, in itself, without reference to further inventions of any kind, will make *a new world*; and its influence in making a new world will probably be greater than all other influences combined. Without it, there certainly will be no worth-while new world. I expect the influence of industrial applications to be wholly negligible in comparison with this supreme service.

The above-mentioned limitations are imposed by the nature of the atoms and of packing-fraction energy, as shown in Figure 79. On account of the abundance of hydrogen in the universe, there is here revealed a *practically unlimited supply of atomic energy, owing to atom-building processes* going on, to the best of our knowledge, only in the sun and stars, and in all probability forever unattainable on the earth or on any cold body. But this use of atomic energy is nothing new. Indeed, this has been *practically the sole source of all the energy which the earth has received and which man has had at his disposal in times past*. To it have been due all life on earth and every organized activity that differentiates the earth from the burned-out cinders from the sun, like the moon and some of the planets. For billions of years the sun has been shooting this kind of atomic packing-fraction energy down to us at the rate of 186,000 miles a second and in practically unlimited quantities. And through all the

ages since life appeared here, Mother Earth has been storing it up for man's use in coal and oil. Furthermore, as the Sun creates it now, he is turning over our share to us earth-dwellers in waterfalls and winds at a rate very much greater than that at which we are now using it. And the only reason we do not use it more is that, so long as the gas and oil and coal last, these three forms of bottled sunlight constitute by far *the cheapest sources of atomic energy available to us*.

When the world's supply of energy thus stored up in past ages for mankind's present use is gone—say 2,000 years hence—we shall use the winds and the tides and the waterfalls more fully than at present, grow tropical fuel plants, and devise machines for utilizing the direct sunlight, which we know how to do now but which comes, at present, a little too high in price to compete, in a large way, with coal and oil, though it does even that, to some extent, in our present use of solar heaters. To get a rough idea of how abundant and how cheap this source of energy is, it is only necessary to reflect upon the following striking figures.

The intensity of solar radiation that gets through our atmosphere and down to earth can be taken as 1.5 calories per square centimeter per minute,<sup>2</sup> or 5,400 large calories per square meter in 6 hours. Hence, since the burning of a kilogram of coal evolves 8,000 large calories, 6 hours of sunlight falling on a meter of the earth's surface has the heating value of 0.675 kilograms of coal. There are 4,045 square meters in an acre, so that 6 hours of sunlight falling on an acre has the heating value of  $4,045 \times 0.675 =$

<sup>2</sup> See H. A. Spoehr, "Photosynthesis and the Possible Use of Solar Energy," *Jour. Indus. and Engin. Chem.*, Vol. XIV (December, 1922).

2,730 kilograms, or 3.00 tons of coal. If 90 days is taken as the growing season, the heat equivalent of  $3 \times 90 = 270$  tons of coal falls per season on an acre. Every square mile (640 acres) of the United States then receives per growing season the heat equivalent of  $270 \times 640$ , or 172,800 tons of coal, worth, at \$6.00 a ton, \$1,036,800.00 per season.

Why do we not make use of this continuous inflow of solar atomic energy at such a stupendous rate? The answer is that that is precisely what we do in a limited way whenever we instal a windmill, or develop a hydroelectric power plant or a solar heater, or make attempts, as we have done in a few places, to grow corn or other crops for the sake of the liquid fuel values to be obtained from them. But, so long as the *stored sunlight* of bygone ages is here in such abundance and such cheapness in oil fields and coal beds,<sup>\*</sup> the great bulk of our power will continue to come from such sources simply because the other sources cannot compete in price. But when the coal and the oil are all gone, man will have to pay a little more for his power than he pays now; but the difference in cost need not be enough to be an occasion of worry to our descendants then alive.

But consider next that other question raised in some uncontrolled imaginations by the advent of the atomic

<sup>\*</sup> The total world supply of coal alone is estimated to be about 8,000,000,000,000 tons. The present yearly consumption is about 2,000,000,000 tons. At this rate, the coal will last 4,000 years. In the *Lamp* (XXIII [1946], 10) the world's available supply of Ur is estimated at 30,000 tons having a fissionable content of 0.7 per cent, or 210 tons. The Smyth report gives, energy-wise, 1 ton of  $^{235}\text{U}$  = 3,000,000 tons of coal. The annual energy drain on coalbeds would thus exhaust the world's supply of  $^{235}\text{U}$  in 4 months. If all Ur atoms were made fissionable (even if possible *very* costly) the foregoing Ur supply would last 45 years.



bomb: What is the economic competitive position of the power that comes from uranium fission or *from the disintegration of the few other heavy unstable atoms on the right end of the packing-fraction curve* into the lighter stable elements along the bottom of Figure 79? My answer is: *Where costs are not important*, as in wartime, or in the cure of disease, or in a very limited number of other uses, the heavy unstable atoms will doubtless find a place as power-producers, but in my judgment a relatively small one. For the total energy available from the disintegration of these rare unstable elements is wholly trivial in comparison with that available from bottled and direct sunlight.

It is to be remembered that, ignoring the primordial element hydrogen, all the abundant elements are stable elements. That is why they are abundant. The atoms found on the earth have had billions of years to respond to the universal tendency to settle down into their most stable states. *There is no available energy left in any of the elements that lie along the bottom of the packing-fraction curve.* Only a few very rare elements at the right end of the packing curve are capable of undergoing an exothermic (giving out energy) reaction; and these are all rare elements, easily exhausted and therefore increasingly costly and requiring conservation rather than reckless exhaustion. How rare and how costly they are now can be seen from a consideration of gold. Although gold, so far as we now know, is not fissionable, it certainly has in it available packing-fraction energy, as shown in Figure 79. But suppose we had no coal or sunlight power and were forced to burn up our gold to get our heat and power. How long would it last, and to what point would its

price skyrocket under the pressure to get some of it or freeze to death? But uranium, while not so rare as gold, is exceedingly rare in comparison with any of the abundant, and therefore cheap and inexhaustible, elements.

The following facts will be illuminating as to what constitutes an abundant and what a rare element. I list herewith the more common elements *in the order of their abundance* in the crust of the earth on which we live,<sup>1</sup> the figure after each element signifying the percentage by weight in which it appears; but I stop as soon as an element is reached the abundance of which falls below one-tenth of 1 per cent: The first four are: oxygen, 46.6; silicon, 27.7; aluminum, 8.19; and iron, 5.0. *These four elements thus constitute 87.4 per cent of the earth's crust.* The next four elements are close to one another in the percentage they occupy. They are calcium, 3.6; sodium, 2.8; potassium, 2.16; and magnesium, 2.1. *The foregoing eight elements constitute 98.5 per cent of the earth's crust.* Titanium follows next, with 0.4 per cent, and manganese, with 0.1 per cent, making the total percentage of the whole covered by these ten elements 99 per cent.

I shall henceforth call no element "abundant" which constitutes less than 0.1 per cent of the earth's surface. Of all the elements under 0.1 per cent, barium is one of the most plentiful; and it has an abundance of but one-fortieth of 1 per cent, or, if we count not by density but instead by relative number of its molecules, which is what we are concerned with in fission problems, then barium's abundance is less than one-hundredth of 1 per cent. Everything below this I shall call "rare." Urani-

<sup>1</sup> V. M. Goldsmidt, *Geochemische Verteilungsgesetze der Elemente* (Oslo: T. Dybwad, 1938).

um has, by this standard, one-eightieth the abundance of barium. For barium  $Z=56$  and  $A=138$ . Further, it will be seen from Figure 79 that barium lies close to the very bottom of the packing-fraction curve. Only from elements to the right of it on the curve can any energy from fission or disintegration come, and the sum of all these thirty-six elements put together yields an abundance less than that of barium alone, and this is substantially so even when all the elements down to atomic number 41 are added. These are the only elements through the fission or disintegration of which, by any means, heat or power could be obtained; and they are far too valuable and too rare to be wasted in that way by intelligent men. Even if they were fissionable, they would need government conservation, not use as a substitution for fuel.

Again, how rare, from a *practical* point of view, uranium is can be seen from that portion of the history that I have myself followed closely since 1900, when I began searching the world over for uranium ores. For the first ten or twelve years after the discovery of radium in 1898, it was the pitchblende ore from the Joachimsthal mines in Austria (now Czechoslovakia) that supplied the world demand for radium for scientific and therapeutic uses. Then, because of its extreme scarcity, the Austrian government put an embargo on the export of this ore. At this time Mr. Joseph Flannery, who had been president of the Vanadium Company, of Pittsburgh, and had promoted the use of vanadium as an alloy of steel, turned his attention to a careful study of the uranium situation. He found that "the ores of Europe were out of the question" because of the Austrian monopoly and that "the few deposits that were found in other parts of the world were

not of sufficient extent to justify serious consideration.” However, in a desolate section of southwestern Colorado and southeastern Utah there were 800 square miles of carnotite deposits—sands on the grains of which the yellow oxide of uranium has crystallized out. These low-grade Colorado carnotites averaged less than 1 per cent uranium, while the Joachimsthal pitchblende had run up to 10 per cent uranium.

Knowing that both Professor Herbert McCoy and I had been working on uranium ores, Mr. Flannery approached us to find a young Ph.D. whom we had trained. We recommended to him Dr. Charles D. Viol, who went to Pittsburgh as director of Mr. Flannery’s new “Radium Laboratories of the Standard Chemical Company of Pittsburgh.” Under Dr. Viol’s directorship this company succeeded in developing a process for the extraction of radium from carnotite, turned out its first commercial radium (2.1 grams) in 1913, and between 1913 and 1918 produced 39 grams of radium element which was then marketed at \$125,000 a gram.<sup>1</sup> Dr. Viol also estimated that the total radium production in the United States up to 1919 was 55 grams of radium element, “probably more than half of all the radium produced in the world up to that date.” By 1921 this company had raised its total production from the Colorado carnotites to 72 grams.<sup>2</sup> In the single year 1920 it produced 18.5 grams. Fur-

<sup>1</sup> Charles H. Viol, *Science*, XLIX (1919), 227. Also the *Keystone*, February, 1919, and “The Story of Radium,” *New York Times*, May 15, 1921. Dr. Viol himself died in the late twenties of cancer, contracted through too much unprotected raying with  $\beta$  rays.

<sup>2</sup> The equilibrium radium content of pure uranium metal is one part in 3,000,000 (*Radioactivité Mme Curie* [Paris: Herman & Cie, 1935], p. 141).

ther, in view of the fact that Dr. R. B. Moore, of the United States Bureau of Mines, had estimated the total radium content of the Colorado carnotites to be but 135 grams all told and that the Standard Chemical Company's carnotite holdings were much larger than those of any other single company, the latter organization made a careful survey (in part by systematic diamond drilling) and estimated the total Colorado carnotite's content of radium metal as at least 500 grams, in place of the Bureau of Mines' estimate of 135 grams. In 1921 the women of the United States raised and paid \$120,000 for a gram of radium for Mme Curie and gave me the pleasure of making the presentation speech to her at a public meeting at the National Museum in Washington.

In 1922 I was C. R. B. Exchange Professor to Belgium and was a guest of Mr. Franqui, Belgian industrialist and financier, who, as a young man, had been instrumental in acquiring for his country the Belgian Congo. He told me he was in a position to destroy the United States' monopoly in the production of radium, for, said he, "Your poor carnotite ore—and there is no other available to you—cannot compete with the new rich Congo pitchblende ore, which occasionally runs up to 15 per cent uranium metal."

Mr. Franqui made good on his boast; and within two years the two chief radium-producing companies in the United States had gone out of business, and the Belgian Congo took over the world's monopoly in the production of uranium, holding it for some ten years, when competition from the Big Bear Lake uranium mines in Canada began to bring down the price somewhat. My memory is that the second gram of radium presented to Mme

Curie cost but \$70,000. But even in 1940-45, 90 per cent of all the 1,100 tons of pitchblende ore which was purchased by the United States and used in the development of the uranium bomb, and which was valued as raw ore at over two million dollars (\$1.00 a pound), came from the Belgian Congo.<sup>2</sup>

Thus, after fifty years of search by government bureaus of mines and private individuals and companies, there are now but two places in the world from which uranium ores can be obtained in appreciable quantities. In view of that record and the above-quoted abundance figures, I do not anticipate that either intelligent governments or intelligent individuals will use uranium for any major fuel or power purposes. It is too valuable a material for scientific purposes, for public health purposes, and for such industrial purposes as require small quantities (luminous paints, alloys, etc.) to be wasted on major power projects so fully provided for by the inexhaustible supplies of solar energy, past or present. The same can be said of practically all of the thirty-six atoms heavier than barium, which, as shown by Figure 79, *are the only elements capable in disintegration of releasing atomic packing-fraction energy*. Because of the extreme rarity of these elements, wisdom calls for their conservation rather than for their use for fuel or power. The following figures, taken from the Goldschmidt survey above referred to, show how rare they are. Starting with barium, which has an abundance in the earth's crust of 250 parts in a

<sup>2</sup> *Belgian Congo at War* (2d ed.; New York, 1943), and Walter Ford, *Belgian Africa's Total War* (London, 1942). See also "News from Belgium and the Belgian Congo" (Belgian Information Center, 680 Fifth Avenue, New York, August 11, 1945).

million, of the thirty-six elements heavier than barium there are but six that have an abundance as large as 6.5 per million. These are: tungsten, 69; cerium, 46.1; neodymium, 23.9; lead, 16; tantalum, 15; and thorium, 11.5. The abundance of all the remaining heavy elements is as follows: Sm, 6.5; Gd, 6.4; Pr, 5.5; Hf, 4.5; Dy, 4.5; U, 4; Yb, 2.7; Er, 2.5; Ho, 1.2; Eu, 1.1; Tb, .9; Lu, 0.8; Hg, 0.5; Th, 0.3; Bi, 0.2; Tm, 0.2; Au, 0.005; Pt, 0.005; Ir, 0.001; Re, 0.001; and Os, 0.00(?).

The foregoing estimate of the prospects of obtaining in the coming decades or centuries any major fraction of the world's power from the fission of heavy elements is quite independent of any considerations as to their present market costs. These are determined by the small demand there has been for them for purposes other than power. Any new large demand is, of course, going to skyrocket their cost, just as the discovery of any new large use of anything instantly steps up the demand and skyrockets the price at a rate that is a rapidly rising function of its scarcity, unless government steps in, as in the case of Austrian pitchblende, and interdicts its use in order not to lose a valuable resource. That is why the foregoing very recent and very dependable Goldschmidt figures on abundance contain the key to the problem. However, a few facts about present costs are worth while as a starting-point for one's thinking about the present economics of fission power.

On the very extravagant assumption that every atom of  $U_{235}$  can be split and its whole packing energy released by the capture of a neutron (nothing like this was even approached in the use of the atomic bomb), 1 pound of  $U_{235}$  has the heat value of, roughly, 1,400 tons of

coal. (The Smyth report makes it 1,500 tons.) Again, it takes 140 pounds of pure uranium metal to contain 1 pound of U 235. It is also exceptionally good pitchblende ore that contains 10 per cent of uranium metal, so that it takes 1,400 pounds of such pitchblende to contain 140 pounds of uranium metal. Hence, according to these figures, 1 pound of pitchblende costing \$1.00 has a potential heat value of 1 ton of coal costing \$6.00. That looks like very easy competition for the pitchblende at its present price. The trouble with this comparison is that the coal is ready to be thrown into the furnace just as it is, where it quickly delivers up its whole heat content, whereas the pitchblende, no matter how it is to be used, must be put through, in any case, an elaborate process to have the pure uranium metal extracted from it. Further, if the objective is to make a bomb,<sup>2</sup> it must also be put through another terrifically expensive process requiring huge factory installations in order to effect the very difficult separation in quantity of the U 235 molecules (or the plutonium molecules, for the two problems are essentially alike) from the pure uranium metal, 98 per cent of which is U 238, before the pound of pure 235 atoms is ready to deliver up its packing-fraction energy under the influence of a shower of thermal neutrons. And, even then, the process of heat development may be very inefficient, because only a part of the uranium atoms find the neutrons which they must depend upon to make their splitting act a success.

<sup>2</sup> If the objective is merely to get heat rather than to make a bomb, this second separation process is not necessary, thus considerably reducing the cost; but, in any case, intelligence demands the conserving, rather than the burning-up, of a very rare and very precious resource.



But all the foregoing difficulties arising from the scarcity of uranium, the great difficulties of processing, etc., are just what are needed to make the control of the use of fission energy for the purposes of warfare less difficult than would at first be anticipated. However uncertain the beneficent use of fission energy may be, there is no disagreement anywhere about the fact that civilization is doomed if the use of the atomic bomb in warfare is not effectively eliminated. This is not something of doubtful feasibility. It is an absolute necessity. If we should have to eliminate all the beneficent uses in order to be free from the malevolent one, the price to mankind would be very cheap, indeed. But that is not necessary. All that is needed is simply to put the complete control of the use of fission energy for the purposes of warfare under the control of an international commission and to provide that commission with an adequate inspection service, to which, in the interests of everybody, I hope and believe, all nations would agree not only to submit to but to assist in.

I envisage that inspection service as being provided with an adequate air force for extending its reconnaissance flights all over the world. It ought to be no more difficult to get international recognition of the freedom of the air than such a recognition of the freedom of the seas. There are now only two places in the world in which uranium can be obtained in quantity. Uranium for warfare involves collecting it in large quantities. That cannot be done in secret if the inspection service is given a suitable reconnaissance air service. There are only two places to watch now—better, *two mines that need now to be internationally controlled*—and the foregoing

history gives good assurance that, though others may be found, there never will be many localities in which uranium can be obtained in large quantities.

Uranium bombs cannot be prepared without very large installations. Let all the small-scale experimenting that is desired be permitted; but large-scale production and large processing plants would always be suspect, and, if the inspection service found large-scale undertakings starting anywhere, all the nations of the world would be at once called upon to put a stop to them.

Call this "world government" if you wish; but if the job is wisely done, it would be world government *of very limited powers*—a world commission charged with the one responsibility of seeing to it that no atomic bombs are produced anywhere that might be used for the purposes of war. Something not greatly different from this *must* be done; and, *when it is done, a new world will have been born*. Nothing that atomic fission energy can ever do will be at all comparable with the service to mankind that the atomic bomb, as everyone can now see, has made possible.

## CHAPTER XVII

### GEOMAGNETIC STUDIES IN COSMIC RAYS AT LOW ALTITUDES, 1920-36

#### I. EARLY EVIDENCE AS TO THE PROPERTIES OF COSMIC RAYS

Up to the present no assumption whatever has been made as to the nature of the cosmic rays. The energy considerations presented in chapter xiv are equally applicable to all theories as to their nature. Their very high penetrating power, however, suggests at once their kinship with the gamma rays instead of with the beta rays since at the highest *measured* energies ( $2.6 \times 10^6$  electron-volts) the former have, for a given energy, a penetrating power of the order of a hundred times that of the latter. Something like this ratio seems to hold also in the cosmic-ray field, for it will presently be shown quite definitely that it takes an energy of about 6 billion ( $10^9$ ) electron-volts to enable an electron's influence to reach sea level. To find, then, primary incoming charged particles which have penetrated down to depths beneath the surface of a lake equivalent to a thickness of from 10 to 30 atmospheres, where our experiments and Regener's revealed traces of the rays, is well-nigh unthinkable. From the start the photon hypothesis seemed required to get such enormous penetration without calling upon impossibly large energies, namely, up to  $30 \times 6$  or 180 billion electron-volts. But with a penetrating power of the order of a hundred times that of electrons, the two- or three-billion-volt photons, such as must have produced the showers of

Figures 63 and 64, would be able to reach down as far as could 200-billion-volt electrons, i.e., down to the depths at which we actually find traces of these rays. The great penetrating power, then, of the cosmic rays seemed at once to ally them to the gamma rays.

But there is another and a still more important parallelism between cosmic rays and gamma rays which was brought to light by the work of Hoffmann,<sup>1</sup> Millikan<sup>2</sup> and Cameron, and Compton, Bennett, and Stearns<sup>3</sup> between 1927 and 1931. The observation as made by Hoffmann and Millikan and Cameron in 1927 and 1928 was that when the pressure in a given electroscope was raised from 1 atmosphere of air to 30 atmospheres, although the ionization current was thus multiplied but some 14 times instead of 30 times, this multiplying factor of 14 *was the same for the gamma rays of radium as for the cosmic rays*. Bowen and Millikan<sup>2</sup> then proved that the reason the multiplying factor was but 14 instead of 30 was that with the high pressure 16/30 of the ions formed had recombined before the electric field could separate them and carry them to the electrodes; and the fact that this same factor held for the gamma rays as well as the cosmic rays meant that *the mechanism of ionization had to be the same in both cases*. But since gamma rays are known to ionize only through the mechanism of the secondary high-speed electrons or beta rays which they send across the chamber, the conclusion could be drawn with

<sup>1</sup> Hoffmann and Lindholm, *Gerlands Beitr.*, XX (1928), 12; also *Zeit. f. Physik.*, LXIX (1931), 704.

<sup>2</sup> Millikan and Bowen, *Nature*, CXXVIII (1931), 582; Millikan. *Phys. Rev.*, XXXIX (1932), 401.

<sup>3</sup> Compton, Bennett, and Stearns, *Phys. Rev.*, XXXVIII (1931), 1565.

considerable confidence that *the cosmic rays also ionize mainly through the mechanism of free "electron shots" which they cause to pass through the chamber.* Very important consequences will later be drawn from this type of parallelism between gamma rays and cosmic rays—a parallelism that Neher and I have roughly tested directly or indirectly clear up to altitudes of 60,000 feet.

But the most direct evidence that the immediate ionizing agent in all cosmic-ray ionization is either a free positive or a free negative electron and not in general a proton or any other heavy nucleus comes from a study of the thousands of photographs of cosmic-ray tracks that we have taken. Only electrons and photons can possibly produce the type of effects shown in Figures 59, 60, 61, 62, 63, and 64. These show a continuous gradation in energy as measured by curvature from a few million electron-volts up to a billion without the slightest trace of a difference in ionization between positives and negatives, such as in this range of curvatures is very pronounced between electrons and heavier charged bodies, such as nuclei of any sort. Nothing but electrons (+ and -) can have the combination of curvature and range, to say nothing of ionization, here shown. Further, if the Newtonian laws of encounter hold, protons, neutrons, or other heavy particles cannot transfer energies of millions of electron-volts to electrons such as we find in a great many of our photographs. In the early study of our plates we had found only two tracks that seem to be those of protons (Fig. 54). Whatever then the nature of the original cosmic rays it will henceforth be with us a fundamental assumption that the immediate ionizing agent is, in the main, a free electron (+ or -).

## II. EARLY EXPERIMENTS ON THE LATITUDE EFFECT

Whatever the nature of the primary cosmic rays themselves, it was to be expected that there would be some electronic component of the rays entering the earth from the cosmos. For whenever in their travel through space they have gone through matter, even though in the creative act they were sent forth as pure photons, they have necessarily produced secondary electron rays such as we find them producing in our terrestrial electrosopes, so that there is no existing theory of the origin of the cosmic ray that does not require, once the existence of cosmic ray photons is postulated at all, that space be traversed both by these photons and by the secondary electrons or other particles which these photons have produced in their billions of years of journeyings through the universe. Also, since the observed uniformity of distribution of the rays means that if they originate as photons these must traverse space uniformly in all directions, it of course follows that the secondary electrons which they have produced must also traverse space uniformly in all directions. In other words, the rays which enter the earth must in any case be a mixture of photons and extra-terrestrial secondary electrons or else of electrons alone if the photon hypothesis is entirely barred out, and the only question that is left for experiment to settle is what fraction of the inflowing energy is carried by the photons and what by the electrons.

It was precisely these considerations that led to the first effort to detect the effect of the earth's magnetic field on the intensity of the cosmic rays. This was made in the summer of 1926 when Millikan and Cameron took three electrosopes on a voyage from Los Angeles to Mol-

lendo, Peru. We anticipated a diminution in the cosmic-ray intensity as we moved from Los Angeles into the equatorial belt and over the magnetic equator. We had discussed this expectation before the American Physical Society meeting in Pasadena in the autumn of 1925; spent the winter building and testing three new electroscopes to be used especially on the voyage to South America; and in the summer of 1926 embarked for Mollendo, Peru, and took continuous readings in the radio room on the top deck, night and day, on two of our electroscopes (the third proved too responsive to the ship's vibrations to make dependable readings possible) during the sixteen days of the voyage to Mollendo. *Unfortunately, however, we were able to take readings only from the end of the second day out.*

At Balboa we took all three of our electroscopes out into a rowboat in the harbor and took readings there on all of them for twenty-four hours so as to make sure that we were free from any possible radioactivity of the materials around our position on the top deck of the ship.

Our cosmic-ray electroscopes were the relatively crude ones of that day, and we estimated that the error in the relative cosmic-ray intensities in the different latitudes traversed might be as much as 6 per cent. So, in our presentation in September, 1927, of the results of this voyage in a public address at the meeting of the British Association for the Advancement of Science in Leeds<sup>1</sup> we wrote as follows: "If then there are any geographical differences in the altitude ionization curve" at sea level or above, "they are beyond the limits of our present observational technique," estimated in this and other articles<sup>2</sup> at 6 per cent.

<sup>1</sup> *Nature*, CXXI (1928), 21.

<sup>2</sup> *Phys. Rev.*, XXXI (1928), 170.

As a matter of fact, there is a decrease of 7 per cent in sea-level cosmic-ray intensity in going from Los Angeles to Mollendo, as we proved by most careful and repeated experiments in 1932<sup>1</sup> and 1933, so that we were wrong in not bringing any effect to light in 1926. We should have done so even with our insensitive electroscopes of that day had we not had the misfortune to have started our voyage just at the critical latitude where the reduction in intensity in going south begins to set in strongly, and then had been unable to get our instruments set up and working until second day out, when we were over 600 miles south of that latitude—so far south, indeed, that more than 60 per cent of the total drop in intensity in going from Los Angeles to the equator had already taken place. The change from that point on was, in fact, so small as to be quite beyond the sensitivity of our instruments. We missed the sea-level effect on this trip, then, just because of the necessary delay at the very start of the voyage in getting the instruments going. On the mountains of Bolivia we did, indeed, get and record, in our published work, readings from 10 to 20 per cent, even up to 30 per cent at very high altitudes, lower<sup>2</sup> than we had obtained in the northern latitudes with the same instruments; but both on account of our uncertainties as to the shielding of adjacent mountains and possible electroscope leaks, we explained these differences away and drew one mean curve through all our northern and southern hemisphere points, treating the divergences as observational uncer-

<sup>1</sup> See R. A. Millikan, *Phys. Rev.*, XLIII (1933), 668, for fuller discussion.

<sup>2</sup> See particularly, p. 166, the Muir Lake point at 7.85 meters. It is 11 per cent above the drawn curve, just as we now know it should be.



tainities. Actually, if, instead of doing this, we now draw two smooth curves through the recorded points, we obtain practically the same percentage differences shown in the two lower curves of Figure 82. We did not do this because we trusted our much more prolonged and dependable sea-level readings; and for several years thereafter, in order to account for the apparent absence of a latitude effect, we made erroneous hypotheses as to the practically exclusively photonic nature of the incoming rays. The actual bringing of the looked-for effect to light came about thus:

In an article dated December 17, 1927, Professor J. Clay made a qualitative report<sup>1</sup> of a latitude decrease between Amsterdam and Batavia; in another article, dated November, 1928,<sup>2</sup> he gave the numerical value of the cosmic-ray ionization as 1.49 at Leiden and 0.82 at Singapore, a 45 per cent change; and in a later article, dated September 30, 1930,<sup>3</sup> he modified these ionizations to 1.24 at Amsterdam and 0.93 at Singapore, still a 25 per cent difference. Furthermore, a long series of observations taken in 1928 on the "Carnegie" under the direction of Dr. Gish in equatorial, temperate, and northern latitudes in the Pacific were reported to me privately by him (since I had helped him in calibrating his instruments), and seemed, with some uncertainty, to indicate a 5 or 6 per cent lower equatorial value than was found in the more northerly latitudes. Stimulated by the diversity in these reports, as well as by the foregoing theoretical considerations, which seemed to demand at least some electronic

<sup>1</sup> *Proc. Roy. Acad., Amsterdam*, XXX (1927), 1115.

<sup>2</sup> *Ibid.*, XXXI (1928), 1091.

<sup>3</sup> *Ibid.*, XXXIII (1930), 711.

component in the cosmic rays, and having by this time developed pressure electroscopes a dozen times more sensitive than our earlier ones, in the summer of 1930, with a sensitive high-pressure electroscope I made a careful series of readings at Pasadena (mag. lat.  $40^{\circ}7'$ ) of some weeks' duration, then transported the same electroscope to Churchill, Manitoba (mag. lat.  $69^{\circ}7'$ ), and, keeping the room in the small wooden house in which I worked at Churchill at practically the same mean temperature as at Pasadena, I found the mean value of a week's reading taken there the same as at Pasadena, with an error which I estimated could not, in this case, be more than 1 per cent. I had thus gone south at sea level from Pasadena to beyond the equator and north to a point reasonably close to the north magnetic pole without bringing to light any effect of the earth's magnetic field on incoming electrons at all, though the southerly measurements had never been claimed to be better than 6 per cent in accuracy. The measurements from Pasadena northward, however, had been done with such care and represented means taken over such long periods of time that I was quite confident as to their accuracy. Also, they were in agreement with the results obtained in the same summer (1930) by Bothe and Kolhörster,<sup>2</sup> who had started from Hamburg and had taken continuous readings with counters as they voyaged northward between geographical latitudes  $53^{\circ}$  N. and  $81^{\circ}$  N. without finding a sea-level latitude effect.

Further, in 1930 I had asked Professor Paul Epstein to work out quantitatively the expected effect of the earth's magnetic field on incoming electrons of very high

<sup>2</sup> Bothe and Kolhörster, *Berliner Berichte* (1930), p. 450.

energy, such as a billion electron-volts; and he had obtained the result published briefly in December, 1930, that electrons of that energy should come in only north of a certain critical latitude,  $\lambda_2$ , while for all latitudes north of a second near-by latitude,  $\lambda_1$ , they should come in so as to form a "polar cap" of uniform intensity. These two critical latitudes,  $\lambda_2$  and  $\lambda_1$ , would, of course move south if the energy of the incoming electrons were assumed to be greater. Also, if the energies were variable over a considerable range, *the uniform sea-level polar cap would have to set in at the value of the incoming electron energy, which was just sufficient to enable the effects of the incoming electrons at  $\lambda_2$  to reach down to sea level through the resistance offered by the atmosphere.* For lower energies than this the polar cap would begin farther north; *but because of the absorption of the atmosphere it would, of course, be necessary to go to altitudes above sea level to bring it to light*, since the energy required to get through the earth's magnetic field would here be smaller than the energy required to reach down to sea level. In other words, if there were cosmic-ray electrons trying to reach the earth's surface, there were two wholly different types of influences tending to prevent their arrival: First, the earth's magnetic field always exerts a force upon them at right angles to the direction of their motion and diverting them from the earth unless their energies were sufficient to overcome that tendency. But, second, if they suc-

<sup>1</sup> Epstein, *Proc. Nat. Acad. Sci.*, XVI (1930), 658.

<sup>2</sup> Implicitly these magnetic-field results were contained in the earlier work of Störmer. However, Epstein, in his unpublished seminar address, treated, I think for the first time, the combined influence of the field and the atmosphere.

ceeded in getting through this blocking effect of the field (which reaches out into space thousands of miles) and in getting down to the top of the atmosphere (which is only say 20 miles thick), they still could only begin to reach sea level at a latitude far enough south so that the dissipation of their energy in getting down to sea level through the atmosphere is just equal to the energy with which they strike the atmosphere, i.e., the energy which at this latitude just enables them to get through the earth's magnetic field.

These conclusions from Epstein's analysis, familiar to all the workers at the Norman Bridge Laboratory from the autumn of 1930, explained why, even if there were some electron component in the incoming cosmic rays, I had found such surprising and precise constancy in sea-level cosmic-ray intensities everywhere between the latitude of Pasadena (mag. lat.  $41^{\circ}$ ) and regions close to the north magnetic pole. Guided, then, by these computations of Epstein's, which showed that it ought to be much easier to bring to light a latitude effect on incoming electrons *at high altitudes than at low*, in the autumn of 1931, before any geographical cosmic-ray surveys other than those already noted (viz., those by the "Carnegie," Clay, Bothe-Kolhörster, and ourselves, had been made or, so far as I was aware, projected), I made application to the Carnegie Corporation of New York for funds for the development of special instruments especially adapted for making an *accurate high-altitude airplane survey*, up to this time not attempted by anyone anywhere.

The development of a vibration-free, self-recording cosmic-ray electroscope for accurate work on a moving platform, and which was actually found to yield as good a

record in a diving airplane, a running automobile, a speeding train, or a tossing ship as in the laboratory, was largely the work of Dr. H. Victor Neher. With the aid of this instrument (see Fig. 80), taken up to an altitude of 29,000 feet through the courtesy and generous assistance of Colonel (now General) Henry H. Arnold and the other

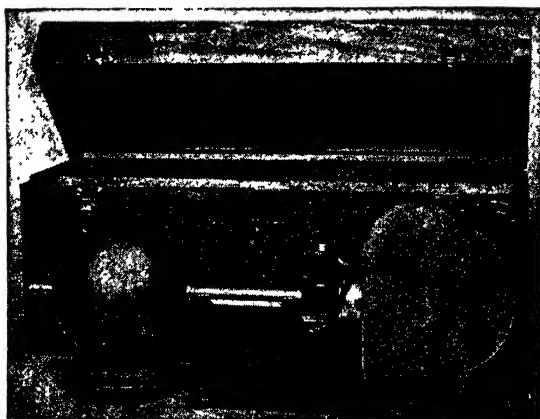


FIG. 80.—Dr. H. Victor Neher's vibration-free self-recording electroscope which wholly unattended by skilled observers of any sort has taken automatic records of cosmic-ray intensities in airplanes up to 29,000 feet, in stratosphere balloons up to 62,000 feet, and in world-encircling voyages of three months' duration on Dollar Line and Matson Line ships.

officers of the United States Air Corps at March Field, near Riverside, California, we first got the record of the cosmic-ray intensities at this latitude, as shown in Figure 81. The instructions to the pilot were to rise to an altitude of 15,000 feet, to then level off for an hour, then to rise to 22,000 feet and there level off for another hour, then to rise to 29,000 feet and repeat there the leveling-

off operation. In the summer of 1932 Bowen, Neher, and I took this instrument to Cormorant Lake in northern Canada, where the Royal Canadian Air Force assisted us in making similar flights up to altitudes of 22,000 feet. Dr. Neher and I then supervised similar, most skillful flights made for us at Spokane by Captain (now Gen-

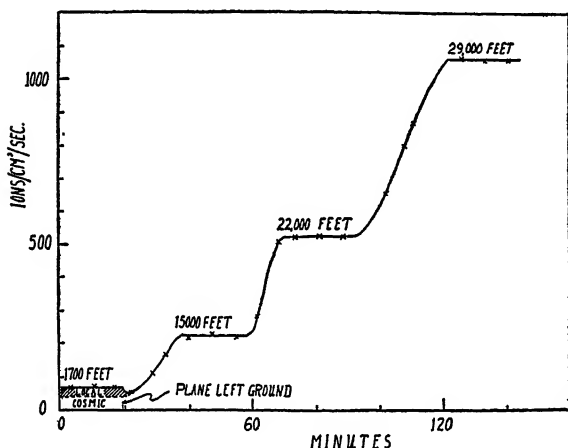


FIG. 81.—Record of the rates of ionization in an airplane flight at March Field (near Pasadena, California) made in April '33.

eral) Breene of the National Guard. Dr. Neher then, in the fall of 1932, took the same instrument to Peru, and got, both coming and going, an accurate sea-level record as a check on our 1926 much less sensitive readings. At Panama, through the orders of General Falois, Chief of the Army Air Corps, a flight to 22,000 feet, similar to that already made at Cormorant Lake and Spokane, was arranged for; and in Peru a ship of the Pan American Airways, flying between Ariquepa and Lima, flew at 19,000

feet, both coming and going. In all these flights the true altitudes were recorded on our own barograph, officially calibrated for us at the Bureau of Standards in Washington and checked by us in our laboratory at Pasadena.

Before these observations had been completed, Professor A. H. Compton<sup>†</sup> had begun a resurvey of cosmic-ray intensities conducted on the earth's surface and had reported that he and his collaborators had checked Professor Clay in finding a sea-level equatorial dip in crossing the equator, in his case on the west coast of South America and in the Pacific, the published amount of the sea-level dip being 16 per cent. He also reported a considerably larger equatorial dip in his observations on mountains. Also, in returning from Peru to New York in December, 1932, the foregoing new Neher electroscope recorded a 6.8 per cent equatorial *sea-level* dip between Mollendo and New York. This brought all sea-level observers into agreement in the essential point of finding a small equatorial dip in the cosmic-ray intensities, no matter from what point on the coast of the United States, east or west, in the north temperate zone one starts south across the equator to Peru. The differences in the numerical values of this dip, at first surprisingly large, rapidly became narrowed down and are no longer a subject of uncertainty. They are not now of any fundamental interest save as another illustration of how inevitably the scientific method of approach reveals the difference between a right result and a wrong result, or even a correct theory and an incorrect one, and renders further dispute impossible among men who have learned to be guided by facts rather than by preconception and prejudice.

<sup>†</sup> Compton, *Phys. Rev.*, XLI (1932), 111; XLIII (1933), 387.

### III. RESULTS OF AIRPLANE SURVEY OF THE LATITUDE EFFECT IN COSMIC RAYS

In 1932 the high-altitude *airplane latitude* survey of Bowen, Millikan, and Neher<sup>1</sup> brought to light, however, some new facts, the understanding of which is of fundamental importance for our later attempts at the general interpretation of cosmic-ray phenomena. Thus, although in new observations made in the summer of 1932 Millikan and Neher got no measurable difference at all in sea-level intensities in going by sea from Pasadena to Seattle and Vancouver (see Table XV, in which we have estimated 1 per cent as the limit of our observational uncertainty<sup>2</sup>), yet the airplane flights taken at March Field (mag. lat.  $41^{\circ}$  N., near Pasadena) and Spokane (mag. lat.  $54^{\circ}$  N.) up to 22,000 feet (4.5 meters of water from the top) show unambiguously (Fig. 82) a difference increasing with increasing altitude, as Epstein's analysis predicted, and a similar and very much larger difference between Panama and either March Field or Spokane. These differences are also in reasonably good agreement with the mountain-peak observations made by both Compton and ourselves in Peru and the United States, so that there is no question at all about their general correctness.

But by far the most significant results of the airplane

<sup>1</sup> First reported briefly in December, 1932; see *Phys. Rev.*, XLIII (1933), 661-69; also, *Phys. Rev.*, XLIV (1933), 246, and *Proc. Intl. Conf. Physics*, Vol. I, *Nuclear Physics* (London, 1934), p. 210.

<sup>2</sup> A new set of careful sea-level observations by Compton and Turner between Vancouver and Sydney, analyzed and summarized by Thompson in *Phys. Rev.*, LII (1937), 141, is in substantial agreement with all our sea-level measurements within the limits of our estimated uncertainty, namely, about 1 per cent between Vancouver and Pasadena, with a very rapid decrease in intensity, amounting to more than 4 per cent in going 500 miles south of magnetic latitude  $41^{\circ}$ .



survey not obtainable from measurements by other observers were (1) the absence of any difference at all between the depth-ionization curves up to altitudes of 22,000 feet taken at Spokane and at Cormorant Lake and

TABLE XV

FIVE-DAY STUDY OF SEA-LEVEL VARIATIONS OF COSMIC-RAY INTENSITIES BETWEEN VICTORIA, B.C., AND LOS ANGELES

	ELECTROSCOPE No. 1: UNSHIELDED (IONS CC. PER SEC.)			ELECTROSCOPE No. 2: SHIELDED (11 CM. Pb)			
	Lat.	4-Hour Means	12-Hour Means	Lat.	Long.	Mag. Lat.	12-Hour Means
In Seattle Harbor.....	47°5	39.58	39.58*	47°5	122 W.	53°5 N.	24.60
In and near Victoria.....	48.4	39.45	39.45	48.3	123 W.	54 3 N.	24.71
At sea.....	47.22	39.53	39.53	46 5	124 W.	52 5 N.	24.65
At sea... ..	44.4	39.67	39.67	44.0	124 W.	50.0 N.	24.78
At sea.....	41.6	39.69	39.69	41 0	124 W.	47 0 N.	24.49
At sea... ..	39.0	39.34	39.33	37.5	122 W.	43.5 N.	24.79†
				36.0	122 W.	42.0 N.	24.57
				34.0	119 W.	40.6 N.	24.45†
In San Francisco harbor ..	37 5	39.82	39.82	.....	.....	.....	.....
In San Francisco harbor... ..	37.5	39.61	39.61	.....	.....	.....	.....
At sea .....	36 85	39.65	39.65	.....	.....	.....	.....
At sea .....	34.8	39.63	39.63	.....	.....	.....	.....
Mean.....	.....	39.60	.....	.....	.....	.....	24.63

\* Eight-hour average.

† Nine-hour average.

(2) the similar absence of any difference between the curves taken at Panama and in Peru. This last means that *there are no new charged particle rays, whether free-electrons or protons*, coming in even at altitudes up to 19,000 feet, between the magnetic equator in Peru and 20° N. mag., thus checking between these latitudes our sea-

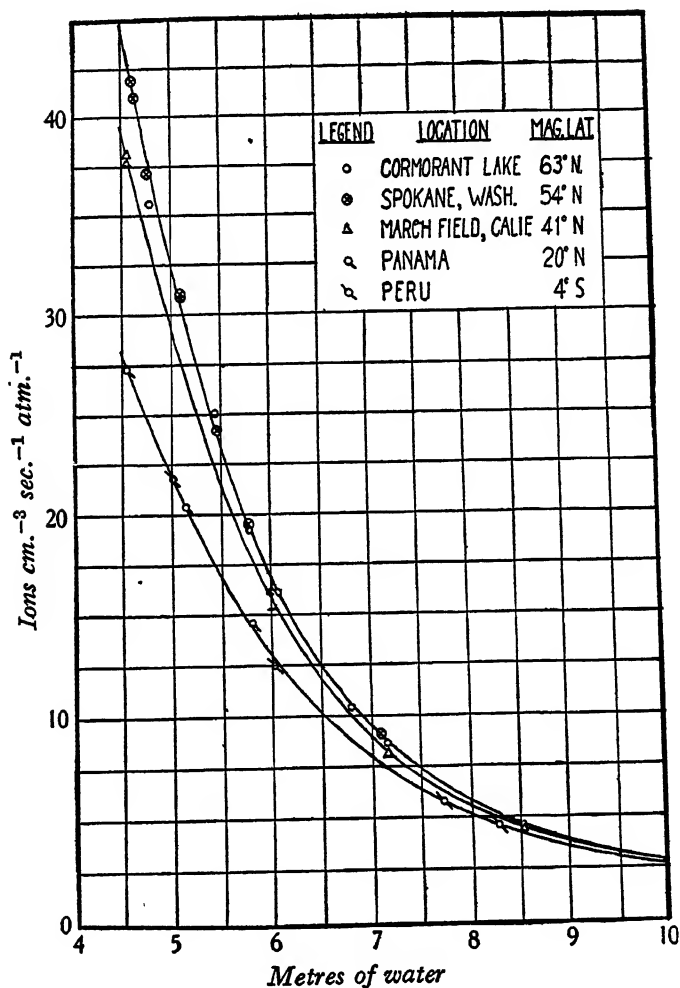


FIG. 82.—The striking element in these curves (shown in chapter xx to be of great significance) is that the intensities at 4° N and 20° N are *identical* all the way up as are also those at 54° N and 63° N.

*level* findings of both 1926 and 1932. The first of the foregoing results will repay more careful consideration.

It clearly means that Epstein's polar cap of uniform cosmic-ray intensities exists at an altitude of 22,000 feet down as far as to the latitude of Spokane in precisely the same way as my Pasadena-Churchill observations showed that the Epstein *sea-level* polar cap of uniform cosmic-ray intensities extends down to the latitude of Pasadena. So far as sea-level effects are concerned, immediately south of Pasadena, as shown by our very careful and prolonged sea-level measurements as well as by measurements published by Compton and others, a critical latitude is reached at about  $41^{\circ}$  N., at which in going south a reduction in the intensity of the sea-level rays begins to set in, a reduction which reaches a value of some 7 per cent by the time Panama is reached, after which the intensity is substantially constant down to the magnetic equator. The beginning of a reduction at about magnetic latitude  $41^{\circ}$  means that the electrons which at this latitude are just able to get through the blocking effects of the earth's magnetic field have also just enough energy in some way, direct or indirect, to throw their influences down to sea level and produce ionization there. No electrons of lower energy than these can throw their influences down as far as to sea level, so that the effects of all the lower energy electrons that can get through the blocking effect of the earth's magnetic field north of about latitude  $41^{\circ}$  are absorbed somewhere in the portion of the atmosphere above sea level. The electrons that have the energy both to get through the blocking effect of the earth's magnetic field and to throw their influences down to sea level of course get through both resistances north of  $41^{\circ}$ , just as

they do at  $41^\circ$ , and thus produce the polar cap of uniform intensity predicted by Epstein's analysis of 1930 and worked out with much greater elaboration by Lemaitre and Vallarta in 1933<sup>1</sup> and later. According to most of the experimental work that had been done in this region, this *cosmic-ray shelf*, or discontinuity, that sets in at about  $41^\circ$ , near Los Angeles, is reasonably sharply marked.<sup>2</sup> According to Lemaitre and Vallarta, the mean energy of the electrons that begin to be cut out in considerable numbers by the blocking effect of the field as one moves through latitude  $41^\circ$  toward the magnetic equator is about 6 billion electron-volts, so that the *range* of the influence of 6 billion electron-volts may be taken as roughly 1 atmosphere.<sup>3</sup>

By the same method, the mean energy of the electrons that should begin to be cut out in considerable numbers by the blocking effect of the field at the latitude of Spo-

<sup>1</sup> Lemaitre and Vallarta, *Phys. Rev.*, XLIII (1933), 87.

<sup>2</sup> Dr. Neher and I have discovered, by repeating six times in the years 1938 and 1939 the voyage between Los Angeles and Vancouver, that it is only in summer that there is no difference in sea-level intensity between these points. In winter the sea-level intensity at Vancouver seems to be some 2 or 3 per cent higher than at Los Angeles, while at the latter point the winter and summer intensities are the same. This kind of a seasonal effect, or "atmospheric-temperature" effect, has been reported earlier by Corlin, Hess, Compton, and others. It is satisfactorily interpreted, following Blackett's suggestion (*Phys. Rev.*, LIV [1938], 973), in terms of the downward movement of the stratosphere in winter, thus enabling secondaries formed in the upper atmosphere better to extend their influence down to sea level in winter than in summer.

<sup>3</sup> From a study of Lemaitre and Vallarta's curves I have usually taken this critical energy as 6 billion electron-volts. Dr. Neher, after very much more careful study, using the very elaborate results of Lemaitre and Vallarta, places the most probable energy at 5.6 billion electron-volts.

kane ( $54^{\circ}$  N.) is 2.4 billion electron-volts. The significance of the fact that the depth-ionization curve at Spokane is the same as at Cormorant Lake is found, then, in the proof that it furnishes that, just as incoming electrons of an energy of 5.6 billion volts or more create a polar cap of constant intensity at sea level which extends down to the neighborhood of latitude  $41^{\circ}$ , so incoming electrons of energy somewhere near 2.4 billion electron-volts create at 22,000 feet a polar cap of constant intensity which extends down at least as far as to the latitude of Spokane.<sup>1</sup> Another way to state this is that the range of the influence of 2.4-billion-volt incoming electrons is about 4.5 meters of water, or 0.45 of an atmosphere. If the range is proportional to energy, then the range for 1 atmosphere would come out  $\frac{2.4}{0.45} = 5.3$  billion electron-volts, quite close to where we found it from the data at latitude  $41^{\circ}$ .

The foregoing considerations appear quite definitely to justify the conclusion that, at least in the range of energies involved, the distance through the atmosphere to which the influences arising from incoming charged particles can penetrate are proportional to the incident energies, or at least vary *not less rapidly* than the first power of those energies. This is a property characteristic of particles possessing "a range." Alpha-particles or beta-particles passing through gases lose energy in this way, as do any particles which fritter away their energy uniformly along their paths—for example, by making a more or

<sup>1</sup> So far as these experiments are concerned, the polar cap of constant intensity at 22,000 feet might extend a few degrees south of Spokane, though not many; and, in fact, experiments to be discussed later fix it fairly close to that latitude.

less constant number of ions per centimeter of ion track, such as one sees in a Wilson cloud chamber. In general, particles that lose energy in that way follow approximately a so-called "mass-absorption law," which means that in going through media of different densities the loss of energy per centimeter of path traversed by the moving particle is proportional to the density of the medium traversed. The reason that low-energy charged-particle rays in general follow more or less perfectly this law is that the number of electrons they meet in going a centimeter in different media is nearly proportional to the density of the medium. But the law of absorption, concerning which we are talking, is just the law which seems to be followed by the cosmic rays when we experiment upon them in the neighborhood of sea level. Thus, Millikan and Neher took up in army bombers at March Field electroscopes surrounded by varying thicknesses, up to 16 cm., of aluminum, iron, and lead, and found the relative absorptions in these substances approaching with increasing thickness the mass absorption law, as Hoffman and Steinke and their pupils<sup>\*</sup> had found earlier.

In a word, then, the two polar caps of uniform cosmic-ray intensities—the one brought to light by a sea-level latitude survey and terminating just south of Pasadena, the other brought to light by the high-altitude airplane survey at 22,000 feet and terminating in the general latitude of Spokane—are both entirely in accord with a considerable group of other properties possessed by the penetrating component of the cosmic rays.

On the basis of the curves of Figure 82 we can now divide the incoming cosmic rays into two parts, viz., the

<sup>\*</sup> See, e.g., Tielsch, *Zeitschr. f. Phys.*, XCII (1934), 589.

non-field-sensitive part, producing all the equatorial ionization (lowest curve), and the field-sensitive part, producing the ionization above that shown by the lowest curve. This last is, of course, due only to the direct and indirect influence of incoming extra-terrestrial electrons, the word "electron" being here used in its original, etymological, and most authoritative sense of the unit electrical charge (see p. 26). In the energy range of billions of electron-volts, in view of the relativity increase in mass, the effect of the earth's magnetic field is practically the same upon free electrons (negatrons or positrons) as upon protons, so that electrons—whether free or bound to the H nucleus—would get in at the same latitude.

#### IV. THE DISCOVERY OF THE LONGITUDE EFFECT IN COSMIC RAYS

Although many people, from 1925 on, were expecting and looking for a latitude effect in cosmic rays, nobody apparently ever thought of looking for a longitude effect before it was discovered in January, 1934; and it is a commentary on the accuracy of sea-level cosmic-ray measurements up to that time that it was missed so long, for it is not at all a minute effect. It was discovered quite independently by Clay and by Millikan and Neher, but to Clay goes the priority of publication. This was made in a brief paragraph in the March, 1934, number of the Dutch journal *Physica*, as a result of differences which he observed in the equatorial dip in two voyages made in 1934 between Amsterdam and Batavia—one through the Suez Canal, the other around the Cape of Good Hope. Without any knowledge of Clay's work or publication, we made our first public announcement at the meeting

both of the National Academy of Sciences and the Physical Society in April, 1934.

In our case, it was not a discovery made all at once. We had been collecting the data for it since the autumn of 1932. The sequence of events leading up to it had to do with the development of accuracy in our sea-level measurements. By two trips on different ships in December, 1932, and January, 1933, we had fixed the equatorial dip between Los Angeles and Peru at  $7 \pm 1$  per cent, as measured by a sensitive "Neher electroscope" surrounded by a 10 cm. lead shield. Other observers were at this time reporting it as 16 per cent between these same two localities.

In August, 1933, Dr. Neher ~~and I placed this same~~ self-recording instrument in the captain's room (inside its 10 cm. lead shield) on the Dollar Line ship "President Garfield"; and three months later, after her return to Los Angeles, following her world-cruise to the China coast, Singapore, the Red Sea, through the Mediterranean and the Atlantic to New York, and back to Los Angeles via Panama, we removed and developed at Pasadena the film and found a good record until New York was reached. This record revealed to us (January 10, 1934) the "longitude effect."<sup>1</sup> For, while the equatorial dip in going from Los Angeles to Mollendo had been  $7 \pm 1$  per cent, in going to Singapore from Kobe and Shanghai it was  $12 \pm 1$  per cent, or nearly 1.8 times as large.

From our point of view, this discovery was important because it showed that another element in the interpreta-

<sup>1</sup> A letter from Professor J. Clay, of Amsterdam, informs me that it was discovered independently by him on a voyage to Batavia from September, 1933, to January, 1934, very briefly referred to in *Physica*, March, 1934, and more fully in *ibid.*, August, 1934.



tion which we had thus far placed on cosmic-ray phenomena was wrong. The simplest way to account for the non-field-sensitive part of the cosmic-ray effects was to assume that it was practically all due to incoming photons, and this was the view that I had consistently advocated up to this time. The discovery of the longitude effect in the equatorial belt itself showed two things: first, that the earth's magnetic field, even at great distances above the surface—say 5,000 miles—is not symmetrical with respect to an axis passing through the center of the earth but has a decided lopsidedness; and, second, that at least enough electrons to account for the longitude effect must enter the earth with so great an energy as to be able to break through the blocking effect of the earth's field even at some places in the equatorial belt. In other words, a fraction, at least, of the non-field-sensitive portion of the cosmic rays, as determined by the latitude effects, must be electronic in nature. This was a fundamental point.

But in view of the differences that had been obtained by different observers in the measurement of the sea-level latitude effect, in January, 1934, we placed one of our self-recording electroscopes on Captain Allan Hancock's yacht, the "Valero III" and sent it with him on a three-month's cruise to Guayaquil and the islands off the coast of Ecuador. It required no attention save to have the clock wound once in two days. Because of the fact that Captain Hancock stopped for three separate weeks at different ports in the equatorial belt and also for nine days in the Los Angeles harbor, the mean intensity in each one of these points, as well as in intermediate latitudes where his three-day stops were made, was determined with much

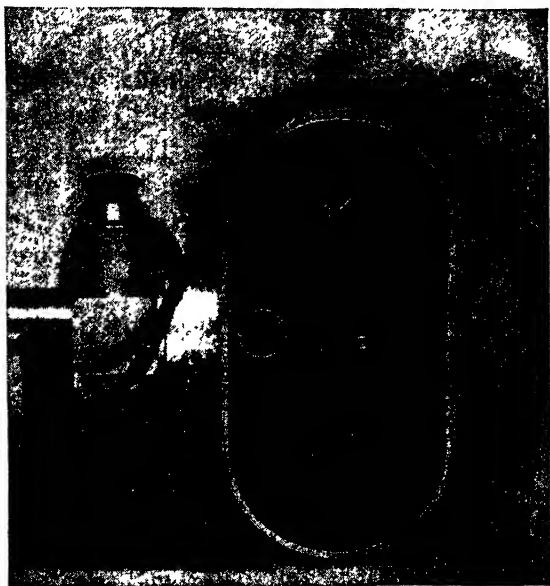


FIG. 83.—The camera will take a 100-foot reel of 35-mm. motion-picture film which is driven at a constant rate past the slit by a power clock. Changeable gears allow various rates of film speeds to be used, depending on the expected ionization.



FIG. 84.—An 11-cm. lead shield protected by a  $\frac{1}{2}$ -inch shell of cast iron has been used for most of the survey to insure freedom from local variations in radiation.

greater accuracy than had theretofore been attained. Figures 83 and 84 show the apparatus and Figure 85 a bit of the developed film. The rate of discharge during an hour's run is given by the slope of each diagonal line



FIG. 85.—Showing the type of record obtained at sea-level in this world-survey. Two of the horizontal lines give barometric and temperature records.

of this film. The mean of 24 of these slopes gives the mean intensity for that day; and the mean of  $24 \times 7 = 168$  slopes, the mean for a week. In the upper curve of Figure 86 is shown the final graph of Captain Hancock's results. It is true that along this upper smooth curve are found also the readings obtained on other trips; but the findings on board the "Valero III," easily distinguishable, were given peculiar weight in the fixing of the upper curve. The readings in Los Angeles harbor were nearly 1 per cent lower (see Fig. 86) than the mean of the readings Dr. Neher and I took between Los Angeles and Seattle and also in New York, so that the total equatorial dip referred to Los Angeles is just over 7 per cent, while, referred to the mean values farther north, it is, as shown, very close to 8 per cent.

To see whether the sea-level variations between Mollendo, Peru, and the United States were

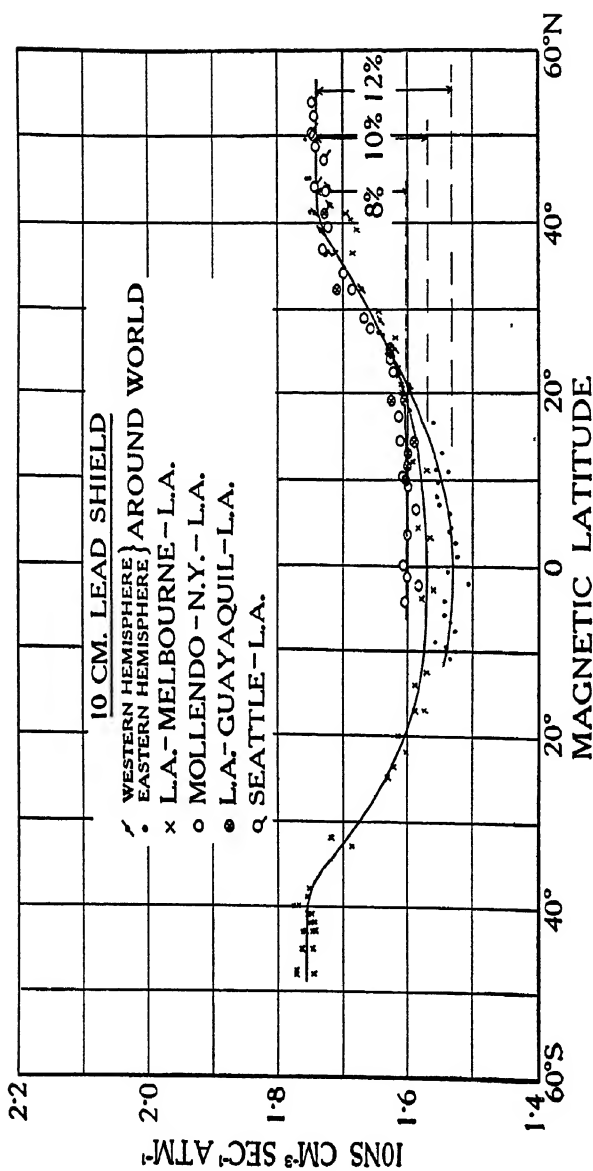


FIG. 86.—The longitude effect taken with a shielded electroscop. The 12 per cent equatorial dip is found in the neighborhood of Singapore (Eastern Hemisphere). The 10 per cent equatorial dip is found in going from Los Angeles to Melbourne. The 8 per cent equatorial dip is found in going in summer from Seattle or New York to Guayaquil or Mollendo.

different for an unshielded electroscope and one surrounded by a 10 cm. shield of lead, in May, 1934, Dr. Serge Korff, who had been making observations with our recording electroscopes in Peru, brought one of them unshielded from Mollendo to Los Angeles. The equatorial difference, referred again to the foregoing mean value, is here found to be 8.2 per cent. We thus now have the results of three different trips from Mollendo to Los Angeles and one more from New York through Panama to Los Angeles, and they all yield with great consistency and precision an equatorial dip in this region of  $7 \pm 1$  per cent referred to Los Angeles, 8 per cent referred to the mean of many values farther north.

Finally, to see whether we would obtain an intermediate value in crossing the equator at a point intermediate between Mollendo and Singapore, in the summer of 1934 we installed two electroscopes—one unshielded and one shielded with 10 cm. of lead—in the room of First Officer Graham of the Matson Line steamer "Monterey," plying between Los Angeles and Melbourne via Honolulu, and obtained a  $10.1 \pm 1$  per cent equatorial dip on both electroscopes.<sup>1</sup> This result may be compared with the dip of 10.2 per cent just published as the mean dip found in traversing this same track across the equatorial belt in eleven voyages between Vancouver and Sydney made by instruments provided by Drs. Compton and Turner.<sup>2</sup> Also, our value of the equatorial dip found on the west

<sup>1</sup> Theoretically, there should be some difference between the percentage of equatorial dip obtained with a shielded and unshielded electroscope; but in the three voyages in which we have used unshielded instruments the difference, if it exists, has been inside the limits of our observational uncertainties.

<sup>2</sup> *Phys. Rev.*, LII (1937), 141. See also n. 2 on page 455 of this book.

coast of South America may be compared with Clay's last value<sup>1</sup> in the same area of 6 per cent with lead shields and 8 per cent without shields. Figure 86 gives in one graph the results of sending, in this way, Neher instruments on a number of world-encircling voyages; and Figure 87 gives the combined results of our eleven voyages undertaken with Neher instruments to obtain the variation of sea-level cosmic-ray intensities the world over. It was the first such chart ever published.<sup>2</sup> It is a chart which reflects the properties of the earth's magnetic field far out in space, rather than merely at the earth's surface, as does a nautical chart.

We are now in a position to sum up, as follows, the most significant results of the foregoing studies of the sea-level longitude effect. If one starts from a northern magnetic latitude and moves south in a longitude that carries him across the magnetic equatorial regions in the neighborhood of Sumatra or India, he finds a  $12 \pm 1$  per cent equatorial drop in sea-level cosmic-ray intensity; but if he goes to the other side of the earth and travels south from a northerly latitude, so as to cross the magnetic equator off the western coast of South America, he finds an  $8 \pm 1$  per cent equatorial drop. This lack of symmetry thus found in the earth's magnetic field thousands of miles out in space, where cosmic-ray effects are determined, appears to reflect the magnetic dissymmetry found at the earth's surface; for not only does the line connecting the north and south magnetic poles run closer to America than to Asia, but the surface value of the

<sup>1</sup> Clay, Bruins, and Wiersma, *Physica*, III (1936), 746.

<sup>2</sup> *Carnegie Inst. Washington Year Book*, No. 34 (December 13, 1935), p. 343; also *Phys. Rev.*, L (1936), 24.

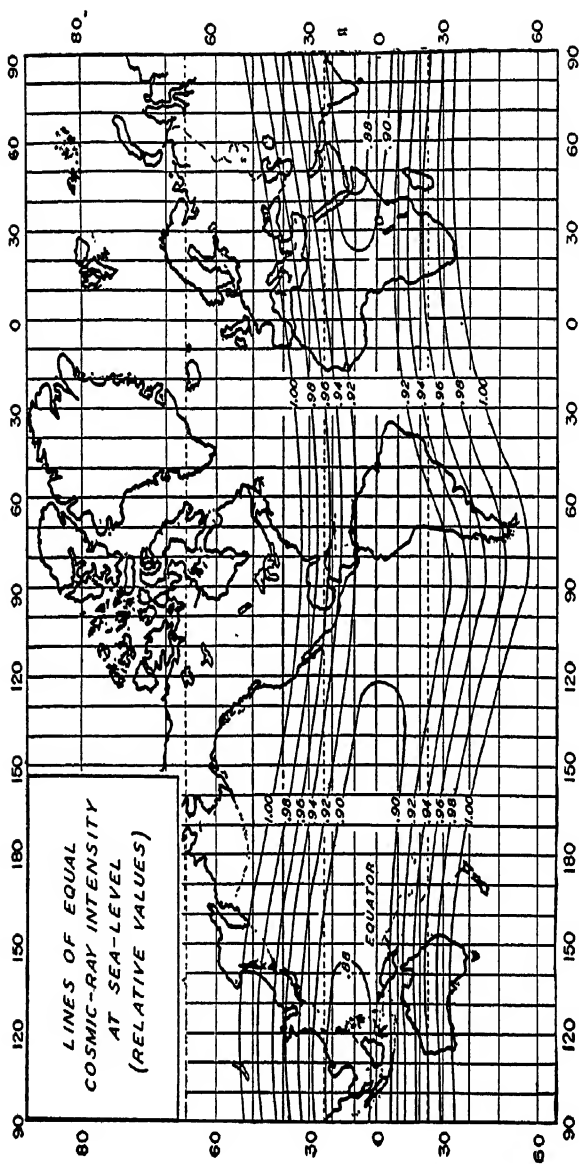


Fig. 87.—The results of the survey at sea level are shown in the lines of equal cosmic-ray intensity. These lines, in general, are parallel to the geomagnetic equator, the exceptions being near lower South America and along the magnetic equator, where the longitude effect appears. From the upper line north, and from the lower line south, the intensity at sea level remains constant save for magnetic storms and atmospheric temperature effects in winter.

horizontal component of the earth's magnetic field is 0.4 gauss near Batavia, while it is but 0.3 gauss in the vicinity of Ecuador and Peru. *In a word, the surface properties of the earth's magnetic field are here shown to be reflected in effects taking place many thousands of miles above the surface; and we are now, for the first time, in possession of the means of studying the properties of this field far out in space.*

From the standpoint of the nature of the incoming cosmic rays we interpret this equatorial longitude effect thus brought to light as meaning that there must be some incoming charged-particle rays (free electrons or protons) of sufficient energy to get through the earth's field at the magnetic equator on the South American side, but which are forbidden entrance at the magnetic equator in the vicinity of Sumatra or India by the stronger magnetic field existing on that side of the earth. The definition of the non-field-sensitive incoming cosmic rays will then henceforth be incoming photons (if such exist) plus incoming free electrons or protons of sufficient energy to get to the equator through the blocking effect of the earth's magnetic field on the Asiatic side of the earth. This will presently be taken as corresponding to a vertically incoming charged particle energy of 17 billion electron-volts.

All students of cosmic rays owe a very great debt to Lemaitre and Vallarta for the most complete and accurate analysis thus far made of the relations of incoming electronic energies to the trajectories of charged particles trying to get to the surface of the earth through the blocking effect of the earth's magnetic field.<sup>1</sup> Their results are graphically shown in Figure 88, which is a reproduction

<sup>1</sup> See Lemaitre and Vallarta, *Phys. Rev.*, XLIII (1933), 87, but especially *Phys. Rev.*, XLIX (1936), 719, and L (1936), 593.



of their Figure 10 in *Physical Review*, L (1936), 503. The curve labeled I on this diagram gives the computation by these authors of the energy required for an electron to reach the earth *vertically* as a function of latitude. This

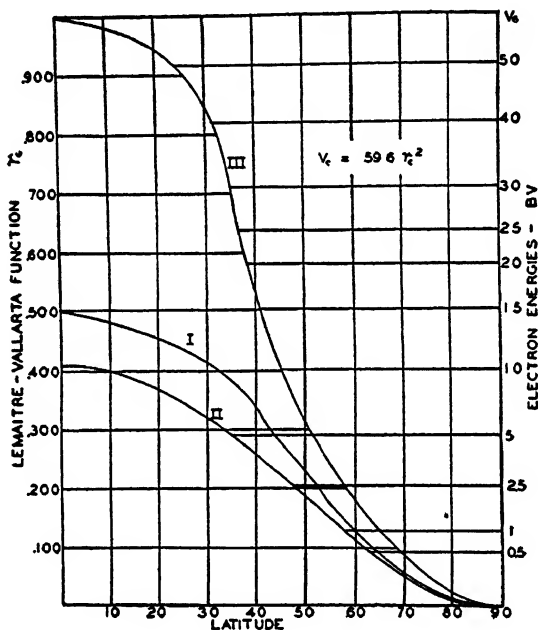


FIG. 88.—The Lemaitre-Vallarta function  $r_e$  for three directions plotted against latitude. Curve I is for the vertical direction, curve II is for the western horizon, and curve III is for the eastern horizon. Electron energies are given along the right margin. (Lemaitre and Vallarta.)

curve, however, is computed on the assumption of a magnetic field symmetrical about a north pole taken as situated at geographical latitude  $78^{\circ}30''$  N., longitude  $69^{\circ}0''$  W., and having a field strength at the magnetic equator that requires an incoming electron to have an

energy of 15 billion electron-volts in order to reach the earth vertically there. To do as well as we could in making allowance for the dissymmetry responsible for the longitude effect brought to light above, and yet in order to have a mean value, following Lemaitre and Vallarta, of vertical entrance at the equator of 15 bev, Professor Neher and I, guided somewhat by the increase in the longitude effect from 8 to 12 per cent in going from Peru to India, fixed upon 17 bev as the energy required for an electron to get to earth vertically at the equator in India and 13 bev as the corresponding energy value at Mollendo.

We arrived at these numbers as follows: If we keep to whole numbers, as the uncertainties involved make desirable, our only possible way of arriving at the mean 15 was to take 18 and 12, 17 and 13, or else 16 and 14. The last pair of numbers did not represent as much dissymmetry as we thought we had found, while 18 and 12 gave too much; so we chose 17 and 13. Later *experimental* findings seem also to justify our choice of 17 and 13.

With the aid of the Lemaitre-Vallarta diagram it is now possible to discuss more intelligently for a given incoming energy—say a billion ( $10^9$ ) electron-volts—the two critical latitudes  $\lambda_2$  and  $\lambda_1$  mentioned on page 450. Thus, Figure 88 shows that these billion-volt incoming electrons would just begin to shoot in tangentially from the western horizon at  $58^\circ$ , thus beginning to touch the very top of the atmosphere. Figure 88 further shows that if there were no atmosphere these incoming billion-volt electrons would be beginning to reach the earth vertically (curve *I*) at latitude  $60^\circ$ ; and finally that at latitude  $65^\circ$  (curve *III*) the whole beam would be fully in,

even those entering tangentially from the eastern horizon adding their bit to the upper atmospheric ionization. Thus, latitude  $65^\circ$  would in this case correspond to the latitude  $\lambda_r$  (curve *III*), at which the southerly edge of the now fully formed "polar cap" of uniform cosmic-ray intensity sets in. Similarly, to take another case, 6-billion-volt incoming electrons would first be felt in the upper atmosphere in lat.  $32^\circ$  (curve *II*) but, on account of the resistance of the atmosphere, would not be felt at sea level until they were getting in nearly vertically, at say  $38^\circ$ , and though they would not complete their *upper-air* polar cap until latitude  $50^\circ$  was reached (curve *III*) the resistance of the atmosphere would restrict their sea-level effectiveness to the narrow range of something like from  $37^\circ$  to  $43^\circ$ . Much more use will later be made of the La-maitre-Vallarta results embodied in Figure 88.

#### V. THE EAST-WEST EFFECT

The earth's magnetic field was adapted not only to the determination of whether there is a charged particle component in the incoming cosmic rays, but also, after this question had been answered affirmatively, to answering the further question as to what is the sign of charge that predominates in the incoming particles. Thus, in the equatorial belt, for example, where the longitude effect proves that there are incoming charged particles of sufficient energy to reach the atmosphere through the blocking effect of the earth's magnetic field, if these particles are predominantly positives, then, since the magnetic lines of force above the earth are running in the direction from south to north, in accordance with the right-hand, or "motor rule," charged positive particles coming

in, say vertically, must experience a force pushing them toward the east, so that they will strike the earth's atmosphere coming, not from the vertical, but from a direction inclined to the west of the vertical. Negatively charged particles, on the other hand, will strike the earth coming predominantly from a direction inclined to the east of the vertical. So-called "Geiger-Müller counter tubes" are admirably adapted to determining the direction in which charged particle rays are coming into the earth. If two such tubes, say an inch in diameter and 6 inches long, are set up pointing north and south, one above the other and say a foot apart, mounted on a vertical rod or frame that can be tilted either toward the east or toward the west, and if the incoming charged particles at the equator are predominantly positives, more of them will pass through both counters, and hence more responses will be registered when the vertical frame is tilted toward the west than when it is tilted toward the east. If the incoming charged particle rays, on the other hand, are predominantly negatively charged, there will be a larger number of rays passing through the counters when the inclination is toward the east than when it is toward the west. Both Rossi and Lemaitre and Vallarta had suggested this experiment to be carried out somewhere in or near the equatorial belt; and, indeed, Rossi had looked for this effect without finding it.<sup>1</sup> It was brought to light independently by T. H. Johnson<sup>2</sup> of the Bartol Founda-

<sup>1</sup> Rossi, *Phys. Rev.*, XXXVI (1930), 606; *Rend. Lincei*, XIII (1931), 47, and *Nuovo Cim.*, VIII (1931), 85. See also *Phys. Rev.*, XLV (1934), 212.

<sup>2</sup> Johnson, *Phys. Rev.*, XLIII (1933), 834; also *ibid.*, XLVIII (1935), 287, for most extended article.

tion and by Alvarez and Compton<sup>1</sup> of the University of Chicago, both of whom in the spring of 1933 set up such counter tubes in the mountains near Mexico City and found that when they tilted their vertical counter-tube system toward the west they got more counts than when they tilted it toward the east, thus proving that the incoming charged particles are predominantly positive. This result was surprising, in view of the fact that, until the discovery of the positive electron by Anderson, positive charges had been found only in the nuclei of atoms and, in general, nuclei are very much less abundant than are the negative electrons, which constitute the extra-nuclear shells of the atoms. The abundance of these negatives and their ease of detachment from atoms would seem to favor their predominance in the field-sensitive component of the cosmic ray; but, in any case, the observed direction of the east-west effect said unambiguously that positives of some sort predominated. Rossi,<sup>2</sup> Korff,<sup>3</sup> Neher,<sup>4</sup> and Clay<sup>5</sup> have all checked and extended these experiments, and they are all in essential agreement about the foregoing results. It is an experiment the interpretation of which will probably some time be of fundamental importance for our understanding of cosmic processes, but more experimental work is called for.

<sup>1</sup> Alvarez and Compton, *Phys. Rev.*, XLIII (1933), 835.

<sup>2</sup> Rossi, *Phys. Rev.*, XXXVI (1930), 606; *Rend. Lincei*, XIII (1931), 47; and *Nuovo Cim.*, VIII (1931), 85. See also *Phys. Rev.*, XLV (1934), 212.

<sup>3</sup> Korff, *Phys. Rev.*, XLVI (1934), 74.

<sup>4</sup> Neher, not yet published.

<sup>5</sup> Clay, *Physica*, VII (1933), 363.

Quite irrespective of the sign of the incoming particles, however, even if they are all of one sign, no east-west effect can be found for rays that are inside Epstein's and Lemaitre's polar cap of uniform cosmic-ray intensity, which, it will be remembered, holds for rays of a given energy down to a particular latitude, this latitude moving farther and farther south for increasing values of the energy of the incoming particles. This means that the east-west effect at sea level in the Americas should only be

TABLE XVI

EAST AND WEST COUNTS TAKEN BY T. H. JOHNSON AT CERRO DE PASCO, PERU, ALTITUDE 4,300 METERS ON THE MAGNETIC EQUATOR

Zenith Angle $Z$	Total Counts West $C_W$	Total Counts East $C_E$	Asymmetry $A$	Probable Error of $A$
$15^\circ$ .....	59,295	55,049	0.084	0.0042
$30^\circ$ .....	39,601	35,418	0.125	0.0054
$45^\circ$ .....	11,024	9,764	0.139	0.0085
$60^\circ$ .....	6,760	6,028	0.174	0.015

observed south of about magnetic latitude  $41^\circ$ . It is, in fact, a maximum at the magnetic equator, as T. H. Johnson has experimentally proved. The earth's magnetic field, of course, acts upon charged particles inside the polar cap just as much as outside it; but the uniform intensity inside that cap means that this field causes as many rays to be bent into a given angle of incidence on the earth's surface as out of it. Table XVI<sup>2</sup> shows just how the east-west effect is brought to light and how measurements upon it are made. The percentage asymmetry

<sup>2</sup> See Johnson, *Phys. Rev.*, XLVIII (1935), 290.

$A$  is taken simply as the difference between the east-west counts divided by half their sum. At the equator this asymmetry  $A$  does not appear to be appreciably different at sea level and at the elevation of Cerro de Pasco, namely, 4,300 meters; nor is there any reason to expect it to be. However, just as the polar cap of uniform cosmic-ray intensity was found in our airplane survey to extend farther south at sea level than at high altitudes, so, *in these intermediate latitudes*, the east-west effect should increase with altitude, as Johnson found it to do in his early observations in Mexico.

The results contained in Table XVI represent observations made in 1935; but in 1939 Johnson, the world's authority on the east-west effect, reported new work,<sup>2</sup> done in the equatorial belt, in which the east-west observations were carried to high altitudes with the aid of balloon flights. The dissymmetry was there found *even less than at sea-level, suggesting possibly a local source*.

Johnson interpreted his results in terms of equal numbers of incoming positrons and negatrons and then assumed the observed small positive excess to be due to a certain number of incoming protons. This is an interesting suggestion, but I present two others, either of which perhaps fits better all the results of the following chapters.

Let it be assumed (1) that the positive excess is due to the fact that a negatron created in space as one member of an "electron-pair" in coming near to the earth has a somewhat larger chance of being captured by the ionized atoms in the remote regions of the atmosphere than has a

<sup>2</sup> *Rev. Mod. Phys.*, XI (1939), 208, and VIII (1938), 104; also Johnson and Barry, *Phys. Rev.*, LVI (1939), 219.

positron. Or if that solution be rejected, let it be assumed (2) that the permanent, and still unexplained, negative charge on the earth tends somewhat to favor incoming positrons over negatrons.

These suggestions would limit the unbalance between positives and negatives to a region relatively near the earth in comparison with its radius, and avoid the assumption of any electrical unbalance throughout space. This balance principle has been strongly urged by competent physicists as a *necessity*; and, indeed, it has been generally recognized for fifty years as a valid objection to *any* theory that requires the earth to be bombarded by high-speed particles of one sign *only* rather than of both signs or else of no sign. It is fatal to the theory of primary incoming positively charged protons unless the electrical balance in space is otherwise provided for.

In any case, both positrons and negatrons, when they make collision with an atomic nucleus in the outer atmosphere, must in that act transform their energy practically wholly into photons; and there is good evidence that these photons, by absorption by atmospheric nuclei, produce the mesotrons of the next chapter. We still have much to learn about the actual processes by which cosmic-ray energy is absorbed in the earth's atmosphere and surface layers.



## CHAPTER XVIII

### THE MESOTRON

#### I. LATITUDE MEASUREMENTS CARRIED PRACTICALLY TO THE TOP OF THE ATMOSPHERE

In Figure 82 has been pictured the results of the first cosmic-ray *airplane* survey made in the summer of 1932 to 22,000 feet and extended in the spring of 1933 to altitudes up to 29,000 feet and, much more important, to somewhat comparable altitudes in a series of five latitudes extending from  $63^{\circ}$  N. mag. clear to the magnetic equator in Peru. It was these results, first reported to the American Physical Society in December, 1932,<sup>1</sup> which, when supplemented by the discovery and quantitative measurement of the sea-level longitude effect in 1933 and 1934, had been more suggestive as to the character and properties of the cosmic rays than any experiments that had been made up to this time, but they had only served to underline the need for still higher flights. But it was clearly necessary to make these flights in such a way as to bring to light latitude *differences* at much greater altitudes than had yet been attained. For from the integrated discharges of a Neher recording electroscope carried up to the top of the atmosphere it will presently be shown that one can easily obtain both the number of entering particles and the total cosmic-ray energy flowing into the earth from the heavens through each square centimeter of the sphere inclosing the earth at the top of the atmosphere, the reasoning being based upon the thus

<sup>1</sup> R. A. Millikan, *Phys. Rev.*, XLIII (1933), 667; and I. S. Bowen, R. A. Millikan, and H. V. Neher, *Phys. Rev.*, XLIV (1933), 252.

far unquestioned assumption that all this energy ultimately appears in ionization in the atmosphere or beneath it, no appreciable amount remaining in neutral form. The ionization below sea level was already known from our underwater measurements previously made and could therefore be added. It was, however, practically negligible in comparison with the ionization of the atmosphere and therefore gave us no concern.

The failure of all manned-balloon flights to meet this condition of getting to great heights, or even the condition of obtaining a series of flights in different latitudes, was the most serious limitation under which manned-balloon flights suffered. In Europe, Kohlhörster,<sup>1</sup> Hess,<sup>2</sup> and Piccard-Cosyns<sup>3</sup> had made notable flights of this kind at a single latitude; and in this country the Fordney-Settle flight of November, 1933,<sup>4</sup> and the so-called "Kepner-Stephens" flight of July, 1934, had taken up with them, along with other instruments, our Neher recording cosmic-ray electrosopes, which yielded good records but none capable of revealing the greatly needed effect of the earth's magnetic field on incoming charged particles—the key to the whole problem. Very high-altitude flights with recording electrosopes and in different latitudes were therefore our next concern.

The difficulty of extending latitude measurements on cosmic rays higher than to altitudes of about 30,000 feet—about the limit which we had been able to reach with airplanes in the spring of 1933—lay in the fact that in order

<sup>1</sup> Kohlhörster, *Phys. Zeit.*, XIV (1913), 1066 and 1158.

<sup>2</sup> Hess, *Phys. Zeit.*, XIV (1913), 610.

<sup>3</sup> Piccard and Cosyns, *Compt. Rend.*, CXCV (1932), 606.

<sup>4</sup> *Proc. Int. Conf. Nuclear Physics* (London, 1934), pp. 212 and 221.

to obtain the desired accuracy in high-altitude intensity measurement it was necessary to recharge our Neher self-recording instruments every four or five minutes during a flight, and this we had accomplished in airplanes and in manned balloons by taking up a 350-volt battery, which was used as the charging source. In order to work at the higher altitudes attainable with sounding balloons, it was necessary to develop the technique of repeatedly charging *during flight* the Neher electroscope from a *condenser* instead of from a battery, since the latter had a prohibitive weight. After a couple of years of experimenting and some failures in test-flights in San Antonio in the summer of 1935, Dr. Neher, with the aid of Dr. S. K. Haines, solved the problem of producing a very light charging-condenser of 10,000 cm. capacity, which during the conditions existing in a flight lost less than a tenth of 1 per cent of its charge per hour.

With these new Neher instruments weighing, with all the recharging and recording mechanisms for electroscopes, barometers, and thermometers, only about 1,400 grams, in August, 1936,<sup>1</sup> we made from Fort Sam Houston, San Antonio, Texas (mag. lat. 38° 7' N.), with the aid of the Signal Corps unit stationed there, successful flights which gave accurate records of cosmic-ray intensities up to 12.9 mm. of mercury, or 98.3 per cent of the way to the top of the atmosphere.<sup>2</sup> Then at once we shipped the equipment with Dr. Neher to Madras; India

<sup>1</sup> *Phys. Rev.*, L (1936), 992.

<sup>2</sup> Pfozter's remarkable flight, made not with an electroscope but with triple vertical counters, reached to 10 mm. of mercury (see *Zeit. f. Phys.*, CII [June, 1936], 23 and 41). Also in the summer of 1937 we reached altitudes at which the pressure was 9.9 mm. of mercury, or 98.8 per cent of the way to the top of the atmosphere (see *Phys. Rev.*, LIII [1938], 855).

(mag. lat.  $3^{\circ}$  N.), and, with very fine co-operation from the Indian Meteorological Service, we repeated there, very close to the magnetic equator, the type of measurement already made in San Antonio. The results of these measurements enabled Bowen, Millikan, and Neher<sup>1</sup> to obtain an answer to one of the most disturbing questions in the whole field of cosmic rays—one that had given us all a headache for at least five years. The history and significance of the question is as follows:

From the time of the first quantitative proof brought forward by Chao<sup>2</sup> in 1930 that a new kind of absorption of gamma-ray photons by lead begins to set in at an incident energy of about 1 million electron-volts, the theorists had been trying to work out the possible laws of such nuclear absorption; for, prior to this, the laws of *extra-nuclear* absorption, represented by the Klein-Nishina formula, had been supposed to be adequate to take care of the whole absorption process. Oppenheimer and Plesset<sup>3</sup> in 1933 seized on the newly discovered fact of positive-negative pair-formation through the impact of photons on the nuclei of atoms and made it the basis of a theory (see above, pp. 378-402) of the *nuclear* absorption of photons, which, of course, was to be added to the Klein-Nishina absorption of extra-nuclear electrons; and Lauritsen and his group, also at the California Institute of Technology, had shown that this theory was competent to describe the facts of the absorption of the photons in the whole energy range from 1 million up to 17 million electron-volts, which they were then opening up to exploration. But the trouble

<sup>1</sup> Bowen, Millikan, and Neher, *Phys. Rev.*, LII (1937), 80.

<sup>2</sup> Chao, *Phys. Rev.*, XXXVI (1930), 519.

<sup>3</sup> Oppenheimer and Plesset, *Phys. Rev.*, XLIV (1933), 53.

with the theory as applied to cosmic rays was that it did not permit even billion-volt rays, whether consisting of photons or of electrons, to penetrate through more than a meter or two of water at the most. Bethe and Heitler<sup>1</sup> worked out the theory in more detail, especially as it applied to the absorption of high-energy electrons. The fundamental assumption underlying this theory, now generally known as the "Bethe-Heitler theory of the absorption of electrons," has already been given (see p. 346). The essential point is that electrons, in trying to plunge through matter, in view of their extreme *lightness* must behave in a manner in which heavy particles, like protons or  $\alpha$ -rays, cannot behave, namely, they must be very suddenly stopped or decelerated as they plunge into a relatively heavy object like an atomic nucleus, and the suddenness of this act of deceleration, or "bremsung," is what is needed largely to transform the incident energy into an electromagnetic pulse, called "impulse radiation" or a "scattered X-ray photon" or "gamma-ray," which, by quickly encountering another nucleus, produces an electron pair (+ and -), each member of which pair, by colliding with still another nucleus, transfers its energy into impulse radiation, etc., so that the original energy is very rapidly degraded by division between a larger and larger number of lower-and-lower-energy photons and electrons through the continual repetition of these "impulse radiation"-pair-formation acts, the whole constituting a "cascade shower."

Now this Oppenheimer-Bethe-Heitler theory of the absorption of both photons and electrons worked quantitatively everywhere when tested in the range of energies of

<sup>1</sup> Bethe and Heitler, *Proc. Roy. Soc.*, CXLVI (August, 1934), 83-112.

a few million electron-volts.<sup>1</sup> It required the loss of energy of an electron or photon in going through a given thickness of matter to be proportional to the incident energy—in other words, it required the absorption *coefficient* to be constant, no matter what was the value of the incident energy, and it required the absorption in going through a space containing a given number of atoms of different atomic number to be proportional to  $Z^2$ , where  $Z$  means atomic number. Both of these conditions appeared to be satisfied when the rays experimented upon were of low energy, i.e., from a million up to perhaps 300 million electron-volts, as experimentally shown by Anderson and Neddermeyer.<sup>2</sup> But it obviously did not hold for high-energy cosmic rays. Why not? Because it did not permit the cosmic-ray photons or electrons to penetrate through more than a few centimeters of lead or a couple of meters of water at the most, despite the fact that very early, namely, in Millikan and Cameron's original 1925 experiments in snow-fed lakes, it had been first proved beyond question that cosmic rays do penetrate<sup>3</sup> not merely through 1 atmosphere—10 meters of water—but through not less than 10 atmospheres, i.e., to depths in lakes (e.g., Gem Lake, Calif.) of at least 300 feet (100 meters) of water. Some later experimenters report minute traces of effects penetrating down as far as to 1,400 feet of water-equivalent.<sup>4</sup>

<sup>1</sup> Crane and Lauritsen, *Proc. Int. Conf. Physics*, Vol. I: *Nuclear Physics* (London, 1934), pp. 130-43.

<sup>2</sup> Anderson and Neddermeyer, *Phys. Rev.*, L (1936), 256.

<sup>3</sup> See also Rossi, *Zeit. f. Physik*, LXXXII (1933), 151, for cosmic-ray particles shooting in straight lines through a meter of lead.

<sup>4</sup> I. Barnóthy and M. Ferró, *Phys. Rev.*, LV (1939), 870; also V. C. Wilson, *Phys. Rev.*, LV (1939), 6.

Again, while the above theory did not permit electrons of any energy, however large, to pass through more than a few centimeters of lead, Wilson cloud chambers showed tracks that were apparently indistinguishable from electron tracks penetrating without appreciable change in direction through 15 or 20 cm. of lead. Further, I have already indicated that cosmic rays as found at sea level seem to follow a law of absorption in different substances which is roughly proportional to density. This is nearly the same as a  $Z$  law, instead of a  $Z^2$  law, as required by the theory. Only in measuring shower phenomena had a  $Z^2$  Bethe-Heitler law come to light through the work of Rossi and others; but these phenomena seemed to be produced in greatest abundance by what Rossi called "the soft or non-penetrating component" of the cosmic rays, a component that reached its maximum of shower-producing effectiveness at a thickness of lead of about 1.6 cm., so that it seemed that we might be justified in assuming that the Bethe-Heitler theory held for low-energy rays but not for very high-energy rays.

This was the simplest way out of the difficulty, and the way I myself took.<sup>2</sup> Indeed, as late as the autumn of 1936, I called attention to the above-mentioned "range" phenomena connected with the cosmic-ray shelves, one near Pasadena at sea level and another near Spokane at an altitude of 22,000 feet, and saw in them the proofs of the failure of the Bethe-Heitler law at energies of a billion volts and more. Also, all the theorists—Bethe, Heitler, Oppenheimer, and Blackett—expressed the belief that this law could not hold for very high energies, for it was

<sup>2</sup> Millikan, *Carnegie Inst. Washington Year Book*, No. 35 (December 11, 1936), p. 349.

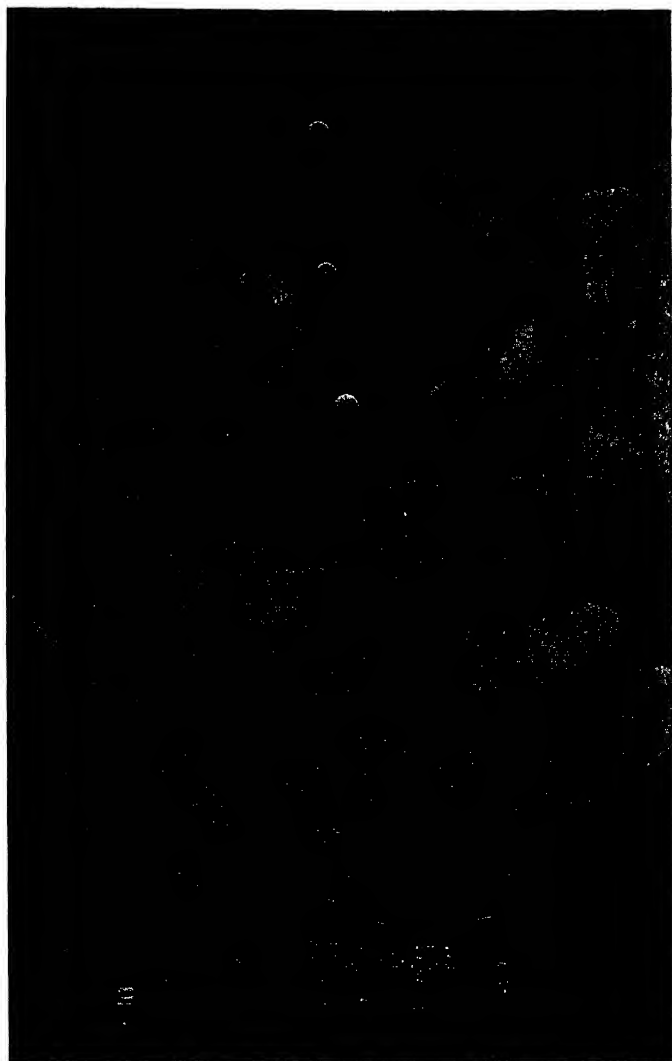


FIG. 89.—Launching electroscopes for stratosphere flights. In this ascent only four balloons were used. In reaching our highest altitudes (9.9 mm. of mercury) we have regularly used ten balloons.



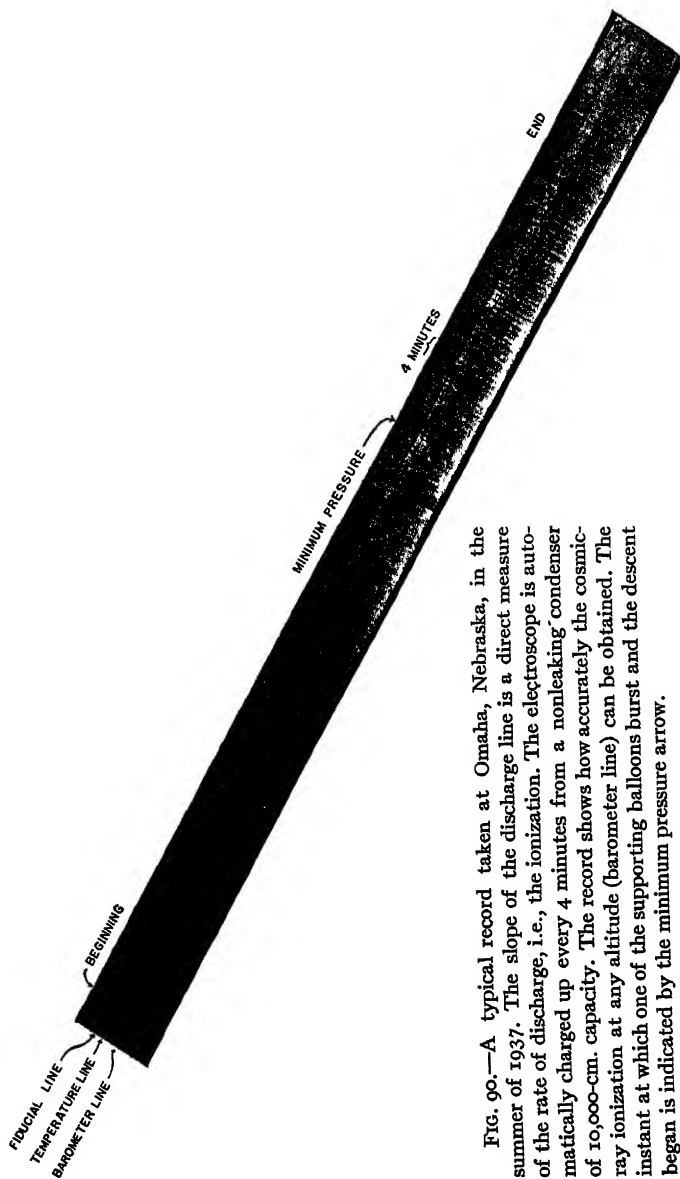


FIG. 90.—A typical record taken at Omaha, Nebraska, in the summer of 1937. The slope of the discharge line is a direct measure of the rate of discharge, i.e., the ionization. The electroscope is automatically charged up every 4 minutes from a nonleaking condenser of 10,000-cm. capacity. The record shows how accurately the cosmic-ray ionization at any altitude (barometer line) can be obtained. The instant at which one of the supporting balloons burst and the descent began is indicated by the minimum pressure arrow.

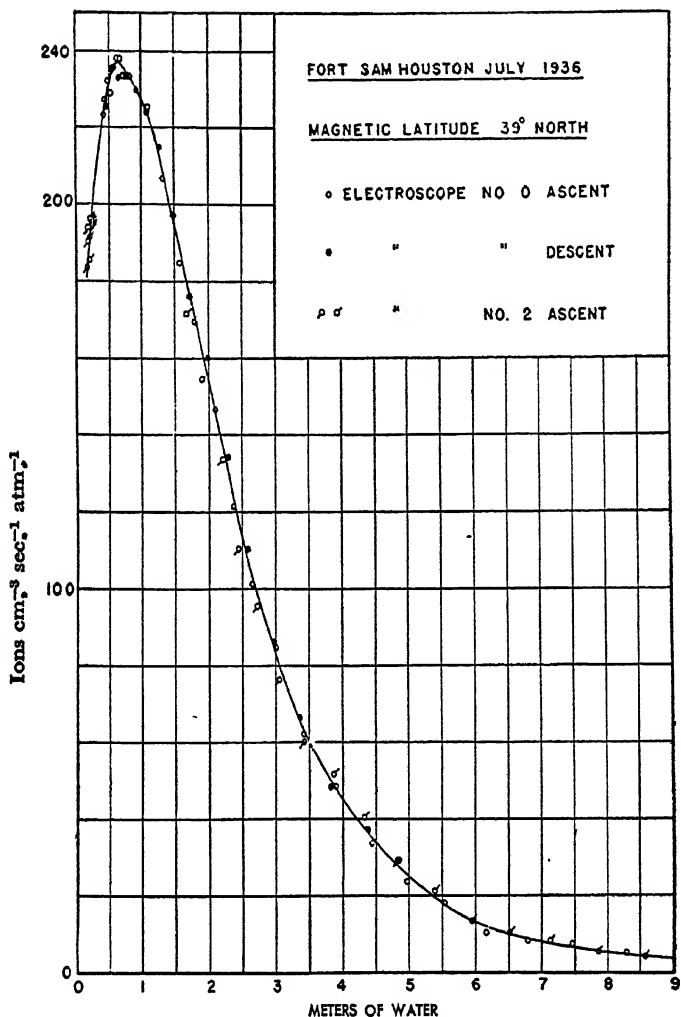


FIG. 91.—Altitude-ionization curve for both flights, No. 0 and No. 2, reduced to ions per cubic centimeter at atmospheric pressure. The pressures are in meters of water below the top of the atmosphere (10 meters = 1 atm.).

irreconcilable with the very high penetrability which experiment revealed.

These new high-altitude experiments of Bowen, Millikan, and Neher, however, showed that we were all wrong and that the breakdown of the Bethe-Heitler law at high energies is not the way out of the foregoing apparent cosmic-ray contradictions.

The experimental justification of the foregoing statement is found in the graphs shown in Figures 91, 92, and 93, which give at various latitudes the full curves, up to within 1 or 2 per cent of the top of the atmosphere,<sup>2</sup> of the relationship holding between the number of cosmic-ray ions formed per cubic centimeter per second within our pressure electroscope (reduced to 1 atmosphere of air) and the depth beneath the top, measured in equivalent meters of water, at which this electroscope finds itself. The following striking and, for the most part, new facts stand out at once from an inspection of these curves.

1. Incoming cosmic rays, whatever their nature, are so rapidly absorbed as a whole *in the outer layers of the at-*

<sup>2</sup> So far as the area underneath the curve is concerned—and it is this which is the measure of the total incoming energy entering the atmosphere per square centimeter of the foregoing earth-sphere—this would not be appreciably changed by any higher altitude than that attained, for the curves show that the ionization increases rapidly up to a certain altitude and then decreases rapidly as the balloon continues to ascend and that for the reason that beyond a certain point the upper atmosphere becomes so rare that the incoming rays cannot hit any appreciable number of molecules at all, a condition reached when the curve comes practically back to  $x$  equals 0. It will be seen that at both San Antonio and Madras the curve does come so far down toward the origin that there is no appreciable error introduced, so far as the total area underneath it is concerned, by extending it into the origin. *For practical purposes, therefore, it is correct to consider these curves as actually extending our measurements to the very top of the atmosphere.*

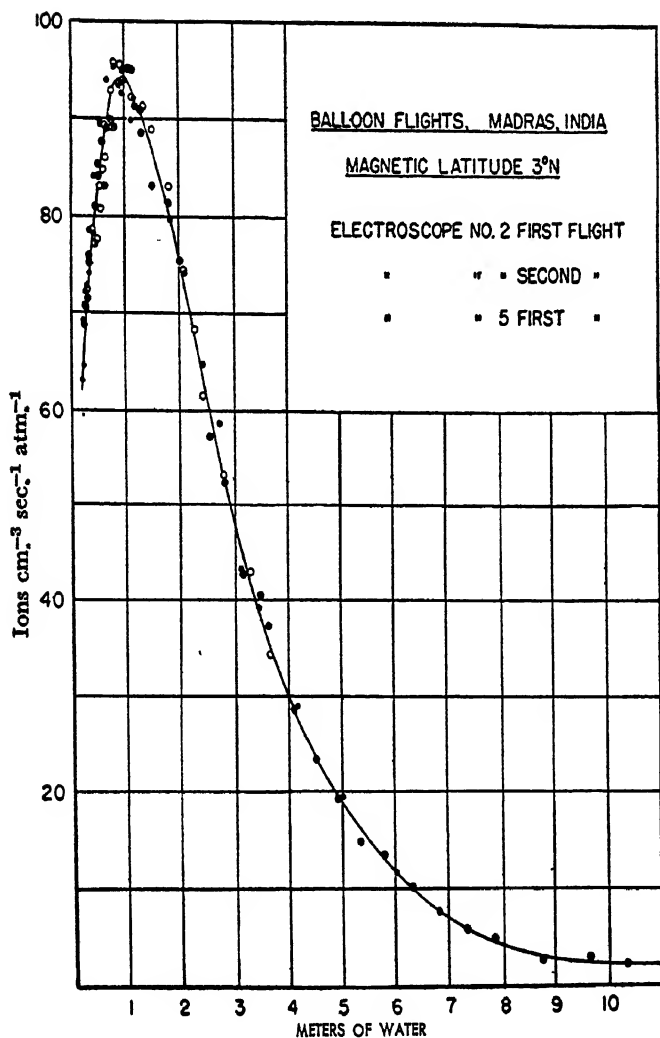


FIG. 92.—Ionization as a function of depth, in equivalent meters of water, below the top of the atmosphere at Madras, India, magnetic latitude 3° N.

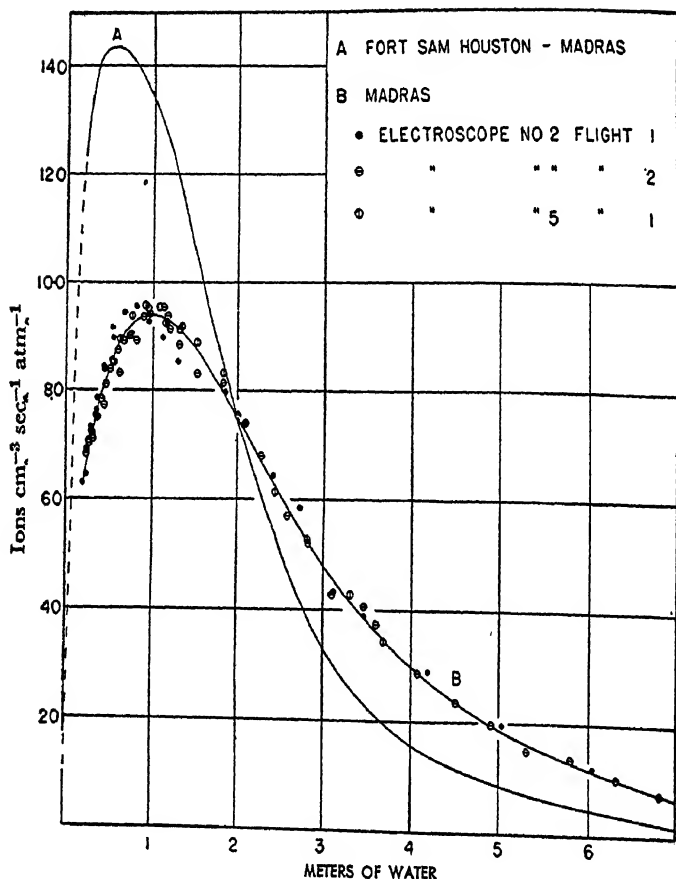


FIG. 93.—Curve A shows the ionization at all depths down to 7 meters of water due to the electrons entering the atmosphere of energies between 6.8 billion electron-volts and 17 billion electron-volts. This curve is the difference between the curves of Fig. 91 and Fig. 92. Curve B is the same as that shown in Fig. 92 but drawn to the same scale as curve A. The areas (or incoming energies) under the two curves are nearly the same.

*mosphere* that even in the equatorial belt (Fig. 92), where the effect of the earth's magnetic field upon them has its largest value, they get into equilibrium with their secondaries and produce their maximum ionization before they have penetrated through the first tenth of the atmosphere.

2. From that maximum point on, in all cases, they fall off exceedingly rapidly in intensity following an exponential equation, their law of absorption being like that of gamma-rays and not like that of particles that exhibit range phenomena such as low-energy beta-rays, proton rays, or  $\alpha$ -rays. The apparent range phenomena discussed in preceding pages appear, indeed, at sea level and at other relatively low altitudes, but they are not at all characteristic of the absorption of cosmic rays *in the upper layers of the atmosphere*.

3. The depth beneath the top of the atmosphere at which the maximum ionization is attained, always less than one-tenth of an atmosphere, changes but slightly in going from San Antonio (Fig. 91), where no electrons of energy less than  $6.8 \times 10^9$  electron-volts can get vertically through the blocking effect of the earth's magnetic field, to Madras (Fig. 92), where no electrons of energy less than  $17 \times 10^9$  electron-volts can similarly get through.

4. The difference between the San Antonio and the Madras curves made possible for the first time the determination of the complete curve of ionization produced in the atmosphere by incoming charged particles contained within a limited band of energies having a weighted mean value of about  $10 \times 10^9$  electron-volts,<sup>1</sup> and it is this curve

<sup>1</sup> The 6.8 and 17 correspond to *vertically* incoming particles, and electrosopes take in rays of some energies adjacent to 6.8 and 17 coming in

(Fig. 93, curve *A*) in particular, although it will be seen to be very similar in character to the other full radiation curves (Figs. 91 and 92), that throws so much new light on cosmic-ray absorption and ionization; for we are here completely unable to do what many of us had always done before, namely, call on less and less penetrating photonic components of the incoming radiation for explaining the rapid rise in ionization with increasing altitude. All effects due to incoming photons are in the general cosmic-ray background, which, being the same at all latitudes, is eliminated by the subtraction of curve 92 from 91. The "new use of the earth's magnetic field" is in this instance, then, that of supplying us with a definitely known band of charged particles, certainly either free electrons or protons (at these energies the field alone cannot distinguish between them) of enormous but reasonably well-determined energies, and enabling us to see without any uncertainty just how charged particles of this mean energy of some 10 billion electron-volts are absorbed by the nuclei of the molecules of air. The curve *A* of Figure 93 gives us at once this law and enables us to compare this actual rate of absorption with that required by the Bethe-Heitler theory as extended by Carlson and Oppenheimer (Fig. 94).

5. Down to a depth of one-third of an atmosphere from the top (3.3 meters of water), this curve is in reasonably good agreement, as shown by Figure 94, with the Bethe-Heitler theory of nuclear absorption as recently

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from the side (see Fig. 88). However, the area underneath each curve is still the total integrated ionization-energy above San Antonio, in one case, and Madras, in the other, though the mean value taken as 10 above is not accurately determinable, nor is this important for present purposes.

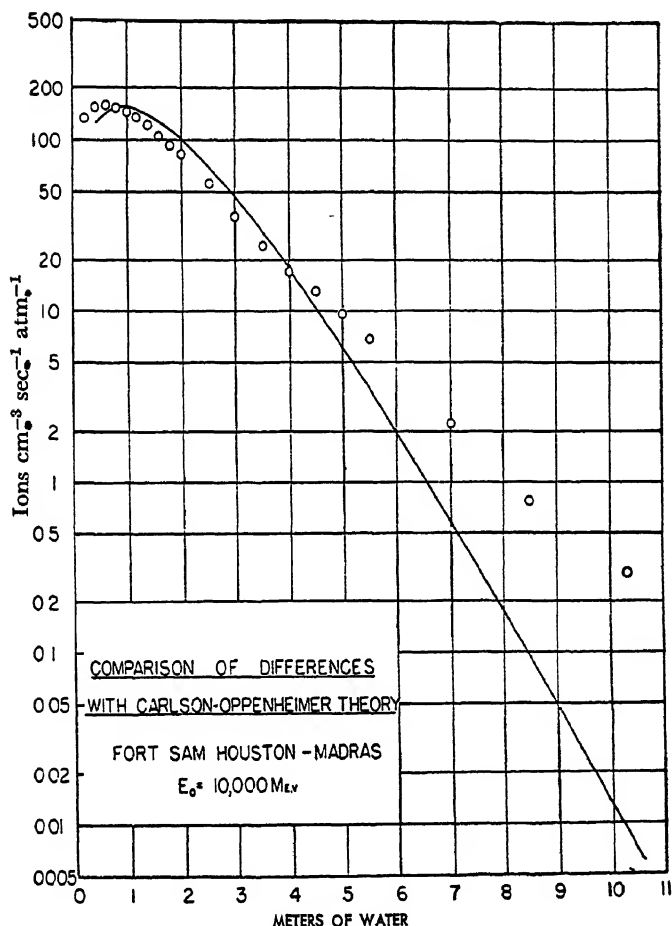


FIG. 94.—Comparison of Carlson-Oppenheimer theory of ionization at different depths (full line) due to incoming electrons of mean energies 10,000,000 electron-volts with directly observed ionizations (small circles). The scale is logarithmic. The fit is fairly good at the top, but, from 5 meters down to sea level (10 meters), the ionization falls off much less rapidly—i.e., the rays are much more penetrating—than the theory permits.



extended by Carlson and Oppenheimer, as well as by Bhabha and Heitler.<sup>1</sup>

6. The exceedingly rapid absorption of this latitude-sensitive radiation, with an absorption coefficient which is nearly constant and independent of incident energy, *qualitatively favors the "shower theory" of the foregoing authors as the main cause of the ionization of the upper layers of the atmosphere produced by incoming electrons even of this huge energy*, for protons cannot be "bremsed" and thus give rise to high-energy photons. However, it is, of course, true that the *ad hoc* assumption might be made that, through producing nuclear disintegration of the air, their rate of absorption might become indistinguishable here from the normal Bethe-Heitler rate of absorption of free electrons.

7. The latitude-sensitive part of the cosmic-ray ionization (Fig. 94) found in the lower part of the atmosphere is considerably more penetrating than is predicted by the foregoing extended Bethe-Heitler theory of electron absorption; nevertheless, while at a distance of one-twentieth of an atmosphere from the top, these  $10 \times 10^9$  electron-volt field-sensitive rays are producing 144 ions per cubic centimeter per second (curve A, Fig. 93), at sea level *their total ionizing influence has fallen to but 0.3 ion per cubic centimeter per second, that is, to about 1/500 of their value near the top of the atmosphere.*

8. The two foregoing results in 7 show that the process of nuclear absorption of incoming particles is more complicated and involves the production of more-penetrating secondaries than is pictured in the simple physical as-

<sup>1</sup> Bhabha and Heitler, *Proc. Roy. Soc.*, CLIX (1937), 432; see also Bhabha, *ibid.*, CLXIV (1938), 257.

sumptions underlying the Bethe-Heitler theory but, at the same time, that *the whole progeny of secondaries, whatever their nature, has been reduced almost to zero by the time sea level has been reached*, not more than about one-tenth of the sea-level ionization, small though it is, being accounted for by field-sensitive rays at all.

9. The latitude-sensitive part of the cosmic-ray ionization found in the lower atmosphere is then practically all due to *the secondary effects of varied nature* resulting from the absorption of the incoming charged particles in the upper tenth of the atmosphere.

10. The apparent absorption coefficient, namely, 0.54 per meter of water, of the actual curve (*A*, Fig. 92) representing the whole progeny of secondary influences resulting down to sea level from the absorption of incoming charged particles in the very top layers of the atmosphere (for this one coefficient fits fairly well the whole curve from sea level, or 10 meters, up to 2.5 meters of water) is approximately the same as that found by Johnson for the east-west effect, thus indicating that the particles causing the latitude and the east-west effect in the localities of his observations are of the same type.

The experiments and conclusions thus briefly summarized leave no sort of uncertainty as to the wrongness of my former assumption that the observed great penetrating power of charged particles in cosmic-ray phenomena is to be explained by the breakdown at energies of the order of a billion or more of volts of a law like the Bethe-Heitler law, which requires the very rapid absorption of electrons. As the Wilson cloud-chamber experiments directly demonstrate, there are, indeed, penetrating particles present in sea-level cosmic rays to which no law

of rapid absorption like the Bethe-Heitler can possibly apply. To that extent the cosmic rays do reveal a breakdown of that theory; but, since we have proved by these experiments that even 10-billion-volt electrons are, in fact, very rapidly absorbed in the upper air, essentially as the fundamental assumptions underlying Bethe-Heitler require, or perhaps even more rapidly, there is no alternative left but to conclude that these highly *penetrating rays* observed farther down in the atmosphere, first in snow-fed lakes, then in cloud chambers, both at sea and on Pike's Peak, *are not free electrons*, for they show a penetration that free electrons even of their energies do not possess. But what, then, are they?

So far as charged-particle rays alone are concerned, since it is solely mass or inertia (inability to be suddenly "bremsed," i.e., inability to produce "radiative collisions") that constitutes the essential difference between the absorbability of free electrons and that of heavy particles, *we have no alternative but to classify these highly penetrating charged particles observed in Wilson cloud chambers at sea level as relatively heavy particles of some kind*. In a lecture at the Institut Poincaré in Paris in October, 1931, I called the positive members of the newly discovered charged pairs "protons"; and both Auger in France and Williams in England have more recently treated these penetrating tracks as those of protons; but, since these penetrating particles are about equally positive and negative in sign, *if they were protons, then these cosmic-ray experiments would have brought to light another new particle, namely, a negative proton*. There is no other evidence for the correctness of this assumption. There is, however, the best of evidence brought forth by Anderson and Ned-

dermeyer that they cannot be as heavy as protons in that they seem to be able to transfer their energy to extra-nuclear electrons more easily than a body as heavy as a proton could do.

Therefore, in order to leave their precise nature to a degree unsettled, Anderson and Neddermeyer, who had been working with them in our vertical cloud chamber ever since 1934, at first simply called them "X-particles," their sole new characteristic being that they were heavy enough not to be suddenly stopped and therefore not to have their energy largely transformed into impulse radiation (photons). Massiveness is all that is left to call upon to make a charged particle penetrating if we are obliged, as we seem to be by the foregoing experiments, *to give up the notion that high energy alone can make an electron penetrating*. It is true that the tracks of these penetrating particles at energies above 300,000 electron-volts are indistinguishable from the densities of free-electron tracks, that their charge is certainly the same as that of the electron, and that they are like the electron in that their charge may be either positive or negative in sign. But the foregoing experiments seem definitely to deny high penetrability to free electrons up to the huge energies of 10 billion electron-volts. The cosmic rays have been teaching us some strange new things about nature that we had not even dreamed of before.

## II. THE DISCOVERY OF THE MESOTRON

In the preceding edition of this book, written in 1934 and published in January, 1935, after presenting the successes of the pair theory of gamma-ray absorption as worked out theoretically by Oppenheimer and experi-

mentally by Lauritsen, Fowler, and Crane, on page 403 I closed the chapter with the following paragraph, in which I voiced again the incorrect opinion, held at that time by practically all of us, that the observed very high penetrating power of high-energy "electron tracks" so conspicuously demonstrated in Anderson and Neddermeyer's experiments (see below) meant that, at high enough energies, free electrons changed their behavior, lost their capacity for being "bremsed" or of producing radiative collisions, and therefore became very penetrating particles. The above-mentioned paragraph reads:

All this is in notable confirmation of the demands of the pair theory for this small range of frequencies herein investigated. That, however, a  $Z^2$  absorption law cannot hold for higher energies seems to be definitely established by our cosmic-ray measurements, as well as by those of Steinke and his collaborators at Königsberg. These measurements show that when different absorbers are used for the same cosmic-ray photons the absorption is roughly proportional to the first power of the atomic number rather than to the second power. The whole mechanism of nuclear absorption may therefore change as these higher energies are reached. *This is an interesting and important field in which both our experimental and our theoretical knowledge is still very incomplete but in which new data are rapidly appearing, so that the question here raised bids fair to find an early solution.*

This paragraph, and particularly the last statement in it, had been stimulated by the interesting results just then being obtained by Anderson and Neddermeyer, preliminary report upon which had just been made at the International Conference on Nuclear Physics (London, October, 1934).

These experiments consisted in careful statistical cloud-chamber studies at Pasadena, near sea level, on the

curvatures and the energies of thousands of cosmic-ray-particle tracks shooting through our vertical Wilson cloud chamber in a magnetic field of known strength and showing measurable changes in track-curvatures before and after passage through bars of carbon and lead, inserted across the middle of the cloud chamber.

In Anderson and Neddermeyer's (1934) words:

These curvature measurements on cosmic-ray particles between the limits 300 mev and 6,000 mev showed an approximate equality between the positives and negatives in all energy intervals, save that in the highest energy range the positives seemed somewhat to predominate. The positive particles in this group, in so far as they represent secondaries, must (of course) all have originated from *nuclear* encounters. The data on electron traversals through plates of carbon and lead given in table 3 show that the probability of *producing directly a secondary electron by a nuclear encounter is very small* (see last column). In a total of 2439 traversals, electrons were observed to penetrate a total of 1215 cm. of carbon and 663 cm. of lead. This corresponds to an equivalent of 24 m. of water for the carbon and 52 m. of water for the lead if it is assumed that the probability of producing a secondary per nucleus encountered is proportional to  $Z$ . If this probability is proportional to  $Z^2$  then the 663 traversals through lead are equivalent to 520 m. of water. These observations then, taken all together, represent the equivalent of an electron traversal through at least 76 m. of water or more than seven times through the earth's atmosphere. In all these traversals there were observed in addition to the negatron secondaries [arising of course from extra-nuclear encounters], all of which had energies less than 300 mev, *no positron secondaries except three cases of electron pairs from the lead, whose combined energy was less than 300 mev.*

The fact that in all these traversals, equivalent to a particle-passage at least seven times through the earth's atmosphere, there were no instances of an electron impact in which high energy ( $E > 300$  mev) secondaries of either positive or negative sign were produced, is very strong evidence that only an insignificant num-

ber of the cosmic-ray electrons at sea-level can result directly from electron impacts in the atmosphere or other absorbing material. This conclusion depends upon the reasonable assumption that the cosmic-ray electrons passing through the atmosphere do not behave essentially differently in producing electron secondaries, from those observed in the chamber. It is concluded from the above facts that practically all of the cosmic-ray electrons at sea-level, in so far as they are of terrestrial origin, can be ascribed only to *photon* encounters with nuclei.

TABLE 3

Material and Thickness of Plate	Number of Electron Traversals	Number of Secondary Negatrons	Number of Secondary Positrons
1.0 cm. carbon.....	810	38	0
1.0 cm. lead.....	397	15	2 pos.-neg. pairs
1.1 cm. lead.....	176	7	0
0.2 cm. lead.....	267	3	1 pos.-neg. pair
0.025 cm. lead.....	789	8	0

In other words, *these particular "electron tracks" did not make any appreciable number of radiative collisions*, such as give rise to impulse radiation (photons).

Again, on page 182 of the 1934 *Proceedings of the International Conference on Nuclear Physics*, these two authors show that their penetrating particles cannot be protons because, in traversing their lead and carbon plates, the observed particles transfer, or lose through encounters with extranuclear electrons within these plates, four times as much energy as the laws of impact permit them to lose if the traversing particles were as massive as protons. They continue in a footnote (p. 182) thus:

Bowen, Millikan and Neher have found that the rays sensitive to the earth's magnetic field possess an apparent absorption coefficient of about 1.0 per meter of water. If these field-sensitive

rays are mainly incoming electrons, as all data strongly indicate, it then appears difficult to reconcile the highly absorbable character of these rays with the apparently small degree of interaction between the particles and the plates of lead and carbon in our cloud chamber. Since the values of  $H\rho$  of many of the particles observed in the chamber ( $5 \times 10^6$  to  $20 \times 10^6$  gauss cm.) lie in the same range as do those of the particles excluded by the earth's field, the absence of a large number of secondaries produced in the plates, either electrons or photons, *may indicate a difference in character between the high energy particles observed in the cloud chamber and those incoming particles constituting the field-sensitive portion of the cosmic-ray beam.* The data, however, are preliminary and for the purposes of discussion in this report we have assumed the high energy particles traversing our plates to be practically all electrons. [Italics mine.]

The foregoing italicized statement made in the mid-summer of 1934 is the first indication, so far as I can find, made by anyone anywhere of the discovery of an experimental situation that "may" require the introduction into physics of a new particle more massive than the free electron and less massive than the proton. At that time this was so revolutionary a hypothesis that it took much insight and no little daring to make it. The only alternative, after free electrons had been eliminated through the observed penetrability (requiring the absence of radiative collisions, see Fig. 96) and after protons were also eliminated because of too large a transfer of energy in collisions with extra-nuclear electrons, was to assume that a fundamental change took place at sufficiently high energies in the mode of interaction of electrons with the nuclei of atoms. This last was the road I myself followed, as shown above, and in doing so I was in very distinguished company.

But Anderson and Neddermeyer, immediately after



the London conference, i.e., in the fall of 1934, started a new and elaborate investigation to see whether our way of escape from the foregoing difficulty was a tenable one. *This was two years before our sounding balloon flights (shown in Figs. 91 and 92) that carried our electroscopes practically to the top of the atmosphere—and it was one year before any theoretical suggestion had been made in any quarter that there might be a theoretical reason<sup>1</sup> for the possible existence of a particle of intermediate mass between the free electron and the proton.*

Knowing that electrons existing in cosmic-ray showers certainly make radiative collisions with surrounding atomic nuclei and thus transform their energy largely into impulse radiation, Anderson and Neddermeyer set out to study statistically, and also very laboriously, as they had done in their paper just reported at the London international conference, the penetrating power, or energy loss, shown by electrons that were parts of showers in shooting through both a bar of lead and also a centimeter bar of platinum, this last being equivalent to nearly 2 cm. of lead. The other half of their plan was to make such a series of measurements on tracks that occurred *singly* rather than as parts of showers. They found that shower

<sup>1</sup> This suggestion came from Yukawa (see *Collected Papers from Osaka University*, II [1935], 52), who, for purely theoretical reasons having to do with the force between a proton and a neutron, substituted in the equation  $\mu_0 = h/\lambda c$  a value of  $\lambda$ , the diameter of the nucleus, equal to  $5 \times 10^{-12}$  and thus got  $\mu_0 = 2 \times 10^2$  electron masses. He then remarks: "As such a quantum with large mass has never been found by experiment, the above theory seems to be on a wrong line." Heitler later showed that the original theory of Yukawa must be modified to give the right dependence of the neutron-proton force on the orientation of their spins. However, the relation between range and mass is quite independent of these considerations.

particles, in the energy range between 100-Mev and 500-Mev electrons, could just get through their platinum bar, while, in general, the tracks that occurred singly retained after traversal a very large part of their incident energy. Otherwise stated, *two tracks of the same curvature in a magnetic field*, one a *single track* such as those used in making the studies reported in Table 3 above and one a component of a cascade shower *actually had widely different penetrating powers* and therefore could be classified only as *different particles*.

Their results are all collected in Figure 95. Abscissae are here energies in Mev, ordinates are loss in energy in traversing a centimeter of platinum. Circles refer to shower particles, solid dots to particles that occurred *alone*. All points referring to particles that traverse the platinum must, of course, be below the slanting line in the diagram, since this line is so drawn as to correspond to the total loss in the bar of the incident particle's energy. The plot proves conclusively that at least two different kinds of cosmic-ray particles occur in cloud chambers characterized by wide differences in penetrating power. Since both types of particles fall within the same energy range, it cannot be *energy differences* that make the one set more penetrating than the other. Anderson and Neddermeyer had held up any announcement of their discovery for two years so as to settle this altogether vital point before making any definite public statement beyond that published, as indicated above, in the summer of 1934. They made the new statement in a public colloquium in Pasadena and permitted the report of it, quoted in full on page 505, to be published in *Science* (November 20, 1936, p. 9 of Suppl.).

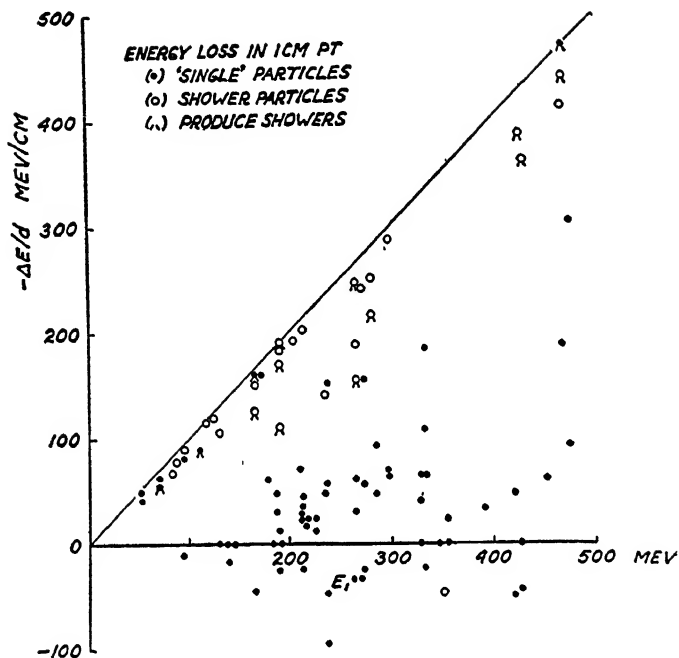


FIG. 95.—Energy loss in 1 cm. Pt as function of incident energy. Energy values calculated for electron mass. There is no ambiguity if  $E$  is interpreted as momentum instead of energy.\*

\* Anderson-Neddermeyer footnote: "For a given charge,  $H\rho$  is proportional to momentum independently of mass, that is  $p = eH\rho/c$ , and since it is momentum and not energy that is measured by the radius of curvature, the result of a curvature measurement should be expressed as momentum when there is doubt about the mass. We may express the momentum in terms of the energy an electron would have if its track curvature were the same as that of the particle considered. This is not very good usage, but because so many data have been recorded in terms of electron energies it is convenient for avoiding unnecessary explanation or lack of precise statement. Thus an electron with a momentum of 100 mev has an energy of this value, while a heavier particle with the same momentum would have a lower energy depending on its mass. The natural electron unit,  $mc$ , is also convenient, the momentum  $\mu mc$  being connected to the mass  $km$  and the kinetic energy  $\epsilon mc^2$  by the relation  $\epsilon = (\mu^2 + \kappa^2)^{\frac{1}{2}} - \kappa$ ."

PARTICLES IN COSMIC RAYS SIMILAR TO BUT  
DIFFERENT FROM THE ELECTRON

Discovery of an unknown particle that may prove to be as important as the positron was made known by Dr. Carl D. Anderson to his colleagues at the California Institute of Technology just a short time after he was notified of his sharing in the 1936 Nobel prize for his discovery of the positron.

Dr. Anderson has found that the cosmic rays include electrical particles which make tracks much like electrons, but are quite different from anything heretofore recognized or investigated. The particles seem to have an unusually great penetrating power and do not readily knock electrons out of matter at the rate equally energetic electrons do.

In many thousands of cosmic ray photographs obtained in Pasadena, Pike's Peak and at Panama there are many examples of presumably ordinary electrons (the fundamental particle of electricity negatively charged, discovered years ago) which produce showers of secondary particles as they pass through the apparatus. But there is also another phenomenon, lone tracks that traverse lead plates without producing any perceptible secondary effects. These are the hitherto unknown particles. Dr. Anderson does not venture to guess what the unknown particles might be, but he indicated that they probably have the same charge as electrons, although not the same mass.

Again, in his published Nobel Prize address of December, 1936, Dr. Anderson wrote as follows:

These highly penetrating particles, *although not free positive and negative electrons*, appear to consist of both positive and negative particles of unit electric charge, and will provide interesting material for future study.

Their fuller report on their experiments and findings was received by the *Physical Review* on March 30, 1937, and appeared in that journal on May 15, 1937.

It was the proof furnished by the data contained in

Figure 95 taken in the years 1934, 1935, and 1936, completed in the late summer of 1936, discussed with Dr. Oppenheimer and myself at Pasadena and referred to by the former in his paper at the Harvard Tercentenary in September (1936), that first closed the door to our interpretation of the hard or penetrating component of cosmic rays as due merely to a change in the type of interaction between free electrons and atomic nuclei as the energy of the oncoming free electrons increased to high values. The balloon-electroscope flights, the records of which are reported in Figures 91, 92, and 93, were being made during this same summer and fall of 1936. Although these flights proved in a different way the same result demonstrated by the data of Figure 95, the data involved in Figures 91, 92, and 93 had not been graphed at the time that Anderson and Neddermeyer made their public report and, indeed, these data were first published in the *Carnegie Institution of Washington Year Book*, No. 35 (December 11, 1946), page 349.

Between the date, in the summer of 1936, of the final and unquestionable discovery of the existence of a new particle of mass intermediate between that of the free electron and the proton, and the date of December 7, 1941, when all of us were drafted into a new type of activity, there had appeared fourteen different determinations, or estimates, of this mass (Table XVII).

In view of the great difficulties and necessary errors involved in these mass determinations, the divergencies shown in the last column of Table XVII are not surprising, even if the differences shown have no real basis beyond experimental uncertainties. But there are two of these determinations in which the errors should be smaller than

in any of the others, namely, the one involved in Figure 100 and described in its legend, and the one numbered 11 in Table XVII. This last method, used in No. 11, involves nothing more than the law of impact between two elastic bodies, the impinging body being the mesotron and the struck body the free electron. These two determinations agreed very closely and seemed to fix the mass

TABLE XVII

	Mesotron mass in terms of electron mass
1. C. D. Anderson and S. H. Neddermeyer, <i>Phys. Rev.</i> , L (1936), 263, Figs. 12 and 13, with legends but without numerical values.....	
2. J. C. Street and E. C. Stevenson, <i>Phys. Rev.</i> , LII (1937), 1003.....	$200 \pm 50$
3. P. Ehrenfest, Jr., <i>Compt. Rend.</i> , CCVI (1938), 408. ~200	
4. E. J. Williams and E. Pickup, <i>Nature</i> , CXLI (1938), 684.....	$160-220$
5. D. R. Corson and R. B. Brode, <i>Phys. Rev.</i> , LIII (1938), 215 and 773.....	$200 \pm 50$
6. S. H. Neddermeyer and C. D. Anderson, <i>Phys. Rev.</i> , LIV (1938), 88.....	~240
7. S. H. Neddermeyer and C. D. Anderson, <i>Rev. Mod. Phys.</i> , XI (1939), 191.....	$220 \pm 35$
8. A. J. Ruhlig and H. R. Crane, <i>Phys. Rev.</i> , LIII (1938), 266.....	$120 \pm 30$
9. Y. N. Nishina, M. Takeuchi, and I. Ichimiya, <i>Phys. Rev.</i> , LV (1939), 585.....	$180 \pm 20$
10. H. Maier Leibnitz, <i>Zeit. f. Physik</i> , CXII (1939), 569.....	$55-270$
11. Leprince Ringuet <i>et al.</i> , <i>Phys. Rev.</i> , LIX (1941), 460.....	$240 \pm 20$
12. J. G. Wilson, <i>Proc. Roy. Soc. A</i> , CLXXII (1939), 521	$250 \pm 50$
13. R. B. Brode and M. A. Starr, <i>Phys. Rev.</i> , LIII (1938), 3.....	~200
14. Donald J. Hughes, <i>Phys. Rev.</i> , LX (1941), 414...	$180 \pm 25$
15. Yukawa, <i>Proc. Phys. Math. Soc., Japan</i> , XVII (1935), 48.....	~200

of the new particle at  $220 \pm 30$  electron masses. However, in 1938, in view of quantum theoretical considerations and the wide experimental differences shown in the footnote, Neddermeyer brought forward<sup>1</sup> the discussion of the possibility of the existence of multiply valued mesotrons, and Leprince Ringuet and Lherilier<sup>2</sup> have reported (1944) a cloud-chamber photograph of a simple collision between a mesotron and an extra-nuclear electron which yields very reliably, as they think, a mesotron mass  $\mu_0 = 900 \pm 12$  per cent electron masses. This is now stimulating the further study of Neddermeyer's suggestion and calling for more experimental results.

### III. THE NAMING OF THE MESOTRON

The history of the *naming* of the mesotron is as follows: Dr. Anderson, one of its joint discoverers, suggested the name "mesotron," derived in the altogether standardized way from the two Greek root words  $\mu\epsilon\sigma\sigma$  and  $\eta\lambda\epsilon\kappa\tau\rho\nu$ . The choice of this name was well-nigh inevitable because of the fact that the closely related series of fundamental particles—the electron, the positron, the negatron—had already established themselves in general use and also in view of the further fact that the word "mesotron" describes exactly what the new particle was, namely, an *intermediate particle* that had all the properties of the electron save that it was intermediate in mass between the electron and the proton, but was apparently much nearer to the electron than to the proton even in mass—an unstable particle, too, of limited lifetime (about

<sup>1</sup> *Phys. Rev.*, LIII (1938), 102.

<sup>2</sup> *Compt. Rend.* CCXXI (1944), 465.

$2.8 \times 10^{-6}$  seconds),<sup>1</sup> which carried the electronic charge and, like the electron—not like the proton—it could be either + or -; a particle, further, that at the end of its lifetime apparently transforms itself again into an electron.<sup>2</sup> For both practical and etymological reasons, then, “mesotron” seemed to Dr. Anderson to be the most descriptive term for it. Accordingly, on September 28, 1938, I wrote to Dr. Bohr in Copenhagen as follows:

Since a considerable number of names have been suggested for the penetrating particle, the existence of which was first definitely stated by Neddermeyer and Anderson, as I think is now generally recognized (see G. Wentzel, *Sonderdruck aus Die Naturwissenschaften* 1938, 26, Jahrg., Heft 18, p. 273), I am writing to you to express the hope that the name for this particle which Anderson has suggested and which seems to me to be the most appropriate, namely, ‘Mesotron’ (or intermediate particle) will be generally adopted. Does it not seem to you that this is the most appropriate designation which has yet been suggested?

His reply was:

I thank you for your kind letter of September 28, and take pleasure in telling you that every one at a small conference on cosmic-ray problems, including Auger, Blackett, Fermi, Heisenberg, and Rossi, which we have just held in Copenhagen, was in complete agreement with Anderson’s proposal of the name of “Mesotron” for the penetrating cosmic-ray particles. The story of the discovery of these particles is certainly a most wonderful one and the cautiousness for which I gave expression during the discussions in the unforgettable days in the spring before last in Pasadena was only dictated from the appreciation of the great

<sup>1</sup> See Neher and Stever, *Phys. Rev.*, LVIII (1940), 766. This paper contains references to the earlier work in this field of Hess, Blackett, Rossi, Ehmert, Euler and Heisenberg, Bethe and Block.



consequences of Anderson's work, if the evidence for the new particles was really convincing. At the moment I do not know whether one shall admire most the ingenuity and foresight of Yukawa or the tenaciousness with which the group in your Institute kept on in tracing the indications of the new effects. I am happy that Dr. Boggild from our Copenhagen circle shall have the unique opportunity of following this amazing development at close hand.

Further discussion as to the best name of the new particle was again raised at a symposium on cosmic rays held in June, 1939, at the University of Chicago. Dr. A. H. Compton in his Foreword to the published report<sup>2</sup> records the following facts: The attendance was about 300 and included representatives from most of the world's leading research groups engaged in this study. Six different names were considered, namely, "mesotron," "meson,"<sup>3</sup> "mesoton," "baryton," "yukon," and "heavy electron." No one of these six received a clear majority of all votes, none of the last four had "any considerable support," and the votes on the first two were "about equal." The editor (Compton) concludes with this statement: "Except where the authors have indicated a distinct preference to the contrary we have chosen to use the term 'mesotron.'" This is now the designation mainly used in American, Japanese, and English<sup>2</sup> publications while Continental writings still show a wide scatter among "mesotron," "mesoton," "meson,"<sup>3</sup> and "heavy electron."

<sup>2</sup> *Rev. Mod. Phys.*, XI (1939), 122.

<sup>2</sup> See Williams and Blockett, *Nature*, CXLV (1940), 102; CXLII (1938), 992.

<sup>3</sup> "Meson" is confusing because it is already a standard Greek word of altogether different meaning, viz., "the middle, the space between, also common ground."

## IV. THE ORIGIN AND THE END OF THE MESOTRON

However incomplete the evidence may still be as to whether these penetrating particles, which constitute nearly 80 per cent of the thousands of cloud-chamber tracks taken at the Norman Bridge Laboratory—a percentage in general conformity with all later work elsewhere—are in part positive and negative protons or whether they are all positive and negative particles of intermediate mass between protons and electrons, i.e., mesotrons, there is, at any rate, definite evidence as to where most of them come from. In a word, the evidence is that at least the great majority of those that we find in the lower part of the atmosphere and below sea level do not come in from outside at all, as all of us originally assumed the observed penetrating particles to do, but that rather they are for the most part *produced* as secondary penetrating particles in our atmosphere by some kind of nuclear collisions that other rays, presumably photons (which must themselves be secondaries, since primary photons have been eliminated by taking the difference between curves 91 and 92 to obtain 93A), make with the nuclei of the atoms constituting the atmosphere. The more detailed evidence for the correctness of this view follows.

The present discussion of the *very great significance* of the data contained in Figures 91 and 92 rests upon the postulate, not likely to be seriously questioned, that the cosmic-ray energy coming per second per cm.<sup>2</sup> from the celestial dome into the earth's atmosphere at the location of an electroscope-balloon flight all appears ultimately in the form of atmospheric or subatmospheric ionization.

It may be obtained in electron-volts simply by multiplying the rate of formation of ions per second per  $\text{cm.}^2$  actually *observed* in an electroscope-flight carried to the top of the atmosphere, by the energy required to produce an ion in air, namely, 32 electron-volts.

Again, the incoming "field-sensitive" cosmic rays entering the earth from outside at a given latitude are necessarily either free electrons or protons. This is because, at an energy of a billion electron-volts or more, these two particles are alike in the effect of the earth's magnetic field upon them. Incidentally, at energies above  $10^9$  electron-volts, protons and free electrons are also essentially alike in the density of the ion tracks which they produce. Similarly, at values of  $H\rho$  greater than  $3 \times 10^5$  (which for an electron means an energy greater than  $9 \times 10^7$ ) the track-densities of free electrons and mesotrons are indistinguishable. It follows that the sum of the ionizing effects of all incoming photons and of all singly charged incoming particle rays of higher energy than about 17 bev (that required to get to earth at the equator in India) constitutes *the cosmic-ray background* that is uniform everywhere over the earth's surface. This background provides (see chap. xvii)  $(100-8) \div 100 = 92$  per cent of all the sea-level ionization at the magnetic equator in Peru and  $(100-13) \div 100 = 87$  per cent of all sea-level ionization in southern India.

By subtracting the curve 92 from curve 91, we eliminated from the resulting curve 93A, *the effect of all incoming photons* of all energies, and also the effects of all incoming charged *particles* of energy greater than about  $17 \times 10^9$  electron-volts. This means that *all effects* appearing in 93A are due solely to incoming free electrons

or incoming protons of energy less than about 17 bev. Directly or indirectly, then, 93, *A* requires the mesotron to be the progeny either of an incoming electron or of an incoming proton. But theory says that, in view of its lightness, only the free electron can transform its energy nearly without loss into a photon, which could then, through plunging into a nucleus, pass on that energy into a highly penetrating mesotron such as constitute about 30 per cent of all the tens of thousands of tracks that Anderson and Neddermeyer have photographed, both at sea level and on Pike's Peak. Further, they found no experimental evidence that their mesotrons, much less protons, were light enough to transform their energy *appreciably* into bremsstrahlung and thus produce, through their absorption in other nuclei, low-energy mesotrons; and as to protons, ten times more massive, no evidence has yet appeared from anywhere that they ever produce any save *extremely soft* bremsstrahlung. However, very able studies on bursts—rare events requiring incoming energies even above 20 bev—by Bothe and Schmeiser,<sup>1</sup> Christy and Kusaka,<sup>2</sup> and in 1946 by Lapp<sup>3</sup> have proved conclusively the existence of two sorts of "mesotron bursts," "fast and slow," which differ in angular spread as well as greatly in energy, the latter being the progeny of the former through the intermediary of "fast mesotron bremsstrahlung photons resulting from collision with heavy atoms."

Again, the evidence that mesotrons originate in the impact of *photons* upon nuclei seems to be checked by their recently reported artificial production in the

<sup>1</sup> *Naturwissenschaften*, XXVII (1939), 305.

<sup>2</sup> *Phys. Rev.*, LIX (1941), 414.    <sup>3</sup> *Phys. Rev.*, LXIX (1946), 321.

General Electric's new hundred-million-volt betatron or electron accelerator, invented by Dr. D. W. Kerst, of the University of Illinois. This succeeds in creating a beta-ray beam of 100,000,000 electron-volts; and, just as in an ordinary X-ray tube, the impact of these  $10^8$  electron-volt beta rays upon a target produces up to  $10^8$  electron-volt X-rays, which, when now passed into a cloud chamber, give rise to ion tracks resembling mesotron tracks. In this case there is no escape from the conclusion that these particular mesotrons, if this is what they are, are produced *only* by the impact of *photons* on the nuclei found in the walls of the expansion chamber.

Comparison of Figures 96, 97, and 98 with their legends shows how, within suitable ranges of energy, free-electron tracks, proton tracks, and mesotron tracks can be unambiguously distinguished. Thus, Figures 97 and 98 show scarcely differentiable single tracks entering the 1 cm. bar of lead in Figure 97, and the 1 cm. bar of platinum in Figure 98 (lower picture). The cascade showers emerging from the lower side of the platinum bar, in both photographs of Figure 98, reveal at once the fact that the particles that entered the top of this bar were all free electrons. On the other hand, the particle entering the top of the upper lead bar in Figure 97 is very penetrating and shows no evidence of producing showers in traversing either of the two bars of lead. But the legend shows that, to possess its measured curvature in the existing magnetic field of 15,000 gauss, it might be either a  $240 \times 10^6$  electron-volt free electron, or a  $2 \times 10^7$  electron-volt proton. But the last two columns revealing how great the ranges, or distances of travel in lead of these two particles, could be before they had exhausted their energies in producing

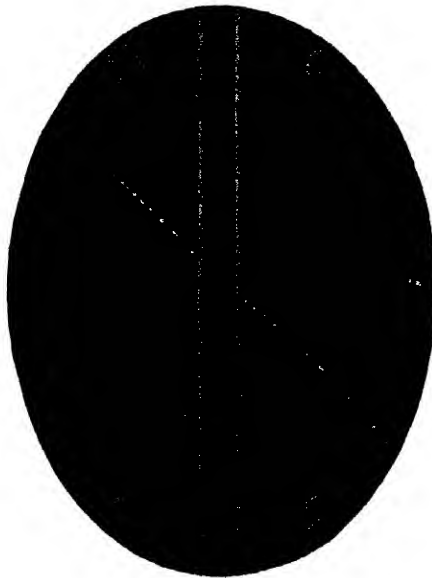


FIG. 96

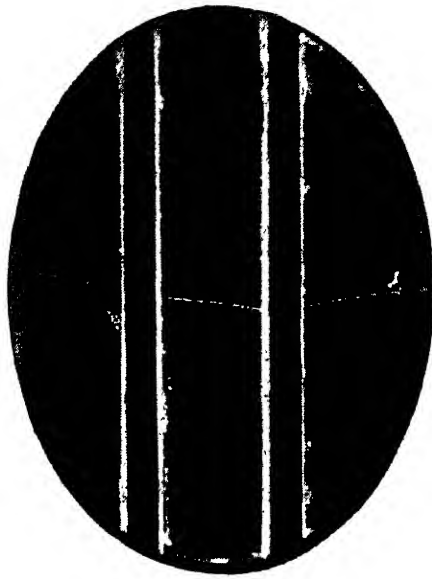


FIG. 97

FIGS. 96 and 97.—Anderson's proof of mesotron tracks:

Curve- ture	<i>If it is an Electron</i> <i>its energy is</i> <i>E(ev)</i>	<i>If it is a Proton</i> <i>its energy is</i> <i>E(ev)</i>	<i>If it is a Proton its Range is In Air</i>	<i>If it is a Proton its Range is In Pb</i>
$3.8 \times 10^5$	Fig. 96, upper track $113 \times 10^6$	$7.0 \times 10^6$	60 cm.	$< 0.1$ mm.
$2.9 \times 10^5$	Fig. 96, lower track $86 \times 10^6$	$4.1 \times 10^6$	20 cm.	$< 0.1$ mm.
$8.0 \times 10^5$	Fig. 97, upper track $240 \times 10^6$	$20.0 \times 10^6$	660 cm.	$< 1$ mm.
$7.3 \times 10^5$	Fig. 97, middle track $220 \times 10^6$	$25.0 \times 10^6$	540 cm.	$< 1$ mm.
$5.4 \times 10^5$	Fig. 97, lower track $160 \times 10^6$	$13.0 \times 10^6$	200 cm.	$< 1$ mm.

∴ these are not protons

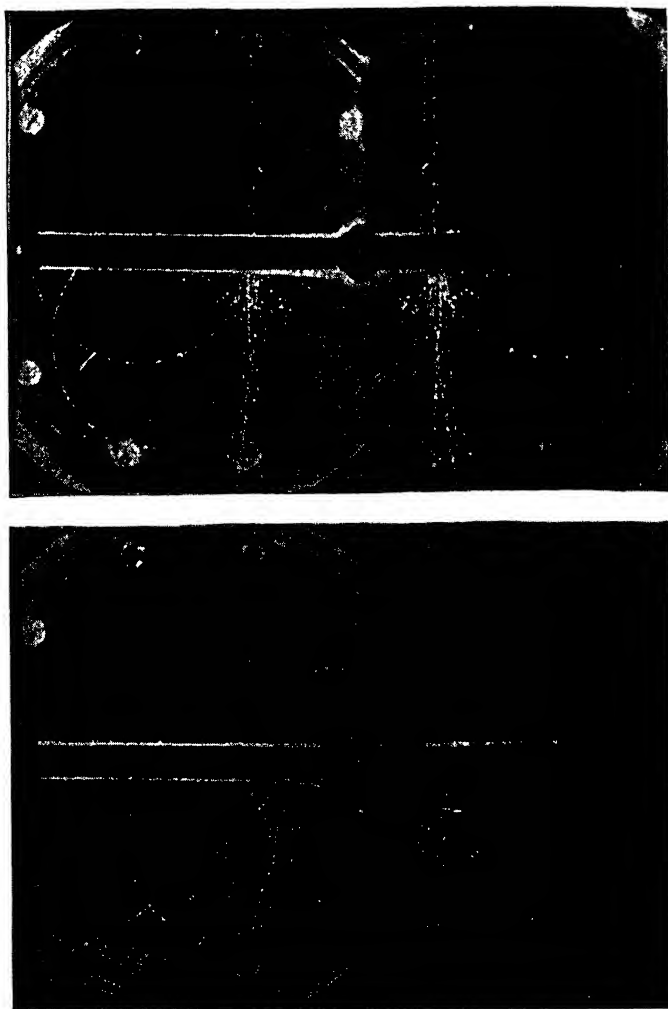


FIG. 98.—These figures show an electron or electrons, rather than photons, plunging into a bar of platinum and a number of electron-pairs issuing from the lower side of the lead. These show that the tracks entering the platinum bars at the top, in both the upper and the lower pictures, are electrons, not mesotrons.

ions, show at once that the particle in question, already much too penetrating to be an electron, is also completely debarred from the category of protons and that, therefore, it, with the other particle shown in Figure 96, must be classified as a mesotron. In order to determine their masses we need to be able to measure their ranges<sup>1</sup> in addition to their *curvatures*; but this Figures 96 and 97 do not permit. The necessary conditions for *mass* determination, assuming the charge to be known, are stated by Neddermeyer and Anderson<sup>2</sup> as follows:

(1) Measurement of range and curvature in a magnetic field, (2) ionization (obtained by counting number of droplets per cm. of ion track) and curvature, (3) ionization and range, (4) close elastic collisions with electrons, (5) deflection by electric and magnetic fields. The first three methods involve essentially the same things and require a knowledge of the relationship between ionization, or energy loss, and the velocity of the particle. As the theoretical relations for these have been only partially checked by experiment, these methods suffer somewhat on this account. They are also subject to rather large experimental uncertainties, which vary widely with the velocity and proper mass of the particle. The last two methods are less open to objections of the first kind because they depend only on the most fundamental laws of mechanics and electricity. The fourth is perhaps the most powerful method of all because it should be applicable over a wide range of momenta and masses where the other methods either break down completely or are of extremely uncertain applicability. It has, however, the experimental disadvantage that data of the right kind are extremely rare and under the best conditions only slowly obtainable.

In view of the foregoing difficulties and the very great importance of a quantitative determination of the mass

<sup>1</sup> For a fuller treatment of range relations see Livingston and Bethe, *Rev. Mod. Phys.*, IX (1937), 261.

<sup>2</sup> *Rev. Mod. Phys.*, XI (1939), 199.



of the mesotron, Neddermeyer and Anderson, in order to get a photograph of one coming to the end of its range in the gas of the cloud chamber, undertook the difficult task of putting a Geiger-Müller counter inside their cloud chamber and coupled it, by means of a coincidence circuit, to a second counter placed above the cloud chamber. By this method they obtained one photograph among the ten thousand taken that probably contains more information about mesotrons than has been brought to light by any single picture thus far taken. They discuss it as follows:

Although four independent mass estimates can be made from the data provided by this photograph [Fig. 99], the most accurate value is to be obtained merely from the initial  $H\rho$  of the particle and the amount of matter traversed before it comes to rest, i.e., its range in the gas within the cloud chamber. This gas consisted of  $\frac{2}{3}$  helium and  $\frac{1}{3}$  argon at a combined pressure of 1 atmosphere, which together with the alcohol vapor in the chamber corresponds in stopping power to about 0.5 atmospheres of air.

The track is seen to be sharp so that very accurate curvature and range measurements were possible.

The final determination should be considerably more accurate than any so far made. From the best guess we can make at present as to the thickness of matter traversed in the counter, the mass appears to be about 240 electron-masses. The other three determinations of the mass, (1) by the relation between the specific ionization and the value of  $H\rho$  for the upper portion of the track, (2) by the ionization and  $H\rho$  below the counter, and (3) by the  $H\rho$  and residual range below the counter, all give values consistent with the one above. The initial energy of the particle before it traverses the counter is 10 Mev and the energy with which it emerges is about 210,000 ev. It is perfectly clear that this particle cannot possibly have either electronic or protonic mass [see legend to Fig. 99].

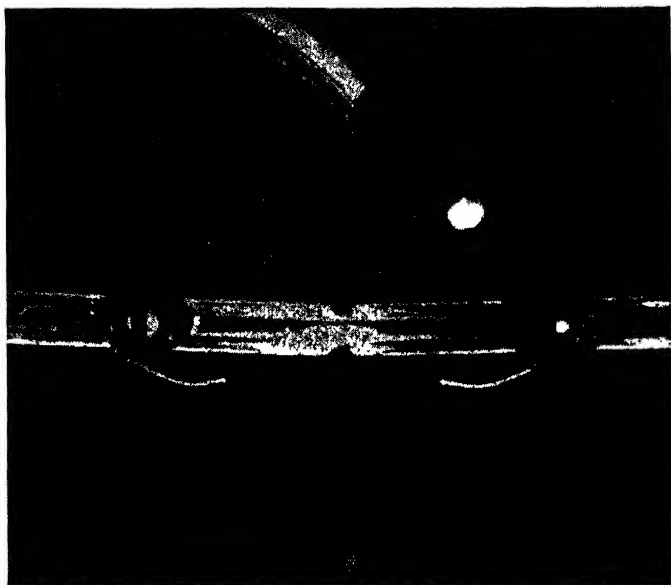


FIG. 99.—Neddermeyer and Anderson's photograph of a dying cosmic ray—a mesotron. A positively charged particle of about 240 electron masses and 10 Mev energy passes through the glass walls and copper cylinder of a tube-counter and emerges with an energy of about 0.21 Mev. The magnetic field is 7900 gauss. The residual range of the particle after it emerges from the counter is 2.9 cm. in the chamber (equivalent to a range of 1.5 cm. in standard air). It comes to rest in the gas and may disintegrate by the emission of a positive electron not clearly shown in the photograph. It is clear from the following considerations that the track cannot possibly be due to a particle of either electronic or protonic mass. Above the counter the specific ionization of the particle is too great to permit ascribing it to an electron of the curvature shown. The curvature of the particle above the counter would correspond to that of a portion of 1.4 Mev and specific ionization about 7,000 ion-pairs/cm., which is at least thirty times greater than the specific ionization exhibited in the photograph. The curvature ( $\rho \approx 3$  cm.) of the portion of the track below the counter would correspond to an energy of 7 Mev, if the track were due to an electron. An electron of this energy would have a specific ionization imperceptibly different from that of a usual high-energy particle, which produces a thin track, and, in addition, it would have a range of at least 3,000 cm. in standard air instead of the 1.5 cm. actually observed. Moreover, if the particle had electronic mass and emerged from the counter with a velocity such that its specific ionization were great enough to correspond to that exhibited on the photograph, its residual range (in standard air) would be less than 0.05 cm. instead of the 1.5 cm. observed. A proton of the curvature of the track below the counter would have an energy of only 25,000 electron-volts and a range in standard air of less than 0.02 cm.

An interesting feature of the photograph is the fact that the particle is actually observed to come to rest in the gas of the chamber. No completely certain evidence of a subsequent disintegration [suggested at Pasadena by Oppenheimer and involved in Yukawa's theory] can be found on the photograph. There are, however, three droplets which appear on the left-hand image, which is the direct view, and also on the right-hand mirror image. Stereoscopic observation shows that these droplets line up so as to indicate a short segment of an electron track emanating from the point in the gas at which the particle came to rest and directed toward the counter. Because of the relatively weak light used in these experiments electron tracks are very faint. These droplets may therefore indicate that the particle after coming to rest disintegrated by the emission of a positive electron. Since the particle itself is positively charged it could not have been removed by absorption into a nucleus.<sup>1</sup>

But whatever uncertainty there may be as to the end of the mesotron shown in Figure 99, there can be no uncertainty as to what happens in the photograph taken by Williams and Roberts.<sup>2</sup> This shows clearly (Fig. 100) a mesotron, definitely measurable as of positive sign, coming to the end of its range in the gas of the chamber and from its end, in the words of the authors, "*there emerges a fast electron track, the kinetic energy of which is very much greater than the kinetic energy of the mesotron*, but is comparable with its mass energy. This indicates that the mesotron transforms into an electron, in which case the remarkable parallel between the mesotron and the Yukawa particle is taken one stage further. In terms of Yukawa's theory, the phenomenon observed may be described as a disintegration of the mesotron, with the emission of an electron thus constituting the most elementary form of  $\beta$  disintegration."

<sup>1</sup> Neddermeyer and Anderson, *Phys. Rev.*, LIV (1938), 89.

<sup>2</sup> E. J. Williams and G. E. Roberts, *Nature*, CXLV (1940), 102.

These photographs are, then, extraordinarily illuminating as to the mechanism of transmission of cosmic-ray energy from the upper regions of the atmosphere to sea level and beyond, the assumption being that the energy of a secondary photon, for example, formed in the upper atmosphere as one of the elements of a highly absorbable shower impinges upon a nucleus. There emerges from that nuclear collision a mesotron or mesotrons, which,



FIG. 100.—Williams and Roberts' stereograph of the transformation of a positive mesotron at the end of its life-span ( $10^{-6}$ ) into a positron.

since mesotrons are penetrating particles, carry the energy rapidly downward while they last ( $10^{-6}$  seconds) and then disintegrate, giving rise at the end of their ranges to electrons, which then produce the cascade showers constituting the 20 per cent of the tracks observed at sea level.

An even more illuminating part of this story is told by the photographs shown in Figures 101 and 102 taken by Anderson and Neddermeyer on Pike's Peak in the summer of 1935 and reproduced here with their legends just as they were written at that time, save for the italics, which I have inserted. These legends show how clear an

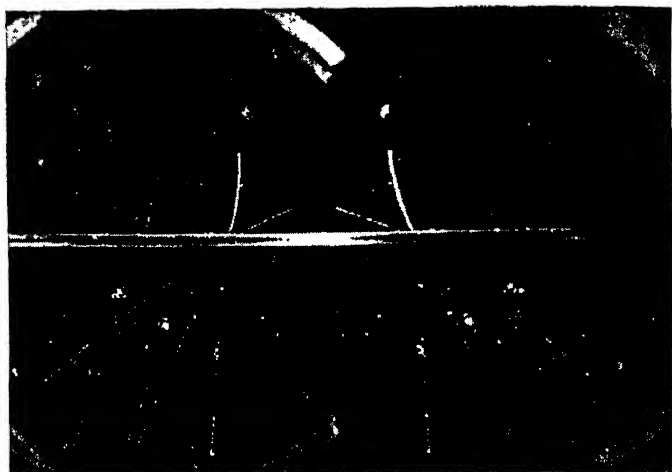


FIG. 101.—Pike's Peak, 7900 gauss. A disintegration produced by a non-ionizing ray occurs at a point in the 0.35-cm. lead plate, from which six particles are ejected. One of the particles (strongly ionizing) ejected nearly vertically upward has the range of a 1.5 Mev proton. Its energy (given by its range) corresponds to an  $H\rho = 1.7 \times 10^5$ , or a radius of 20 cm., which is three times the observed value. If the observed curvature were produced entirely by magnetic deflection, it would be necessary to conclude that *this track represents a massive particle with an  $e/m$  much greater than that of a proton or any other known nucleus.* As there are no experimental data available on the multiple scattering of low-energy protons in argon, it is difficult to estimate to what extent scattering may have modified the curvature in this case. The particle is therefore tentatively interpreted as a proton. The other particle ejected upward to the right may be either an electron or a fast proton. The four particles ejected downward are positively charged and do not ionize sufficiently strongly to represent protons of the curvatures shown. If they are positrons, their energies are, respectively, 105, 250,  $\sim 500$ , and 60 Mev. The summed energies of the six particles produced in this disintegration must exceed 1,000 Mev. Since an electron shower, coming in from above the chamber, occurs on this exposure coincident in time with the disintegration in the plate, the latter probably resulted from an encounter by a photon or a neutron which was produced along with the electrons in the shower. The fact that light particles receive so much energy would tend to favor the photon view. This disintegration, in which all the ejected particles are probably positively charged, represents a process fundamentally different from the usual electron shower; *it shows that charge has been removed from the nucleus and made to appear in the form of light particles.*

understanding these authors had at that early date of the impossibility of interpreting their cloud-chamber photographs without introducing some new properties into the ionizing agents beyond those which could be attributed to free electrons and to protons. Indeed, *these two photographs with their legends just as then written may well be taken as fixing the date of discovery of the mesotron.* The last two sentences at the end of the legend under Figure



FIG. 102.—Pasadena, 4500 gauss. A complex electron shower not clearly defined in direction, and three heavy particles with specific ionizations definitely greater than that of electrons. The sign of charge of two of these heavy particles represented by short tracks cannot be determined, but the assumption that they represent protons is consistent with the information supplied by the photograph. The third heavy track appears above the 0.35-cm. lead plate, where it has a specific ionization not noticeably different from that of an electron. It penetrates the lead plate and appears in the lower half of the chamber as a nearly vertical track near the middle. Below the plate it shows a greater ionization than an electron and is deviated in the magnetic field to indicate a positively charged particle. Its  $H_p$  is apparently at most  $1.4 \times 10^5$  gauss cm., which corresponds to a proton energy of 1 Mev and a range of only 2 cm. in the chamber, whereas the observed range is greater than 5 cm. A difficulty of the same nature was discussed in the description of Fig. 101.

101 show how well the authors realized that there was brought to light in this photograph an entirely new form of nuclear reaction—one in which, in sharp contrast to shower production, a “non-ionizing ray” which had to be either a neutron or a photon (and they favor the photon view) penetrates into the nucleus of an atom of lead and causes its explosion, thus ejecting the six mesotron tracks revealed in Figure 101. These tracks all start out in all directions from a common center. In their legend Anderson and Neddermeyer, after showing that the short, heavy track shooting upward cannot be a proton or an  $\alpha$ -particle, is yet “tentatively interpreted as a proton,” since the authors had not yet clearly announced the discovery of the mesotron.

It will be seen from the foregoing that the nuclear absorption of incoming charged-particle energy must be quite a complex phenomenon. Indeed, there is already evidence for the existence of at least four different mechanisms of such absorption, which may be listed as follows:

1. The first is impulse radiation and pair-formation. This seems to be the great, predominant influence in the higher parts of the atmosphere and the chief agent, in general, of nuclear absorption.

2. The second is the type of absorption illustrated by Figures 63 and 64, that is, by Hoffmann bursts. The evidence brought forward by Carmichael<sup>1</sup> seems to be strong that it may happen, though very rarely, that the whole energy of 2- or 3-billion-volt photons may be transformed in a Hoffmann burst by one nuclear encounter into the energy of several thousand low-energy electron-ray tracks (+ and -). This means that the nuclear absorp-

<sup>1</sup> Carmichael, *Proc. Roy. Soc.*, CLIV (March, 1936), 123-245. The essence of Carmichael's conclusion is that “some process must exist by

tion of charged-particle energy should be at least slightly *more* rapid than the Bethe-Heitler law predicts.

3. The third type of absorption is that first brought to light in Anderson-Neddermeyer photographs like Figure 101, which showed a nucleus exploding with the emission of mesotrons and in some cases also of protons and neutrons.

4. The fourth type of absorption is the production or creation—directly or indirectly, presumably through the encounter of photons with nuclei—of the penetrating particles or mesotrons which are chiefly, if not wholly, responsible for the transfer of the incoming cosmic-ray energy to sea level and below. These mesotrons have the power at the end of their lifetime ( $10^{-6}$  seconds) of creating new electrons and thus carrying showers down to very much greater depths than the Bethe-Heitler theory would otherwise permit. We need no better evidence for the existence of some mechanism that can transfer shower effects deep down into the atmosphere, to which the simple Bethe-Heitler theory denies them the possibility of reaching, than is provided by Figures 59–63, which, while taken at sea level, show showers consisting of many electron tracks (+ and —) which are produced by nuclear encounters made in the lead by  $1\frac{1}{2}$ -billion and 2-billion-volt photons and electrons. Such showers are found by

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which a single high energy cosmic ray is replaced suddenly by thousands of separate ionizing particles.” The largest burst he observes in 1,500 hours with a vessel of 175 liters capacity is no larger than we observe with a pressure electroscope of 1.6 liters capacity. The implication is that practically the whole energy of the burst is released in the ionization chamber itself. Carmichael never finds this amounting to as much as fifteen billion electron-volts. In other words, once in 100 hours a collision may occur in the wall so close to the inner surface as to release most of the energy of the incoming ray within the chamber itself.



Weischedel,<sup>2</sup> not abundantly but occasionally, at great depths under water. We have, then, direct, unambiguous evidence that mesotrons have the power of carrying down the energy required for these shower effects and releasing it at sea level or below.

There are two original articles with which the serious student of this field can with profit become familiar at first hand. The one, by Euler, a pupil of Heisenberg's (*Zeit. f. tech. Phys.*, XVIII [October, 1937], 517), is remarkable, especially in view of its date, for the correctness of its analysis and its clarity, brevity, and objectivity—and it is not at all outmoded now. The second, by Lapp (*Phys. Rev.*, LXIX [1946], 321), is important and very much up to date. From it I quote a few sentences: "Burst data constitute the only experimental evidence that the majority of very large bursts under thick shields are initiated by the bremsstrahlung of a mesotron in the electromagnetic field of a nucleus. At energies about  $10^{10}$  electron-volts this radiation process (bremsstrahlung) predominates over the close collision (knock on process) of a mesotron with an electron." This distance in any kind of matter at which the radiation-loss of a traversing charged particle is just equal to the ionization or knock on loss is called a "radiation unit." For an electron traversing matter this unit is  $\frac{1}{2}$  cm. in Pb,  $\frac{1}{2}$  m in water,  $\frac{1}{2}$  km. in air. The reciprocal of this length is approximately proportional to  $\rho Z^2/A$ ,  $\rho$ =density,  $A$ =At. Wt.,  $Z$ =At. No. It is only at energies above  $10^{10}$  electron-volts that mesotron bremsstrahlung becomes important. Mesotrons of that energy have a negligible decrease in intensity in traversing the atmosphere.

<sup>2</sup> Weischedel, *Zeit. f. Phys.*, CI (1936), 744.

## CHAPTER XIX

### THE NATURE AND NUMBER OF THE INCOMING PRIMARY RAYS

#### I. DISTINGUISHING BETWEEN PRIMARY AND SECONDARY EFFECTS

In the earlier years of cosmic-ray experimenting, faulty conclusions were inevitably drawn by all of us, experimentalists and theorists alike, because of the tacit assumption that in our sea-level measurements and other experimenting at such altitudes as are attainable on mountains we were dealing with ionization produced directly by the absorption processes that weaken X-rays, beta-rays, or alpha-rays in traversing matter. It was 1932 before I myself, in my address<sup>2</sup> before the American Physical Society, laid strong emphasis upon the fact that the great bulk of observed atmospheric effects are due to secondary reactions, not to primary rays themselves; but it was not until 1936 that the experimental proof had been found in Figure 93, curve *A*, that not more than one in five hundred, even, of 10-billion-volt incoming charged-particle rays can get down to sea level; and, so far as incoming photons are concerned, their effects are eliminated from Figure 93, *A*, anyway; but, independently of that fact, the development by the Oppenheimer-Lauritsen-Bethe-Heitler-Bhabha combination of the cascade-shower theory in 1933-34, with its verifications, showed that no primary photons could possibly begin to get down to sea

<sup>2</sup> *Phys. Rev.*, XLIII (1933), 661.

level in view of the limitations imposed by the shower theory.

Again, the existence of the sea-level cosmic-ray shelf at, or close to, the magnetic latitude of Pasadena ( $41^{\circ}$ ) merely shows that, within the limits of precision of the electroscope or other measuring instruments used, only incoming free electrons (or possibly protons; see below) of energies greater than about 6 bev are able to throw any measurable influences down as far as to sea level; and, since experiment shows that incoming charged-particle rays of energies above 6 billion volts actually do make their influence felt at sea level, it is now quite generally assumed that they do this through the intermediary of the production in the upper regions of the atmosphere, first, of photons and then of penetrating mesotrons through the absorption of these photons by atmospheric nuclei.

Whether the foregoing assumptions are correct or incorrect, at any rate, Figure 93, *A*, certainly contains all the ionizing influences, primary and secondary, simple and complicated, that *incoming charged particles* of mean energy 10 bev (contained roughly between the limits  $6.7 \times 10^9$  electron-volts and  $17 \times 10^9$  electron-volts), whether they be protons or electrons, are able to produce in our atmosphere.

Also, this curve *A*, Figure 93, and its logarithmic equivalent, constituting the plotted points in Figure 94, bear internal evidence that the incoming charged particles themselves possess the power of producing by some direct or indirect process, as their influence travels through the atmosphere, particles more penetrating than themselves. This is brought out clearly in the compari-

son between theory and experiment shown in Figure 94. Thus, on the logarithmic scale used in Figure 94, the full curve computed by Carlson and Oppenheimer shows the variation of ionization with depth beneath the top of the atmosphere as it is predicted in our electroscope for incoming electrons alone by the two fundamental mechanisms underlying the Bethe-Heitler theory of showers, namely, impulse-radiation (*bremsstrahlung*) and pair formation. The fit is quite good from the top down to a depth of  $2\frac{1}{2}$  meters of water. However, the fact that the experimental points taken from Figure 93, *A*, reach their maximum a little nearer the top of the atmosphere than does the theoretical curve indicates that at the top of the atmosphere the actual absorption of the energy of the incoming charged particles and the consequent multiplication of ionization take place *even more rapidly* than the Bethe-Heitler theory predicts. This means that there are other mechanisms of incoming cosmic-ray absorption and consequent ion formation, besides those postulated in the shower theory. Some of these were listed in the preceding chapter. But the lower half of the curve in Figure 94 shows that there, on the contrary, the ionization is *not dying out nearly so rapidly with depth as the Bethe-Heitler mechanism demands*. In other words, *the radiation is becoming more and more penetrating as it sinks farther into the atmosphere*. This means merely that there is some mechanism by which more penetrating carriers of the energy downward are being produced in the atmosphere by the incoming charged particles. These are the mesotrons of the last chapter. Whether the incoming particles that produce these mesotrons are electrons alone or protons alone or a combination of the two is discussed

in the next section. The net result of the considerations advanced in this section is contained in the statement that the evidence is good that *practically all the cosmic-ray effects observed in the lower part of the atmosphere are secondary effects—splashes of various kinds—produced in the upper layers of the atmosphere by the inflow from outside of electrons (+ and -) or of electrons, protons, and photons combined, which, no matter what their energy, cannot themselves penetrate through the upper layers because of the powerful barrier set by the laws of nuclear absorption.*

## II. DISTINGUISHING BETWEEN THE EFFECTS OF INCOMING FREE ELECTRONS AND INCOMING PROTONS

Above  $10^9$  electron-volts, because of the relativity increase in mass at speeds that are very close to the speed of light, protons and free electrons are influenced in essentially the same way by the earth's magnetic field and therefore at a given energy begin to get to earth through that field at the same latitude. *The earth's field then does not distinguish between protons and electrons of energies of  $10^9$  electron-volts or more.*

This situation, however, does not hold when one is considering the facts of *impact*. In its ability to transfer momentum, the proton is nearly two thousand times heavier than the electron and, for this reason, very much more penetrating even than the mesotron. It cannot be suddenly stopped, as can the electron, and it is therefore much less capable of making radiative collisions and of thus creating the impulse-radiation photons necessary both for the production of showers and apparently also

for absorption by nuclei in the creation of mesotrons through nuclear explosions (Fig. 101).

But there is also a second difference of very great significance. Through the discovery of the positron and of the production of electron pairs, both positrons and negatrons are found able to be bombarding the earth in equal numbers; but protons are all of one sign, and this, in my judgment, is fatal to the proton theory of the incoming field-sensitive particles (see below). These two characteristics of protons—their massiveness and the effect of their unneutralized positive charge—will now be discussed in connection with the facts revealed in Figures 92, 93, *A*, and 94.

It will be seen that there is a striking similarity between Figures 93, *A*, and 92. The first of these two curves can contain no effects save those produced by incoming electrons (+ and -) and incoming protons, if there are such, but in any case all of mean energy 10 bev. On the other hand, Figure 92 contains none of the effects of the incoming rays that made Figure 93, *A*, for they have all been taken out by the subtraction of Figure 92 from Figure 91. Figure 92, then, contains the effects of all the incoming photons of all energies and all the effects of incoming charged particles of all kinds, save those that have been taken out because they have energies lower than 17 bev. The *similarity* of Figure 92 to Figure 93, *A*, plus the facts of page 479, then carries with it the suggestion that perhaps the greater part, if not all, of the incoming cosmic rays are simply positive and negative electrons.

The first reason for this suggestion is that protons, because of their massiveness, certainly cannot be sudden-

ly stopped and therefore cannot thus have their energy transformed into the photons, such as apparently are essential for the very rapid absorption very near the top of the atmosphere, as is revealed by both Figure 93, *A*, and Figure 92. Second, if it is the absorption of protons at the top of the atmosphere that produces mesotrons, as has been suggested by advocates of incoming protons, since these mesotrons are themselves penetrating particles, they cannot, as secondaries, produce the rapid ionization revealed at the tops of Figures 93, *A*, and 92; for both protons and mesotrons are non-shower-making particles. Third, protons, in so far as all experimental evidence that we now have is concerned, are always positively charged particles; and therefore there is the same reason for denying the possibility of the continuous bombardment of the earth by high-speed protons as has been urged for fifty years for denying the possibility of the continuous bombardment of the earth by high-speed negative electrons, namely, that such a separation of charges as that would require creates fields that stop the separation. This is why, save in limited and local situations, positive and negative charges must be in balance throughout the universe. I think this has been for at least fifty years a generally recognized principle. It has been worked out recently quite elaborately by Swann.<sup>2</sup> That kind of difficulty, of course, disappeared for electrons with the discovery of the positron and the creation of electron pairs which keep incoming electron charges in balance.

For these reasons, in addition to the fact that no one has ever seen a negative proton, so far as I can see, incom-

<sup>2</sup> *Phys. Rev.*, XLIV (1933), 124, and LX (1941), 470.

ing protons in place of incoming electrons (+ and -) are not a very rational probability.<sup>2</sup> Even the restoring of electrical balance by assuming that the incoming cosmic-ray particles are half-protons and half-negatrons, while it avoids this difficulty, yet it leaves untouched the equally serious one of the great penetrability of protons because of their massiveness. This would lead, too, to the expectation that there would be two latitudes like that found at  $41^{\circ}$  at which the effects of these two different kinds of particle of widely different penetrating powers first get to sea level through the resistance offered by the atmosphere. *There is as yet no experimental evidence whatever for the existence of such an effect.* The only way that has been suggested by the advocates of incoming protons to avoid the effect of such penetrability is to assume that the incoming protons all disappear very quickly in the outermost layers of the atmosphere through absorption by atmospheric nuclei; but through such absorption they must be assumed to produce mesotrons, which are themselves penetrating particles incapable of transforming their energy quickly into ionization. But, as already indicated, the upper parts of Figures 93, A, and 94 show that the rate of ion formation must be, if anything, greater than, not less than, that produced if all the incoming particles are free electrons (+ and -).

Again, the assumption of the existence of both positive and negative protons, proposed by some, while getting around the difficulty of electrical unbalance,

<sup>2</sup> An alternative might be the assumption of the complete conversion, upon touching the top of the atmosphere, of incoming protons into mesotrons, followed instantly, before these mesotrons had traveled appreciably downward, by their reconversion into electrons. I suspect physicists will prefer incoming electrons to that kind of hypothesis.



throws the shape of the absorption curve at the top still farther out of harmony with the requirements of the Bethe-Heitler theory, which, as Figure 94 shows, closely fits the observed curve now but requires, if anything, more ionization rather than less to make a more perfect fit between theory and experiment.

The shape, then, of the upper part of Figure 93, *A*, its near-fit in the high atmosphere with the shower curve Figure 94, its similarity to Figure 92, and the inherent inability of massive particles like protons or mesotrons to produce the impulse-radiation photons required for high shower-absorption—all these facts speak powerfully in favor of interpreting Figures 93, *A*, and 92 in terms of the theory of incoming free electrons (+ and -) and against the theory of incoming protons of any sort. The free-electron theory will therefore henceforth be at least tentatively adopted.

### III. DISTINGUISHING BETWEEN INCOMING ELECTRONS AND INCOMING PHOTONS

All field-sensitive incoming cosmic rays must, of course, be incoming charged particles, and we shall presently determine just what fraction of the total incoming cosmic-ray energy is brought in by such particles. But our experiments have thus far yielded no crucial evidence as to the relative rôles played by incoming charged particles and incoming photons in producing the *non-field-sensitive* part of the incoming observed effects. Since, however, the energies of both incoming photons and incoming electrons are initially absorbed in the atmosphere through the same mechanism, namely, that of shower-production, and since this process is essentially

the same after the first act, whether it is initiated by an entering photon or an entering electron, it is clearly impossible to distinguish between the two in the energy range above 17 Mev. As already indicated, this would not be true if these incoming high-energy particles were protons, which, in view of their massiveness and also the massiveness of any mesotrons that they might produce as secondaries, would presumably show an absorption curve wholly different from that actually shown in Figure 92 and considered above, because of its likeness to Figure 93, *A*, to be due to electrons alone, or possibly to electrons and photons combined. For if there are any incoming photons, no matter what their energies, they must be contained, along with the incoming charged-particle rays of energy above 17 Mev, in the effects that constitute the general cosmic-ray background that is uniform over the earth's surface and that makes up Figure 92. The existence of both the equatorial east-west effect and the equatorial longitude effect shows that some part of this background of Figure 92 must be due to charged particles.

Though we cannot separate these high-energy electron rays from photon rays in the non-field-sensitive part of the incoming rays, we can find the total cosmic-ray energy brought in by the field-sensitive portion, and, further, we can find just how it is distributed among rays of different energy. Thus the measurement in electroscopes of the total ionization produced at all altitudes in flights made clear to the top of the atmosphere at San Antonio and Madras has made it possible to determine quite sharply the ionizing effects of a fairly narrow band of incoming particle rays of mean energy 10 bev. How much may be learned from this may be seen as follows:

Since the right side of curve *A* of Figure 93 falls off exponentially and has at sea level (10 meters of water) a value of only 0.3 ion, it is clear that the area below sea level (or beyond 10 meters) between the curve and the *X*-axis is altogether negligible in comparison with the corresponding area above sea level. The directly observed curve is seen (*A*, Fig. 93) to extend nearly to the top, and the dotted line extends it with very little uncertainty<sup>1</sup> to the very top. Hence this area underneath curve *A*, when that curve is extended down to sea level, is simply the integral or sum of all the ions that all the incoming electrons of energies between 6.7 and 17 bev are able to produce per cubic centimeter per second within our electroscope as it goes from sea level to the top. This actually comes out  $2.8 \times 10^7$  ions. Since all the energy of these incoming electrons is expended in producing ions, we may then take this area, or this number of ions, as a measure of the total energy brought into the earth per second per square centimeter of the earth's surface by all the incoming electrons that are included within the foregoing energy range. To reduce this to electron-volts we multiply by 32 the number of electron-volts required to produce an ion pair in air. We thus obtain  $9 \times 10^8$  electron-volts. Again, if we wish to find how many electrons within this range of energies hit each square centimeter of the surface of the earth (upper atmosphere) per second, we have only to divide by the mean energy included between  $6.7 \times 10^9$  and  $17 \times 10^9$ , namely, about  $10 \times 10^9$ ; and we thus find quite definitely that *one such 10-billion-volt electron enters each square centimeter of the surface of the upper atmosphere every 11*

<sup>1</sup> See *Phys. Rev.*, LIII (1938), 217, for more complete discussion.

*seconds.* We are thus obtaining quite precise and dependable information about the number of cosmic rays of certain definite energies that shoot through space. This result, too, would come out quite the same if the incoming charged particles were protons instead of free electrons or, indeed, if they were a mixture of protons and electrons, the result being given in terms of the number of units of electric charges (electrons) freed.<sup>1</sup>

<sup>1</sup> However, the following comparison of results found in Fig. 93, *A*, with numerical calculations applicable only to incoming electrons seems definitely to exclude protons as a possibility. The number of charged-particle rays falling per second per square centimeter of the earth-sphere at the top of the atmosphere is the total incoming energy, viz.,  $2.8 \times 10^7 \times 32$  electron-volts  $\div 10 \times 10^9$  electron-volts = 0.09. Call this number  $N$ . Since  $N$  is the number of rays of the given energy entering each square centimeter per second, the total number passing through our electro-scope of radius  $r$ , when it is placed just outside the atmosphere where it is exposed to all the rays coming in from all directions from the hemisphere above it, is  $2\pi r^2 N$ . These rays traverse every possible chord of the sphere of radius  $r$ , and the average length of these chords is  $4r/3$ . If, now, we make the assumption that, in view of the extreme thinness of the electro-scope wall, the only ionizing effect produced in the air at atmospheric pressure within the electro-scope (for all the readings obtained with our argon-filled electroscopes have been reduced to air at  $p=76$  cm. Hg,  $t=23^\circ$  C.) is found in the ions produced in the gas within the electro-scope along the ion track, here taken as 60 per centimeter of length, then the expression for the number of ions per cubic centimeter per second produced in the electro-scope by the rays in question is

$$\frac{2\pi r^2 N \times (4r/3) \times 60}{4\pi r^3/3} = 120N = 11 \text{ ions per cubic centimeter per second.}$$

If the foregoing assumption is a correct one, this value of 11 ions per cubic centimeter per second should give us the intercept of the above curve on the ionization axis. In the figure we have extended a dotted line from the last observed point to that intercept and thus have obtained the complete curve to the top of the atmosphere of the ionization produced by all the incoming charged particles of energies between  $6.7 \times 10^9$  and  $17 \times 10^9$  electron-volts.

Since the number of ions produced by these rays is seen from *A*, Fig. 93, to reach a maximum of 144 ions per cubic centimeter per sec-

We can analyze in much the same way the curve shown in Figure 92 and repeated in the lower curve of Figure 94. And when we measure up the area underneath this curve we find it roughly the same as that of curve A, Figure 93. More accurately, taking account of subsea-level ionization, it is 8 per cent larger than the area of the latter curve. We know, then, altogether definitely that *the total cosmic-ray energy brought in by electrons of energy above 17 billion electron-volts plus all that brought in by photons of all energies is about the same as the energy brought in by the electrons alone of energies between 6.7 and 17 billion electron-volts.*

The next experimental step that was clearly indicated was to take depth-ionization curves similar to those shown in Figures 91 and 92 at a series of latitudes extending as far toward the north magnetic pole as possible, so as to find the complete distribution of energies of all the incoming electrons. In August and early September, 1937, Dr. Neher and I accordingly made eleven new flights, in which we reached a minimum pressure of 9.9 mm. of mercury, equivalent to 98.8 per cent of the way to the top of the atmosphere. Three of these successful flights were made at Saskatoon, Canada ( $60^{\circ}3$  N. mag.), and eight at Omaha, Nebraska, U.S.A. ( $51^{\circ}3$  N. mag.). Also, Figure 90 (facing p. 487) shows a typical film obtained

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ond, the multiplication of ions in penetrating 0.5 m. of water is about  $1\frac{1}{3}$  = thirteen-fold. This is not far from the multiplication computed by Carlson and Oppenheimer from the Bethe-Heitler theory of the absorption of the atmosphere for electrons of this energy. Their computed number for 10-billion-volt electrons is 12.6. *The result constitutes a bit of new evidence that practically all the incoming latitude-sensitive rays are electrons and that no appreciable number of protons or other penetrating particles are mixed with them.*

in one of these flights with a Neher electroscope. As stated earlier, the latter was automatically charged every 4 minutes from a condenser, which lost not over 0.5 per cent of its charge per hour. The total free weight lifted by the ten balloons (Fig. 90) arranged in tandem was about 1900 gm. The instrument alone weighs 1400 gm. The film shows the record obtained during a flight of 3 hours and 20 minutes' duration. The altitude at which the descent began because of the bursting of balloons, at the end of about 2 hours, can be seen on the film. The combined results of all these flights at different latitudes is found in Figure 103. This shows on the same scale the four depth-ionization curves reduced from an electroscope filling of argon at a pressure of 2 atm. to air at 20° C., 76 cm. of mercury, taken in the four different magnetic latitudes, namely, those of Madras (3° N.), San Antonio, (38° N.), Omaha (51°3 N.), and Saskatoon (60°3 N.).

The entirely new and quite unexpected result that came to light from this comparison was the relatively slight difference between the Omaha and the Saskatoon curves. This means that, although the blocking effect of the earth's magnetic field is reduced in going from Omaha to Saskatoon from about 2.9 to 1.4 billion volts, yet there is very little new electronic energy that comes into the atmosphere in this energy range.

Again, about July 1, 1938, we took similar depth-ionization curves at Bismarck, North Dakota (mag. lat. 56°3 N.) and found the curve there quite as high as at Saskatoon—apparently a bit higher. Accordingly, the conclusion seems justified that, although cosmic-ray electrons of energies higher than those required to break through the blocking effect of the earth's field at mag. lat.

56°3 (Bismarck), namely, 1.9 billion electron-volts, enter the earth's atmosphere in considerable abundance, yet *there are practically no incoming electrons of lower energy than those that could just get through at that latitude.*<sup>1</sup> These results are not at variance with those published in August, 1938, by T. H. Johnson,<sup>2</sup> who in counter experiments extending to an altitude of 2 meters of water could not find a difference between the counting rate at Minneapolis and at Churchill. Also, Carmichael and Dymond<sup>3</sup> reported in May, 1938, that, in very consistent electroscope readings, reaching altitudes of 12.7 mm. of mercury, taken within 5° of the north magnetic pole, they got not only the same shape of curve but also, within the limits of uncertainty of absolute measurements, about the same ionization which we observed at Bismarck and Saskatoon. Our own 1932 airplane observations, in which we found no difference at 21,000 feet between Spokane and Cormorant Lake, also bear on this point.

Figure 103 shows how one proceeds to obtain a quantitative comparison of the energies brought into the atmosphere by cosmic rays of varying energy. The area under curve *D*, Figure 103, of course, represents the background of ionization due to non-field-sensitive incoming radiation, which is uniform the world over and upon which is superposed, to form curve *C*, all the additional

<sup>1</sup> Published first in *Carnegie Inst. Reports, Year Book No. 36* (December 10, 1937), p. 364. The italicized statement therein contained reads as follows: "We reach the conclusion that *practically the whole energy content of the latitude sensitive incoming rays is found in a band between  $3 \times 10^9$  and  $17 \times 10^9$  electron volts.*" See also *Science*, LXXXVII (May 13, 1938), 427; and *Phys. Rev.*, LIII (1938), 855.

<sup>2</sup> *Phys. Rev.*, LIV (1938), 151.

<sup>3</sup> *Nature*, CXLI (May 2, 1938), 910.

field-sensitive rays (electrons) which can get through the blocking effect of the earth's field at San Antonio and produce ionization within the electroscope. The additional field-sensitive rays that, at Omaha, can get through the blocking effect of the earth's magnetic field are responsible for curve *B*. In a similar way, curve *A* is

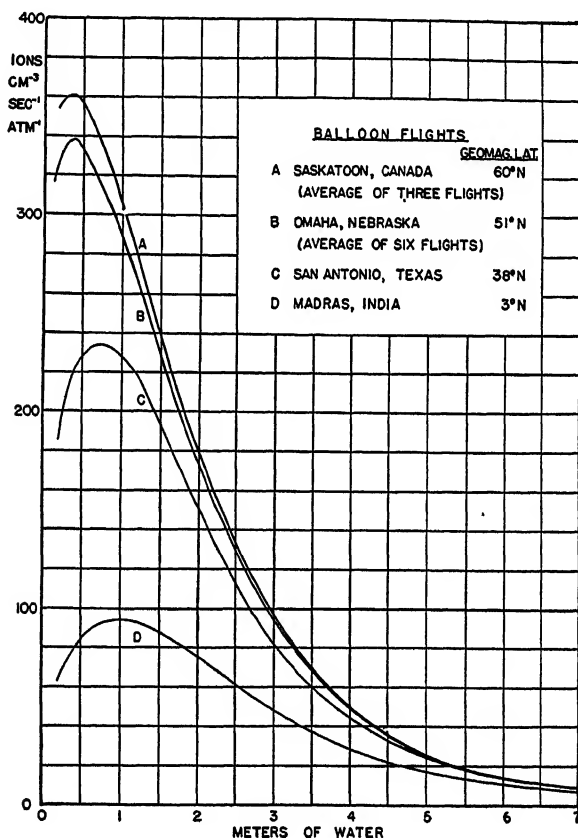


FIG. 103.—Results of balloon flights at four different latitudes



formed at Saskatoon. Then, in Figure 104, the three curves, *A*, *B*, and *C*, represent, respectively, the differences between the curves *C* and *D*, *B* and *C*, and *A* and *B* of Figure 103. The area under curve *A* of Figure 104 corresponds to the total, or integrated, ionization produced per second in each cubic centimeter of the electro-

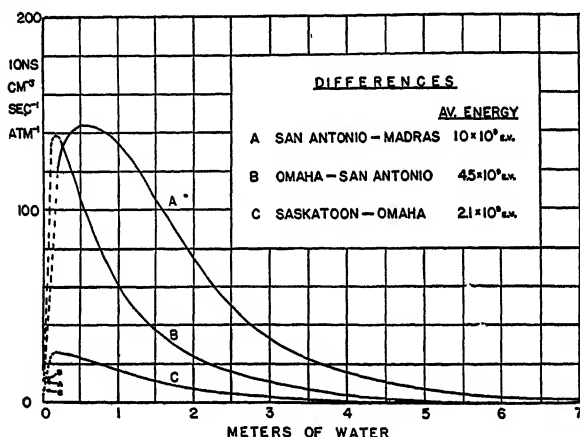


FIG. 104.—The areas underneath these curves, *A*, *B*, and *C*, are the same as the areas *between* the curves *C* and *D*, *B* and *C*, and *A* and *B*, respectively, of Fig. 103; and each area represents roughly the energy brought in by electrons of the known mean energy 10 billion, 4.8 billion, and 2.1 billion electron-volts, respectively. The points *A*, *B*, and *C* on the *y*-axis are the computed values of ionization due to these electrons just outside the atmosphere.

scope by all the incoming electrons passing through it of energies between 6.7 and 17 billion electron-volts, the last two numbers representing, according to Lemaitre and Vallarta's calculations, the energy required by an electron to break through the blocking effect of the earth's magnetic field at San Antonio and at Madras, respectively,

and to enter the atmosphere vertically. The mean energy, then, of the incoming electrons producing this ionization is, as aforesaid, quite roughly about 10 billion electron-volts.

Similarly, the area under curve *B*, Figure 104, is the ionization due to the incoming band of electrons of energy between 2.9 (Omaha) and 6.7 billion electron-volts, or again, a rough mean of 4.8 billion electron-volts. Likewise, the area under curve *C*, Figure 104, is the ionization due to the incoming band of electrons of energy between 1.4 (Saskatoon) and 2.9 billion electron-volts, or a mean of 2.1 billion electron-volts.

In Figure 105 the rectangular area 1, erected on the *V* (or electron-volt  $\times 10^9$ )-axis, is made proportional to the total or integrated ionization produced in the electro-scope by all the electrons that enter between the latitude of Saskatoon ( $60^{\circ}3$  N. mag.) and Omaha ( $51^{\circ}3$  N. mag.). This is the area underneath curve *C*, Figure 104. Similarly, the rectangular area 2 is made proportional to the total ionization produced in the electro-scope by all the electrons which get through the earth's field between Omaha and San Antonio. This is the area underneath curve *B* of Figure 104. Again, the rectangular area 3 is the area underneath curve *A*, Figure 104, while the rectangular area 4 is the area underneath curve *D* of Figure 103, i.e., it represents the total ionization produced in the electro-scope by all the rays of whatever nature, photons or electrons, which enter the atmosphere in the equatorial belt, i.e., at Madras.

The areas 1, 2, and 3 of Figure 105, of course, represent ionizations due to *incoming electrons* of energies between the rough limits shown on the *V*-axis in the figure;

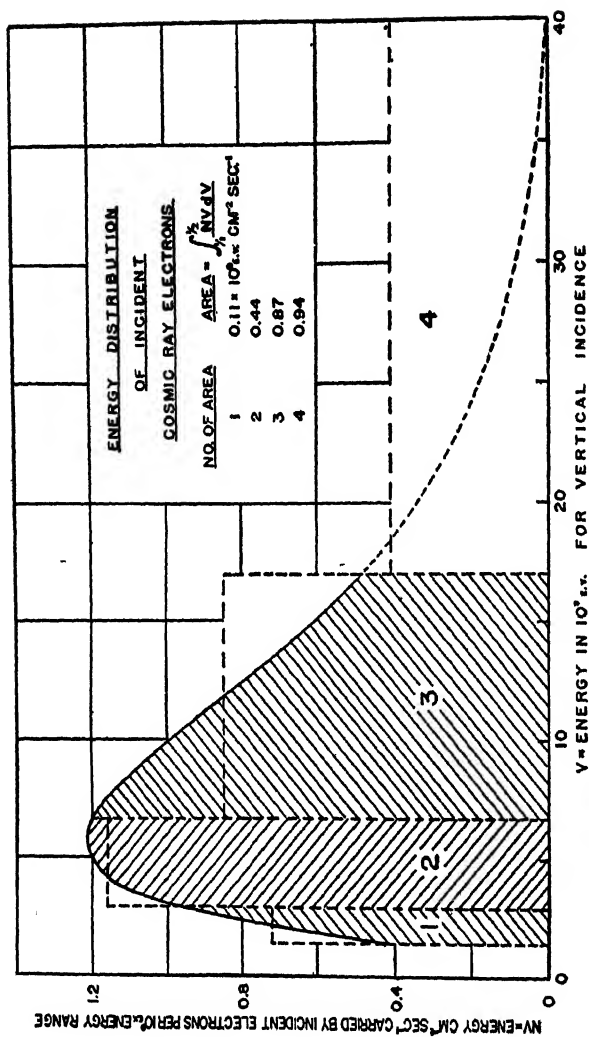


FIG. 105.—The areas under A, B, and C of Fig. 104 are plotted between the corresponding values of individual electron energy,  $V$ , for vertical incidence. The ordinate thus gives the energy carried to the earth by electrons having energies lying between  $V$  and  $V+dV$ .

but area 4, on the other hand, represents the total measured ionizing effect of all the rays that enter the equatorial belt, no matter what their nature may be. In so far as these rays are photons, we have no knowledge as to what energies are associated with them. We merely include them with the *electron rays* of energy above 17 billion electron-volts (the part of area 4 underneath the dotted line) because they are found with them in the equatorial belt.

Having thus built up from the directly observed ionizations the rectangular areas 1, 2, and 3, we proceed, without in any way changing these areas, to readjust their shapes at the tops in the manner that is dictated by the single assumption that there is some kind of *continuous* distribution of energies of the incoming electrons as their energies vary from 1.4 to 17 billion electron-volts. This imposed condition leads to the final shapes of areas 1, 2, and 3, as shown in Figure 105; and it is notable how little flexibility in the shape of this curve up to the energy value 17 billion electron-volts is left when the one condition of "no sudden breaks in the curve" is imposed. This curve, then, gives merely a rough first approximation to the actual distribution of incoming electron energies up to 17 billion electron-volts. There is no particular reason for introducing it, save in the interests of those who desire to work with a *continuous* distribution, which approaches reasonably closely the actual distribution, whether that is, in fact, a continuous or a discontinuous one.

The extrapolation of this electron-energy curve beyond 17 billion electron-volts (see dotted lines passing through area 4) has a reasonable chance of having some

relation to reality, but obviously no certainty. As we have extrapolated it in Figure 105, it takes care of something more than half of the integrated ionization which the electroscope actually experiences in the equatorial belt. The remainder of the observed ionization at Madras we have here represented by the remainder of the rectangle 4, which has been quite arbitrarily made to extend about as far to the right as the electron-energy curve extends before getting close to the  $V$ -axis. This is more or less natural because of the rough interconvertibility of electrons and photons through nuclear impacts. Nevertheless, it is to be emphasized that rectangle 4 is inserted merely to have on the chart the representation of the total ionization due to all the cosmic rays, no matter what their nature may be, that enter the equatorial belt, and not to assert that the photon part of area 4 lies between the energy limits between which it is found in the figure. Where these hypothetical photons lie in the energy spectrum is of no particular importance for the present considerations.

#### IV. GENERAL CONCLUSIONS AS TO ENERGY DISTRIBUTION AMONG INCOMING COSMIC RAYS

There are certain definite conclusions that can be drawn from Figure 104, as follows:

1. The first is that *the cosmic rays as they enter the atmosphere unquestionably have, at least roughly, a definite banded structure.* This has been pointed out repeatedly before, but never until now as the result of direct, indubitable energy measurements.

2. The second conclusion is that *the maximum of the cosmic-ray energy brought into the atmosphere by electrons*

*in the northern hemisphere, where our measurements are made, lies at about 6 billion electron-volts and that the energy-distribution curve of the incoming electrons falls off rapidly on both sides of this point, dropping on the low-energy side, at 1.4 billion electron-volts, for example, to less than a third of the maximum value and on the high-energy side, at, say, 20 billion electron-volts, also to about a third of its maximum value.*

3. The total cosmic-ray energy brought in by electrons of energy above 17 billion electron-volts plus all that brought in by photons of all energies is about the same as the energy brought in by electrons alone of energies between 6.7 and 17 billion electron-volts, and this energy is fully twice that brought in by all entering electrons of energies less than 6 billion electron-volts. In other words, the whole cosmic-ray energy comes in as a relatively sharply limited band.

4. Areas 1, 2, and 3 of Figure 105, divided by areas 1, 2, 3, and 4, show that more than 60 per cent of all incoming cosmic-ray energy is definitely field-sensitive and therefore consists of incoming charged particles, interpreted above as incoming free electrons. But, since the data entering into the curves of Figure 105 were taken in different years, Drs. Neher and Pickering and I decided, in 1940, to repeat with great care in rapid succession the whole series of latitude measurements represented in Figure 103 (see pp. 583-88); and these measurements *yielded the quantitative conclusion that 65 per cent of all the entering cosmic-ray energy was definitely field-sensitive and of incoming particle energy less than 17 bev.* At most, then, incoming photons cannot carry as much as 35 per cent of the incoming cosmic-ray energy.

5. The smallness of the amount of energy brought in by photons, namely, only a fraction (probably much less than half) of that represented by the area of 4, means definitely that *the entering electrons have not at all reached equilibrium with their secondaries before entering the atmosphere*; for, in equilibrium, Carlson and Oppenheimer have shown that "at any energy and thickness  $t > 1$  ( $t = 0.4$  m of water) there are always more  $\gamma$ -rays than electrons,"<sup>1</sup> while in Figure 105 the area assigned to photons is scarcely more than one-sixth that assigned to electrons. This last conclusion does not rest solely upon the accuracy of the Carlson-Oppenheimer computations; for, as shown by the turnover points of the curves in Figures 92 and 93, entering electrons, even of a mean energy of 10 billion volts, do actually get into equilibrium with their secondaries before they have penetrated even one-twentieth of the way through the atmosphere; so that, if it is considered as established that the entering particle rays are electrons,<sup>2</sup> the smallness in the number of accompanying photons shows, from nothing more than a qualitative point of view, that *these rays cannot ever have come through an appreciable amount of matter in comparison with an atmosphere before entering the solar system*.

<sup>1</sup> *Phys. Rev.*, LI (1937), 225.

<sup>2</sup> This was the conclusion reached in *Phys. Rev.*, LIII (1938), 217.

## CHAPTER XX

### THE ATOM-ANNIHILATION HYPOTHESIS AS TO THE ORIGIN OF THE COSMIC RAYS

#### I. THE PLACE OF ORIGIN OF COSMIC RAYS

At the end of the last chapter, in view of the smallness in the number of photons found in the incoming cosmic rays, we were forced to the conclusion that these rays come into the atmosphere without having traversed enough matter to get into equilibrium with their secondaries and therefore *cannot either have originated within the stars or, for that matter, in any localities within the universe in which matter is present in appreciable abundance.*

This conclusion was also completely in line with the most significant property of cosmic rays which Cameron and I had tested with especial care in a valley in the Bolivian High Andes in 1926. In our location the Milky Way was completely out of sight fully half the time. On none of the three electroscopes on which we took readings at suitable intervals for several days could we detect any trace of an effect due to the appearance and disappearance of the Milky Way overhead. From these experiments we concluded that *the cosmic rays come to us uniformly from all parts of the celestial dome and must have their origin in some sort of activity going on in the depths of space and certainly "beyond the Milky Way."*<sup>1</sup>

Again, the very existence of such a distribution curve of incoming cosmic-ray energies as that experimentally

<sup>1</sup> R. A. Millikan and G. H. Cameron, *Nature*, CXXI (1928), 19.



found (Fig. 105), with its strong maximum in the neighborhood of 6 billion electron-volts, is incompatible with the idea that the incoming rays, in getting to earth, have passed through even as much matter as they encounter in the first tenth or even twentieth of our atmosphere; for the curves of Figure 103 show how powerfully these upper atmospheric layers absorb and in what way they transform an entering band of a given mean energy. Thus, since the original incoming electrons certainly have energies of quite a number of different values—some low, some intermediate, some high—as shown by Figure 105, *the energy-distribution curve resulting from the passage of these electrons through a small amount of matter would be one rising continuously with decreasing values of the energy.*

The evidence drawn from the existence of this strong maximum at about 6 bev appears, then, to be in agreement with that drawn from the smallness of the photon component: *that the incoming cosmic-ray electrons have not passed through an appreciable amount of matter on their way from their point of origin to the earth.*

We have also given attention to the question as to whether the influence of the sun's magnetic field might be responsible for the maximum at about 6 bev and have decided in the negative, for the reason that we definitely find new rays coming in between Omaha and Saskatoon, at which latitudes the vertically entering energies permitted by the *earth's field* are, respectively, about 2.9 and 1.4 bev. The question here raised involves knowledge which we do not yet have as to the strength of the general magnetic field of the sun. According to the best existing attempts at its determination, the value of that field at the sun's surface is probably closer to 16 than to 25 gauss.

This first value would put the cosmic-ray cutoff point due to the sun considerably north of Bismarck and therefore should not affect the curve in Figure 105 appreciably.

According to the computations of Dr. Epstein,<sup>1</sup> who has made a careful quantitative study of the effect of the sun's magnetic field on electrons coming into the solar system, that field would probably entirely block off electrons starting toward the earth through it if they have energies of 1 billion electron-volts or less.

The foregoing considerations, taken together, seem to me to justify at least the tentative conclusion that *the cosmic rays have come from their place of origin in intergalactic space without much modification in transit.*

Since, then, we now have direct, unambiguous proof that more than 65 per cent (see pp. 583-98) of the incoming rays are charged particles that behave as free electrons should behave and have estimated from block 4, Figure 105, that over half of the non-field-sensitive rays, i.e., over 80 per cent of all incoming rays, act like free electrons, we shall now tentatively adopt the "suggestion" growing out of the similarity of curves 92 and 93, A, and assume for simplicity *that practically all incoming cosmic rays not only act like, but presumably consist of, free electrons originating in some way from atomic transformations taking place, and which can only take place, under the conditions existing in interstellar space.*

## II. HISTORY OF THE ATOM-ANNIHILATION HYPOTHESIS

The first tentative suggestion of atom annihilation as a source of the radiant energy of the stars was made by

<sup>1</sup> *Phys. Rev.*, LIII (1938), 862; see also Janossy, *Zeit. f. Phys.*, CIV (1937), 430.

Jeans in 1904.<sup>1</sup> This was at a time at which all mass was thought to be of electrical origin, and Jeans's conception was merely that the positive and negative constituents of a neutral atom *might* under suitable conditions combine and destroy one another, with the evolution, however, of radiant-heat energy; for the great and completely unsolved problem of astronomy and geology at that time was to obtain some sort of understanding of how the sun could maintain its evolution of heat for such huge periods as geological evidence seemed to require.

The next year (1905) Einstein<sup>2</sup> set up his famous equation  $E=mc^2$ , in which  $E$  is energy in ergs,  $m$  is mass in grams, and  $c$  is the speed of light in centimeters per second. If the whole mass of a star were thus supposed to be fed into the stellar furnaces, the supply of heat would be more than enough to satisfy any suggested time scale. However, this idea of complete mass annihilation as a source of stellar heat was not pushed strongly until about 1920, when Eddington took it up and made it a part of his theory of stellar evolution.

Meanwhile, Harkins and Wilson,<sup>3</sup> at the University of Chicago, starting from the idea of the building-up of all the 92 elements out of hydrogen, in 1915 developed the "packing-fraction" idea, which Aston in 1922 put into the form of his famous graph (see Fig. 79, p. 407).

So far as the packing-fraction idea was concerned, while utilizing the Einstein equation, it originally en-

<sup>1</sup> *Nature*, LXX (1904), 101; see also Eddington, *Nature*, XCIX (1917), 445.

<sup>2</sup> *Ann. d. Phys.*, XVIII (1905), 639.

<sup>3</sup> *Phil. Mag.*, XXX (1915), 723-34, and XLII (1921), 305.

visaged the possibility of the release of heat energy only through the act of the *building-up* of all the other elements out of hydrogen atoms—a process in which each hydrogen atom, although in its free state it has a mass of 1.0083 atomic mass units, has a mass, after its incorporation into the oxygen atom, of only 1.0000 atomic mass units and therefore has lost in the process a portion of its mass, namely, .0083 units, or roughly 1 per cent of its mass.

Harkins and Wilson pointed out in their original paper that this loss of mass of each hydrogen atom is roughly the same, no matter which of the common elements is being built up out of hydrogen. In other words, hydrogen, when it goes into the building of any element, as it must have done somehow at some time, loses about 1 per cent of its mass, and hence this is all that is available for transformation into radiant energy, i.e., for feeding the furnaces of the sun.

When in 1928 Cameron and I were seeking a source of cosmic-ray energy which would fit our observed absorption curves in high-altitude lakes, since Eddington was at that time explaining the heat evolution of the stars on the basis of the complete annihilation of atoms in the sun, knowing from our experiments in the Andes that cosmic rays do not come at all from the sun or stars, we tried out the only possible alternative, as we thought, namely, the packing-fraction or atomic-synthesis idea, and found one or two of our absorption bands so well fitted by this theory that we advanced the tentative hypothesis that this *building-up* process of the heavier atoms out of hydrogen might be going on all about us in the heavens, the packing-fraction energies released in this building-up

process constituting the cosmic rays. This was before these energies had become definitely known through *direct measurement* and when their indirectly estimated values seemed to make the loss of mass through the building-up of the heavier elements out of hydrogen yield adequate energies from the known values of the so-called "packing fractions."

But, beginning in 1931, this possibility was definitely eliminated for two reasons: First, the largest energy obtainable from packing fractions in the case of any element of appreciable abundance, namely, iron, was 0.48 gm. per gram-atom, or about half-a-billion electron-volts; but in the fall of 1931 Anderson and Millikan first measured *directly*<sup>1</sup> the energies of cosmic rays and found them running up above 6 bev, much higher than could be accounted for by any possible packing fractions. *This alone was definite and final.* Second, precisely these same atom-building processes, involving the same packing fractions, seem now in Bethe's hands to be successfully accounting for the sun's heat.<sup>2</sup> If this is so, one must now assume that those very processes of *partial* annihilation through atom building which we first assumed to be going on *outside* the stars to account for cosmic rays are, instead, going on *inside* the sun to account for its evolution of heat. But, since experiment says that the cosmic rays do not come from the sun or the stars anyway, one is now debarred also from using these same processes to account for them. In other words, there is abundant reason for retaining the principle, beautifully illustrated by

<sup>1</sup> *Phys. Rev.*, XL (1932), 325; also XLI (1932), 405, and XLV (1934), 352.

<sup>2</sup> *Phys. Rev.*, LV (1939), 103, 434; *Phys. Soc. Reports* (1939), pp. 1-15.

Bowen's interpretation of the nebulium lines,<sup>2</sup> that *the conditions existing in interstellar space make possible at least some sorts of atomic energy transformations that are forbidden within the stars* or wherever matter is under incessant molecular bombardment. There is, of course, no doubt about it at all in the case of the origin of the nebulium lines.

Both of the foregoing difficulties—namely, that occasioned by the enormous energies and that by the uniformity of distribution of the apparent sources—disappear if one simply reverses the former suggestions and assumes that the sun's heat is due to atom building going on in its interior, while *cosmic rays are due to the complete annihilation process going on in interstellar space* or, better, to the *complete*, instead of the partial, transformation of the rest-mass of the atoms into cosmic-ray energy. The question which one then faces is: How well does this last suggestion work quantitatively?

There are two definite quantitative facts that have already been established, namely, (1) Figure 105, reproduced from *Physical Review*, LIII (1938), 859, shows a maximum of incoming "charged-particle" energy somewhere between 5 and 10 bev; and (2) certainly not less, and probably much more, than 60 per cent of the total incoming cosmic-ray energy is carried by these charged particles, for this is the ratio of the sum of the areas of blocks 1, 2, and 3 to that of 1, 2, 3, and 4 (see table in Fig. 105).

<sup>2</sup> *Astrophys. Jour.*, LXVII (1928), 1. Also for fuller discussion of the foregoing suggestion see Millikan, Neher, and Pickering, *Phys. Rev.*, LXI (1942), 399 and 400.

### III. THE ABUNDANCE OF THE COMMON ATOMS IN INTER- STELLAR SPACE AND THE ENERGIES AVAIL- ABLE FROM THEM

But now, to carry through the desired computation, one must know what are the most common elements in interstellar space, where the cosmic rays seem to originate. Here again another of Bowen's remarkable discoveries is ready to be called upon, for Bowen and Wyse,<sup>1</sup> by spectroscopic observations on the ring nebulae, have made determinations of the relative abundance of the

<sup>1</sup> Bowen and Wyse have recently made by spectroscopic means a determination of the abundance of the elements in the nebulae and published the same in *Lick Obs. Bull.*, XIX (1939), 1. Their results are shown in the accompanying table in which the figures given in the abundance columns are the exponents required to indicate the number of atoms in a given volume of space. Thus the number 11 following hydrogen means  $10^{11}$  hydrogen atoms, while the number 9 opposite carbon means  $10^9$  carbon atoms, etc. The uncertainty sign  $\lessapprox$  associated with silicon in the

Element	Abundance	Element	Abundance	Element	Abundance
H . . . . .	11 -	Ne . . . . .	8	K . . . . .	6 +
He . . . . .	10	Na . . . . .	$\lessapprox 7 +$	Ca . . . . .	7 -
Li . . . . .	$< 8 -$	Mg . . . . .	7 +	Sc . . . . .	$< 6 +$
Be . . . . .	$< 8 -$	Al . . . . .	$< 8 -$	Ti . . . . .	$< 7 -$
B . . . . .	$< 9$	Si . . . . .	$\lessapprox 9$	V . . . . .	$< 8$
C . . . . .	9	P . . . . .	$< 8$	Cr . . . . .	$< 7$
N . . . . .	9 -	S . . . . .	8	Mn . . . . .	$< 7$
O . . . . .	9	Cl . . . . .	7 +	Fe . . . . .	7 +
Fl . . . . .	6	A . . . . .	7		

table is due, Bowen says, to a slight uncertainty as to whether one of the critical lines used is surely a silicon line or not; but, in any case, since aluminum has an atomic weight of 27, its cosmic-ray influence would be inseparable by our tests from that of silicon (at. wt. 28), and similarly any possible effects due to phosphorus or sulphur would be so close to those of silicon as to be separately distinguishable with great difficulty. This composite influence may then, for simplicity, be called the "hypothetical silicon band." Also, the oxygen band may have in it some effects of neon-annihilation, for Bowen's table gives neon about a tenth the abundance of oxygen. It should produce annihilation rays of energy value 9.4 bev.

atoms in the spaces between the stars. Also, Aller and Menzel's recent determinations (*Astrophys. Jour.*, CII [1945], 263) check sufficiently well for our purposes the Bowen and Wyse results, though they make hydrogen's and helium's abundance relative to carbon, nitrogen, and oxygen some ten times greater than do Bowen and Wyse, and they give no data on silicon. Since the ring nebulae studied are in some cases more than a light-year, 6,000 billion miles, from the exciting star, one can scarcely fail to take the estimates of relative abundance as applying to interstellar and, in view of our Milky Way tests, also to intergalactic space.

Here are the results of the foregoing authors. They find that hydrogen atoms and helium atoms stand first and second in abundance, hydrogen being about ten times as abundant as helium. They find, further, four other atoms all having about the same abundance, which, however, in each case is only about one-tenth the abundance of helium. No other atoms have more than one-tenth of the abundance of any of these four, and most of the rest have less than one-hundredth. These four most abundant atoms are *carbon, nitrogen, oxygen, and silicon*.<sup>1</sup> The predominant abundance of these four atoms is also in line with their known structures and stabilities.

Now, the rest-mass energy of the hydrogen atom comes out by Einstein's equation just a little less than 1 bev (accurately, 932 million). If, then, this rest mass is capable of being transformed completely into cosmic rays, since the momentum principle must in any case be satisfied, the only way that these two conditions can be met is through the appearance of a pair of electrons or

<sup>1</sup> See p. 556, n. 1.



photons,<sup>1</sup> starting out from the point of annihilation of the rest mass of  $H_1$  in opposite directions, each of energy of about 500,000,000 electron-volts. Epstein<sup>2</sup> has shown that an electron endowed with this energy could not get through the sun's magnetic field and reach the earth, so there is no use expecting to find it here. Even if the sun's magnetic field were not strong enough to prevent its reaching the earth, it could get through the earth's magnetic field only quite close to the pole and, in view of its low energy, would be absorbed in the upper regions of the atmosphere where it would be undetectable by our electroscopes or counters, although it might have much to do with auroral effects.

By the foregoing procedure one finds that helium atoms of atomic weight 4 would produce 2 (more accurately 1.9)-billion-volt cosmic rays. According to Epstein, if one takes the value of the general magnetic field of the sun at not over 16 gauss—an assumption in line with most recent work—then 2 bev charged-particle-rays would not be barred by the sun's field from reaching the earth; and, further, Figure 88 shows that they would be able to get through the earth's magnetic field vertically at all latitudes north of about  $54^\circ$ . The magnetic latitude of Bismarck is  $56^\circ.3$ . Further, if there were any incoming rays of energy between 1.9 and 1.4 bev, Figure 88 also shows that all these should be able to get through the earth's magnetic field at Saskatoon (mag. lat.  $60^\circ.3$  N.). Nor should such rays be barred by the aforementioned sun's field from reaching the earth, so that the total

<sup>1</sup> In the case of hydrogen, though not in the case of the heavier atoms, deficiency in mass would bar out protons or neutrons.

<sup>2</sup> *Phys. Rev.*, LIII (1938), 862; see also L. Janossy, *Zeit. f. Phys.*, CIV (1937), 430.

cosmic-ray energy coming in at the latitude of Saskatoon and higher should be larger than that entering at the latitude of Bismarck. This was contrary to the results of our balloon flights (Fig. 103), which reached practically to the top of the atmosphere at both Saskatoon and Bismarck.<sup>1</sup> But if the rays found at Bismarck are, in fact, the hypothetical helium band, then there should be no increase in cosmic-ray intensity in going north from Bismarck, and *no such increase has as yet been found by us or by anyone else.*

Again, carbon-annihilation rays, if they exist, must have an energy of  $(932 \times \frac{1}{2}) = 5.6$  bev and, according to Figure 88, would begin to come in at the western horizon at about latitude  $30^\circ$ , would begin to appear *vertically* at about  $42^\circ$ , and would be fully in on the eastern horizon at about latitude  $49^\circ$ . This shows that double, *vertical* coincidence counters should be able to detect their first appearance at magnetic latitude  $42^\circ$  or  $43^\circ$ . Similarly, nitrogen-annihilation rays of energy 6.5 bev would be expected to put in their first appearance in the use of vertical counters at about magnetic latitude  $39^\circ$ . Oxygen-annihilation rays of energy 7.5 bev would be sought with a similar technique somewhere between latitude  $33^\circ$  and  $36^\circ$ . Finally, another southern edge of a wide cosmic-ray plateau corresponding to the entrance of silicon rays would be expected to appear, as one moves northward from the equator, at a magnetic latitude at which the energy required to break through the earth's magnetic field vertically is 14 (13.2) bev; and from that point down to the magnetic equator, where in India the energy required to get through vertically is 17 bev, there should be

<sup>1</sup> Bowen, Millikan, and Neher, *Phys. Rev.*, LIII (1938), 855.

no further change in the intensity of the incoming vertical cosmic rays. The observed edges of this succession of overlapping cosmic-ray plateaus, corresponding to the energies 2, 6, 7, 8, and 14 bev, would not be expected to be sharp or, indeed, determinable at all in view of the large overlapping of the bands, so long as one uses for the detecting instruments electroscopes which respond to rays from all directions. But with the use of vertical counters this predicted series of bands and plateaus might well be located experimentally.

#### IV. THE EXPERIMENTAL TESTING IN INDIA OF THESE PREDICTIONS

In order to test the foregoing predictions with any sort of accuracy it was obviously necessary to make *vertical-counter determinations* of the latitudes of entrance of *vertically* incoming cosmic-ray energies, going from the equator toward the magnetic pole. It was also obvious that if equipment for so doing were available, India was the best—indeed, the only suitable—place in the world for the most significant of the tests; for while in India it takes 17-billion-volt, vertical, charged-particle rays to break through the blocking effect of the earth's magnetic field at the equator, it takes but about 13 billion to do so on the other side of the earth; i.e., in Peru, so that only in India was there any possibility of finding the latitude north of which the hypothetical silicon rays, of energy 13.2 bev, would be found and south of which they would be absent. Since, according to Bowen, there were no atoms of atomic weight between oxygen and silicon sufficiently abundant to send into the earth any appreciable cosmic-ray energy, and, similarly, none of atomic

weight higher than silicon and of comparable abundance, one might expect to find in India a perfectly flat cosmic-ray plateau *for vertical rays* extending from Madura on the magnetic equator clear up to about latitude  $20^\circ$ , where silicon rays should be able to break through vertically; and then on going still farther north one should find a sudden rise to a new plateau-level at the latitude at which this break-through for silicon rays occurs.

From the Lemaitre-Vallarta curves it was estimated that the vertical energy necessary to get through at Agra (mag. lat.  $17^\circ.3$  N.) was 15.4 bev, and that at Peshawar, only  $7^\circ.7$  farther north, it was 12.4 bev. We estimated that the silicon-annihilation rays, if they existed, should appear at about magnetic latitude  $20^\circ$ . On account of some uncertainty as to the accuracy of these computations, Agra was chosen as the most northerly point at which it was safe to make the test and still be fairly sure that the point of observation would be south of the latitude of entrance of the silicon band of energy 13.2 bev and hence still on the hypothetical equatorial plateau. Peshawar, the most northerly city in India, was chosen as the best available place for getting north of the latitude of entrance of the vertically incoming silicon band and upon the plateau between the latitudes of vertical entrance of the silicon and the oxygen bands. For reasons of convenience, Bangalore was chosen instead of Madura for making the observations on the equator, since the approximate law of change of incoming energy with latitude  $\varphi$ , namely,  $\cos^4 \varphi$  differed for  $\varphi = 3^\circ$  quite immeasurably from the value for  $\varphi = 0$ . These considerations, then, determined the choice of locations in India for making the tests on the incoming energies of the vertical rays.

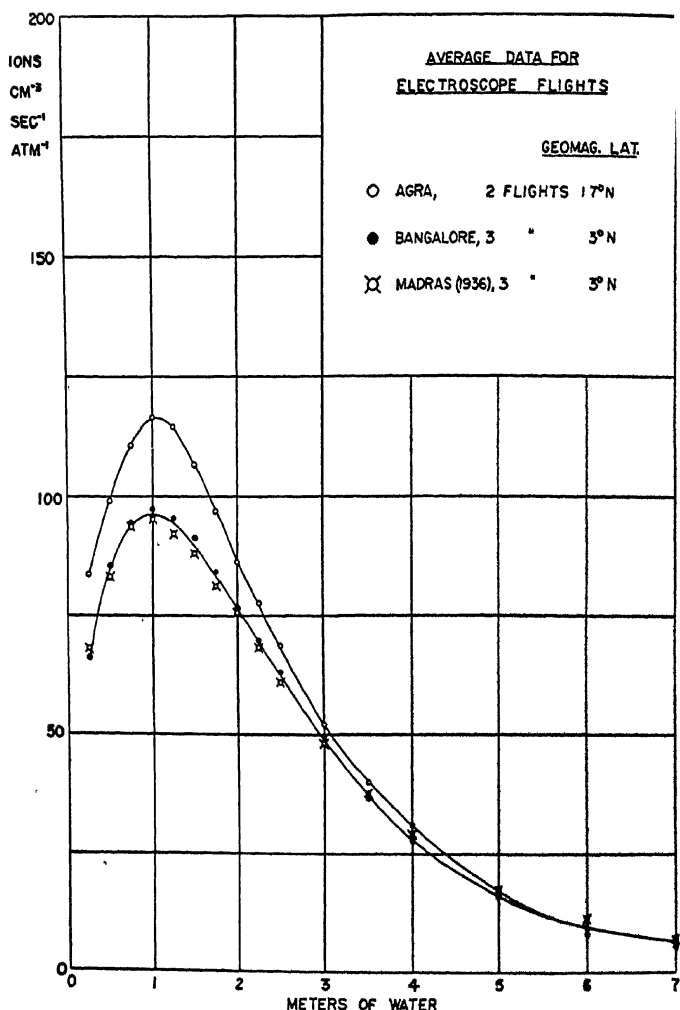


FIG. 106.—Average data for electroscope flights at magnetic latitude 17° 3' N. and 3° N. Note that, though the two sets of points on the Madras-Bangalore curve, both at 3° mag. lat., correspond to observations taken more than three years apart, one single curve fits perfectly both series of observations. This speaks well both for the reliability of the observations and for the reproducibility of the conditions. The area under the Agra curve is here 8 per cent higher than the area under the Bangalore-Madras curve, in agreement with our predictions from Fig. 88 for electroscope readings.

When the measuring instruments sent to the top of the atmosphere are electroscopes or single counters which respond to rays reaching them from all directions instead of merely from the vertical, the analysis becomes much more complicated, especially in equatorial latitudes; but the Lemaitre-Vallarta curves (see Fig. 88) show that the hypothetical silicon rays of energy 13.2 bev would, in any case, be shooting through the top of the atmosphere to some extent even at the equator and that *the atmospheric ionization due to these rays would therefore increase continuously up to the latitude at which the vertical rays get down to earth*, and even beyond that to some extent, clear up to a latitude of about  $40^{\circ}$  N.

As shown in Figures 106 and 107<sup>1</sup> (see especially Fig. 107, legend), both of the foregoing predictions as to the behavior of Neher recording electroscopes, and double counters, the latter designed and made by Neher and Pickering, at the Norman Bridge Laboratory in Pasadena, California, *were precisely what was found in the India experiments between the magnetic equator and magnetic latitude  $25^{\circ}$  N., so that, as far as India is concerned, the hypothetical silicon band may properly be said to predict satisfactorily both qualitatively and quantitatively the latitude effects thus far observed.*

#### V. THE PREDICTION OF AND EARLY EVIDENCE FOR A VERY LONG PLATEAU OF CONSTANT VERTICALLY INCOMING COSMIC-RAY INTENSITY FROM SOUTHERN PERU UP TO MIDDLE MEXICO

In 1933 it was first observed,<sup>2</sup> as already reported, that there was a marked increase in the sea-level intensity

<sup>1</sup> H. V. Neher and W. H. Pickering, *Phys. Rev.*, LXI (1942), 407.

<sup>2</sup> R. A. Millikan and H. V. Neher, *Phys. Rev.*, XLVII (1935), 205.

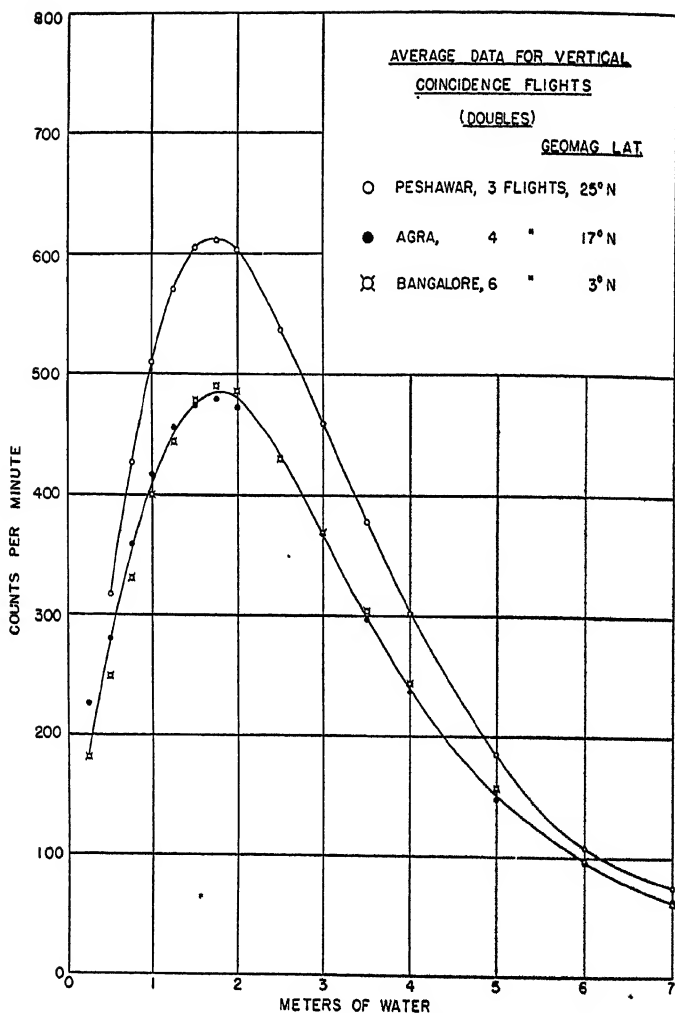


FIG. 107.—Summary of vertical-counter data. Note that here, as predicted, there is no detectable increase whatever in intensity between Bangalore and Agra as measured by double vertical-counter indicators, but note also that in going north only the 7°:7 between Agra and Peshawar, a 21 per cent increase has suddenly appeared, thus bringing to light (1) the predicted flat plateau of constant cosmic-ray intensity between the magnetic equator and Agra for vertically incoming rays and (2) the entrance of what we have called the strong silicon-annihilation band between Agra and Peshawar. Note maximum at 610 ions.

of cosmic rays when a recording electroscope was sent around the world in the equatorial belt from India to Peru. Since, according to the hypothesis here being tested, the only one of the five hypothetical bands of cosmic rays that has the energy to get into the equatorial belt at all is the silicon band, the foregoing observation can mean only that these silicon rays, which have not sufficient energy to get to earth at the equator in India, *are able to do so in Peru*. Furthermore, the energy of these rays is 13.2 bev, while the energy needed to get in vertically in Peru has been taken for years as only 13 bev—according to Epstein, somewhat less. The observed longitude effect is, then, in line with the hypothesis under test, i.e., the decrease in the blocking effect of the earth's magnetic field in going from India to Peru should be amply sufficient to permit the hypothetical silicon rays to get in in full strength *vertically* in Peru.

But again, on account of the strong absorption of the atmosphere at other angles than the vertical, *sea-level* observations made with *electroscopes* do certainly correspond very roughly to vertically incoming cosmic rays and should therefore exhibit, though very much less sharply, the series of bands and plateaus supposedly characteristic of vertical rays rather than the very gradual changes with latitude in the equatorial belt that are characteristic of electroscopes when sent up to the top of the atmosphere where rays can pass through them from all directions.

Since, then, the hypothetical silicon rays are already reaching the earth in Peru, one may expect, in going north from Mollendo, to find, even with electroscopes, *indications* at least of the long plateau that should exist



for *vertical* rays between the latitude at which the silicon rays of energy 13.2 bev can break vertically through the earth's magnetic field and the latitude at which the next possible band of rays of lower energy, namely, oxygen rays of only 7.5 bev, begin to get through.

In fact, Millikan and Cameron appear to have brought to light this plateau with the use of their sea-level electro-scope measurements in the very first trip to South America that was undertaken in August, 1926,<sup>1</sup> to test for a latitude effect in cosmic rays; for they found no change at all in sea-level cosmic-ray intensity in going from about magnetic latitude 28° N., where they first got their observations under way in the wireless room of the SS. "Mongolia," and the end of their journey at Mollendo just below the magnetic equator. But the existence of this predicted sea-level plateau is more significantly indicated, as it should be, by the much more careful readings shown in Figure 86, *prepared when the authors were guided by no theory at all* but were merely trying to get as accurate observations as they could on the variation of sea-level intensities as their electroscopes were taken from Los Angeles to Mollendo, the Allan Hancock yacht, "Vallero III," stopping, according to instructions, for days at a time en route so as to get accurate observations at a given latitude.<sup>2</sup>

From the results shown in the upper curve of Figure 86, the conclusion seems justified that from the magnetic equator clear up to 20° N., or possibly 25° N., there is, in fact, a plateau of unchanging cosmic-ray intensity, even as measured by an electro-scope at sea level. This con-

<sup>1</sup> See *Phys. Rev.*, LXI (1942), 402.

<sup>2</sup> R. A. Millikan and H. V. Neher, *Phys. Rev.*, LXVII (1935), 207.

trasts strikingly, as the lower curve of Figure 86 shows, with the continuous rise between  $0^\circ$  and  $25^\circ$  found on the India side of the earth, where the hypothetical silicon band should come in between these latitudes.

There is indeed in the upper curve of Figure 86, as it is drawn, the beginning of a rise between  $20^\circ$  and  $25^\circ$ ; but it is, in any case, very slight and from the point of view of this hypothesis would not be there were it not for the imperfectness with which electroscopes at sea level isolate *vertical* rays. Indeed, for strictly vertical rays the plateau should extend up to about latitude  $33^\circ$  or  $34^\circ$ . Such was our published prediction in 1941<sup>1</sup> before we had made any further attempt to verify it.

Again, the sea-level observations made in 1932 both by Bowen, Millikan, and Neher and by A. H. Compton brought to light with great definiteness the "cosmic-ray shelf" shown so strikingly in Figure 86 and *which is in exactly the latitude in which it should be to indicate the entrance into the atmosphere of the hypothetical oxygen and nitrogen bands of energies 7.5 and 6.5 bev.* From the Lemaitre-Vallarta curves reproduced in Figure 88, it is estimated that these two bands should have been able to get to the earth vertically at magnetic latitudes between  $33^\circ$ - $36^\circ$  and  $37^\circ$ - $40^\circ$ , respectively.

However, it is to be especially noted that the flatness of the cosmic-ray sea-level plateau, which is actually found north of magnetic latitude  $41^\circ$ , cannot be taken as indicating the absence of incoming cosmic rays of energies immediately lower than 6 bev, since there is every evidence that 6 bev is about the lower limit of the energy of

<sup>1</sup> R. A. Millikan, H. V. Neher, and W. H. Pickering, *Phys. Rev.*, LXI (1942), 403.

incoming rays which have the capacity to throw appreciable influences of any kind down to sea level through the absorbing effect of the atmosphere. Other evidence from higher up in the atmosphere must then be sought for the existence of bands of carbon and of helium rays, as well as for the theoretical cosmic-ray plateau between these hypothetical carbon rays of energy 5.6 bev and helium rays of energy 1.9 bev.

Strong supplementary evidence for the existence of the long plateau of constant cosmic-ray intensities between the latitudes of entrance of the hypothetical silicon and oxygen bands is found in the records of airplane flights made both in Peru and in Panama in the fall of 1932 and reproduced<sup>2</sup> in Figure 82. The lower of the three curves shows that even up to the altitude of 22,000 feet one could find no difference between cosmic-ray intensities in the latitude  $0^\circ$  and  $20^\circ$ , for one and the same curve is nicely fitted by the points taken at both latitudes. Not only is this in marked contrast with what is found in India with electrosopes at these altitudes in going from  $0^\circ$  only up to  $17^\circ.3$  instead of from  $0^\circ$  to  $20^\circ$  (see Fig. 106, the Agra curve being consistently above the Bangalore curve), but it could not exist if there were rays of any appreciably larger energy than the oxygen rays coming in between the hypothetical silicon and oxygen bands; for the Lemaitre-Vallarta curves (Fig. 88) show that oxygen rays of energy 7.5 bev could not get in at all at  $20^\circ$ , while any rays of energy much closer to that of the 13.2-bev band should have been able to do so. Hence, arguing merely by analogy with the behavior of the silicon band in India, there should certainly have been a difference be-

<sup>2</sup> Bowen, Millikan, and Neher, *Phys. Rev.*, XLVI (1934), 642.

tween the readings in Panama and Peru, especially at 22,000-foot altitudes. *These Panama-Peru observations are, in fact, in all respects consistent with the hypothesis as to the existence of the long plateau between the latitudes of entrance of the hypothetical silicon and oxygen bands.*

VI. VERTICAL, DOUBLE-COUNTER PROOF IN MEXICO IN DECEMBER, 1941, OF (1) THE LONG PLATEAU BETWEEN MAGNETIC LATITUDE  $0^{\circ}$  AND  $33^{\circ}$ , (2) THE ENTRANCE OF OXYGEN- AND NITROGEN-ANNIHILATION BANDS

1. The first of the three foregoing predictions actually made in 1940 and published in April, 1942—eight months before we could make any new experimental tests—was that, if sea-level observations (or ground observations reduced to a common level) were taken with double vertical coincidence counters instead of with electroscopes, the heretofore observed increase in cosmic-ray ionization rate that sets in with electroscopes at magnetic latitude  $21^{\circ}$ , as shown in the upper curve of Figure 88, would not appear until about the latitude at which the oxygen- (or neon-oxygen) annihilation band (at 7.5 bev) would be expected to get vertically through the earth's magnetic field, say, at magnetic latitude  $33^{\circ}$ – $36^{\circ}$  N. Here a rapid rise should set in and be completed when the observers had moved northward  $6^{\circ}$  or  $7^{\circ}$  or enough to enable both oxygen- and nitrogen-annihilation rays (at 7.5 and 6.5 bev) to break vertically through the earth's magnetic field. This latitude of full appearance at sea level of vertically incoming nitrogen rays was expected, from previous experiments in taking electroscopes south on shipboard from Los Angeles harbor (mag. lat.  $40^{\circ}.4$ ), to be within less than a degree of that harbor, which is itself nearly half a degree south of Pasadena ( $40^{\circ}.7$ ).

No further sea-level rise was expected in going north of the latitude of entrance of the nitrogen-annihilation rays, since carbon-annihilation rays (of energy 5.6 bev) were not thought able to extend their influence down as far as to sea level. Indeed, electroscopes taken on sea-level voyages in summer had never shown any rise in going north of Pasadena.

TABLE XIX

SUMMARY OF GROUND-LEVEL COUNTS WITH STANDARD COUNTER  
SET FROM ACAPULCO TO PASADENA

Location	Counts	Time in Min- utes	Rate per Min- ute	Paulin Bar. Read- ing	Cor- rected Rate*	Mean Rate†
Acapulco (mag. lat. 25°8) . . . .	5,004	208	24.0	20.01	24.0	90
Valles (mag. lat. 31°0) . . . . .	6,005	246	24.4	20.02	25.3	91
Victoria (mag. lat. 32°8) Dec. 10	13,585	501	27.0	20.01	25.0	94
Victoria (mag. lat. 32°8) Dec. 28	8,086	304	26.6	20.30	26.1	94
Monterrey (mag. lat. 34°6) . . .	5,797	197	29.5	28.42	26.5	96
Laredo (mag. lat. 36°7) . . . .	16,408	633	25.9	20.70	26.4	95
San Antonio (mag. lat. 38°7) . .	6,747	239	28.2	20.25	27.6	100
Junction, Texas (mag. lat. 38°7)	11,171	370	30.2	28.17	26.6	96
Pasadena (mag. lat. 40°7) . . . .	29,736	1073	27.7	20.50	27.7	100

\* Corrected rates to Paulin barometer reading of 29.50. Correction 0.9% per 0.1".

† The last column shows no change from Acapulco to Valles (5°2 = 360 mi.), but a 3.5 increase to Victoria (1°8 = 124 mi.), a further increase to Monterrey (1°8 = 124 mi.), another increase to San Antonio (5°8 = 400 mi.), and a final increase to Pasadena (2°0 = 145 mi.).

The observed ground-level counts on this trip, taken with the same standard counter set and corrected as indicated to reduce them to a common elevation, were as shown in Table XIX, the accurate value at Pasadena, representing 29,736 counts (18 hours), being taken as 100. Since the readings at Acapulco, Valles, Monterrey, San Antonio, and Junction contain but from 5000 to 10,000 counts each, it is estimated that they should be assigned an uncertainty of about 2 per cent, or twice the statistical "probable error" which for 6000 counts is about 1 per

cent. Within these limits the readings all show a satisfactory consistency, though those at San Antonio and Junction show the maximum permissible divergence. Their mean is doubtless a better reflection of the condition at that latitude than is either one of the two readings. The significance of the last mean column of Table XIX in terms of the hypothesis is: (a) that the oxygen-annihilation rays are fully in at Monterrey, about  $3^\circ$  above the latitude at which their effect first began to appear, viz., just a little south of Victoria (the effective angles covered by the counter-pair were  $25^\circ$  crosswise,  $45^\circ$  lengthwise); (b) that at Laredo,  $2^\circ$  above Monterrey, the effects of vertically entering nitrogen rays have not begun to appear, *in other words, that there is here some evidence for the existence of a very short, but possibly observable, plateau between the latitudes of first entrance of vertically incoming oxygen- and nitrogen-annihilation rays*; (c) that nitrogen-annihilation rays have begun to appear in the  $2^\circ$  interval between Laredo and San Antonio, i.e., at about mag. lat.  $37^\circ$ ; (d) that, as in the case of oxygen,  $3^\circ$  farther north, i.e., by lat.  $40^\circ$ , these nitrogen rays are fully in, as the Pasadena reading at lat.  $40^\circ.7$  shows; (e) that, as Bowen's spectroscopic observations indicated, the incoming oxygen and nitrogen rays are very closely equal in energy, the additional percentage of counts brought in by oxygen being  $95.3 - 90.5 = 4.8$  and that by nitrogen  $100 - 95.3 = 4.7$ .

*So far, then, as vertically incoming ground-level readings are concerned, the predictions of the hypothesis are verified with unexpected completeness.*

2. The second test of a prediction from the hypothesis is found in a comparison of the *total* energy coming in

vertically at Peshawar, India (mag. lat.  $25^{\circ}$ ), and Acapulco (mag. lat.  $25^{\circ}8$ ).

The hypothesis requires that the vertically incoming rays measurable at both places be simply silicon-annihilation rays. They should, therefore, be of the same total energy, unless the intensity of these rays had changed in the two-year interval between the times of measurement at these two widely different localities.

On the other hand, any kind of *continuous distribution* in the energy of the vertically incoming rays requires that the energy coming in at Acapulco be larger than that at Peshawar, and that for two reasons: First, Acapulco is  $0^{\circ}8$  farther north than is Peshawar, and hence for any continuous distribution law should let through more rays for this reason alone. But, second, the earth's retarding magnetic field is, in fact, notably weaker at Acapulco than at Peshawar, so that, with any *continuous* distribution of incoming energies, more rays should have the energy to get through at the same latitude in the Americas than in Asia. The hypothesis in question denies the possibility of either of these effects.

Five different flights were made in Acapulco to test this point, four of them being reasonably successful. The fifth was not usable. The best of these flights (Fig. 108) gave within 6 per cent the same vertical cosmic-ray energy as that found in the observations taken in Peshawar two years earlier. The mean in Acapulco, however (see Fig. 109), was 8.3 per cent lower, not higher, than that in Peshawar. This result, then, is definitely inconsistent with the continuous energy-distribution hypothesis unless a very considerable reduction in incoming cosmic-ray energy be assumed to have taken place since

January, 1940, when the measurements at Peshawar were made. On the other hand, a much smaller change, or possibly no change at all, would be necessary to bring the readings into harmony with the atom-annihilation hypothesis, since the observed differences could be more than covered by a 5 per cent uncertainty such as we had estimated might exist both at Peshawar and at Acapulco.

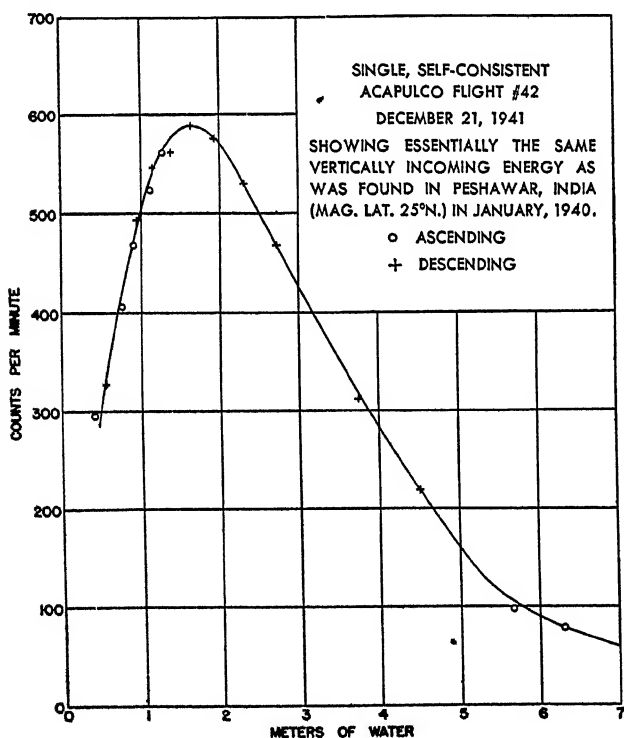


FIG. 108.—Best single-flight curve taken at Acapulco. Area about 5.8 per cent less than the corresponding curve taken at Peshawar (see Fig. 107 with max. at 610). Here maximum is at 587.



However, two sets of observations taken four years apart (1936-40) in both Madras, India, and San Antonio, Texas, have shown no evidence of a time-change in this particular four-year interval, so that it is perhaps better to explain the 4.2 per cent divergence of both the Pesh-

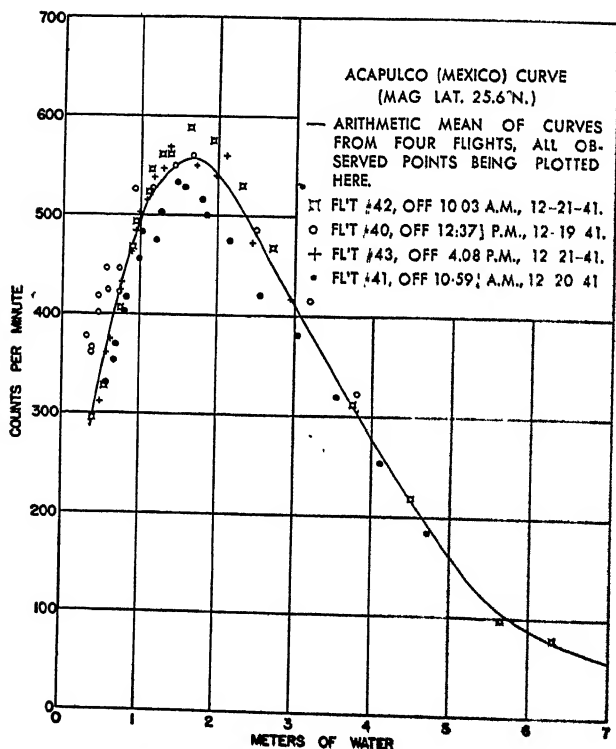


FIG. 109.—Every individual observation taken in all four of the Acapulco flights is recorded in Fig. 109. The best obtainable smooth curve is drawn for each of the four flights. The final full curve shown is the arithmetic mean of these four curves. Here maximum is at 560 ions.

In general, in all these curves each recorded point is the actual counting rate in a 4-minute interval in that flight at the recorded mean pressure in that interval.

war and the Acapulco curves from their mean as falling within the limits of observational uncertainties, especially since different counters and different modes of recording were used in taking them. Indeed, Figure 109 shows that with different counters and in successive flights made on the same or successive days the divergence in curves is sometimes as large as that shown between the Peshawar and the Acapulco curves.

3. A third and a most striking and unambiguous verification of prediction is seen by comparing the mean curve at Acapulco (Fig. 109) with the mean curve at Valles  $5^{\circ}2'$ , or 360 miles farther north (see Fig. 110). These curves show no differences at all. This result, taken in conjunction with the result of the airplane flights at Panama and Arequipa in 1932 (Fig. 82, p. 457), *furnish excellent proof of the existence of the long plateau of constant cosmic-ray intensity extending from the equatorial latitude in Peru clear up to about mag. lat.  $33^{\circ}$  in Mexico. Furthermore, these results are in full agreement with the "ground-level" findings stated in Table XIX above.*

4. Although there were found in going north from Acapulco to Valles (360 miles) no additions at all to the vertical rays coming in at Acapulco, yet in going only 114 miles still farther north, viz., from Valles to Victoria, both the ground-level counts and the total vertically incoming energy obtained from the Victoria flight curves show an unambiguous increase (see Figs. 111 and 112).

5. There is evidence in the flight curves taken at Victoria that it is within a very few miles of Victoria that the oxygen-annihilation rays begin to get in vertically. The ground-level reading was taken in the hotel grounds in the middle of the city. To avoid interference during

the flight, the truck was driven out into the "bush," not over 4 miles southeast of the town, and the flight there, in which the wind carried the balloons a little north of east, revealed notably more incoming energy (see dotted curve, Fig. 112) than did either of the two flights in which the wind carried the balloons a little south of east, so that *it seems to be right at the latitude of Victoria that the oxygen group of annihilation rays first gets in vertically.*

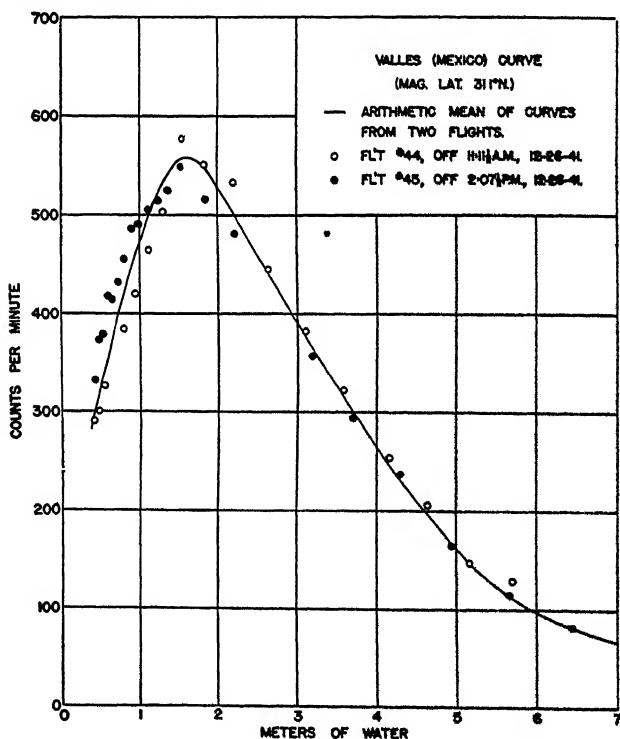


FIG. 110.—The full curve is the arithmetic mean of the curves from the flights, two only, made at Valles, all observed points being recorded as in Fig. 109. Maximum at 560 ions.

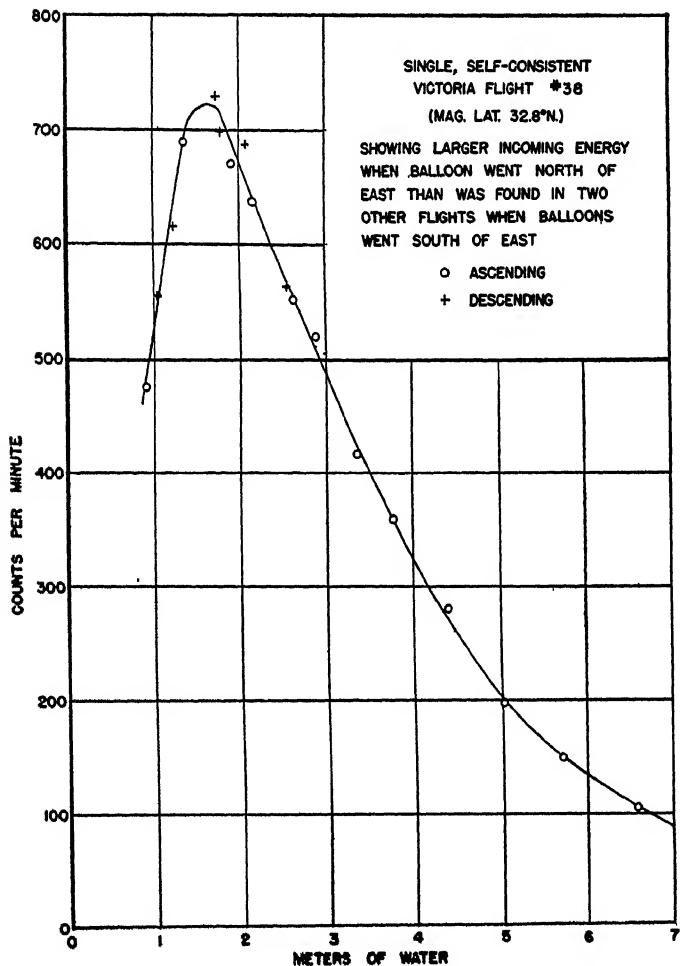


FIG. 111.—Flight showing largest energy-area of any of the three flights made at Victoria. In this flight the balloon went north of east. Maximum at 720 ions.

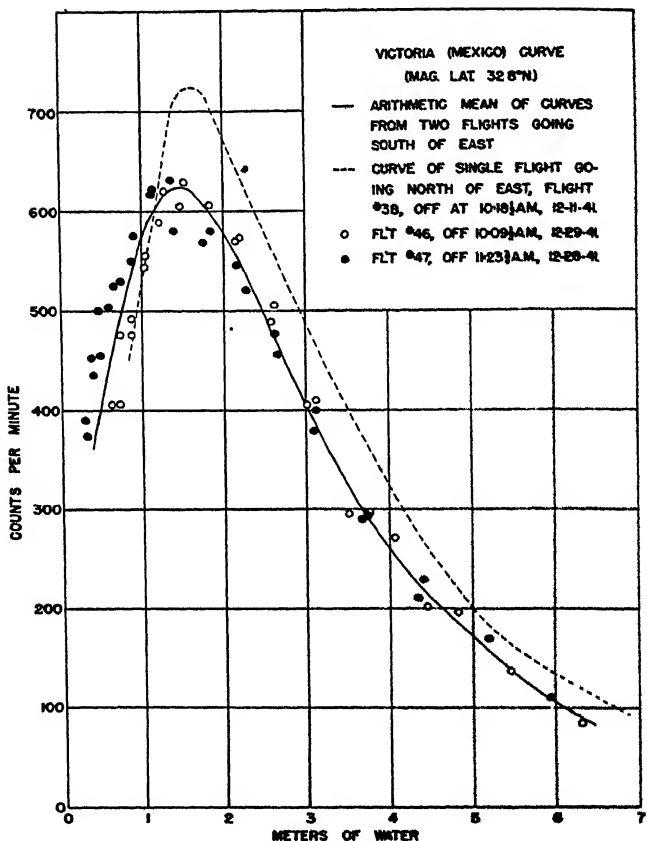


FIG. 112.—The full curve is the mean of two flights at Victoria when the balloons went south of east; the broken curve, the single flight in which the balloons went north of east. Therefore the latitude of Victoria, mag.  $32^{\circ}8'N$ , is thought to be very close to the latitude of first entrance of the neon-oxygen-annihilation ray band. Maximum at 625 ions.

VII. A FIRST ATTEMPT TO TEST IN THE UNITED STATES  
THE PREDICTIONS OF THE HYPOTHESIS OF THE EXIST-  
ENCE OF THE CARBON- AND HELIUM-ANNIHILATION  
RAYS

In spite of the very great pressure of war work at that time, the authors took off one week at the end of March, 1942, to try to test the prediction of the hypothesis, first, as to entrance not far north of Pasadena of a band of carbon-annihilation rays (5.6 bev); second, of the existence north of this latitude of a flat plateau of constant cosmic-ray intensity extending up to the latitude of entrance of the predicted band of helium-annihilation rays (1.9 bev). Because of unfavorable weather and several mishaps, we got but two good flights, one at St. George, Utah (mag. lat.  $44^{\circ}8'$  N.), which is but  $4^{\circ}$ , or 287 miles, nearer the north magnetic pole than Pasadena (mag. lat.  $40^{\circ}7'$  N.), and one at Pocatello (mag. lat.  $51^{\circ}$  N.), which is  $6^{\circ}2'$ , or 428 miles, nearer the north magnetic pole than St. George. These two flights are plotted in Figure 114 and are to be compared with the mean of two good flights at Pasadena recorded in Figure 113. It is to be regretted that the limited time and other conditions did not permit more flights at each of the two foregoing most northerly latitudes, so as to add the weight of multiple observations to the evidence; and it is proposed to repeat and extend these observations as soon as peacetime conditions permit. However, these two flights as recorded on the moving tape showed excellent consistency, so that the results obtained in them should have a very considerable degree of reliability. They seem to show with great certainty, first, the entrance of the carbon-annihilation rays between Pasadena and St. George and, second, the alto-

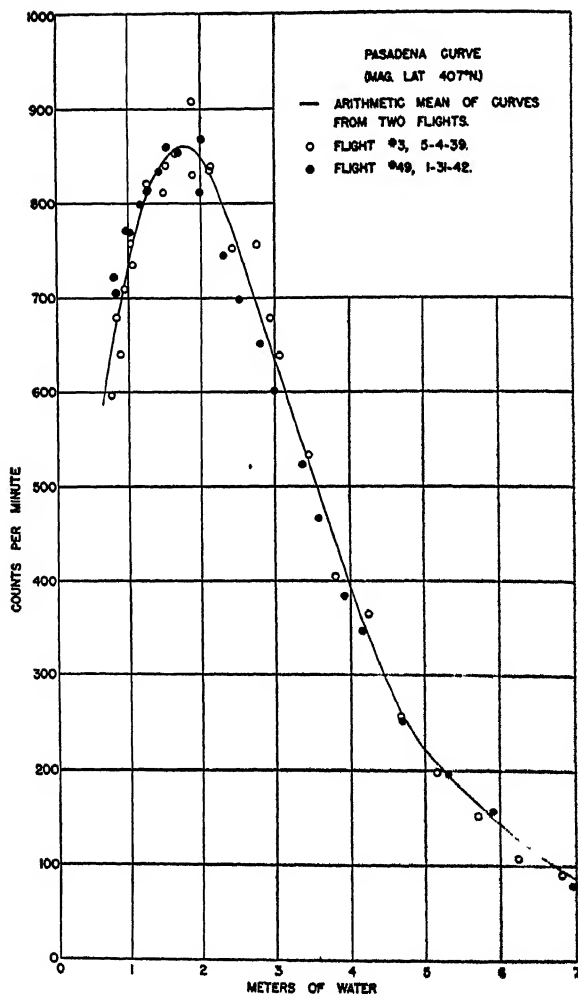


FIG. 113.—At the latitude of Pasadena the annihilation rays of both oxygen and nitrogen appear to be able to get fully in vertically. Maximum at 860 ions.

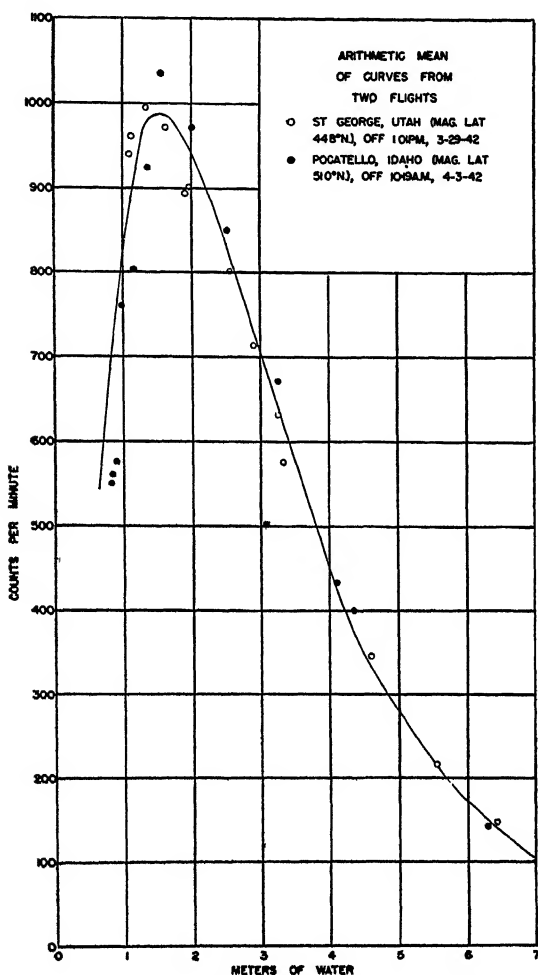


FIG. 114.—Shows that there is practically no new energy entering vertically in the long latitude stretch between St. George and Pocatello, although there is clear evidence of the entrance of carbon-annihilation rays between Pasadena and St. George (Utah). Note maximum at 980 ions.



gether flat plateau extending from St. George to Pocatello. The latitude of entrance of the vertically incoming helium rays, as computed from the Lemaitre-Vallarta curves, is at magnetic latitude  $54^{\circ}$  N., only  $3^{\circ}$  above Pocatello. Further, it is certain that between Omaha (mag. lat.  $51^{\circ}.3$ ) and Bismarck (mag. lat.  $56^{\circ}.3$ ) a group of new rays of energy about 2 bev does appear.

The best justification that we as yet have for this last statement comes from our latest electroscope flights made in the summer of 1940, immediately after our return from India. All the foregoing predictions as to the results to be expected from latitude experiments with vertical counters in Mexico had been made in the spring of 1940, when our success in India first gave us confidence that we were on the right track. However, we knew that we could not develop the necessary new equipment for putting these predictions to careful experimental test in less than a year's time. But we were already well supplied with excellent recording electroscopes, such as we had used, in addition to counters, in India. Since, then, the total cosmic-ray energy coming into the atmosphere at any latitude, no matter from what direction, is an important measurement which could be made most accurately with electroscopes and since, further, a series of such measurements taken in a series of latitudes in such rapid succession as to reduce the likelihood of time-changes was a matter of considerable importance and since this was the only accurate way of getting the ratio of the field-sensitive to the non-field-sensitive incoming rays, we decided in the summer of 1940 to interrupt, for a period of two weeks, both our pressing war jobs and our preparations for the above-mentioned vertical counter

tests planned for the year 1941-42, in order to make a series of flights with electroscopes in September, 1940.

We did not regard this as in any way an adequate substitute for trying to locate with vertical counters the latitude of entrance of the vertically incident helium-annihilation rays. We still expect to do this as soon as postwar conditions permit; but the present data, taken in these high latitudes with electroscopes, will be seen to throw much light on this point and on other points of importance, as indicated below.

This 1940 expedition, then, was undertaken because (1) we had the equipment ready and could carry out these high flights with electroscopes to best advantage in the more stable weather conditions usually prevailing in the Middle West in late summer and early fall; (2) because we had already found in very high electroscopical flights in high magnetic latitudes (Omaha, Bismarck, Saskatoon) as yet unexplained variabilities with time<sup>1</sup> which were conspicuously absent in India<sup>2</sup> and which we thought might disappear in part, at least, if a series of flights at different latitudes were taken all in one season and in as rapid succession as possible; (3) because such a series of more accurate electroscopical flights would establish with greater certainty the relation between the field-sensitive and the non-field-sensitive portions of the incoming cosmic rays, such as had been thus far defined only in terms of the total integrated value of the ionization found at a given latitude through ionization measurements made with electroscopes as they are carried from the lowest point at which

<sup>1</sup> See Millikan, Neher, and Pickering, *Phys. Rev.*, LXI (1942), 405-6.

<sup>2</sup> *Ibid.*, p. 408, esp. Fig. 1.

cosmic-ray ionization can be detected up to the top of the atmosphere; (4) because, on account of the favorable shape of the Lemaitre-Vallarta curves, in high magnetic latitudes there was a possibility of throwing some light, even with electroscope flights, on the reality and latitude of entrance of the helium-annihilation band.

At Bismarck, North Dakota, three flights were made on the same day, August 21, 1940, Drs. Neher and Pickering doing the observing, the first pair of balloons used for carrying up the recording electroscope being released at 8:45 A.M., the second at 9:50 A.M., and the third at 1:46 P.M. Four days later, namely, on August 25, 1940, three more similar flights were made at Omaha, the first ascent starting at 9:47 A.M., the second at 10:36 A.M., and the third at 11:41 A.M. This process was repeated at Oklahoma City on August 29, at Fort Worth on September 2 with two flights, and at San Antonio on September 3 with two flights. All these flights, then, were taken within a period of thirteen days, during which the atmospheric conditions were particularly stable. The set of resulting curves, therefore, has a much better chance of being free from errors caused by possible changes with time in the incoming cosmic-ray intensities than have those we have previously published, some of which were taken some years apart. Furthermore, we have never taken a series of flights with different electroscopes that showed throughout as great a self-consistency in each given locality as do these.

Figure 115 shows just how we treated the data at Omaha, for example. It is altogether typical of the data taken in all five of the different latitudes. In this figure every one of the readings of the photographic film for each

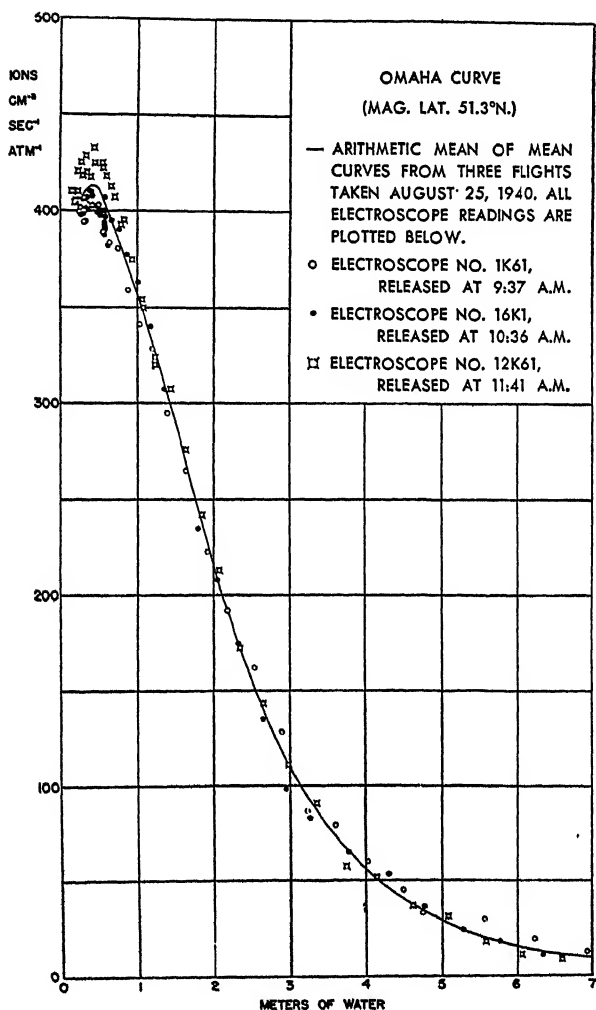


FIG. 115.—Details showing consistency obtained in three successive flights in one day. Similar consistency was shown between the component curves making up each of the five mean curves of Fig. 116.

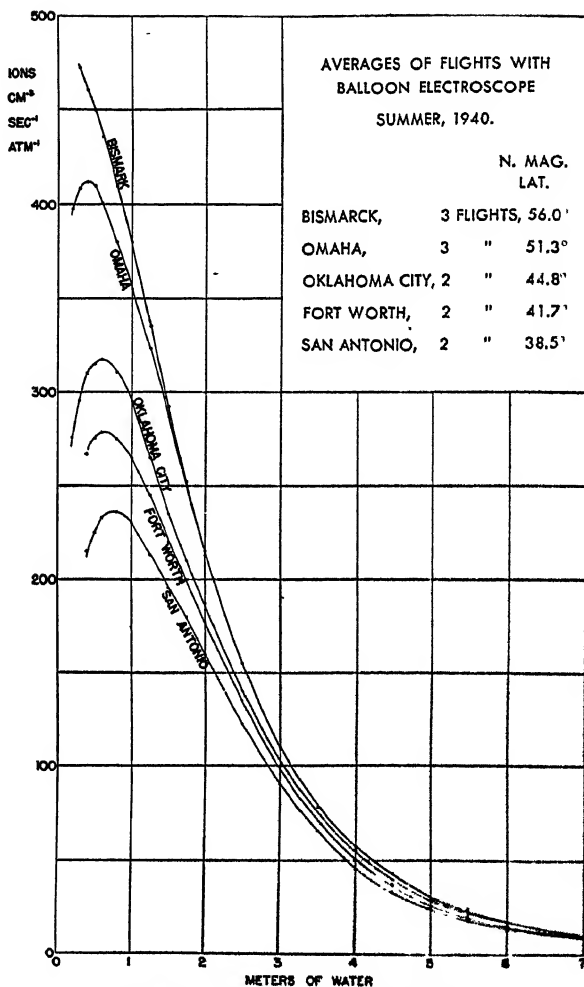


FIG. 116.—Soft helium rays make Bismarck-Omaha difference only at high altitudes. Their energy, being but 1.9 bev, does not enable them to extend their influence down as far as to 2 meters of water. But the more penetrating carbon rays of three times greater energy (5.6 bev) are present in the latitude between Omaha and Oklahoma City and hence keep these two curves apart down to about 6 meters of water.

of the three flights made at Omaha is first recorded; then our procedure for getting the final mean curve for this locality from these readings was to draw separately the full curve of each flight; then to read off on each of these three curves the ionization at a given altitude, say, the ionization corresponding to 2 meters of water beneath the top of the atmosphere; then to average these three readings at 2 meters; and, having obtained this sort of an average at a whole series of chosen altitudes up to as near the top of the atmosphere as the readings extend, to draw through these averaged points the final curve. This is the full line shown in Figure 115. It is reproduced again in Figure 116, along with the mean curves obtained in this way at all of the five indicated latitudes. The area underneath each of these curves is the total integral of the cosmic-ray energy entering the atmosphere at the latitude in question. It can be reduced, as usual, to electron-volts by multiplying by 32, the average number of electron-volts required to produce an ion in air.

From as careful a study as we have been able to make of the Lemaitre-Vallarta curves<sup>1</sup> reproduced in Figure 88, supplemented by a little adjustment, especially in the case of the oxygen band because of the evidence already presented that off the west coast of South America the electronic energy necessary to get vertically through the resistance of the earth's magnetic field at the equator is 13 bev instead of the 15 bev assumed by Lemaitre and Vallarta (probably a correct assumption for computing

<sup>1</sup> *Ibid.*, p. 397; see also Lemaitre and Vallarta's original papers in *Phys. Rev.*, XLIX (1936), 719, and L (1936), 503; also LXIII (1933), 87. The computations in Table XX are based on measurements on the original Lemaitre-Vallarta diagram (*ibid.*, L [1936], 503, Fig. 10). It is larger and more accurately measurable than Fig. 88.

incoming energies north of Pasadena), we have estimated in Table XX the critical magnetic latitudes for the entry of the cosmic annihilation rays as measured by electroscopes and also, in the last column, by vertical counters.

Table XX brings to light the bad overlapping of the various bands and the impossibility of unscrambling them, *save in the case of the helium band*. But because of the wide difference in energy between helium and carbon, the former is able to appropriate to itself entirely all

TABLE XX  
CRITICAL MAGNETIC LATITUDES IN NORTH AMERICA  
FOR ENTRY OF COSMIC-ANNIHILATION RAYS

ATOM	ENERGY (BEV)	LATITUDE OF		
		First Entry on Western Horizon	Full Entry on Eastern Horizon	Vertical Entry
He....	1.9	50°	59°	54°
C.....	5.6	32°	40°	42°
N.....	6.5	27°	48°	39°
O.....	7.5	22°	46°	33°

latitudes above 50°, so that on the basis of the atom-annihilation hypothesis, if in going north new cosmic rays come in between 50° and 59°, they can only be helium-annihilation rays. In fact, as shown in Figure 116, there can be no uncertainty about the appearance of a new band of rays between Omaha and Bismarck and a band so little penetrating, as helium rays should be, that it cannot throw its influence down even to the 2-meter level, after which depth the Bismarck curve is seen in Figure 116 to coincide completely with the Omaha curve.

This is not at all so with the difference between the

Omaha and the Oklahoma City curves, because this difference is primarily due to the carbon band, for carbon rays have an energy of 5.6 bev, which is sufficient to throw their influence down nearly to sea level. If, on the other hand, one assumed incoming cosmic-ray energy lying between the carbon and helium bands, then the Oklahoma City curve would certainly be expected to run together with the Omaha curve at a much higher altitude than Figure 116 shows to be the case.

We expect as soon as possible to locate the latitude of entrance of the vertically incoming helium rays by the vertical-counter technique; but the evidence here as to its existence and location is so good that we feel confident that this prediction will be verified just as the whole series of predictions discussed above has been verified. But in any case, *so far as our experiments have now gone, no results have been obtained from our studies in India, in South America, in Canada, in Mexico, or in the United States which are out of harmony with the predictions of the atom-annihilation hypothesis of the origin of cosmic rays.*

#### VIII. COMPARISON OF THE VERTICALLY INCOMING, FIELD-SENSITIVE, COSMIC-RAY ENERGIES BROUGHT TO EARTH BY THE ANNIHILATION RAYS OF SILICON, OXYGEN, NITROGEN, AND CARBON

It has been shown earlier<sup>1</sup> that the background of non-field-sensitive cosmic-ray energy is certainly not more than 40 per cent, actually 35 per cent, of the total cosmic-ray energy with which the earth is being continuously bombarded.

In terms of the total of this non-field-sensitive cosmic-

<sup>1</sup> Millikan, Neher, and Pickering, *Phys. Rev.*, LXI (1942), 397-413.



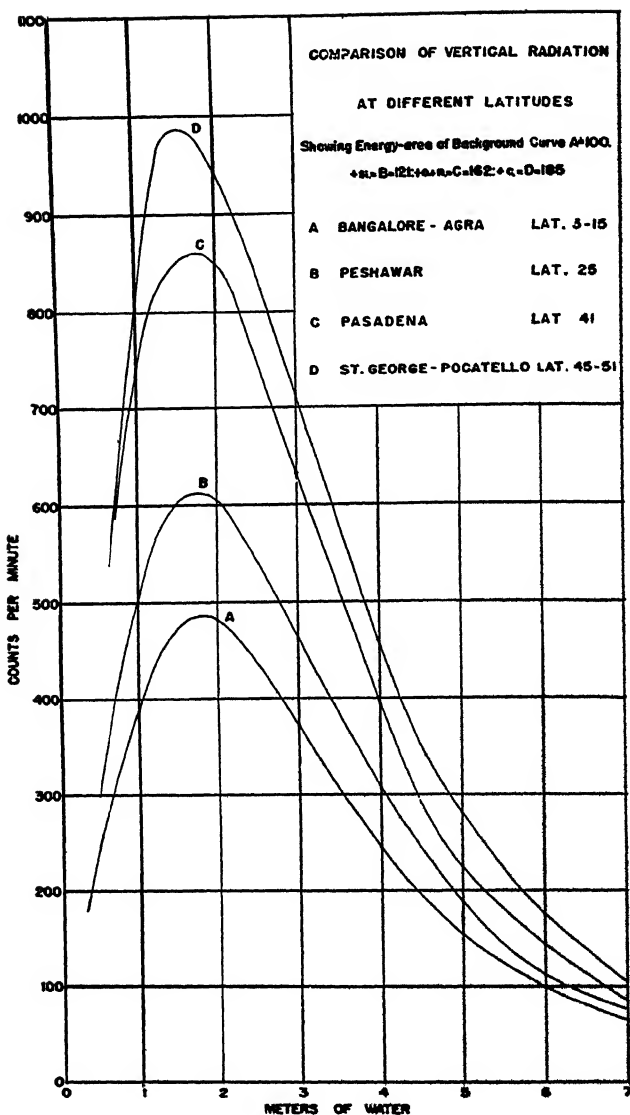


FIG. 117.—Shows that the cosmic-ray energy brought to earth vertically by silicon-annihilation rays (curves B-A) is 21 per cent of the

ray energy taken as a base, one can estimate with considerable certainty the relative field-sensitive cosmic-ray energies brought to earth by the annihilation rays of the four atoms, silicon, oxygen, nitrogen, and carbon, as follows: To get a numerical value for the base, one measures with a planimeter the total area underneath the mean Bangalore curve *A*, Figure 117. The best measure now obtainable for the energy brought in by vertical silicon rays will then be the difference between the area of the mean Peshawar curve *B* and the mean Bangalore

TABLE XXI  
AREAS UNDER CURVES, NUMERICAL  
AND RELATIVE VALUES

Bangalore-Madras.....	65.7	100
Peshawar.....	79.0	121
Pasadena.....	106.2	162
St. George-Pocatello.....	122.1	185

curve *A* (Fig. 117). Similarly, the difference between the area underneath the mean Pasadena curve *C* and the Peshawar curve *B* is a measure of the joint energy brought in vertically by the field-sensitive annihilation rays of the oxygen and nitrogen atoms. Some evidence has already been brought forward in Section VI for the conclusion that these two annihilation rays have an approximately

energy of the earth's cosmic-ray nonfield-sensitive or background energy (curve *A*); that oxygen- and nitrogen-annihilation rays bring in a joint energy (curves *C-B*) of  $162 - 121 = 41$  per cent of curve *A*, the equivalent of 20.5 per cent each; that carbon-annihilation rays (curves *D-C*) bring in an energy  $185 - 162$ , or 23 per cent of curve *A*; so that silicon, oxygen, nitrogen, and carbon atoms are all thought to contribute nearly equal amounts of atom-annihilation cosmic-ray energy.

equal energy content. Similarly, the difference between the mean St. George-Pocatello curve *D* and the mean Pasadena curve *C* gives the energy brought in vertically by carbon-annihilation rays. The actually measured areas underneath these four curves are given in the second column of Table XXI, while the third column gives the relative values in terms of Bangalore-Madras as a base.

The energy content of these four annihilation rays is thus seen to be very nearly the same, namely, silicon, 21; oxygen, 20.5; nitrogen, 20.5; and carbon, 23. This is not quite the conclusion drawn from Bowen's spectroscopic measurements on ring nebulae; for, according to them, the relative *abundance*, rather than the relative energy, of these four atoms in interstellar space is about the same for the four. If all these four atoms have the same chance of undergoing the transformation of their rest-mass energy into an electron-pair, then these cosmic-ray measurements are in agreement with Bowen's spectroscopic measurements to the extent that the same relative abundance is assigned by both methods to carbon, nitrogen, and oxygen atoms. Since, however, the rest-mass energy of silicon is about double that of each of the other three atoms, the foregoing evidence for cosmic-ray data would indicate an *abundance* of silicon atoms in interstellar space of about half that of each of the carbon, nitrogen, and oxygen atoms. This much of a discrepancy would probably not be outside the limits of Bowen's own estimate of his uncertainty.

To account for the fact that 65 per cent of the total incoming cosmic-ray energy is field-sensitive and only 35 per cent non-field-sensitive (this non-field-sensitive part corresponding to the area underneath the Bangalore

curve), it would be necessary to assign to helium-annihilation rays an incoming cosmic-ray energy in terms of Bangalore as a base of  $(100/.35) - 185 = 100$ , or four and a third times the energy brought in by, say, carbon-annihilation rays. But, since helium's rest-mass is but one-third that of carbon, its *abundance* would, from these figures, have to be about  $4.33 \times 3$ , or thirteen times that of carbon. This conclusion, however, cannot be drawn; for we tacitly assumed that the 35 per cent obtained through electro-scope readings would also hold when vertically incoming rays were under comparison. But there is no reason to expect this to be true. No conclusion in this field can then be drawn until we have made our projected series of latitude measurements with vertically arranged double counters in a series of latitudes north of mag. lat.  $51^\circ$ .

#### IX. THE VARIABILITY IN INCOMING COSMIC-RAY INTENSITIES

We have published on several occasions in recent years the discovery of large and thus far wholly inexplicable changes in incoming cosmic-ray intensities as measured by the electro-scope technique in the northern part of the United States.<sup>1</sup>

Thus, between August 21 and September 3, 1937, we made good flights with electroscopes at Omaha to such heights as to get definitely over the maximum of ionization in our electroscopes. The value of this ionization at the top, when reduced to standard air density, was 338 ions/cm.<sup>3</sup> In 1938 we went purposely to Omaha in winter (December 22 and 23) and repeated these experiments and found a maximum ionization at the top of 364 ions, an increase of nearly 8 per cent. Again, the

<sup>1</sup> See R. A. Millikan and H. V. Neher, *Phys. Rev.*, LVI (1939), 491.

maximum ionization shown at the top in the September 25, 1940, flights herewith reported (see Figs. 115 and 116) is 413 ions/cm.<sup>3</sup>, an increase over December, 1938, of 13.4 per cent.

Again, the maximum which we obtained in Bismarck in the summer of 1938 (July 5) was 374 ions/cm.<sup>3</sup>, while

TABLE XXII

TIME CHANGES IN THE HORIZONTAL COMPONENT H, OF  
THE EARTH'S MAGNETIC FIELD IN C.G.S. UNITS

	1915	Annual Changes	1940
Bismarck.....	0.161	-0.00027	0.154
Omaha.....	.197	-.00049	.185
Oklahoma City....	.241	-.00063	.225
St. George.....	.247	-.00037	.238
Mount Wilson.....	.265	-.00035	.256

MAGNETIC RECORDS FROM MOUNT WILSON, CALIFORNIA;  
TUCSON, ARIZONA; CHELTENHAM, MARYLAND

1937 September 3.....	No storm
1938 July 10.....	No storm
July 15.....	Small storm, July 13-17
December 22.....	H about 0.00030 lower than normal
1940 August,	
September.....	No storms

the 1940 maximum found at Bismarck, August 21, and reported in Figure 116 was 485 ions/cm.<sup>3</sup>, or an increase over 1938 of 29.7 per cent.

Still further, our maximum obtained in Oklahoma City in mid-July (11, 12, 13), 1938, was 280 ions/cm.<sup>3</sup>, while the maximum shown in the summer of 1940, August 29 (see Fig. 116), is 319 ions/cm.<sup>3</sup>, an increase over 1938 of 14 per cent.

Contrast the foregoing findings with the following facts. We made electroscope flights at San Antonio in the summer of 1936 and got a maximum of 234 ions/cm.<sup>3</sup> and repeated these flights on September 3, 1940, and found (see Fig. 116) precisely the same maximum as in 1936, viz., 234 ions/cm.<sup>3</sup> Also, not only was our maximum at Madras, India, in 1936 the same as in February, 1940, but, as shown in the report of our India work,<sup>2</sup> the two curves taken four years apart are everywhere indistinguishable.

In a word, while the cosmic rays coming in at Madras and at San Antonio seem to remain amazingly constant, yet those entering in the northern part of the United States show amazing fluctuations.

We seek the explanation of this strange behavior in the atom-annihilation hypothesis as follows: We assume for simplicity that the cosmic processes creating the cosmic rays cause an essentially constant incidence of all the five cosmic-ray bands on the earth. According to Bowen, helium is ten times more abundant than carbon, nitrogen, oxygen, or silicon; and even from the energy standpoint, if the probability of the transformation of the whole rest-mass into an electron-pair is the same for all atoms, the energy in the helium band is some 3.5 times that in the carbon, nitrogen, and oxygen bands, and some 1.5 times the energy of the silicon band.

Bismarck and Omaha both lie close to the southern edge of the polar cap of helium-annihilation rays, which we have computed as located for vertical-counter measurements at 54° N. mag. lat. and for electroscope meas-

<sup>2</sup> See H. V. Neher and W. H. Pickering, *Phys. Rev.*, LXI (1942), 408, Fig. 1.

urements at between  $50^{\circ}$  N. mag. lat. and  $50^{\circ}$  N. mag. lat. With even slight changes in the earth's magnetism, this edge of the helium polar cap will move north or south; and, since the resistance to incoming electrons is very small in northern latitudes, the relative effect of changes in the earth's magnetic field may be correspondingly large, depending upon the kind of cause that makes the change. In equatorial latitudes, where the resistance to incoming electrons is, say, eight times larger than at Bismarck or Omaha, the fluctuations in the earth's magnetic field will have smaller influence and *no influence at all un-*

TABLE XXIII

TUCSON VALUES OF H CORRESPONDING TO THE YEAR AND MONTH AT WHICH THE FOREGOING COSMIC-RAY MEASUREMENTS WERE MADE

Omaha	Bismarck	Oklahoma City
Sept. 1-30, 1937, 26180 $\gamma$	July 1-31, 1938, 26182 $\gamma$	July 1-31, 1938, 26182 $\gamma$
Dec. 1-31, 1938, 26162 $\gamma$	Aug. 1-31, 1940, 26141 $\gamma$	Aug. 1-31, 1940, 26141 $\gamma$
Aug. 1-31, 1940, 26140 $\gamma$		

*less the point of observation is near the edge of one of the five polar caps corresponding to the latitude of entrance of one of the cosmic-ray bands.*

In the present experiments, according to Table XX, the helium rays from the western horizon are already passing through the electroscope in the upper levels of the atmosphere, and a very little change in the earth's magnetic field that would make the band move, say,  $2^{\circ}$  southward, according to the Lemaitre-Vallarta curves, would throw the greater part of the helium band's energy into the top of the Omaha curve. *It is presumably actually there in those observations in which the Omaha curve runs higher than we have observed it to do in any of our other trials there.*

Oklahoma City is the only place, save Bismarck and Omaha, at which these changes have been observed, and here they were in smaller amount. Oklahoma City is actually quite close to the computed latitude of entrance of the carbon band. The reason for the high values of the Bismarck, Omaha, and Oklahoma City curves in 1940 is that then the edges of the helium and carbon polar caps were farther south than at the time of previous readings. Furthermore, as Table XX shows, the width of the slope of the rise to a new plateau increases greatly for the more southerly bands. This is another reason why a small change in the earth's magnetic field has little effect in the more southerly latitudes, but a large effect in the northerly ones.

According to this hypothesis, an increase in the intensity of the cosmic rays at Bismarck and Omaha means a southward movement of the edge of the helium polar cap, and such a movement, in turn, means a weakening in the strength of the earth's magnetic field. Such a weakening is actually shown in Table XXII, which has been prepared by Dr. S. B. Nicholson, of the Mount Wilson Observatory, who is looking for correlations between sun-spot activity and magnetic storms and who also tells us that magnetic storms in general correspond to a weakening rather than a strengthening in the earth's field. Further evidence of such weakening of the earth's field between May, 1936, and May, 1938, can be seen in Figure 1,<sup>1</sup> which we took from the paper of S. E. Forbush,<sup>2</sup> of the Carnegie Institution of Washington.

In a further check on the foregoing apparent cor-

<sup>1</sup> See R. A. Millikan and H. V. Neher, *Phys. Rev.*, LVI (1939), 492.

<sup>2</sup> S. E. Forbush, *Phys. Rev.*, LIV (1938), 975.



respondence between cosmic-ray intensity and the strength of the earth's magnetic field in the western part of the United States, Director L. O. Colbert, of the Coast Geodetic Survey, has kindly furnished us with the data which we have put together in the form shown in Table XXIII. This is more definite than Table XXII in indicating that at every one of the above observed times (year and month) in which we observed an increase in cosmic-ray intensity over its value at the same station at some other year and month there had also occurred a corresponding small decrease in the horizontal component of the earth's magnetic field at the Tucson magnetic observatory.

#### X. THE FIELD-SENSITIVE COMPONENT OF THE COSMIC RAYS

In view of the greater accuracy in these electroscope measurements than in our preceding ones, we have computed from the new curves of Figure 116, combined with the unchanged measurements at Madras,<sup>2</sup> that *the field-sensitive component defined through these electroscope readings is 65 per cent of all incoming cosmic-ray energy*. All this is due to incoming charged particles, certainly electrons or protons. There is at present no way of finding what fraction of the non-field-sensitive component is due to charged particles and what to photons, though part of it is unquestionably due to the former, and probably almost all of it.

*Auger's extended showers.*—There are certain types of cosmic-ray effects which have been thought by some students of the cosmic-ray problems to be not easily recon-

<sup>2</sup> See Figs. 1, 7, and 8 of Millikan, Neher, and Pickering, *Phys. Rev.*, LXI (1942), 397.

cilable with the hypothesis of the transformation of the rest masses of atoms in interstellar space into electron pairs. Thus, Auger<sup>1</sup> and his collaborators in Paris have found in experiments in the open air coincidences between Geiger-Müller counters placed several hundred meters apart in a horizontal plane. By assuming these to be due to cascade showers formed at the top of the atmosphere and reaching their maximum at about sea level, such showers are computed to correspond at sea level to total energies up to  $10^{15}$  electron-volts and are assumed to have been produced by primary particles of energies even up to  $10^{18}$  electron-volts. Since no single particle has ever been observed by anyone of energy above  $20 \times 10^9$  electron-volts, doubts are inevitably raised as to the validity of the assumption through which such huge particle-energies are obtained. The atom-annihilation hypothesis applied to the heaviest atom, uranium, would yield a cosmic-ray electron-pair, each member of which would have an energy of but 126 bev (or  $1.3 \times 10^{12}$  electron-volts); and if reliable evidence of the existence of higher-energy primary cosmic rays than this should appear, then, on the basis of the hypothesis, larger aggregates than uranium would have to be assumed to be transformable into a single electron-pair. Although these huge showers are, in fact, very rare events, the time does not seem to me yet ripe for such an assumption.

*The Schein, Jesse, and Wollan Experiment.*<sup>2</sup>—So far as the atom-annihilation hypothesis is concerned, it makes no difference whether the pairs created are electron-pairs

<sup>1</sup> Pierre Auger, "What Are Cosmic Rays?" (Chicago: University of Chicago Press, 1945), p. 96.

<sup>2</sup> *Phys. Rev.*, LVII (1940), 847; LIX (1941), 615 and 930.

or proton-pairs. We have thought the evidence for the former better than for the latter, for reasons already given. Niels Arley,<sup>1</sup> however, regards the Schein, Jesse, and Wollan experiment as "probably the most crucial experiment which makes the electron hypothesis irreconcilable with experimental facts." This conclusion seems to be based on the view that near the top of the atmosphere "the hard component does not pass through any maximum" but increases steadily to the top—a view definitely negatived by the mere fact that mesotrons, which constitute the hard component, are certainly *secondary particles*. The experiment consists in placing a counter system beneath plates of lead 4, 6, 8, 10, 12, and 18 cm. thick, which are carried up to within 2 cm. of mercury of the top, and finding no more shower-electrons beneath the 4-cm. lead plate than beneath any of the thicker ones.

But at the highest point reached, the total minimum amount of matter above the counters was 4 cm. of lead plus 2 cm. of mercury, i.e., not just 2 cm. of mercury, as shown in the author's diagram, but, instead, the equivalent of about 7 cm. of lead. This thickness corresponds to the flat portion of the "Rossi shower curve,"<sup>2</sup> so that these results are just what is to be expected from incoming electrons. More experimental facts are needed before this argument for incoming protons is acceptable.

New facts in the field of nuclear physics, of which cosmic rays are a part, have been coming at an accelerated pace within the last decade, and the pace may be expected to be still further accelerated in the decade ahead.

<sup>1</sup> "Cosmic Radiation and Negative Protons," *Mat.-Fys. Meddel.*, Vol. XXIII (Kobenhavn, 1945). This is an excellent review.

<sup>2</sup> Pierre Auger, *op. cit.*, p. 44.

*Large Cosmic-Ray Bursts.*—Studies based upon the use of the earth's magnetic field as a spectrograph for finding particle-energies cannot go higher than  $17 \times 10^9$  electron-volts; but, if the atom-annihilation hypothesis is correct, it should not be the limiting energy of incoming particles, for above the so-called silicon band—a complex that may include energies from Mg, Al, P, and S without getting outside the limits of our *resolution* of its energy (taken as 13.2 bev)—should come (see Appen. I) a much fainter band of about 20 bev, due to the A, K, Ca group of atoms, and then a complex Fe band of about 27 bev, including V, Cr, and Mn, and beyond this might come to earth very rare shots originating in still heavier atoms; but all this put together must be  $< 35$  per cent of the total incoming cosmic-ray energy.

The *experimental* situation beyond 17 bev is illustrated by Figures 118 and 119. Figure 118 is a huge burst taken on Pike's Peak in 1935 by Anderson and Neddermeyer. It shows hundreds of tracks the number and length of which can be estimated and the energy to make this number of ions computed. But the method growing out of Figure 119 is simpler and more reliable. The sudden partial discharge of the filament of the Neher electroscope can be accurately measured and the energy value of the ionization produced within the electroscope computed from the electrical capacity of the system. This technique has been ably used by Christy and Kusaka<sup>1</sup> and by Lapp.<sup>2</sup> *The largest energies thus directly observed all lie within the range  $10^{10}$ – $10^{11}$  electron-volts.* Bursts that contain directly measured energies higher than  $2 \times 10^{10}$  occur no oftener than at 4-day intervals. However, such studies are in their infancy.

<sup>1</sup> *Phys. Rev.*, LIX (1941), 414.

<sup>2</sup> *Phys. Rev.*, LXIX (1946), 321.

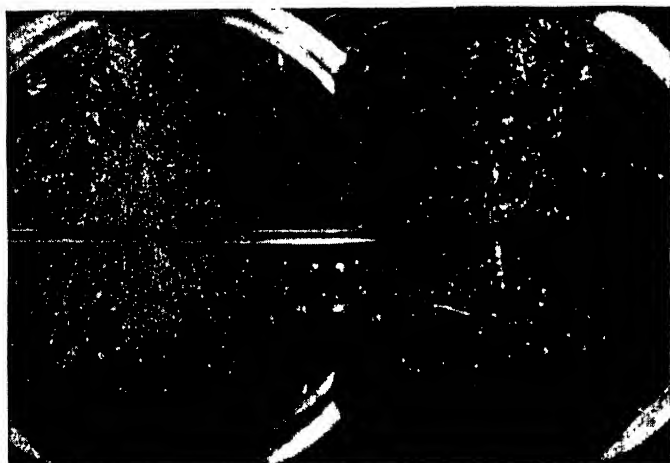


FIG. 118.—A cosmic-ray burst, showing over 300 tracks, but slightly curved in the 7,900-gauss magnetic field. Hence the summed energy of these tracks probably exceeds 15 bev, or  $1.5 \times 10^{10}$  (see Anderson and Neddermeyer, *Phys. Rev.*, L [1936], 265).



FIG. 119.—A burst of about  $10^9$  electron-volts revealed through the sudden jump of the filament and measured through the electrical constants of the Neher electroscope system.

Much better understanding of cosmic-ray absorption is to be expected from their continuation.

*Cosmic-Ray Summary.*—The most significant of the foregoing cosmic-ray facts may be summarized as follows: Cosmic rays have been definitely proved to consist mainly of electrically charged particles shooting into the earth uniformly from all parts of the celestial dome. These particles are certainly either electrons or protons—probably the former—which bombard our earth continuously with energies that have been definitely measured over an energy-range extending from about 2 bev (billion electron-volts) up to 17 bev, within which range 65 per cent of all incoming cosmic-ray energy is found. The foregoing are the largest particle energies ever discovered and definitely measured. They are a thousand times greater than the highest particle energies emitted through radioactive transformations in the form of the alpha, beta, and gamma rays of radium and thorium.

As to the source of these stupendous particle-energies, *it is assumed* that an atom out in space, freed from the effects of bombardment by other atoms, may, when thus left to itself for a sufficiently long time, become unstable and commit suicide, or, better, transform its whole rest-mass energy,  $m$ , in accordance with the Einstein equation  $E=mc^2$  into the creation of a pair of positive and negative electrons, which, in view of Newton's third law of motion, fly apart, each with one-half the energy computed from the known mass of the exploding atom.

On this hypothesis, as one moves from the magnetic equator toward the pole, there should be found a series of successive latitudes, at each of which one or another of

the five most abundant atoms in interstellar space<sup>1</sup> is first enabled because of its particular energy to break through the blocking effect of the earth's magnetic field so as to be observable by man here. Specifically, in going north from the equator in India, vertically incoming silicon-annihilation rays (13.2 bev) should first appear at the magnetic latitude of about 20° or 21°; oxygen rays (7.5 bev) should appear at about 33° or 34° in Mexico; nitrogen rays (6.5 bev) at about 38° or 39° in the United States; carbon rays (5.6 bev) at about 42° in the United States; and helium rays (1.9 bev) should appear at 54°. Further, as one moves from the equator north toward the pole, no new cosmic rays should be found coming into the earth vertically *between any of these computed latitudes*. *The careful study of the latitude distribution of the incoming cosmic rays as one goes from the equator toward the pole has actually now been found to be in reasonable agreement with this theory of their production.*

The total number of the foregoing whole series of incoming cosmic-ray particles that shoot into the top of our atmosphere per square centimeter of the earth's sphere at that level is computed by the methods shown on pages 536-38 *at approximately one shot every 2 seconds*. In spite, however, of the enormous energy of each electron-shot, *the total cosmic-ray energy entering the earth in view of the relative infrequency of the shots is only about the same as the energy coming to the earth in the form of starlight*—much too minute ever to be useful in any way as an appreciable source of energy even by the corner popcorn man.

<sup>1</sup> Save for hydrogen, which, though it is the most abundant of all elements and is, indeed, supposed to constitute 90 per cent of the universe, has too little mass-energy to be observable in balloon flights.

## APPENDIX A

### FROM MOBILITIES AND DIFFUSION COEFFICIENTS

If we assume that gaseous ions, which are merely charged molecules or clusters of molecules, act exactly like the uncharged molecules about them, they will tend to diffuse just as other molecules do and will exert

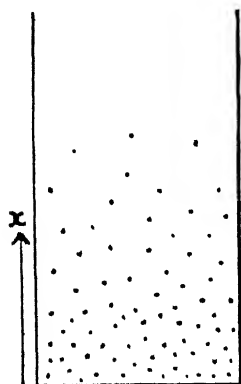


FIG. 120

a partial gas pressure of exactly the same amount as would an equal number of molecules of any gas. Imagine then the lower part of the vessel of Fig. 120 to be filled with gas through which ions are distributed and imagine that these ions are slowly diffusing upward. Let  $n'$  be the ionic concentration, i.e., the number of ions per cubic centimeter at any distance  $x$  from the bottom of the vessel. Then the number  $N$  of ions which pass

per second through 1 sq. cm. taken perpendicular to  $x$  at a distance  $x$  from the bottom must be directly proportional to the concentration gradient  $\frac{dn'}{dx}$  and the factor of proportionality in a given gas is by definition the diffusion coefficient  $D$  of the ions through this gas, i.e.,

$$N = D \frac{dn'}{dx} \dots \dots \dots (42)$$

But since  $N$  is also equal to the product of the average velocity  $V$  with which the ions are streaming upward at



$x$  by the number of ions per cubic centimeter at  $x$ , i.e., since  $N = n'V$ , we have from equation (42)

$$V = \frac{D}{n'} \frac{dn'}{dx}.$$

The force which is acting on these  $n'$ -ions to cause this upward motion is the difference in the partial pressure of the ions at the top and bottom of a centimeter cube at the point  $x$ . It is, therefore, equal to  $\frac{dp}{dx}$  dynes, and the ratio between the force acting and the velocity produced by it is

$$\frac{\frac{dp}{dx}}{\frac{D}{n'} \frac{dn'}{dx}}.$$

Now this ratio must be independent of the particular type of force which is causing the motion. Imagine then the same  $n'$ -ions set in motion, not by the process of diffusion, but by an electric field of strength  $F$ . The total force acting on the  $n'$ -ions would then be  $Fen'$ , and if we take  $v$  as the velocity produced, then the ratio between the force acting and the velocity produced will now be  $\frac{Fen'}{v}$ . By virtue then of the fact that this ratio is constant, whatever kind of force it be which is causing the motion, we have

$$\frac{Fen'}{v} = \frac{\frac{dp}{dx}}{\frac{D}{n'} \frac{dn'}{dx}} \dots\dots\dots (43)$$

Now if  $v_0$  denote the velocity in unit field, a quantity which is technically called the "ionic mobility,"  $\frac{v}{F} = v_0$ . Again since the partial pressure  $p$  is proportional to  $n'$ , i.e., since  $p = Kn'$ , it follows that  $\frac{dp}{p} = \frac{dn'}{n'}$ . Hence equation (43) reduces to

$$\frac{en'}{v_0} = \frac{1}{D} \frac{1}{p}$$

or

$$v_0 = De \frac{n'}{p} \dots \dots \dots (44)$$

But if we assume that, so far as all pressure relations are concerned, the ions act like uncharged molecules (this was perhaps an uncertain assumption at the time, though it has since been shown to be correct), we have  $\frac{n'}{p} = \frac{n}{P}$  in which  $n$  is the number of molecules per cubic centimeter in the air and  $P$  is the pressure produced by them, i.e.,  $P$  is atmospheric pressure. We have then from equation (44)

$$ne = \frac{v_0 P}{D} \dots \dots \dots (45)$$

## APPENDIX B

### TOWNSEND'S FIRST ATTEMPT AT A DETERMINATION OF $e$

Figure 121 shows the arrangement of apparatus used. The oxygen rising from the electrode  $E$  is first bubbled through potassium iodide in  $A$  to remove ozone, then through water in  $B$  to enable the ions to form a cloud. This cloud-laden air then passes through a channel in an electrical insulator—a paraffin block  $P$ —into the tubes

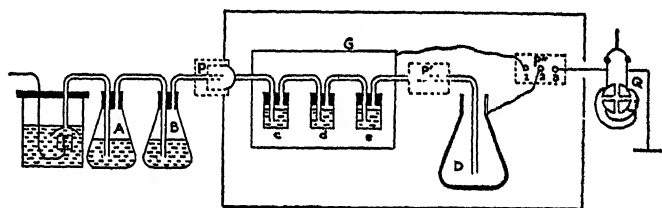


FIG. 121

$c$ ,  $d$ ,  $e$ , which contain concentrated sulphuric acid. These drying tubes remove all the moisture from the air and also such part of the charge as is held on ions which in the process of bubbling through  $c$ ,  $d$ ,  $e$  have actually touched the sulphuric acid. The dry air containing the rest of the charge passes out through a channel in the paraffin block  $P'$  into the flask  $D$ . (If the gas being studied was lighter than air, e.g., hydrogen,  $D$  was of course inverted.) The outside of  $D$  is covered with tin foil which is connected to one of the three mercury cups held by the paraffin block  $P''$ . If the air in  $D$  contained

at first no charge, then an electrical charge exactly equal to the quantity of electricity which enters the flask *D* will appear by induction on the tin-foil coating which covers this flask and this quantity  $q_1$  can be measured by connecting the mercury cup 2 to cup 3 which is connected to the quadrant electrometer *Q*, and observing the deflection per minute. Precisely similarly the total quantity of electricity which is left per minute in the drying tubes *c*, *d*, *e* is exactly equal to the quantity which appears by induction on the outer walls of the hollow metal vessel *G*, which surrounds the tubes *c*, *d*, *e*. This quantity  $q_2$  can be measured by connecting mercury cup 1 to cup 3 and observing the deflection per minute of the quadrant electrometer. The number of cubic centimeters of gas which pass through the apparatus per minute is easily found from the number of amperes of current which are used in the electrolysis apparatus *E* and the electrochemical equivalent of the gas. By dividing the quantities of electricity appearing per minute in *D* and *G* by the number of cubic centimeters of gas generated per minute we obtain the total charge per cubic centimeter carried by the cloud.

The increase in weight of the drying tubes *c*, *d*, *e* per cubic centimeter of gas passing, minus the weight per cubic centimeter of saturated water vapor, gives the weight of the cloud per cubic centimeter. This completes the measurements involved in (2) and (3), p. 47.

As to (4), p. 48, the average size of the droplets of water Townsend found by passing the cloud emerging from *B* into a flask and observing how long it took for the top of the cloud to settle a measured number of centimeters. The radius of the drops could then be

## THE ELECTRON

obtained from a purely theoretical investigation made by Sir George Stokes,<sup>1</sup> according to which the velocity  $v_1$  of fall of a spherical droplet through a gas whose coefficient of viscosity was  $\eta$  is given by

$$v_1 = \frac{2}{9} \frac{g a^2}{\eta} \sigma$$

in which  $\sigma$  is the density of the droplet. From this Townsend got the average radius  $a$  of the droplets and computed their average weight  $m$  by the familiar formula  $m = \frac{4}{3} \pi a^3 \sigma$ . He was then ready to proceed as in (5), see p. 48.

<sup>1</sup> Lamb, *Hydrodynamics*. 1895, p. 533.

## APPENDIX C

### THE BROWNIAN-MOVEMENT EQUATION

A very simple derivation of this equation of Einstein has been given by Langevin of Paris<sup>1</sup> essentially as follows: From the kinetic theory of gases we have  $PV = RT = \frac{1}{3} N m \bar{c}^2$  in which  $\bar{c}^2$  is the average of the squares of the velocities of the molecules,  $N$  the number of molecules in a gram molecule, and  $m$  the mass of each. Hence the *mean* kinetic energy of agitation  $E$  of each molecule is given by  $E = \frac{1}{2} m \bar{c}^2 = \frac{3}{2} \frac{RT}{N}$ .

Since in observations on Brownian movements we record only motions along one axis, we shall divide the total energy of agitation into three parts, each part corresponding to motion along one of the three axes, and, placing the velocity along the  $x$ -axis equal to  $\frac{dx}{dt}$ , we have

$$\frac{E}{3} = \frac{1}{2} m \left( \frac{dx}{dt} \right)^2 = \frac{1}{2} \frac{RT}{N} \dots\dots\dots (46)$$

Every Brownian particle is then moving about, according to Einstein's assumption, with a mean energy of motion along each axis equal to  $\frac{1}{2} \frac{RT}{N}$ . This motion is due to molecular bombardment, and in order to write an equation for the motion at any instant of a particle subjected to such forces we need only to know (1) the

<sup>1</sup> *Comptes Rendus*, CXLVI (1908), 530.

value  $X$  of the  $x$ -component of all the blows struck by the molecules at that instant, and (2) the resistance offered by the medium to the motion of the particle through it. This last quantity we have set equal to  $Kv$  and have found that in the case of the motion of oil droplets through a gas  $K$  has the value  $6\pi\eta a\left(1+A\frac{l}{a}\right)^{-1}$ .

We may then write the equation of motion of the particle at any instant under molecular bombardment in the form

$$m\frac{d^2x}{dt^2} = -K\frac{dx}{dt} + X \dots\dots\dots (47)$$

Since in the Brownian movements we are interested only in the absolute values of displacements without regard to their sign, it is desirable to change the form of this equation so as to involve  $x^2$  and  $\left(\frac{dx}{dt}\right)^2$ . This can be done by multiplying through by  $x$ . We thus obtain, after substituting for  $x\frac{d^2x}{dt^2}$  its value  $\frac{1}{2}\frac{d^2(x^2)}{dt^2} - \left(\frac{dx}{dt}\right)^2$ ,

$$\frac{m}{2}\frac{d^2(x^2)}{dt^2} - m\left(\frac{dx}{dt}\right)^2 = -\frac{K}{2}\frac{d(x^2)}{dt} + Xx \dots\dots\dots (48)$$

Langevin now considers the *mean* result arising from applying this equation at a given instant to a large number of different particles all just alike.

Writing then  $\bar{x}$  for  $\frac{d(\bar{x^2})}{dt}$  in which  $\bar{x^2}$  denotes the mean of all the large number of different values of  $x^2$ , he gets after substituting  $\frac{RT}{N}$  for  $m\left(\frac{dx}{dt}\right)^2$ , and remembering that

in taking the mean, since the  $X$  in the last term is as likely to be positive as negative and hence that  $\overline{Xx}=0$ ,

$$\frac{m}{2} \frac{dz}{dt} - \frac{RT}{N} = -\frac{Kz}{2}.$$

Separating the variables this becomes

$$\frac{dz}{\left(z - \frac{2RT}{NK}\right)} = -\frac{K}{m} dt,$$

which yields upon integration between the limits 0 and  $\tau$

$$z = \frac{2RT}{NK} + Ce^{-\frac{K}{m}\tau} \dots\dots\dots (49)$$

For any interval of time  $\tau$  long enough to measure this takes the value of the first term. For when Brownian movements are at all observable,  $a$  is  $10^{-4}$  cm. or less, and since  $K$  is roughly equal to  $6\pi\eta a$  we see that, taking the density of the particle equal to unity,

$$\frac{m}{K} = \frac{\frac{4}{3}\pi(10^{-4})^3}{6\pi \cdot 00018 \times 10^{-4}} = 10^{-5}.$$

Hence when  $\tau$  is taken greater than about  $10^{-5}$  seconds,  $e^{-\frac{K}{m}\tau}$  rapidly approaches zero, so that for any measurable time intervals

$$z = \frac{2RT}{NK}$$

or

$$\frac{d(\overline{x^2})}{dt} = \frac{2RT}{NK}$$



and, letting  $\overline{\Delta x^2}$  represent the change in  $\overline{x^2}$  in the time  $\tau$

$$\overline{\Delta x^2} = \frac{2RT}{NK} \tau \dots\dots\dots (50)$$

This equation means that if we could observe a large number  $n$  of exactly similar particles through a time  $\tau$ , square the displacement which each undergoes along the  $x$ -axis in that time, and average all these squared displacements, we should get the quantity  $\frac{2RT}{NK} \tau$ . But we must obviously obtain the same result if we observe the same identical particle through  $n$ -intervals each of length  $\tau$  and average these  $n$ -displacements. The latter procedure is evidently the more reliable, since the former must assume the exact identity of the particles.

## APPENDIX D

### THE INERTIA OR MASS OF AN ELECTRICAL CHARGE ON A SPHERE OF RADIUS $a$

If Fig. 122 represents a magnet of pole area  $A$ , whose two poles are  $d$  cm. apart, and have a total magnetization  $M$ , a density of magnetization  $\sigma$ , and a field strength between them of  $H$ , then the work necessary to carry a unit pole from  $M$  to  $M'$  is  $Hd$ , and the work necessary

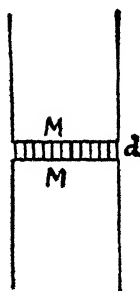


FIG. 122

to create the poles  $M$  and  $M'$ , i.e., to carry  $M$  units of magnetism across against a mean field strength  $\frac{H}{2}$  is  $\frac{HMd}{2}$ . Hence the total energy  $E_i$  of the magnetic field is given by

$$E_i = \frac{HMd}{2} = \frac{HA\sigma d}{2},$$

but since  $H = 4\pi\sigma$

$$E_i = \frac{H^2 Ad}{8\pi},$$

or since  $Ad$  is the volume of the field the energy  $E$  per unit volume of the magnetic field is given by

$$E = \frac{H^2}{8\pi} \dots \dots \dots (51)$$

Now the strength of the magnetic field at a distance  $r$  from a moving charge in the plane of the charge is  $\frac{ev}{r^2}$ , if  $e$  is the charge and  $v$  its speed. Also the magnetic field strength at a point distant  $r\theta$  from the charge,  $\theta$  being

the angle between  $r$  and the direction of motion, is given by

$$H = \frac{ev}{r^2} \sin \theta.$$

Hence the total energy of the magnetic field created by the moving charge is

$$\int E d\tau = \int \frac{H^2}{8\pi} d\tau$$

in which  $\tau$  is an element of volume and the integration is extended over all space. But in terms of  $v$ ,  $\theta$ , and  $\phi$ .

$$d\tau = r d\theta, dr, r \sin \theta d\phi$$

$\therefore$  Total energy =

$$\frac{e^2 v^2}{8\pi} \int \frac{\sin^2 \theta}{r^4} d\tau = \frac{e^2 v^2}{8\pi} \int_a^\infty \frac{dr}{r^2} \int_0^{2\pi} d\phi \int_0^\pi \sin^3 \theta d\theta = \frac{e^2 v^2}{3a}.$$

Since kinetic energy  $= \frac{1}{2}mv^2$ , the mass-equivalent  $m$  of the moving charge is given by setting

$$\begin{aligned} \frac{1}{2}mv^2 &= \frac{e^2 v^2}{3a} \\ \therefore m &= \frac{2}{3} \frac{e^2}{a} \dots\dots\dots (52) \end{aligned}$$

The radius of the spherical charge which would have a mass equal to the observed mass of the negative electron is found by inserting in the last equation  $e = 4.803 \times 10^{-10}$

electrostatic units =  $1.591 \times 10^{-20}$  electromagnetic units  
 and  $\frac{e}{m} = 1.757 \times 10^7$  electromagnetic units. This gives  
 $a = 1.9 \times 10^{-13}$  cm.

The expression just obtained for  $m$  obviously holds only so long as the magnetic field is symmetrically distributed about the moving charge, as assumed in the integration, that is, so long as  $v$  is small compared with the velocity of light. When  $v$  exceeds .1 the speed of light  $c$ , the mass of the charge begins to increase measurably and becomes infinite at the speed of light. According to the theory developed by Lorentz, if the mass for slow speeds is called  $m_0$  and the mass at any speed  $v$  is called  $m$ , then

$$\frac{m}{m_0} = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} \dots \dots \dots (53)$$

This was the formula which Bucherer found to hold accurately for the masses of negative electrons whose speeds ranged from .3 to .8 that of light.

## APPENDIX E

### MOLECULAR CROSS-SECTION AND MEAN FREE PATH

If there is one single molecule at rest in a cubical space 1 cm. on a side, the chance that another molecule which is shot through the cube will impinge upon the one contained is clearly  $\frac{\pi d^2}{1}$  in which  $d$  is the mean diameter of the two molecules. If there are  $n$  contained molecules the chance is multiplied by  $n$ , that is, it becomes  $\frac{n\pi d^2}{1}$ . But on the average the chance of an impact in going a centimeter is the number of impacts actually made in traversing this distance. The mean free path  $l$  is the distance traversed divided by the number of impacts made in going that distance. Hence

$$l = \frac{1}{n\pi d^2} \dots\dots\dots (54)$$

This would be the correct expression for the mean free path of a molecule which is moving through a group of molecules at rest. If, however, the molecules are all in motion they will sometimes move into a collision which would otherwise be avoided, so that the collisions will be more numerous when the molecules are in motion than when at rest—how much more numerous will depend upon the law of distribution of the speeds of the molecules. It is through a consideration of the Maxwell

distribution law that the factor  $\sqrt{2}$  is introduced into the denominator (see Jeans, *Dynamical Theory of Gases*) so that equation (54) becomes

$$l = \frac{1}{\sqrt{2n\pi d^2}} \dots\dots\dots (55)$$

## APPENDIX F

### NUMBER OF FREE POSITIVE ELECTRONS IN THE NUCLEUS OF AN ATOM BY RUTHERFORD'S METHOD

If  $N$  represents the number of free positive electrons in the nucleus,  $e$  the electronic charge,  $E$  the known charge on the  $\alpha$ -particle, namely  $2e$ , and  $\frac{1}{2}mV^2$  the known kinetic energy of the  $\alpha$ -particle, then, since the inertias of the negative electrons are quite negligible in comparison with that of the  $\alpha$ -particle, if the latter suffers an appreciable change in direction in passing through an atom it will be due to the action of the nuclear charge. If  $b$  represents the closest possible approach of the  $\alpha$ -particle to the center of the nucleus, namely, that occurring when the collision is "head on," and the  $\alpha$ -particle is thrown straight back upon its course, then the original kinetic energy  $\frac{1}{2}mV^2$  must equal the work done against the electric field in approaching to the distance  $b$ , i.e.,

$$\frac{1}{2}mV^2 = \frac{NceE}{b} \dots\dots\dots (5b)$$

Suppose, however, that the collision is not "head on," but that the original direction of the  $\alpha$ -particle is such that, if its direction were maintained, its nearest distance of approach to the nucleus would be  $p$  (Fig. 123). The deflection of the  $\alpha$ -particle will now be, not  $180^\circ$ , as before, but some other angle  $\phi$ . It follows simply from

the geometrical properties of the hyperbola and the elementary principles of mechanics that

$$p = \frac{b}{2} \cot \frac{\phi}{2} \dots\dots\dots (57)$$

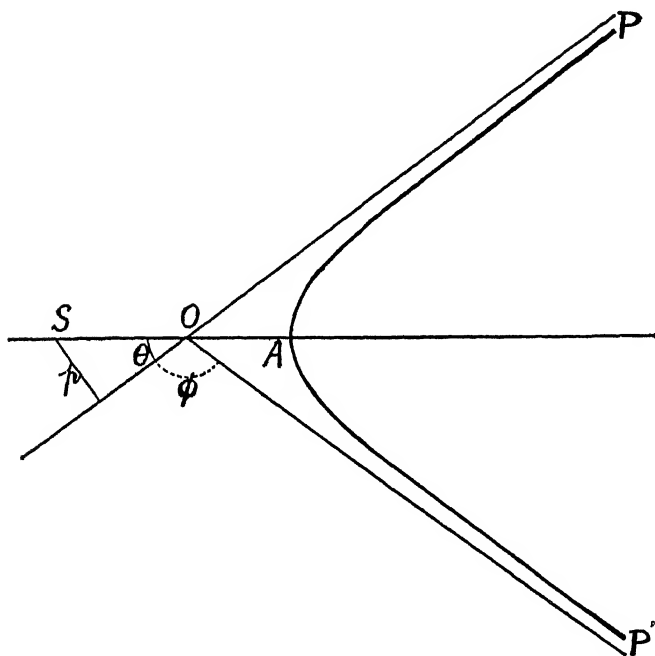


FIG 123

For let  $PAP'$  represent the path of the particle and let  $POA = \theta$ . Also let  $V$  = velocity of the particle on entering the atom and  $v$  its velocity at  $A$ . Then from the conservation of angular momentum

$$pV = SA \cdot v \dots\dots\dots (58)$$



and from conservation of energy

$$\frac{1}{2}mV^2 = \frac{1}{2}mv^2 + \frac{NeE}{SA}$$

$$\therefore v^2 = V^2 \left( 1 - \frac{b}{SA} \right) \dots\dots\dots (59)$$

Since the eccentricity  $\epsilon = \sec \theta$ , and for any conic the focal distance is the eccentricity times one-half the major axis, i.e.,  $SO = OA \cdot \epsilon$ , it follows that

$$SA = SO + OA = SO \left( 1 + \frac{1}{\epsilon} \right) = p \csc \theta (1 + \cos \theta) = p \cot \frac{\theta}{2}.$$

But from equations (58) and (59)

$$p^2 = SA(SA - b) = p \cot \frac{\theta}{2} \left( p \cot \frac{\theta}{2} - b \right)$$

$$\therefore b = 2p \cot \theta \dots\dots\dots (60)$$

and since the angle of deviation  $\phi$  is  $\pi - 2\theta$ , it follows that

$$\cot \frac{\phi}{2} = \frac{2p}{b} \dots\dots\dots (61) \quad \text{Q.E.D.}$$

Now it is evident from the method used in Appendix E that if there are  $n$  atoms per cubic centimeter of a metal foil of thickness  $t$ , and if each atom has a radius  $R$ , then the probability  $M$  that a particle of size small in comparison with  $R$  will pass through one of these atoms in shooting through the foil is given by

$$M = \pi R^2 nt.$$

Similarly the probability  $m$  that it will pass within a distance  $p$  of the center of an atom is

$$m = \pi p^2 nt.$$

If this probability is small in comparison with unity, it represents the fraction  $\rho$  of any given number of particles shooting through the foil which will actually come within a distance  $p$  of the nucleus of an atom of the foil.

The fraction of the total number which will strike within radii  $p$  and  $p + dp$  is given by differentiation as

$$dm = 2\pi p nt \cdot dp$$

but from equation (57)

$$dp = -\frac{b}{2} \frac{1}{2} \csc^2 \frac{\phi}{2} d\phi$$

$$\therefore dm = -\frac{\pi}{4} nt b^2 \cot \frac{\phi}{2} \csc^2 \frac{\phi}{2} d\phi.$$

Therefore the fraction  $\rho$  which is deflected between the angles  $\phi_1$  and  $\phi_2$  is given by integration as

$$\rho = \frac{\pi}{4} nt b^2 \left( \cot^2 \frac{\phi_1}{2} - \cot^2 \frac{\phi_2}{2} \right)$$

It was this fraction of a given number of  $\alpha$ -particles shot into the foil which Geiger and Marsden found by direct count by the scintillation method to be deflected through the angles included between any assigned limits  $\phi_1$  and  $\phi_2$ . Since  $n$  and  $t$  are known,  $b$  could be at once obtained. It was found to vary with the nature of the

atom, being larger for the heavy atoms than for the lighter ones, and having a value for gold of  $3.4 \times 10^{-12}$  cm. This is then an upper limit for the size of the nucleus of the gold atom.

As soon as  $b$  has thus been found for any atom, equation (56) can be solved for  $N$ , since  $E$ ,  $e$ , and  $\frac{1}{2}mv^2$  are all known. It is thus that the number of free positive electrons in the nucleus is found to be roughly half the atomic weight of the atom, and that the size of the nucleus is found to be very minute in comparison with the size of the atom.

## APPENDIX G

### BOHR'S THEORETICAL DERIVATION OF THE VALUE OF THE RYDBERG CONSTANT

The Newtonian equation of a circular orbit of an electron  $e$  rotating about a central attracting charge  $E$ , at a distance  $a$ , with a rotational frequency  $n$ , is

$$\frac{eE}{a^2} = (2\pi n)^2 ma \dots\dots\dots (62)$$

The kinetic energy of the electron is  $\frac{1}{2}m(2\pi na)^2 = \frac{1}{2}\frac{eE}{a}$

The work required to move the electron from its orbit to a position at rest at infinity is  $\frac{eE}{a} - \frac{1}{2}m(2\pi na)^2 = \frac{1}{2}\frac{eE}{a}$

If we denote this quantity of energy by  $T$ , it is seen at once that

$$\left. \begin{aligned} &2a = \frac{eE}{T} \\ &n = \frac{\sqrt{2T^1}}{\pi eE\sqrt{m}} \dots\dots \end{aligned} \right\} \dots\dots\dots (63)$$

If we combine this with (37), p. 213, there results at once

$$T = \frac{2\pi^2 me^4 E^2}{r^3 h^2} \quad 2a = \frac{r^2 h^2}{2\pi^2 meE} \quad n = \frac{4\pi^2 me^2 E^2}{r^3 h^3} \dots (64)$$

Upon change in orbit the radiated energy must be

$$T_{n_1} - T_{n_2} = \frac{2\pi^2 me^4 E^2}{h^2} \left( \frac{1}{r_1^3} - \frac{1}{r_2^3} \right)$$

and, if we place this equal to  $h\nu$ , there results the Balmer formula (34), p. 210,

$$\nu = N \left( \frac{1}{\tau_1^2} - \frac{1}{\tau_2^2} \right)$$

in which

$$N = 2\pi^2 e^2 E^2 \frac{m}{h^3}$$

Since for hydrogen  $E=e$ , we have

$$N = \frac{2\pi^2 m e^4}{h^3}$$

and from (64)

$$a = \frac{\tau^2 h^2}{4\pi^2 m e^2}.$$

## APPENDIX H

### A. II. COMPTON'S THEORETICAL DERIVATION OF THE CHANGE IN THE WAVE-LENGTH OF ETHER-WAVES BECAUSE OF SCATTERING BY FREE ELECTRONS

Imagine, as in Fig. 124*A*, that an X-ray quantum of frequency  $\nu_0$  is scattered by an electron of mass  $m$ . The momentum of the incident ray will be  $h\nu_0/c$ , where  $c$  is the velocity of light and  $h$  is Planck's constant, and that

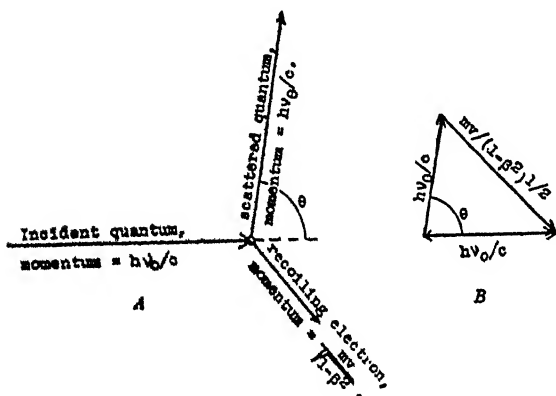


FIG. 124

of the scattered ray is  $h\nu/c$  at an angle  $\theta$  with the initial momentum. The principle of the conservation of momentum accordingly demands that the momentum of recoil of the scattering electron shall equal the vector difference between the momenta of these two rays, as in

Fig. 124*B*. The momentum of the electron,  $m\beta c/\sqrt{1-\beta^2}$  is thus given by the relation

$$\left(\frac{m\beta c}{\sqrt{1-\beta^2}}\right)^2 = \left(\frac{h\nu_0}{c}\right)^2 + \left(\frac{h\nu_\theta}{c}\right)^2 - 2\frac{h\nu_0}{c} \cdot \frac{h\nu_\theta}{c} \cos \theta, \dots (65)$$

where  $\beta$  is the ratio of the velocity of recoil of the electron to the velocity of light. But the energy  $h\nu_\theta$  in the scattered quantum is equal to that of the incident quantum  $h\nu_0$  less the kinetic energy of recoil of the scattering electron, i.e.,

$$h\nu_\theta = h\nu_0 - mc^2 \left( \frac{1}{\sqrt{1-\beta^2}} - 1 \right) \dots \dots \dots (66)$$

We thus have two independent equations containing the two unknown quantities  $\beta$  and  $\nu_\theta$ . On solving the equations we find

$$\nu_\theta = \nu_0 / (1 + 2a \sin^2 \frac{1}{2}\theta), \dots \dots \dots (67)$$

where

$$a = h\nu_0/mc^2 = h/mc\lambda_0 \dots \dots \dots (68)$$

or, in terms of wave-length instead of frequency,

$$\lambda_\theta = \lambda_0 + (2h/mc) \sin^2 \frac{1}{2}\theta \dots \dots \dots (69)$$

Substituting the accepted values of  $h$ ,  $m$ , and  $c$ ,

$$\lambda_\theta - \lambda_0 = \Delta\lambda = 0.0484 \sin^2 \frac{1}{2}\theta \dots \dots \dots (70)$$

# APPENDIX I

## THE ELEMENTS, THEIR ATOMIC NUMBERS, ATOMIC WEIGHTS, AND CHEMICAL POSITIONS

1 H  
1.008

I	II	III	IV	V	VI	VII	VIII
1 He 3.99	3 Li 6.94	4 Be 9.1	5 B 11.0	6 C 12.00	7 N 14.01	8 O 16.00	9 F 19.0
10 Ne 20.2	11 Na 23.00	12 Mg 24.32	13 Al 27.1	14 Si 28.3	15 P 31.04	16 S 32.06	17 Cl 35.46
18 Ar 39.88	19 K 39.10	20 Ca 40.07	21 Sc 44.1	22 Ti 48.1	23 V 51.0	24 Cr 52.0	25 Mn 54.93
.....	26 Fe 55.84	27 Co 58.97	28 Ni 58.68	29 Cu 63.57	30 Zn 65.37	31 Ga 69.9	32 Ge 72.5
.....	33 As 74.96	34 Se 79.2	35 Br 79.92	36 Kr 83.92	37 Rb 85.45	38 Sr 87.63	39 Y 88.7
.....	40 Zr 90.6	41 Nb 93.5	42 Mo 96.0	43 Ma .....	44 Ru 101.7	45 Rh 102.9	46 Pd 106.7
.....	47 Ag 107.88	48 Cd 112.40	49 In 114.8	50 Sn 118.7	51 Sb 120.2	52 Te 127.5	53 J 126.92
54 X 130.2	55 Cs 132.82	56 Ba 137.37	57 La 139.0	58 Ce 140.25	59 Pr 140.6	60 Nd 144.3	61 Pm 150.4
62 Sm 150.4	63 Eu 152	64 Gd 157.3	65 Tb 159.2	66 Dy 162.5	67 Ho 164.9	68 Er 167.3	69 Yb 173.0
70 Lu 175.0	71 Hf 178.5	72 Ta 181.5	73 W 184.0	74 Re 186.2	75 Os 190.0	76 Ir 192.2	77 Pt 195.1
78 Au 197.0	79 Hg 200.6	80 Tl 204.0	81 Pb 207.2	82 Bi 208.0	83 Po (210.0)	84 At (210.0)	85— .....
86 Rn (222.0)	87— .....	88 Ra 226.0	89 Ac (227)	90 Th 232.05	91 Pa (231)	92 U 238.05	93 Np .....

Elements, the atomic numbers of which are not in the order of atomic weights, are in italics. The numbers corresponding to missing elements are in bold-faced type.

1 Hydrogen  
2 Helium  
3 Lithium  
4 Beryllium  
5 Boron  
6 Carbon  
7 Nitrogen  
8 Oxygen  
9 Fluorine  
10 Neon  
11 Sodium  
12 Magnesium  
13 Aluminium  
14 Silicon  
15 Phosphorus  
16 Sulphur  
17 Chlorine  
18 Argon  
19 Potassium  
20 Calcium  
21 Scandium  
22 Titanium  
23 Vanadium

24 Chromium  
25 Manganese  
26 Iron  
27 Cobalt  
28 Nickel  
29 Copper  
30 Zinc  
31 Gallium  
32 Germanium  
33 Arsenic  
34 Selenium  
35 Bromine  
36 Krypton  
37 Rubidium  
38 Strontium  
39 Yttrium  
40 Zirconium  
41 Niobium  
42 Molybdenum  
43 Manganese  
44 Ruthenium  
45 Rhodium  
46 Palladium

47 Silver  
48 Cadmium  
49 Indium  
50 Tin  
51 Antimony  
52 Tellurium  
53 Iodine  
54 Xenon  
55 Caesium  
56 Barium  
57 Lanthanum  
58 Cerium  
59 Praseodymium  
60 Neodymium  
61 Promethium  
62 Samarium  
63 Europium  
64 Gadolinium  
65 Terbium  
66 Dysprosium  
67 Holmium  
68 Erbium  
69 Thulium

70 Ytterbium  
71 Lutetium  
72 Hafnium  
73 Tantalum  
74 Tungsten  
75 Rhenium  
76 Osmium  
77 Iridium  
78 Platinum  
79 Gold  
80 Mercury  
81 Thallium  
82 Lead  
83 Bismuth  
84 Polonium  
85—  
86 Niton  
87 Ekacaeium  
88 Radium  
89 Actinium  
90 Thorium  
91 Uranium X.  
92 Uranium



## APPENDIX J

The following are the most important physical constants, the values of a number of which it has become possible to fix, within about the limits indicated, through the isolation and measurement of the electron and the measurement of the Avogadro number,  $N_0$ .

$e$	The electron . . . . .	$e = (4.803 \pm 0.005) \times 10^{-10}$ e.s.u.
$N_0$	The Avogadro constant .	$N_0 = (6.023 \pm 0.003) \times 10^{23}$ mole $^{-1}$
$c$	Speed of light. . . . .	$c = 2.99774 \pm 0.00010$ cm. sec. $^{-1}$
$h$	Planck's element of action	$h = (6.624 \pm 0.006) \times 10^{-27}$ erg. sec.

$\frac{e}{m}$	Specific electronic charge
---------------	----------------------------

$$\frac{e}{m} = (1.7592 \pm 0.0015) \times 10^7 \text{ abs. e.m.u.g.}^{-1}$$

$\frac{M_H}{m}$	Ratio of masses of neutral H atom and electron .	$\frac{M_H}{m} = 1.837$
-----------------	--	-------------------------

$F$	The Faraday. . . . .	$F = 9,648.9$ e.m.u. mole $^{-1}$
-----	----------------------	-----------------------------------

$\frac{h}{e}$	The photoelectric ratio:
---------------	--------------------------

$$\frac{h}{e} = (1.3786 \pm 0.0008) \times 10^{-17} \text{ e.s.u. erg. sec.}^{-1}$$

$n_0$	Number of gas molecules per cc. at $0^\circ$ C. 76 cm.:
-------	---

$$n_0 = 2.737 \pm 0.002 \times 10^{19}$$

$k$	Boltzmann constant:
-----	---------------------

$$\left( \frac{R_0}{N_0} = \frac{8.315 \times 10^7}{6.023 \times 10^{23}} \right) = k = (1.381 \pm 0.001) \times 10^{-16} \text{ erg. deg.}^{-1}$$

$M_1$	Mass of atom of unit atomic weight:
-------	-------------------------------------

$$m_0 = (1.6604 \pm 0.0006) \times 10^{-24} \text{ gram}$$

$M_H$	Mass of an atom of hydrogen in grams:
-------	---------------------------------------

$$m = (1.6748 \pm 0.007) \times 10^{-24} \text{ gram}$$

$c_2$	Wien constant of spectral radiation. . . . .	$c_2 = 1.432 \pm 0.0030$
-------	--	--------------------------

$\sigma$	Stefan-Boltzmann constant of total radiation:
----------	---

$$\sigma = (5.6728 \pm 0.0037) \times 10^{-5} \text{ erg. cm.}^{-2}$$

$d$	Grating spacing in calcite. . . . .	$d = 3.0290 \pm 0.001 \text{ \AA}$
-----	-------------------------------------	------------------------------------

$R_\infty$	Rydberg wave number . . . . .	$R_\infty = 109,737.30 \pm 0.017 \text{ cm.}^{-1}$
------------	-------------------------------	--

<sup>1</sup> Raymond T. Birge, *Phys. Rev.*, LX (1941), 785.

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